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Rotsch, Li et al.: Mechanisms of Co-Transcriptional Cap-Snatching

1 Research Paper

# Mechanism of Co-Transcriptional Cap-Snatching by Influenza Poly merase

#### 4 Alexander Helmut Rotsch<sup>1\*</sup>, Delong Li<sup>1,2\*</sup>, Maud Dupont<sup>3</sup>, Tim Krischuns<sup>3</sup>, Christiane Oberthuer<sup>1</sup>, Alice Stel-5 fox<sup>4</sup>, Maria Lukarska<sup>4,5</sup>, Isaac Fianu<sup>1</sup>, Michael Lidschreiber<sup>1</sup>, Nadia Naffakh<sup>3+</sup>, Christian Dienemann<sup>1+</sup>, Stephen 6 Cusack<sup>4+</sup>, Patrick Cramer<sup>1+</sup> 7 8 Department of Molecular Biology, Max Planck Institute for Multidisciplinary Science, Goettingen, Ger-1 9 many 10 2 Current address: Mechanisms of Cellular Quality Control, Max-Planck-Institute of Biophysics, Frank-11 furt, Germany 12 3 Institut Pasteur, Université Paris Cité, CNRS UMR3569, RNA Biology and Influenza Viruses, Paris, 13 France 14 4 European Molecular Biology Laboratory, Grenoble, France 15 Department of Molecular and Cell Biology, University of California, Berkeley, CA, USA 5 16 \* Authors contributed equally 17 +Correspondence: christian.dienemann@mpinat.mpg.de; patrick.cramer@mpinat.mpg.de; cu-18 sack@embl.fr; nadia.naffakh@pasteur.fr 19 Abstract 20 Influenza virus mRNA is stable and competent for nuclear export and translation because it 21 receives a 5' cap(1) structure in a process called cap-snatching<sup>1</sup>. During cap-snatching, the viral RNA-dependent RNA polymerase (FluPol) binds to host RNA polymerase II (Pol II) and the 22 emerging transcript<sup>2,3</sup>. The FluPol endonuclease then cleaves a capped RNA fragment that sub-23 sequently acts as a primer for the transcription of viral genes<sup>4,5</sup>. Here, we present the cryo-EM 24 structure of FluPol bound to a transcribing Pol II in complex with the elongation factor DSIF 25 26 in the pre-cleavage state. The structure shows that FluPol directly interacts with both Pol II and 27 DSIF, which position the FluPol endonuclease domain near the RNA exit channel of Pol II. 28 These interactions are important for the endonuclease activity of FluPol and FluPol activity in 29 cells. A second structure trapped after cap-snatching shows that cleavage rearranges the capped 30 RNA primer within the FluPol, directing the capped RNA 3'-end towards the FluPol polymer-31 ase active site for viral transcription initiation. Altogether, our results provide the molecular 32 mechanisms of co-transcriptional cap-snatching by FluPol. 33 34 **Keywords:** 35 Influenza virus, influenza A, Cap-snatching, Cryo-electron microscopy, Transcription 36

### 38 Introduction

Influenza is an acute respiratory disease that causes 290,000 to 650,000 human deaths each 39 year<sup>6,7</sup>. Influenza is caused by an infection with influenza A or B viruses, which circulate in 40 temperate regions as seasonal influenza<sup>6</sup>. However, rare zoonotic transmissions can cause pan-41 demic influenza outbreaks with high mortality and economic losses<sup>8,9</sup>. There is current concern 42 that the unexpected susceptibility of dairy cows to avian H5N1 strains may be path towards to 43 a new pandemic<sup>10–12</sup>. Influenza viruses are segmented negative-sense RNA viruses infecting 44 the respiratory tract epithelial cells in humans<sup>9</sup>. Upon infection, the eight viral ribonucleopro-45 teins are released into the cytoplasm and imported into the nucleus, where transcription of viral 46 genes into mRNA and replication of the viral genome occurs<sup>13,14</sup>. Each viral ribonucleoprotein 47 contains a genome segment that is encapsidated by multiple copies of the viral nucleoprotein 48 49 and one copy of the viral RNA-dependent RNA polymerase (FluPol). FluPol consists of subunits PA, PB1, and PB2 and has been structurally characterised<sup>2,15,16</sup>. 50

51 Viral transcripts must contain a 5' cap structure and a 3' poly-A tail to ensure stability, nuclear export, and efficient translation<sup>17</sup>. However, unlike non-segmented negative-sense RNA 52 viruses, the influenza virus genome does not encode enzymes to synthesize a 5' cap<sup>18</sup>. Instead, 53 FluPol utilizes capped RNA primers that are cleaved from nascent host transcripts in a process 54 called cap-snatching<sup>1,5</sup>. The FluPol PB2 cap-binding domain binds a nascent 5' capped host 55 RNA, and the PA endonuclease domain cleaves off 10-15 nt from the 5' end. The 3'-terminal 56 57 nucleotides of this RNA primer then anneal to the 3' end of the viral genome segment and prime transcription of the viral mRNA<sup>15,19,20</sup>. 58

59 Capped host transcripts are synthesized by cellular RNA polymerase II (Pol II). Pol II tran-60 scription starts with assembling a pre-initiation complex consisting of Pol II and the general transcription factors at gene promoters<sup>21</sup>. To escape from the gene promoter, the largest Pol II 61 subunit RPB1 C-terminal domain (CTD) heptad repeats are phosphorylated at serine 5 and 7 62 by the TFIIH CDK-activating kinase (CAK) <sup>22,23</sup>. CTD phosphorylation and the growing nas-63 cent RNA transcript cause the initiation factors to dissociate from Pol II<sup>23,24</sup>. Recruitment of 64 the elongation factor DSIF after synthesis of ~20 nt of RNA establishes the early Pol II elon-65 gation complex (Pol II-DSIF EC). This complex is then converted to a paused elongation com-66 plex (PEC) containing the negative elongation factor NELF at a transcript length of 25-50 nt<sup>24-</sup> 67 <sup>26</sup>. Synthesis of the 5' cap occurs co-transcriptionally by the capping enzymes RNGTT, RNMT, 68 and CMTR1<sup>26</sup> in the context of the Pol II-DSIF EC or the PEC. RNGTT is a bifunctional en-69 zyme acting as a triphosphatase and guanylyltransferase, creating a GpppN structure at the 70 5'end of the Pol II transcript. RNMT and CMTR1 are methyltransferases adding a methyl group 71 72 to N7 of the cap-guanosine and the 2'-OH of the first regular nucleotide, respectively, producing the m7GpppmN cap(1) structure<sup>26</sup>, which the cap-binding domain of PB2 tightly binds 73 during cap-snatching<sup>27,28</sup>. 74

75 Cap-snatching depends on host transcription as it has been shown that inhibition of Pol II 76 using  $\alpha$ -amanitin impairs viral replication<sup>3</sup>. FluPol associates primarily with the 5' end of host

77 genes as well as with the Pol II CTD that is phosphorylated at serine 5 residues, indicating that

cap snatching occurs during early phases of Pol II transcription<sup>2,29–31</sup>. Cell-based protein-pro-

79 tein interaction assays indicate that FluPol does not only bind to the CTD but also the Pol II

- 80 body<sup>32</sup>. Co-immunoprecipitation mass spectrometry experiments have shown that the elon-
- 81 gation factor DSIF co-purifies with FluPol<sup>5,33</sup>, and other studies suggest that FluPol depends
- 82 on the cap(1) structure for cap-snatching<sup>27</sup>. However, how FluPol interacts with the host tran-
- 83 scription machinery for cap-snatching at the molecular level is unknown.

84 Here, we show that FluPol binds to the transcribing Pol II-DSIF complex for efficient cap-85 snatching. Furthermore, we report two cryo-EM structures of FluPol bound to a Pol II-DSIF 86 EC before and after endonucleolytic RNA cleavage by the FluPol. The structures show that during cap-snatching, the PA endonuclease domain of FluPol binds near the RNA exit channel 87 88 of Pol II and that this interaction is stabilised by DSIF. Furthermore, using cell-based minigenome assays, we confirm that mutation of residues forming the interface between FluPol and 89 90 the Pol II-DSIF EC reduce FluPol activity. In summary, we present the molecular mechanism 91 of cap-snatching by FluPol.

