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NAR Breakthrough Article

Origins of transfer establish networks of functional dependencies for plasmid transfer by conjugation

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ABSTRACT

Plasmids can be transferred between cells by conjugation, thereby driving bacterial evolution by horizontal gene transfer. Yet, we ignore the molecular mechanisms of transfer for many plasmids because they lack all protein-coding genes required for conjugation. We solved this conundrum by identifying hundreds of plasmids and chromosomes with conjugative origins of transfer in Escherichia coli and Staphylococcus aureus. These plasmids (pOriT) hijack the relaxases of conjugative or mobilizable elements, but not both. The functional dependencies between pOriT and other plasmids explain their cooccurrence: pOriT are abundant in cells with many plasmids, whereas conjugative plasmids are the most common in the others. We systematically characterized plasmid mobility in relation to conjugation and alternative mechanisms of transfer and can now propose a putative mechanism of transfer for ~90% of them. In most cases, plasmid mobility seems to involve conjugation. Interestingly, the mechanisms of mobility are important determinants of plasmidencoded accessory traits, since pOriTs have the highest densities of antimicrobial resistance genes, whereas plasmids lacking putative mechanisms of transfer have the lowest. We illuminate the evolutionary relationships between plasmids and suggest that many pOriT may have arisen by gene deletions in other types of plasmids. These results suggest that most plasmids can be transferred by conjugation.

GRAPHICAL ABSTRACT



INTRODUCTION

Plasmids are extra-chromosomal DNA molecules that have an important role in horizontal gene transfer (1), being key contributors to the spread of antimicrobial resistance genes, virulence factors, and metabolic traits (2). The transfer of a plasmid between cells can take place by several processes (3). Some plasmids can be transferred passively, i.e. without dedicated genetic determinants encoded in the plasmid, by natural transformation (4), in vesicles (5), or by transducing bacteriophages (phages) (6). Some plasmids are also phages, phage-plasmids (P-P) and transfer by producing viral particles where they package their own DNA (7). Yet, one commonly considers that conjugation is the major mechanism of plasmid transfer (8).

Conjugation involves the recognition by the relaxase (MOB) of a small DNA sequence in the plasmid called the origin of transfer (oriT) (9). The relaxase cleaves the oriT at the *nic* site and binds covalently to the single-stranded

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DNA. This nucleoprotein complex, named relaxosome, interacts with a type 4 coupling protein that connects it to the mating pair formation (MPF), including a Type 4 Secretion System (T4SS) that transfers the nucleoprotein complex to another cell (10). Once the relaxosome has been transferred, the relaxase catalyzes the DNA ligation of the plasmid in the recipient cell to produce a circular single stranded molecule that is replicated by the replication machinery of the recipient cell (9). At the end of conjugation there is one copy of the plasmid in each cell. Some conjugative elements remain in cells as plasmids whereas others integrate into the chromosome as integrative conjugative elements (ICEs) (11). The conjugation machineries of ICEs and plasmids are very similar and have intermingled evolutionary histories (12).

Plasmids or integrative elements encoding the three functional elements—oriT, relaxase and MPF—may conjugate autonomously between bacteria. They are called *conjuga*tive (8). However, plasmids encoding the MPF represent only $\sim 1/4$ of all plasmids. Those lacking an MPF but encoding a relaxase and *oriT* are called *mobilizable*. In this case, the relaxase interacts with the plasmid *oriT*, and the resulting nucleoprotein complex is transported by the MPF of a conjugative element co-occurring in the donor cell. Plasmids encoding a relaxase but lacking a complete MPF are as numerous as the conjugative plasmids (8). This means that half of all plasmids lack a relaxase and an MPF. We will refer to them as pMOBless hereinafter. Even though pMOBless lack all proteins required for conjugation, there is epidemiological evidence that some of them transfer between cells (13–15). The mobility of pMOBless may occur by several mechanisms: (i) they may have an oriT and be mobilized by a relaxase and an MPF encoded *in-trans* by a conjugative plasmid (16); (ii) they may interact with a relaxase of a mobilizable plasmid, and the nucleoprotein complex further interacts with an MPF of a third plasmid (17); (iii) or they may transfer using other mechanisms, e.g. conjugation through a rolling circle replication protein (18), co-integration with a conjugative plasmid (19) or the alternative transfer mechanisms mentioned above. Similar mechanisms could be used by integrative elements lacking a complete MPF, commonly named integrative mobilizable elements (IMEs) (20).