## 92

## 93 <u>Results</u>

## 94 <u>Cap-snatching requires an early Pol II elongation complex</u>

95 To study the molecular basis of cap-snatching, we first investigated how the formation of a complex between FluPol and transcribing Pol II (Pol II EC) depends on the cap(1)-structure 96 and CTD phosphorylation. We purified S. scrofa Pol II (96% identical to human Pol II) from 97 98 the endogenous source<sup>34</sup>. Whereas in preliminary studies reconstituting the cap-snatching complex we used bat FluPol (H17N10)<sup>31</sup>, here we used recombinant, promoter bound FluPol from 99 the influenza strain A/Zhejiang/DTID-ZJU01/2013(H7N9)<sup>35,36</sup>, (ED Figure 1a). To reduce 100 RNA cleavage and enhance complex stability, we used the PA E119D mutant of FluPol 101 (FluPol<sup>E119D</sup>), which has impaired endonuclease activity<sup>37,38</sup>. A Pol II EC containing a 35 nt 102 103 cap(1)-RNA, 45 nt template, and non-template DNA was assembled as established previ-104 ously<sup>39</sup>. The 35 nt RNA length was chosen considering a 12 nt RNA primer produced by capsnatching<sup>20,40</sup>, an additional 3 nt bound by the PA endonuclease<sup>38</sup>, and 20 nt RNA bound within 105 the Pol II EC<sup>34</sup>. 106

107 We next monitored binding of FluPol to the Pol II EC by size-exclusion chromatography (SEC) using unmodified RNA and Pol II, cap(1)-RNA or Pol II that was phosphorylated with 108 109 CAK. Without CTD phosphorylation and a cap(1) structure, co-elution of FluPol with Pol II 110 could barely be detected (Figure 1a). When using a cap(1)-modified RNA, the signal for 111 FluPol in the Pol II containing peak slightly increased (Figure 1a). However, when the Pol II 112 CTD was phosphorylated by CAK, the amount of FluPol associated with Pol II in the peak 113 fractions strongly increased (Figure 1a). Additionally, the elution volume of the complex peak 114 shifted towards higher molecular weight, indicating the formation of a stable complex (Figure 115 **1b**). Thus, the addition of a cap(1) structure to the RNA has only a modest effect on the inter-116 action between FluPol and the Pol II EC. In contrast, phosphorylation of the Pol II CTD is the 117 main determinant for the recruitment of FluPol to a Pol II EC, consistent with *in vivo* data 118 demonstrating the importance of the Pol II CTD for viral transcription<sup>2,30</sup>.

We next asked if the increased affinity of FluPol to Pol II by CTD phosphorylation also 119 120 results in enhanced endonuclease activity by FluPol. To monitor RNA cleavage, we developed 121 a fluorescence-based assay using in vitro-capped RNA harboring a Cy5-label at the 3' end 122 (Figure 1c). We did not observe an increase in RNA cleavage by FluPol in the context of a 123 phosphorylated Pol II EC compared to free RNA (Figure 1d, ED Figure 1b). This suggests 124 that CTD phosphorylation enhances recruitment of FluPol to Pol II but the interaction of FluPol 125 with phospho-CTD alone does not suffice to stimulate cleavage of RNA that is bound to Pol 126 II.

Next, we tested whether the presence of the elongation factor DSIF, which binds Pol II during early elongation, stimulates the cleavage of Pol II-bound RNA. Indeed, cleavage of Pol IIbound RNA was stimulated ~2-fold when DSIF was added to the Pol II EC in the cleavage assay (**Figure 1d, ED Figure 1b**). Finally, we tested whether FluPol can extend the snatched RNA primer using a radioactive FluPol RNA extension assay (Methods). We found increased FluPol-dependent RNA extension in the presence of a Pol II-DSIF EC, which is consistent with a more efficient endonuclease reaction (**ED Figure 1c**).

134 In summary, the cap(1) structure only has a minor impact on FluPol binding to Pol II, 135 whereas CTD phosphorylation by CAK strongly enhances FluPol recruitment. However, CTD 136 phosphorylation alone does not stimulate cleavage of Pol II-bound RNA by FluPol. Instead, 137 DSIF, when added to the Pol II EC, stimulates RNA cleavage, suggesting that DSIF is part of the Pol II complex that is recognized by FluPol. Moreover, we have demonstrated that the RNA 138 139 emerging from the Pol II-DSIF elongation complex can be used to prime the polymerization by FluPol. Thus, we conclude that FluPol recognizes the phosphorylated Pol II-DSIF EC as a 140 141 minimal substrate for efficient cap-snatching.

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## 143 <u>Structure of the FluPol-Pol II-DSIF cap-snatching complex</u>

144 After determining the components required for efficient cap-snatching by FluPol in vitro, 145 we next sought to structurally characterize a cap-snatching complex comprising FluPol, Pol II, 146 DSIF and capped RNA by cryo-EM. To that end, we first assembled a Pol II-DSIF EC containing a 35 nt cap(1)-RNA in the presence of the CAK and ATP to phosphorylate the Pol II 147 CTD. To capture the normally transient cap-snatching complex prior to RNA cleavage, we 148 then added FluPol<sup>E119D</sup> at low Mg<sup>2+</sup> concentration, conditions in which cleavage is minimal 149 (Methods) (ED Figure 1d). The complex was purified and stabilized using GraFix<sup>41</sup> prior to 150 151 cryo-EM sample preparation (ED Figure 2a). Cryo-EM data acquisition yielded 6,423,874 152 particles that were further sorted by 3D-classification, which yielded a subset of 369,858 par-153 ticles that show good density for the Pol II-DSIF EC as well as FluPol resolved at 3.3 Å overall resolution (ED Figure 2b-h, ED Table 1). From this consensus refinement, we performed 154

155 focused refinements of FluPol and the Pol II-DSIF EC (with respective resolutions of 2.90 Å

and 2.94 Å), which allowed us to build and refine an atomic model for the complete capsnatching complex (Figure 2a).

- 158 The structure shows that FluPol binds to the Pol II-DSIF EC near the RNA exit channel of Pol II (Figure 2a). The PA endonuclease of FluPol interacts with the KOWx-4 domain of DSIF 159 that forms a clamp around the exiting RNA in the absence of FluPol<sup>34</sup> (Figure 2a, interface 1). 160 161 In the complex, KOWx-4 is rotated ~180° around its longitudinal axis and shifted by ~22 Å compared to the Pol II-DSIF EC structure<sup>34</sup>, and the Pol II stalk containing subunits RPB4 and 162 RPB7 is also repositioned (ED Figure 3a.b). The PB2 cap-binding domain of FluPol inserts 163 164 in between the Pol II subunits RPB1, RPB3, and RPB11 to bind the Pol II dock domain, which is located below the RNA exit channel of Pol II (Figure 2a, interface 2). In line with our ob-165 166 servation that FluPol recruitment to Pol II strongly depends on CTD phosphorylation, we observe density for phosphorylated CTD residues in two of the previously reported CTD binding 167 sites of FluPol <sup>2,42,43</sup> (ED Figure 3c-e). 168
- We could trace continuous density for most of the RNA from the capped 5'-end in the PB2 169 170 cap-binding domain of FluPol all the way to the 3'-end located in the Pol II active site (Figure 171 2a,b, ED Figure 3f). This confirms that we successfully resolved the cap-snatching complex 172 prior to endonuclease cleavage. Therefore, we called this structure the pre-cleavage complex. 173 The cap(1) and the first four nucleotides of the RNA are well-ordered and tightly bound to the PB2 cap-binding and midlink domains as observed before<sup>19,44</sup>. The methylated 2' OH of the 174 first transcribed base packs against I260 from the PB2 midlink domain (ED Figure 3g), an 175 interaction only proposed before<sup>27</sup>. The interaction of FluPol with the cap(1) structure is sup-176 177 ported by parts of a previously unresolved linker between the KOWx-4 and KOW5 domains 178 of DSIF (SPT5 residues 647-703), which interacts directly with the RNA 5'-end and the cap-179 binding domain of PB2 (ED Figure 3g). Phosphorylation of serine residues in this linker has been reported to be involved in pause release<sup>45</sup>. 180

The nucleotides between the cap-binding domain and the FluPol endonuclease could only 181 182 be resolved at low resolution (ED Figure 3f), likely due to the flexibility of this RNA region. This precluded identification of the exact sequence register, although structural modeling 183 (Methods) allows for 9-15 nt of RNA to be placed between the endonuclease and the cap-184 binding domains (Figure 2b), in agreement with the primer lengths of 10-15 nt that are pro-185 duced by co-transcriptional cap-snatching *in vivo*<sup>20,40</sup>. In summary, we visualized the structure 186 187 of a pre-cleavage state of FluPol bound to transcribing Pol II during cap-snatching that explains how DSIF stimulates cleavage of Pol II bound RNA. 188

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## 190 FluPol binding to the Pol II-DSIF EC is crucial for viral transcription *in vivo*

191 The biochemical analysis of FluPol endonuclease activity and the structure of the pre-cleav-192 age complex show that FluPol binds the Pol II-DSIF EC, and that the interaction between

FluPol and DSIF is important for cap-snatching in vitro. Next, we investigated whether the 193 194 observed interactions between FluPol and the Pol II-DSIF EC are also required for FluPol ac-195 tivity in vivo, i.e. in a cellular context. For that, we used our structure of the pre-cleavage com-196 plex to identify amino acids that might be involved in the interaction between FluPol and the Pol II-DSIF EC. We chose 17 FluPol residues at the interface to the Pol II-DSIF EC that show 197 198 high conservation across various influenza strains (ED Figure 4a,b, Methods). We then used 199 a luciferase-based mini-genome assay to test FluPol activity in cells after mutating interface 200 residues between PA and DSIF (Figure 3a) as well as PB2 and Pol II (Figure 3b,c) to alanine 201 (ED Table 2). We focused on the FluPol variants that could be expressed at the same level as

202 wild-type FluPol (ED Figure 4c).

203 When we mutated PA residues involved in the interaction with DSIF (Figure 3a), mutations 204 in the a5 and a6 helices of PA (H128, E101, N136, S140 and K158, respectively) did not 205 reduce viral transcription in vivo (Figure 3d). However, mutating K104 or E141 to alanine 206 reduced FluPol activity ten-fold in the mini-genome assay (Figure 3d). Both residues are close 207 to the conserved DSIF residue K627, with which PA E141 is likely involved in forming a salt 208 bridge that might also be stabilized by the nearby K104 (Figure 3a, ED Figure 4d). Thus, we 209 show that the integrity of the PA endonuclease interface with DSIF is vital for efficient FluPol 210 activity in vivo. This also agrees with our biochemical data showing that DSIF stimulates cleav-211 age of Pol II-bound RNA by FluPol in vitro (Figure 1d).

212 On the surface of PB2, we mutated residues involved in interacting with the Pol II subunits 213 RPB1, RPB3 and RPB11 (Figure 3b,c). PB2 residues D466, T468, S470 and K482 are located 214 at the interface between the PB2 cap-binding domain and the RPB1 dock domain and can form 215 hydrogen bonds as well as salt bridges with RPB1 residues E400, D404, and R407, respec-216 tively. From this interface, the T468A mutant retained  $\sim 60\%$  of wild-type activity, whereas all 217 other mutations almost abolished FluPol activity (Figure 3d). Mutation of PB2 E452, which might form a salt bridge with K17 of RPB11, also reduced FluPol activity in vivo (Figure 3d). 218 219 While the individual mutations of PB2 residues R375 and R380 that might interact with RPB3 220 do not significantly reduce activity, they did when mutated together (Figure 3d). Additionally, 221 the interface residues in RPB1, RPB3 and RPB11 are highly conserved between mammals and 222 birds (ED Figure 4e-g). These results show that the interface between the PB2 cap-binding 223 domain and the Pol II surface is important for FluPol activity in vivo.

We conclude that both interfaces between PA and DSIF, as well as PB2 and the Pol II surface, are crucial for efficient cap-snatching and viral transcription *in vivo*. Since we observed perturbations of viral transcription by mutating residues that are conserved across several influenza strains, we propose that FluPol of other influenza A strains binds to the Pol II-DSIF EC in a similar way to that observed in the structure of the pre-cleavage complex. Thus, we establish the molecular interfaces between FluPol and the Pol II-DSIF EC during co-transcriptional cap-snatching in cells.

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## 232 **RNA cleavage generates a FluPol transcription pre-initiation complex**

- The pre-cleavage complex structure reveals the RNA trajectory direct from the cap-binding to the endonuclease domain of FluPol, which is clearly incompatible with the FluPol pre-initiation complex that precedes viral transcription<sup>19,44</sup>. This suggests that FluPol, the primer RNA or both must undergo conformational changes after endonuclease RNA cleavage to position the newly generated RNA 3'-end in the FluPol PB1 polymerase active site for RNA extension. To investigate these structural transitions, we resolved a cap-snatching complex of FluPol bound to the Pol II-DSIF EC after the PA endonuclease has cleaved the RNA.
- 240 To achieve that, we assembled the Pol II-DSIF EC with cap(1)-RNA and FluPol<sup>E119D</sup> as before, but in the presence of 3 mM Mg<sup>2+</sup> (ED Figure 5a), which allowed for RNA cleavage 241 242 during cryo-EM sample preparation (ED Figure 1d). We then performed cryo-EM as for the pre-cleavage complex (Figure 4a, ED Figure 5b-e, ED Figure 6). The resulting post-cleavage 243 244 structure is very similar to the pre-cleavage complex (ED Figure 7a), except for differences in the primer RNA. In particular, we could only trace the RNA from the Pol II active site until 245 246 the PA endonuclease active site, after which the density discontinues abruptly (ED Figure 7b). 247 The 5' cap(1) structure remains bound as before in the cap-binding site. However, the cleaved RNA 3' end points towards the FluPol polymerase active site (Figure 4b). The endonuclease 248 cleaves a fragment of ~10-15 nt from the Pol II transcript<sup>20,40</sup>, of which we can observe cryo-249 250 EM density for the first 7 nt of the primer in the post-cleavage complex, indicating that the 251 missing nucleotides are disordered. Furthermore, in the post-cleavage complex, the priming 252 loop near the FluPol polymerase active site is still extended and ordered (Figure 4c, ED Figure 253 7c), as expected when the snatched RNA primer has not yet base paired with a viral RNA 254 template 3' end. Thus, FluPol in the post-cleavage cap-snatching state resembles the FluPol 255 pre-initiation complex that was previously reported<sup>19,46</sup> (**ED Figure 7d**).
- In summary, RNA cleavage by the FluPol endonuclease leads to rearrangements of the capped RNA primer and a new RNA trajectory that is indicative of a FluPol pre-initiation complex. Thus, the PA endonuclease activity on Pol II-bound capped RNA leads to a state of FluPol that is ready to initiate viral transcription with minimal conformational changes. Therefore, a rotation of the cap-binding domain does not seem to be required to direct the cleaved primer into the polymerase active site as previously proposed<sup>47</sup>.
- 262

## 263 **Discussion**

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The results presented here close a major gap in our understanding of the life cycle of one of the most common human viral pathogens. By combining structural, biochemical and cellular approaches, we propose a molecular mechanism of cap-snatching by FluPol, which involves three major steps (**Figure 5, ED Movie 1**). First, FluPol directly binds to the host transcription machinery. The minimal substrate for efficient cap-snatching is a Pol II-DSIF EC with a

cap(1)-RNA and phosphorylated Pol II CTD, which is found during early host transcription<sup>24,25,34</sup>. Second, RNA cleavage by the FluPol endonuclease generates a 10-15 nt primer.
After cleavage, the new 3'end of the capped RNA primer swings towards the FluPol polymerase active site, resulting in a conformation similar to a FluPol pre-initiation complex. Third, the 3' end of the capped RNA primer can anneal to the vRNA template, and FluPol elongates the viral mRNA.

276 Building on our mechanistic understanding of co-transcriptional cap-snatching, our model 277 also provides insights into how cap-snatching is coordinated with host transcription by Pol II 278 (Figure 5). Early Pol II transcription includes RNA capping, early elongation, promoter-prox-279 imal pausing, pause release, and premature termination, which have been structurally characterized<sup>26,48-50</sup>. The comparison of the cap-snatching complex structure with CMTR1 bound to 280 the Pol II-DSIF EC<sup>26</sup> shows that binding of FluPol and CMTR1 to Pol II is likely mutually 281 exclusive (ED Figure 8a). Thus, for cap-snatching to occur, CMTR1 likely has to dissociate 282 283 after addition of the essential 2'-OH methylation to the RNA cap<sup>27</sup>. CMTR1 dissociation from 284 the Pol II-DSIF EC then allows for FluPol binding to the RNA and the Pol II-DSIF surface as observed in the pre-cleavage structure (Figure 2a). NELF binding to the Pol II-DSIF EC es-285 tablishes the PEC<sup>48</sup>, which can accommodate FluPol binding without clashes (ED Figure 8b). 286 Active elongation in the EC\* and termination factors such as Integrator or XRN2, however, 287 288 clash with FluPol when bound to Pol II at the same time (ED Figure 8c-e). Thus, the window 289 of opportunity for cap-snatching likely opens during Pol II early elongation (Pol II-DSIF EC) 290 and pausing (PEC), and closes upon pause release and formation of the EC\*. Within that win-291 dow of opportunity, early elongating and paused Pol II represent relatively long-lived substrates for cap-snatching as Pol II resides in this phase up to several minutes<sup>51,52</sup>. FluPol binding 292 293 to the KOWx-4-KOW5 linker of SPT5 might further extend the residence time of Pol II in the 294 paused state by preventing phosphorylation of the linker, which was shown to be important for 295 pause release<sup>45</sup>. Although the exact fate of FluPol after cap-snatching remains enigmatic, 296 FluPol might remain bound on the Pol II surface during the very first steps of viral transcription elongation (ED Figure 7e)<sup>36</sup>. However, as FluPol transcription proceeds, the elongating viral 297 298 mRNA will extrude more and more out of the FluPol product exit channel, requiring more 299 space to be accommodated. In addition, FluPol undergoes conformational changes during the initiation to elongation transition<sup>15,19</sup>. These events might lead to FluPol dissociation from the 300 Pol II core (although it could remain bound to the CTD<sup>42</sup>), perhaps concomitant with release 301 of the capped RNA from the FluPol cap-binding site and subsequent recruitment of the nuclear 302 cap-binding complex<sup>53</sup>. Alternatively, Integrator or XRN2 binding to the Pol II surface could 303 304 compete with FluPol, triggering its dissociation (ED Figure 8d.e).

305 Our structures of co-transcriptional cap-snatching complexes have established the molecular 306 template for the search of inhibitors that may disrupt conserved interfaces crucial for cap-307 snatching. Targeting of such small protein-protein interfaces is inherently difficult<sup>54</sup>. However, 308 together with recent advances in predicting such interactions<sup>55,56</sup>, our structure may catalyze

future *in silico* and experimental studies to identify compounds suitable to disrupt the interface
between FluPol and transcribing Pol II.