The observation over a decade ago that slightly more than half of all plasmids lack genes for relaxases was paradoxical, because genetic mobility is thought to be necessary for plasmid maintenance in populations (21, 22). Of note, some pMOBless with an oriT (pOriT hereinafter) were shown to be mobilized by a conjugative plasmid decades ago (17). Yet, the few available sequences of oriT have precluded systematic identification of these plasmids. Recently, pioneering studies on Staphylococcus aureus, a species that has unusually few conjugative plasmids and few types of oriT, showed that 50% of the pMOBless can be mobilized since they carry oriTs similar to those of pWBG749 (23) or pSK41 (24). Subsequent studies with three additional oriTs, suggested that oriT-based mobilization is common in this species (25,26). If this is true for other species, including those with numerous conjugative plasmids, is not known. Unfortunately, most oriTs remain unknown, precluding their systematic study across bacteria. Here, we focused on S. aureus, for which plasmid diversity is low and

well-characterized and Escherichia coli, the best described species of bacteria and one with numerous well-known plasmid families (27). These two species are of particular importance because they are responsible for the greatest number of deaths associated to antimicrobial resistance in the world (28), a trait that is spread by plasmids (29). We first complement previous studies and test if ICEs could be involved in the mobilization of pOriTs in S. aureus. We also test if the same approach can be extended to E. coli. The confirmation that we can identify homologs of experimentally verified oriTs in the plasmids of these species paved the way to answer some outstanding questions. We don't know how these plasmids contribute to the spread of functions across bacteria. We don't know the functional dependencies associated with pOriTs, i.e. if they tend to be associated with one single conjugative plasmid or if they often require a third plasmid encoding a relaxase. We don't know how these plasmids arose in natural history. We also ignore how the existence of pOriTs affects the patterns of co-occurrence of plasmids in cells. Finally, we would like to know how many plasmids remain without a hypothetical mechanism of transfer once pOriT plasmids and phage-plasmids are accounted for. By tackling these questions, this study contributes to unravel the mechanisms of plasmid mobility.

MATERIALS AND METHODS

Genome data

We retrieved all the *E. coli* and *S. aureus* complete genomes available in the NCBI non-redundant RefSeq database in March 2021. This resulted in a set of 1585 genomes of *E. coli* and 582 genomes of *S. aureus*, including 3409 and 462 plasmids, respectively. The information on the plasmids (including accession numbers) is available in the Supplementary Table S1. The information on the chromosomes is available in the Supplementary Table S2.

Collection of the *oriT* database and its identification in the complete genomes

We built a collection of experimentally validated origins of transfer. First, we retrieved the 52 oriTs with a status 'experimental' from the already published oriT database by Li et al. (30). We expanded this collection by consulting the literature, using as a query 'oriT' in the PubMed database (available in September 2021). Among the 708 entries, we screened for experimentally validated oriTs not included in the aforementioned database. This resulted in the retrieval of 47 additional oriTs. However, one oriT from the published database and seven oriTs from the literature were discarded from the collection as only the nic-site sequence was available. This resulted in a final dataset of 91 origins of transfer. Information on this collection is available in Supplementary Table S3.

We used the BLAST suite of programs, version 2.9.0+, to identify oriTs (31). The complete genomes of *E. coli* and *S. aureus* were indexed with makeblastdb (default parameters). Then, we used blastn to search for occurrences of each of the 91 oriTs (query) against the database of complete genomes. Due to the short length of the origins of transfer, blastn was used with the option *-task blastn-short* and an

E-value threshold of 0.01 following the developer's instructions. In cases in which two different oriTs were identified in the same region of a plasmid (overlapping), only the oriThit with the best *E*-value was retrieved.

We identified during this screening an exceptional case of a \sim 50 kb plasmid with 23 identical *oriT*s. This plasmid (NZ_CP019265.1) was discarded from further analysis as we considered it to be a sequencing artifact.

Characterization of conjugative systems and relaxases and plasmid classification on the mobility

We used the module CONJscan of MacSyFinder, version 2.0 (32) to identify all the complete MPF systems. The individual hidden Markov model (HMM) hits that were not associated with MPFs deemed complete were used to identify incomplete MPF systems.

Relaxases were identified using HMMER version 3.3.2 (33), and the HMM profiles employed by the software MOBscan (34). We used the tool hmmsearch (default options) to screen for relaxases in all the proteins annotated in the dataset and kept the 2195 significant hits with >50%coverage on the profile. A careful analysis of the results revealed that this version of the RefSeq annotations sometimes missed genes encoding relaxases, especially when these genes overlapped others (Supplementary Figure S1). To correct this problem, we introduced a preliminary step of re-annotation. This ensured a coherent annotation of the genes throughout all the genomes, which was then used to identify the MPF and the relaxases. For the annotation, we used the software Prodigal, version 2.6.3 (35), with the recommended mode for plasmids and viruses to identify all open reading frames. Hits were then identified as mentioned above. When two different profiles matched the same protein, we kept the one with the lowest *E*-value.