311

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319

## 320 <u>Author Contributions</u>

A.H.R., M.Li., M.Lu., C.D., P.C., N.N., and S.C. designed the study. A.H.R. and D.L. 321 322 planned all experiments except for the cell-based minigenome assays. T.K. and N.N. planned 323 cell-based assays. A.H.R., D.L., C.O., A.S. prepared protein components. D.L. performed bio-324 chemical assays and prepared samples for cryo-EM. A.H.R., I.F. and C.D. acquired and ana-325 lyzed cryo-EM data. A.H.R. and S.C. built the molecular models. M.Lu. pioneered reconstitution of the cap-snatching complex <sup>31</sup>, T.K. and M.D. performed cell-based assays. D.L. and 326 327 A.H.R. designed figures. A.H.R. and C.D. wrote the manuscript with input from all authors. 328 All authors read and approved the final manuscript.

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## 343 Data Availability:

The electron density reconstructions and final models were deposited with the EM Data Bank (accession codes 50892, and 50927) and the PDB (accession codes PDB 9FYX, and 9G0A).

- 347
- 348 **Declarations**
- 349 Conflict of interest
- 350 The authors have no competing interests to declare that are relevant to the content of this
- 351 article.
- 352

## 353 Figures



Fig. 1| FluPol recognizes the Pol II EC. a, Western blot of Pol II containing peak fractions 355 356 stained against RPB3 (Pol II) and Twin-Strep-Tag (FluPol subunit PB2). Different lanes rep-357 resent different size exclusion chromatography runs. b, Absorbance at 280 nm of analytical size exclusion chromatography runs of Pol II-EC containing a 35 nt RNA with or without 358 cap(1) and with or without CAK phosphorylation, and with FluPol. Different colors represent 359 360 different chromatography runs. Black bars above the chromatogram depict Pol II complex fractions that were analyzed by Western blot in a. c, Schematic drawing of the endonuclease cleav-361 362 age assay. Cap(1)-RNA is Cy5-labeled on the 3' end. d, Ratio of RNA cleaved/uncleaved (intensity of cleaved product divided by intensity substrate band) in dependence of factors added. 363 Each point reflects one experimental replicate (N=5), shown as mean  $\pm$  s.d. Significance p-364 values were calculated using a two-tailed paired parametric t-test. 365

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366

**Fig. 2**| **Structure of the pre-cleavage cap snatching complex. a**, Two views of the overall structure of the pre-cleavage FluPol-Pol II-DSIF EC complex in cartoon representation except Pol II which is shown as surface. Dashed black boxes represent the locations of the two interfaces shown in **Fig. 3a-c**. The structure is shown in a FluPol side view and Pol II top view (upper half) as well as front view of FluPol and side view of Pol II. **b**, The RNA path within FluPol. Proteins are shown as transparent surfaces. The RNA is shown as ribbon tracing of the backbone. Parts of the FluPol model were removed for clarity.



374

375 Fig. 3| New FluPol-Pol II-DSIF EC interfaces. a-c, Zoom-ins on the interfaces between the FluPol PA endonuclease domain and the DSIF KOWx-4 domain (a), the FluPol PB2 cap-376 377 binding domain and RPB1 (b) or RPB3 and RPB11(c). Amino acids mutated are shown in stick representation and colored by heteroatoms if the mutation reduced FluPol activity signif-378 379 icantly in a cell-based minigenome assay. Dashed black lines indicate potential interactions. d, Cell-based minigenome assay of A/WSN/33 FluPol activity for the indicated PA and PB2 mu-380 tants. HEK-293T cells were co-transfected with plasmids encoding PB2, PB1, PA, NP with a 381 382 model vRNA encoding the Firefly luciferase. Luminescence was normalised to a transfection control and is represented as percentage of wild-type FluPol. Each point reflects one replicate 383 (N=3), depicted as mean  $\pm$  s.d., \*\*\* indicates p<0.001 as calculated by One-Way Anova -384 385 Dunnett's multiple comparisons test referenced to wild-type.





Fig. 4| Structure of the post-cleavage cap snatching complex. a, Overall structure of the 387 post-cleavage FluPol-Pol II-DISF EC complex in cartoon-style representation except Pol II 388 389 which is shown as surface. b, Comparison of the RNA-path in FluPol between pre and post-390 cleavage complex. Proteins are shown as transparent surfaces and the RNA is shown as ribbon tracing of the backbone. FluPol polymerase active site Mg<sup>2+</sup> atoms are modeled based on the 391 FluPol elongation complex<sup>15</sup>. Parts of FluPol were removed for clarity. **c**, Comparison of the 392 FluPol polymerase active site conformations in the post-cleavage (pink) and pre-initiation 393 (PDB:6RR7, light purple<sup>44</sup>) states. Only the priming loop, the viral mRNA the 3' vRNA are 394 395 shown.





Fig. 5| Model of co-transcriptional cap-snatching. Capping enzymes, including CMTR1,
synthesize the cap(1) structure on the RNA co-transcriptionally. After capping is finished,
CMTR1 dissociates from Pol II. The resulting Pol II-DSIF EC with a capped RNA is a substrate
for cap-snatching and is bound by FluPol. Then, the FluPol endonuclease cleaves the RNA,
FluPol may dissociates from the Pol II EC surface and initiates transcription, whereas Pol II
gets terminated.

## 404 405

#### **Extended Data Figures** H7N9 FluPo H7N9 FluPol PA E119D а САК (kDa) 240-140 115 RPB: PA PB1 PB2 80 E119D PB1 PB2 62-50-27 RPB: CDK7 MAT1 CYC H 31 27-RPB 20% acrylar <sup>32</sup>P-radioact (nt) other RPB 15



Extended Data Fig. 1| Related to Fig. 1. FluPol recognizes the Pol II EC . a, Representative images of Coomassie-stained SDS-PAGE lanes of the purification of the single protein
components used. Protein band assignments are based on size. b, Representative example for
the denaturing urea PAGE of an endonuclease assay. The substrate and the product bands are
labeled. c, Scintillation image of denaturing PAGE radioactivity elongation assay showing in-

412 creased FluPol transcription upon DSIF addition and CTD phosphorylation. **d**, Denaturing urea

413 PAGE of an endonuclease assay with varying  $Mg^{2+}$  concentrations.

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 GraFix: 10-40% Glycerol Gradient w/ 0.1% glutaldehyde

 35nt cap(1)-RNA, Pol II, FluPol\_E119D, DSIF, CAK, ATP

 Fractions 10
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415 Extended Data Fig. 2| Data acquisition and processing of the pre-cleavage complex. a, 416 Fluorescence scan of the native PAGEs analyzing GraFix gradient fractions of the pre-cleavage 417 complex preparation. The image is an overlay of Cy5 and ATTO532 signals. In magenta is the scan for the Cy5 channel of the labeled template DNA, and in green is the scan for the 418 419 ATTO532 channel of the labeled 3' vRNA. Highlighted fractions were combined and used for 420 cryo-EM analysis. **b**, Flowchart illustrating the key steps of the processing pipeline for obtaining the structure of the pre-cleavage complex. c, consensus density map of the pre-cleavage 421 422 FluPol-Pol II-DSIF EC complex colored by underlying protein components. d,e, Masks used for focused refinements and their resulting maps. f, Gold-Standard Fourier shell correlation 423 424 plots of the consensus and focused maps. g, Local resolution of consensus and focus refinement 425 maps. Local resolution estimations were performed in RELION. h, Angular distribution of the consensus refinement and focused refinements plotted with Warp. 426





428 Extended Data Fig. 3| Related to Fig. 2. Structure of the pre-cleavage cap snatching complex. a-b, Comparison of pre-cleavage structure with the canonical Pol II-DSIF EC 429 430 (PDB:50IK)<sup>34</sup>. **a**, The canonical position of the KOWx-4 domain is shown in pink, and the position observed in the pre-cleavage structure is shown in green. The KOWx-4 domain of 431 DSIF SPT5 is displaced by  $\sim$ 22 Å and rotated by  $\sim$ 180° relative to the canonical conformation. 432 433 **b**, The canonical position of the Pol II stalk is colored in dusty pink, and the stalk model from the pre-cleavage structure is depicted in gray. The Pol II stalk is moved by 20° around its base 434 relative to the canonical conformation. c, Phosphorylated CTD of RPB1 bound to FluPol 435 shown in sticks. FluPol is shown as surface. The obtained cryo-EM density is displayed in 436 437 transparent gray. d,e, Comparison of CTD binding the prior structures <sup>2,42</sup> shows a similar con-438 formation of the CTD in the CTD-binding site of FluPol. f, Cryo-EM density for the RNA 439 within FluPol between the PB2 cap-binding and PA endonuclease domains. g, 5' mRNA cap(1) 440 inside the PB2 cap-binding domain and the supporting Phe from the KOWx-4-KOW5 linker. 441 The density for the RNA and the linker is transparent and colored in the color of the underlying 442 models.

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18



443

444 Extended Data Fig. 4| Evolutionary conservation of FluPol and Pol II residues involved 445 in the interface. a, Sequence alignment of PA from representative influenza A-D strains. Res-446 idue stretches interacting with DSIF are highlighted with a green box above the alignment. **b**, Sequence alignment of PB2 from representative influenza A-D strains. Residue stretches in-447 teracting with different RPBs are highlighted with boxes in different colors above the align-448 449 ment. c, Western blots against PA, PB2, and tubulin for wild type and mutant FluPol transiently 450 expressed in HEK-293T cells. d-g, Sequence alignments of SPT5 (d), RPB1 (e), RPB3 (f), 451 RPB11 (g) from mammals (H. sapiens, S. scrofa, B. taurus, H. armiger), birds (M. undulatus, T. guttata, S. habroptila, B. japonicus) and C. elegans. Residue stretches interacting with 452

- 453 FluPol are highlighted with a box above the alignment. Bird species selection was based on
- well annotated RPB1. SPT5 was not well annotated in M. undulatus, S. habroptila and B. ja-454
- 455 *ponicus*, consequently, they were omitted in panel **d**.



457 Extended Data Fig. 5| Data acquisition and processing of the post-cleavage complex . a, Fluorescence scan of the native PAGEs analyzing GraFix gradient fractions of the post-458 459 cleavage complex preparation. The image is an overlay of Cy5 and ATTO532 signals. In magenta is the scan for the Cy5 channel of the labeled template DNA, and in green is the scan for 460 461 the ATTO532 channel of the labeled 3' vRNA. Highlighted fractions were combined and used for cryo-EM analysis. **b**, Flowchart illustrating the key steps of the processing pipeline for 462 463 obtaining the post-cleavage FluPol-Pol II-DSIF EC structure. c, Consensus density map of the 464 post-cleavage FluPol-Pol II-DSIF EC colored by underlying protein components. d,e, Masks used for focused refinements and their resulting maps. 465 19





**Extended Data Fig. 6** Data quality of the post-cleavage structure cryo-EM data a, Local resolution of consensus and focus refinement maps. Local resolution estimations were performed in RELION. b, Gold-standard Fourier shell correlation plots of the consensus and focused maps. c, Angular distribution of the consensus refinement and focused refinements as plotted by cryoSPARC.



Extended Data Fig. 7| Comparison of FluPol structures. a, Comparison of pre- and post-473 cleavage FluPol structures. Pre-cleavage is shown in turquoise, and post-cleavage is pink. b, 474 RNA-path within FluPol. Proteins are shown as transparent surfaces. The RNA is shown as 475 476 ribbon together with the FluPol map. The density is colored by the underlying model part and 477 restricted by the distance to the RNA in ChimeraX. c, Comparison of FluPol transcription preinitiation state (PDB: 4WSB, yellow)<sup>47</sup> with the pre-cleavage cap-snatching state (turquoise), 478 post-cleavage cap-snatching state (pink), pre-initiation state (PDB: 6RR7, light purple)<sup>44</sup> and 479 elongation state (PDB: 7QTL, light blue)<sup>36</sup>. Only the priming loop, the 3' vRNA, and the 480 capped RNA are depicted. d, Comparison of post-cleavage and pre-initiation FluPol structure. 481 Post-cleavage is shown in pink, and pre-initiation in transparent white (PDB:6RR7)<sup>44</sup>. e, su-482 perposition of an early elongating FluPol onto the post-cleavage FluPol-Pol II-DSIF EC reveals 483 484 no clash between the early elongating FluPol and the Pol II EC (PDB: 7QTL, light blue)<sup>36</sup>.



Extended Data Fig. 8| Cap-snatching is compatible with early Pol II elongation and 486 pausing. a, Overlay of the pre-cleavage complex (this study) and the Pol II-CMTR1 EC 487 (PDB:8P4F) shows that FluPol clashes with CMTR1<sup>26</sup>. **b**, NELF binding to Pol II is compatible 488 with the cap-snatching as NELF and FluPol bind to different regions of Pol II (PDB: 6GML)<sup>48</sup>. 489 490 c, FluPol clashes with SPT6 core in the activated elongation complex (PDB: 6GMH)<sup>49</sup>. d, Comparison of the post-cleavage structure with the Integrator complex bound to the paused 491 Pol II EC (PDB: 8RBX)<sup>50</sup> shows a clash between FluPol and the Integrator cleavage module. 492 493 e, Comparison of the post-cleavage structure with yeast Rat1-Rai1 (homolog of human XRN2) bound to a yeast Pol II EC (PDB: 8JCH)<sup>57</sup> shows a clash between FluPol and Rat1-Rai1. 494

## 495 Extended Data Table 1: Cryo-EM data acquisition, processing, and refinement statis 496 tics.

	Pre-cleavage (EMD-50892, PDB 9FYX)	Post-cleavage (EMD-50927, PDB 9G0A)	
Data collection and processing			
Magnification	81,000	81.000	
Voltage (kV)	300	300	
Electron exposure $(e - / Å^2)$	39.94	40.0	
Defocus range (µm)	-0.5 to -2.0	-0.5 to -2.0	
Pixel size (Å)	1.05	1.05	
Symmetry imposed	C1	C1	
Initial particle images (no.)	6,423,874	11,935,228	
Final particle images (no.)	369,858	63,230	
Map resolution (Å)	3.3	3.1	
FSC threshold	0.143	0.143	
Map resolution range (Å)	2.74-11.4	2.85-11.9	
Map sharpening <i>B</i> factor ( $Å^2$ )	-72.3	-60.7	
Refinement	•		
Initial model (PDB)	7B0Y, 5OIK,7QTL	7B0Y, 5OIK,7QTL,7YCX	
Model resolution (Å)	3.3	3.1	
Model composition			
Protein residues	6690	6699	
Nucleic acid residues	122	106	
Ligands	GGG:1, Zn:9, Mg:2, PO4:2	GGG:1, Zn:8, Mg:2, PO4:3	
<b>B</b> factors (Å <sup>2</sup> )			
Protein	98.79	109.27	
Nucleotides	1117.71	149.9	
Ligand	61.41	144.58	
r.m.s. deviations			
Bond lengths (Å)	0.004	0.008	
Bond angles (°)	0.686	1.168	
Validation			
MolProbity score	1.64	1.86	
Clashscore	7.60	12.97	
Poor rotamers (%)	0.10	0.08	
Ramachandran plot			
Favored (%)	96.54	96.39	
Allowed (%)	3.46	3.61	
Disallowed (%)	0.00	0.00	

498 **Extended Data Table 2: Surface Residues, their conservation score.** Conserved residues 499 are printed in bold. For conserved residues, noted mutants are checked for the expression level.

		MUSCLE	Mutants	Expression level similar to
Protein	Residue	score		WT
	E101	86	E101A	+
	K104	62	K104A	+
	H128	61	H128A	+
	I129	39		
	Y131	82		-
	L132	37		
	N136	55	N136A	+
DA	K139	20		
PA	<b>S140</b>	69	S140A	+
	E141	67	E141A	+
	K142	47		
	K158	57	K158A	+
	D189	50		
	S190	46		
	R192	38		
	Q193	39		
	Y360	44		
	R369	51	R369A	+
	D255	65	R375A	+
	R375		R375A,R380A	+
	R380	58	R380A	+
			R375A,R380A	+
	K382	44		
	K389	49		
	D390	38		
	I451	49		
	E452	59	E452A	+
	P453	46		
PB2	D455	47		
	N456	40		
	M458	43		
	I461	33		
	D466	65	D466A	+
	T468	57		+
	P469	42		
	S470	69	S470A	+
	T471	27		
	E472	44		
	M473	41		
	K482	64	K482A	+

2	/
_	-

## 502 Material and Methods

## 503 <u>Cloning and purification of proteins</u>

To generate H7N9 FluPol with impaired endonuclease activity, the PA E119D mutation was introduced into the PA gene. A pFastBac Dual vector encoding the influenza polymerase heterotrimer subunits of A/Zhejiang/DTID-ZJU01/2013 (H7N9)<sup>36</sup>, was used as a template for PCR site-directed mutagenesis and Gibson cloning. Sequencing of the polymerase subunits confirmed the successful introduction of the E119D mutation in the PA gene.

509 The wild-type FluPol and FluPol PA E119D were essentially expressed and purified as de-510 scribed in <sup>36</sup> with the following modification for all experiments, except the sample preparation 511 for the post-cleavage structure. Instead of ammonium sulfate precipitation, the supernatant was 512 clarified by ultracentrifugation in a Ti45 rotor (Beckman Coulter) at 45.000 rpm and 4°C for 513 1 h.

- The human transcription factors (DSIF and CAK kinase trimer) were expressed and purified as described previously<sup>26,34,58</sup>. Pol II was purified from pig thymus as described in <sup>34,48</sup>, leaving out the size exclusion step.
- 517

## 518 *In vitro* transcription

The mRNAs were transcribed from two DNA primers<sup>59</sup>. The primers are complementary at the promoter site for the T7 polymerase, and the desired RNA sequence is single-stranded. The *in vitro* transcription mixture contained 1  $\mu$ M primers, 40 mM Tris-HCl pH 8.0, 30 mM MgCl<sub>2</sub>, 2 mM spermidine, 50 mM NaCl, 5 mM NTPs (pH adjusted to 7), 2% DMSO, 0.01% TritonX-100, and 5% T7 DNA-dependent RNA polymerase (homemade). The *in vitro* transcription reaction was incubated at 37°C overnight.

525 The following day, for 1 mL of reaction, 10 µL of Proteinase K (NEB) and 10 µL of DNAse 526 I (ThermoFisher) were added. The reaction was incubated at 37°C for another 10 min. In ad-527 dition, 160 µL EDTA (0.5 M PH 8.0) and 80 µL NaCl (5 M) were added to dissolve pyrophos-528 phate precipitates. Then, the RNA was precipitated by adding 900 µL isopropanol and incu-529 bating at -80°C for 2 h. The mixture was centrifuged at 21,000 xg at 4°C for 15 min, and the 530 supernatant was discarded. The pellets were air-dried, resuspended in 150 µL RNAse-free wa-531 ter, 2X RNA loading dye was added to 1X (47.5% formamide, 0,01% bromophenol blue, 532 0.5 mM EDTA) and incubated at 70°C for 5 min. This mixture was then loaded onto a 12% 533 denaturing urea polyacrylamide gel (8 M urea, 1X TBE (Sigma-Aldrich), 12% Bis-Tris acryla-534 mide 19:1 (Carl Roth)) and run in 1X TBE at 300 V for 30 min. Afterward, the gel was covered 535 in plastic wrap and placed on a fluor-coated cellulose TLC plate (Sigma-Aldrich) in a dark-536 room. The RNA bands were visualized using UV shadowing on the TLC plate at 254 nm.

537 The desired RNA band was cut out from the gel and shredded by passing the gel through 538 two 3 mL syringes. 0.3 M NaOAc pH 5.2 (Invitrogen) was added to cover all gel pieces and 539 incubated at -80°C overnight. Then, the small pieces were incubated at 37°C for 30 min and 540 centrifuged at 21,000 xg for 5 min, and the supernatant was transferred into a fresh tube. This

541 process of adding NaOAc and collecting the supernatant was repeated five times. The super-

- 542 natants were filtered using a 0.22 µm syringe filter, precipitated with 70% ethanol, and incu-
- 543 bated at -80°C overnight. On the next day, the mixture was centrifuged at 21,000 xg at 4°C for
- 544 30 min. The pellet was resuspended in RNAse-free water. Then, the RNA was purified using
- 545 the Monarch RNA Cleanup Kit (500 µg, NEB). The concentration of the RNA was determined
- 546 by measuring the absorbance at 260 nm using a NanoDrop, microvolume UV/Vis Spectrome-
- 547 ter (Thermo Fisher). The RNA was stored at -80°C until further use.
- 548

## 549 <u>Capping of RNAs</u>

- 550 The Vaccina capping cnzyme system (NEB) was used to generate the 5' cap structure for the
- 551 RNAs produced in the *in vitro* transcription reactions. For the cap(0) structure (m<sup>7</sup>GpppN-), up
- 552 to 20  $\mu$ g uncapped RNA was modified in a 40  $\mu$ L reaction, containing 1 U/ $\mu$ L RiboLock (Ther-

553 moFisher), 1X capping buffer (NEB), 0.5 mM GTP (ThermoFischer), 0.2 mM S-adenosyl-me-554 thionine (SAM, NEB), and 2 μL of Vaccina capping enzyme (homemade, 3 mg/mL). For a

cap(1) structure (m<sup>7</sup>GpppNm-) on the RNA, the reaction described above included another

556  $2 \mu L$  of mRNA cap 2'-O-methyltransferase (50 U/ $\mu L$ , NEB). The capping reaction was incu-557 bated at 37°C for 4 h.

558 Then, the RNA in the reaction was purified using the Monarch RNA Cleanup Kit (50  $\mu$ g, 559 NEB).

Capping was checked by loading 70 ng of the capped RNAs onto a 20% denaturing urea
polyacrylamide gel. The gel was stained with SYBR Gold (1:10,000). The gels were scanned
on the Typhoon FLA 9500 (GE Healthcare) for SYBR Gold.

563

## 564 <u>3'-Cy5-labeling of RNAs</u>

565 Up to 5  $\mu$ g of RNA were used in a 20  $\mu$ L reaction, containing additionally 0.5 mM ATP 566 (Jena Bioscience), 50  $\mu$ M Cy5-pCp (Jena Bioscience), 1X buffer (Jena Bioscience), 2 U/ $\mu$ L 567 RiboLock (ThermoFisher), 1  $\mu$ L T4 RNA ligase (Jena Bioscience). The mixture was incubated 568 at 16°C overnight. The labeled RNA was purified using a Monarch RNA Cleanup Kit (10  $\mu$ g, 569 NEB).

570

## 571 Endonuclease Activity Assay

572 For the endonuclease cleavage assay,  $0.05 \mu$ M Cy5-labeled cap(1)-RNA (rGrArA rGrCrG 573 rArGrA rArGrA rArCrA rCrArGrA rCrArG rCrArG rCrArG rArCrC rArGrG rC) was an-574 nealed to  $0.05 \mu$ M of template DNA (GAT CAA GCT CAA GTA CTT AAG CCT GGT CTA 575 TAC TAG TAC TGC C) in a thermocycler by heating to 72°C followed by cooling to 4°C at 576 a rate of  $0.1^{\circ}$ C/s.  $0.08 \mu$ M mammalian Pol II was added to the RNA: DNA hybrid and incu-577 bated at 30°C for 10 min. Then,  $0.08 \mu$ M non-template DNA (GGC AGT ACT AGT ATT CTA 578 GTA TTG AAA GTA CTT GAG CTT GAT C) was added and incubated at 30°C for 10 min.

579 Next, 0.12 µM of human elongation factors (DSIF) were added. Furthermore, 0.12 µM CAK 580 and 1 mM ATP were added to generate phosphorylated Pol II. The mixture was incubated at 581 30°C for 30 min. After that, 0.04 µM viral FluPol with equimolar panhandle 5' vRNA 582 (/5Phos/rArGrU rArGrU rArArC rArArG rArG) and 3' vRNA (rCrUrC rUrGrC rUrUrC rUrGrC rU) pre-incubated at 4°C were added. The reactions were incubated at 30°C, and sam-583 ples were taken at 0, 10, and 60 min. These reactions occurred in 50 µL with a final buffer 584 composition of 20 mM HEPES pH 7.4, 150 mM NaCl, 4% (v/v) glycerol, 3 mM MgCl<sub>2</sub>, 585 586 1 U/µL RiboLock (Thermo Fisher), and 1 mM TCEP.

- 587 The reactions were stopped by adding 1  $\mu$ L of Proteinase K (NEB) to 7  $\mu$ L of the sample 588 and incubation at room temperature for 5 min. Then, 7 µL of 2X RNA Loading Dye (1X TBE, 589 3.6 M Urea, 0,01% bromophenol blue) was added to the sample. The samples were loaded onto 590 20% denaturing urea acrylamide gels and ran in 1X TBE buffer for 75 min at 300 V. The gels were scanned at the Typhoon FLA 9500 (GE Healthcare) for Cy5 fluorescence with PTM=750. 591 This protocol was modified in the following way to check for Mg<sup>2+</sup> dependence of the cap-592 snatching reaction during the sample. HEPES pH 7.4 was replaced by BICINE pH 8.5. The 593 594 Mg<sup>2+</sup> concentration was altered to 0.1 mM and 3 mM. The ATP concentration was changed to 0.01 mM and 1 mM to avoid complete chelating of Mg<sup>2+</sup> by ATP. FluPol<sup>E119D</sup> was used instead 595 of wild type. The reaction was incubated at 30°C for 10 min, followed by 4°C overnight incu-596 597 bation, and then analyzed as described above.
- 598
- 599

## Quantification and Statistical Analysis of Endonuclease assays

The gels of the endonuclease activity assays were quantified using Fiji v2.9.0<sup>60</sup>. Therefore, 600 601 the lanes were selected using rectangular selection masks. Then, the pixel intensities of each 602 lane were plotted using the built-in gel-analysis functions. The intensity profile from each lane was examined, and individual bands could be distinguished as peaks. Vertical lines were drawn 603 604 to delimit the peaks. The integrated intensities of each peak were measured and quantified as 605 follows: the product band intensity was divided against the substrate band intensity. The procedure allows us to conclude a normalized cleavage ratio of the FluPol. The results were plotted 606 607 using Graphpad Prism v9.4.1, indicating all individual data points as circles.

In Graphpad Prism, a Two-tailed paired parametric t-test with a 95% confidence interval
was conducted between the indicated conditions. P-values are indicated in the figure.

610

## 611 *In vitro* FluPol transcription activity assay

612 0.19 μM cap(1)-RNA (rGrArA rGrCrG rArGrA rArGrA rArCrA rCrArGrA rCrArG rCrArG
 613 rCrArG rArCrC rArGrG rC) was annealed to 0.19 μM of template DNA in a thermocycler by

 $(14 \quad 1 \quad \text{or } 7200 \text{ for } 11 \quad 11 \quad \text{or } 100 \text{ for } 100 \text{$ 

heating to 72°C followed by cooling to 4°C at a rate of 0.1°C/s. 0.31  $\mu$ M mammalian Pol II was

- added to the RNA: DNA hybrid and incubated at  $30^{\circ}$ C for 10 min. Then, 0.31  $\mu$ M non-template
- 616 DNA was added and incubated at 30°C for 10 min. Next, 0.12  $\mu$ M of DSIF were added. Fur-
- $\,617$   $\,$  thermore, 0.50  $\mu M$  CAK and 1 mM ATP were added to generate phosphorylated Pol II. The

- 618 mixture was incubated at 30°C for 30 min. After that, 0.62  $\mu$ M viral FluPol with modified pan-619 handle vRNAs (3'vRNA with high G content, rCrUrG rUrGrU rGrCrC rUrCrU rGrCrU 620 rUrCrU rGrCrU and 5` vRNA /5Phos/rArGrU rArGrU rArArC rArArG rArG) pre-incubated 621 at 4°C were added. Furthermore, 0.10  $\mu$ M of CTP and GTP were added, as well as 0.77  $\mu$ Ci/ $\mu$ L 622  $\alpha$ -<sup>32</sup>P-CTP. The reactions were incubated at 30°C for 2 h. Thse reactions occurred in 12.9  $\mu$ L
- 623 with a final buffer composition of 20 mM HEPES pH 7.4, 150 mM NaCl, 4% (v/v) glycerol,
- 624 3 mM MgCl<sub>2</sub>, 1 U/μL RiboLock (Thermo Fisher), and 1 mM TCEP.
- The reactions were stopped by adding 1  $\mu$ L of Proteinase K (NEB) to the sample and incubation at 37°C for 15 min. Then, 14  $\mu$ L of 2X RNA Loading Dye (1X TBE, 3.6 M Urea, 0,01% bromophenol blue) was added to the sample. The samples were loaded onto 20% denaturing urea acrylamide gels and ran in 1X TBE buffer for 75 min at 300 V. The gels were incubated for 2 h on a phosphorus screen. The screen was scanned at the Typhoon FLA 9500 (GE Healthcare) with PTM=800.
- 631

## 632 <u>Analytical Gel Filtration on Äkta μ</u>

633 For an assembly in a 50 µL reaction, 42.75 pmol RNA was annealed to 42.75 pmol template DNA as described for the endonuclease assay. 28.5 pmol mammalian Pol II was added to the 634 RNA: DNA scaffold, followed by 57 pmol of non-template DNA, and incubated at 30°C for 635 10 min after each addition. Next, 0.8 µM CAK, 1 mM ATP, and 57 pmol human transcription 636 elongation factors were added and incubated at 30°C for 30 min. The CAK was omitted for the 637 638 non-phosphorylation assays. Then, pre-mixed 57 pmol viral FluPol (endonuclease inactive version PAE119D) with equimolar panhandle 5' vRNA (/5Phos/rArGrU rArGrU rArArC rArArG 639 640 rArG) and 3' vRNA (rCrUrC rUrGrC rUrUrC rUrGrC rU) were added to the mix. Lastly, the 641 reaction was incubated at 30°C for an additional 10 min. The final buffer composition was 642 50 mM Bicine pH 8.5 at 4°C, 150 mM NaCl, 4% (v/v) glycerol, 3 mM MgCl<sub>2</sub>, and 1 mM 643 TCEP.

The fully formed complex was centrifuged at 21,000 xg at 4°C for 10 min. The supernatant
was injected onto a Superose 6 Increase 3.2/300 column (Cytiva) and ran in SEC buffer
(20 mM Bicine pH 8.5 at 4°C, 150 mM NaCl, 4% (v/v) glycerol, 3 mM MgCl<sub>2</sub>, 1 mM TCEP)
on an ÄKTAmicro (GE Healthcare) system. The absorbances at 280 nm (protein) and 260 nm
(RNA/DNA) were measured. The absorbance data were plotted using GraphPad Prism v9.4.1.
The main elution fractions were analyzed by SDS-PAGE.

650

651 <u>Western Blot</u>

652 Samples of the peak fractions were collected to compare the presence of FluPol in the Pol II

containing fractions, mixed with 4X SDS-loading dye (ThermoFisher), and stored at -20 °C
until analysis.

The samples were run on one SDS-PAGE (NuPAGE 4-12% Bis-Tris, Invitrogen) in 1X 655 656 MES buffer (Invitrogen). The gel was then blotted onto a nitrocellulose membrane (GE 657 Healthcare) using a wet-blot system (ThermoFisher) in NuPAGE transfer buffer (Invitrogen). 658 The blot was then blocked for 1 h at room temperature with 5% (w/v) milk powder in PBS-T. Then, the membrane was cut horizontally at the 50 kDa line. The upper half was incubated 659 overnight with a rabbit anti-Strep antibody (1:1,000 dilution; ab76949, Abcam) against the 660 661 StrepTag II on the FluPol. The lower half was incubated with a rabbit anti-RPB3 polyclonal 662 (1:2000 dilution; A303-771A, Bethyl) as a loading control.

- The following day, the membranes were washed 3x 1 min and 3x 10 min with PBS-T and incubated with an anti-rabbit antibody coupled to HRP (1:1000; homemade) in PBS-T with 5% milk powder. Then, the membrane was washed three times with PBS-T for 10 min, developed with SuperSignal West Pico Substrate (Thermo Fisher), and scanned using a ChemoCam Advanced Fluorescence imaging system (Intas Science Imaging).
- To assess steady-state levels of A/WSN/33-derived PA and PB2 proteins, total lysates of 668 669 HEK-293T cells transfected with the corresponding pcDNA3.1 expression plasmid were prepared in Laemmli buffer. Proteins were separated by SDS-PAGE using NuPAGE™ 4-12% 670 671 Bis-Tris gels (Invitrogen) and transferred to nitrocellulose membranes which were incubated with primary antibodies directed against PA (GTX125932 - 1:5,000), PB2 (GTX125925 -672 673 1:5,000), or Tubulin (Sigma-Aldrich T5168 - 1:10,000) and subsequently with HRP-tagged 674 secondary antibodies (Sigma Aldrich, A9044 and A9169, 1:10,000). Membranes were devel-675 oped with the ECL2 substrate according to the manufacturer's instructions (Pierce) and chem-676 iluminescence signals were acquired using the ChemiDoc imaging system (Bio-Rad). Un-677 cropped gels are provided as a source data file.
- 678

## 679 <u>Sample Preparation for Cryo-EM</u>

680 First, 180 pmol cap(1)-RNA was annealed to 180 pmol 5'-Cy5-labeled template DNA, as 681 stated previously. 120 pmol mammalian Pol II was added to the RNA-DNA scaffold and incubated at 30°C for 10 min. Then, 240 pmol of non-template was added and kept at 30°C for 10 682 683 min. Next, 1 µM CAK, 1 mM ATP, and 240 pmol human transcription elongation factors were added and incubated at 30°C for 30 min. Lastly, pre-mixed 240 pmol viral FluPol (endonucle-684 ase inactive version PA<sup>E119D</sup>) with equimolar 5'/3'-vRNAs was added to the mix and incubated 685 686 at 30°C for 10 min. The 3'-vRNA was ATTO532-labeled on the 5'-end. The complex was assembled in a buffer containing 50 mM Bicine pH 8.5 at 4°C, 150 mM NaCl, 4% (v/v) glycerol, 687 688 0.1 mM MgCl<sub>2</sub> (3 mM MgCl<sub>2</sub> for post-cleavage conformation, 0.1 mM MgCl<sub>2</sub> for pre-cleavage 689 conformation), and 1 mM TCEP in a volume of 150 µL. The fully formed complex was cen-690 trifuged at 21,000 xg at 4°C for 10 min.

The sample was loaded on a continuous 10-40% glycerol gradient containing assembly

692 buffer components. The heavy solution contained additionally 0.1% (v/v) glutaraldehyde.

693 The gradient was centrifuged at 33,000 rpm in a SW60 rotor (Beckman Coulter) at 4°C for

16 h. The next day, the gradient was fractionated in  $200\mu$ L fractions. The cross-linker was

Rotsch, Li et al.: Mechanisms of Co-Transcriptional Cap-Snatching quenched by adding 100 mM Tris-HCl pH 8.0 at 4°C. Fractions were analyzed by Na-695 696 tivePAGE 3-12% (Bis-Tris, Invitrogen) run at 4°C. The gel was then scanned for Cy5 and 697 ATTO532 signals, followed by Coomassie staining. 698 Then, the complex containing fractions were dialyzed against 20 mM Tris pH 8 at 20°C, 699 20 mM Bicine pH 8.5 at 4°C, 100 mM NaCl, 4% (v/v) glycerol, 0.1 mM MgCl<sub>2</sub> (3 mM MgCl<sub>2</sub> 700 for post-cleavage conformation, 0.1 mM MgCl<sub>2</sub> for pre-cleavage conformation), and 1 mM 701 TCEP using a 20 kDa Slide-A-Lyzer<sup>™</sup> MINI device (Thermo Fisher) at 4°C for 4 h. Onto the 702 sample was a continuous carbon film of roughly 3 nm floated for 5 min. The carbon was then 703 fished with a glow-discharged holey carbon grid (Quantifoil R3.5/1, copper, mesh 200). 4 µL 704 of dialysis buffer was added to the grid, and the grid was placed in a Vitrobot Mark IV (Thermo 705 Fisher) under 100% humidity at 4°C. The grids were then blotted using Whatman paper with 706 a blot force of 5 for 5 s and directly plunge-frozen in liquid ethane. 707 708 Cryo-EM analysis and image processing A Titan Krios G2 transmission electron microscope (FEI) operated at 300 keV, equipped 709 710 with a GIF BioQuantum energy filter (Gatan) and a K3 summit direct detector was used to 711 acquire cryo-EM data. Data acquisition was performed at a pixel size of 1.05 Å/pixel using 712 Serial EM, corresponding to a nominal magnification of 81,000X in nanoprobe EFTEM mode. 713 The pre-cleavage dataset was collected in 5 batches. A total of 60,032 movie stacks 714 were collected. Each movie contained 40 frames and was acquired in counting mode over 1.95 s. The defocus was set to values between -0.1 to -2.0  $\mu$ m. The dose rate was 20.48 e<sup>-</sup> per 715 716  $Å^2$  per s, leading to a total dose of 39.94 e<sup>-</sup> per  $Å^2$ . 717 The post-cleavage dataset was collected in 3 batches. A total of 20,509 movie stacks

were collected. Each movie contained 40 frames and was acquired in counting mode over 2.4 s. The defocus was set to values between -0.1 to -2.0  $\mu$ m. The dose rate was 18.34 e<sup>-</sup> per Å<sup>2</sup> per s, leading to a total dose of 40 e<sup>-</sup> per Å<sup>2</sup>.

- Data preprocessing, including stacking, contrast transfer function (CTF) estimation, and dose-weighting, was done using Warp<sup>61</sup>. In Warp, particles were also picked using an on this data set trained version of the neural network BoxNet2.
- 724 For the post-cleavage dataset, 11,935,228 particles were extracted in five batches in RELION-3.1.0<sup>62</sup> using a binning factor of four. The box size of the particles was set to 112 725 726 pixels with a pixel size of 4.2 Å/px. The particles were then imported into cryoSPARC v4.3.1<sup>63</sup>. 727 In cryoSPARC, particles that do not align were removed, as well as particles that do not contain 728 Pol II using 3D heterogeneous refinements. The 1,975,313 particles that contain Pol II were 729 transferred to RELION and extracted with a box size of 448 px and a pixel size of 1.05 Å/px. These particles were refined using a mask around the Pol II core, followed by Bayesian pol-730 731 ishing and CTF refinement for beam tilt and per-particle defocus values. The particles were 732 reloaded into cryoSPARC, combined into 3 datasets, followed by 1 round of heterogeneous

refining for FluPol occupancy. Then, the datasets were individually non-uniformly refined,
locally refined onto the FluPol, and 3D classified. The data sets were merged, locally refined
for FluPol, and 2 times 3D classified. From a final dataset of 63,230 particles, focus refinements on FluPol, Pol II core, Pol II stalk, and the interface were performed.

737 For the pre-cleavage dataset, 6,423,874 particles were extracted in three batches in RELION-3.1<sup>62</sup> using a pixel size of 4.2 Å/px and a box size of 112 pixels. The particles were 738 739 then imported into cryoSPARC v4.3.163. In cryoSPARC, particles that do not align were removed, as well as particles that do not contain Pol II using 3D heterogeneous refinements. The 740 741 1,937,625 particles that contain Pol II were transferred to RELION and extracted with a box 742 size of 448 px and a pixel size of 1.05 Å/px. These particles were refined using a mask around the Pol II core, followed by Bayesian polishing and CTF refinement for beam tilt and per-743 744 particle defocus values. The particles were focused refined, and classified on the Pol II core, 745 taking only the particles of the class with good-looking Pol II. These particles were globally classified for FluPol occupancy and then focussed classified on FluPol for well-aligning FluPol 746 747 particles. This final particle set of 369,858 particles was focused refined on Pol II, CTF refined, and Bayesian polished. Focus refinements for Pol II core and FluPol were performed based on 748 the obtained consensus refinement. 749

750

## 751 <u>Model building</u>

752 For both structures, initial models of Sus scrofa domesticus Pol II (PDB: 7B0Y<sup>64</sup>), 753 SPT5 KOW2, KOW3, KOWx-4 and KOW5 domains (PDB: 50IK and 50HO<sup>34</sup>) and FluPolA/H7N9 (PDB:7QTL <sup>36</sup>)) were rigid body fitted in ChimeraX 1.6.1<sup>65</sup> using the consen-754 sus refinement. The RNA and DNA were manually adjusted in Coot<sup>66</sup> to fit the sequences used 755 756 in this study. As the density of the RNA in the endonuclease site is not well enough resolved to call a sequence, we modeled the sequence according to the biochemistry. The linker between 757 758 KOWx-4 and KOW5 was manually built as well, assuming that the best visible amino acid at 759 the G1 nucleotide is the first phenylalanine of the linker. This model, the focused maps, and the consensus map were loaded into ISOLDE 1.6.0<sup>67</sup>. The focused maps were aligned to the 760 consensus map in ChimeraX. In ISOLDE, Molecular Dynamic simulation was performed using 761 762 the starting model restrains. Then, the individual protein components were subjected to Real Space Refinement in PHENIX<sup>68</sup> and manual curation in Coot. 763

- For the pre-cleavage conformation, Pol II and KOW5 were refined against the focused
  map for Pol II. FluPol was refined against the focused map for the FluPol. KOWx-4 was refined
  against the consensus map.
- Pol II (except RPB4 and RPB7) was refined against the Pol II-focused map for the postcleavage state. SPT5 KOW2, KOW3, KOWx-4, RPB4, and RPB7 were refined against the
  stalk-focused map. FluPol was refined against the focused map for the FluPol.
- Then, the Pol II elongation complex components and the FluPol were rigid body dockedinto the consensus map in ChimeraX before manually checking interface residues in Coot using

the consensus map. The density for SPT4 and SPT4 NGN and KOW1 domain is not well resolved, so the consensus map was lowpass filtered to 6 Å. A deposited model (PDB: 50IK for pre-cleavage and 7YCX<sup>69</sup> for post-cleavage) for these domains was rigid body fitted into this filtered map using ChimeraX. Interfaces were checked for major clashes. Clashing residues without density were modified in Coot using the most likely, non-clashing rotamer.

To determine the range of possible RNA lengths, a series of FluPol structures was modeled in Coot by cropping nucleotides in the less-resolved space between cap-binding domain and endonuclease domain. Then, these structures were loaded into ISOLDE and the RNA was real-space refined. The lower limit was defined as when ISOLDE shifted the RNA through the endonuclease domain. The upper limit was determined by incrementally increasing the RNA length in Coot, refined in ISOLDE, and visually inspected until the obtained model deviated from an expected linear RNA geometry.

784 Cell-based

Cell-based minigenome assay

785 The plasmids and procedure used for minigenome assays are described in <sup>42</sup>. The primers used for mutagenesis of the PB2 and PA plasmids can be provided upon request. Briefly, HEK-786 787 293T cells were co-transfected with plasmids encoding the vRNP protein components (PB2, 788 PB1, PA, NP), a pPolI-Firefly plasmid encoding a negative-sense viral-like RNA expressing 789 the Firefly luciferase and the pTK-Renilla plasmid (Promega) as an internal control. Mean 790 relative light units (RLUs) produced by the Firefly and Renilla luciferase, reflecting the viral polymerase activity and transfection efficiency, respectively, were measured using the Dual-791 792 Glo Luciferase Assay System (Promega) on a Centro XS LB960 microplate luminometer 793 (Berthold Technologies, MikroWin Version 4.41) at 24 hours post-transfection (hpt). Firefly 794 luciferase signals were normalised with respect to Renilla luciferase signals. Three independent 795 experiments (each in technical duplicates) were performed.

796 <u>Selection of interface residues for mutational analysis</u>

First, a list of 38 amino residues at the interfaces was generated, see Table 2. In SnapGene, 797 7.0.1 two MUSCLE alignments were performed for PA and PB2 (ED Figure 4a-b). Each 798 799 alignment contained sequences of 6 influenza A viruses, 2 influenza B viruses, and one influ-800 enza C and D virus. Sequences of the following strains were used: A/Zhejiang/HZ1/2013 801 (H7N9), A/WSN/1933 (H1N1), A/California/04/2009 (H1N1), A/California/04/2009 (H1N1), 802 A/Victoria/3/1975 (H3N2), A/Little-yellow-shouldered-bat/Guatemala/2010 (H17N10), B/Lee/1940, B/Memphis/13/2003, C/Johannesburg/1/1966, D/Bovine/Minnesota/628/2013. 803 804 The 16 residues with a MUSCLE score of above 50 were considered conserved. These amino acids were mutated to alanine. All mutants were checked for the expression level. The mutant 805 806 Y131A showed a reduced expression level and was consequently excluded for further analysis. We tested furthermore the following double and triple mutants: PA S140A, E141A; PB2 807 808 R375A, R380A; PB2 D466A, T468A, S470A. From these mutants, only PB2 R375A, R380A 809 had wildtype expression levels.

810 To investigate the evolutionary conservation of the binding interfaces between mammals and birds, four mammalian species, four bird species and C. elegans were used. Sequences 811 were identified using the BLAST algorithm of Uniprot using the selected species as a search 812 target. To select for the bird species, the human RPB1 sequence was blasted against all avian 813 814 protein sequences available in Uniprot. Only four bird species had full-length annotated RPB1. 815 These species were used as a search filter while blasting human RPB3, RPB11 and SPT5. A 816 list of all Uniprot sequence IDs is available upon request. The obtained sequences were aligned 817 in Snapgene using the ClustalOmega algorithm. Alignments are depicted in ED Figure 4d-g.

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