Plasmids were classified in different mobility categories depending on their composition in terms of oriT, relaxase, and MPF genes. Plasmids encoding a putatively complete MPF system (including a relaxase) were considered to be conjugative (pCONJ). Plasmids encoding relaxases and lacking a complete MPF system were classified as mobilizable (pMOB). The remaining plasmids were classified as pMOBless, and were split into different categories: pOriTs when they had an oriT, P-Ps when they were phagerelated elements (see below), pRC-Rep plasmids if they were not included into a prior category but encode for a RC-Rep protein (see below), and presumably non-transmissible plasmids (pNTs) otherwise. In addition, some plasmids were classified as decayed conjugative plasmids (pdCONJ). These plasmids encode two or more MPF genes, but not enough to form a complete MPF system. Therefore, pd-CONJ show a close evolutionary relationship with conjugative plasmids (36), but are functionally equivalent to pMOB, pOriT or pNT in terms of mobility (Supplementary Figure S2). Similarly, the loci encoding presumably complete MPF systems in chromosomes were classed as ICE (Integrative and Conjugative Element), even if often we ignore the precise limits of the element. Chromosomal genes encoding relaxases that were distant from genes encoding MPFs (>60 genes) were classed as IME (Integrative and Mobilizable Element).

Identification of rolling circle replication proteins

We identified Rolling Circle Replication (RC-Rep) proteins involved in plasmid conjugation by retrieving the RC-Rep protein sequence of the *S. aureus* plasmid pC194 (NC_002013.1), a pMOBless plasmid known to be mobilized through *in trans* conjugation (37). We used its Pfam profile (38), Rep_1 (PF01446), to look for related RC-Rep proteins in all the plasmids of *E. coli* and *S. aureus* using the HMMER tool hmmsearch (default options, *E*value < 0.001), version 3.3.2 (23).

Identification of phage-plasmids

We identified P-Ps using the data on *E. coli* and *S. aureus* that was recently published (39). The database used in the cited work corresponds to the same RefSeq database (re-trieved on March 2021).

Analysis of the pangenome of E. coli and S. aureus plasmids

The pangenome of the plasmid-encoded genes of E. coli and S. aureus was identified using the module pangenome of the software PanACoTa, version 1.3.1 (40). Briefly, gene families were built with MMseqs2, version 13.45111, with an identity threshold of 80%. This is the typical threshold for the determination of the *E. coli* pangenome (41). This way, the 227428 plasmid-encoded proteins in E. coli were grouped into 11530 gene families. In S. aureus, the 7902 proteins were grouped into 1010 gene families. Some plasmids were not used in the analysis because their annotations lacked protein coding genes: 32 of the 3409 plasmids in E. coli (0.94%) and 20 of the 482 in S. aureus (4,15%). Rarefaction curves were performed with the R package vegan, version 2.5–6 (https://CRAN.R-project.org/package=vegan). The later package was additionally employed to infer the plasmid pangenome of S. aureus until matching the same sample size as E. coli following an Arrhenius model. Additionally, the Gleason model and Gitay model were used to extrapolate the rarefaction curves of the pangenome for S. aureus (Supplementary Figure S3). Rarefaction curves were plotted with sample sizes increasing by a step of 100 plasmids.

Determination of sequence similarity between plasmids

We assessed sequence similarity for all pairs of the 3869 plasmids using two different approaches.

At the micro-evolutionary scale, to analyze very closely related plasmids, we classified them based on their average nucleotide identity (ANI) into the existing catalogue of Plasmid Taxonomic Units (PTUs) (27). The clustering was performed using COPLA (42), version 1.0 (default parameters).

At the macro-evolutionary scale, to analyze more distantly related plasmids, we assessed the gene relatedness within and between PTUs, using the weighted Gene Repertoire Relatedness (wGRR) (43). For this, we searched for sequence similarity between all the proteins identified in the plasmids using MMseqs2 (version 9-d36de) (44), retrieving the hits with *E*-value $<10^{-4}$ and coverage >50%. Best bidirectional hits (BBH) between pairs of plasmids were used to calculate the wGRR as previously described (43):

$$w G R R_{A,B} = \frac{\sum_{i}^{P} i d (A_i, B_i)}{\min (\#A, \#B)}$$

where A_i and B_i are the *i*th BBH pair of *P* total pairs; $id(A_i, B_i)$ is the identity between the BBH pair; and min(#A, #B) is the number of genes encoded in the smallest plasmid of the pair. This way, the wGRR value varies between 0 (no BBH between the plasmids) and 1 (all genes of the smallest plasmid have an identical homolog in the larger one). The wGRR values were used to identify related plasmids between and within PTUs, setting the threshold in wGRR > 0.75 as previously described (36). With this purpose, only plasmid pairs with wGRR >0.75 were retrieved for visualizations, i.e. at least the 75% of genes encoded in the smallest plasmid are shared between the pair.

Clustering oriTs by sequence similarity

We clustered the *oriT*s by searching for sequence similarity between all pairs of *oriT*s in the reference dataset using blastn (31) (Supplementary Figure S4). BLAST was used with the option *-task blastn-short* and an *E*-value threshold of 0.01. Only matches with >80% identity and >70% coverage of the smallest *oriT* were kept for the clustering analysis. The clustering was performed with the hierarchical method available in the R package pheatmap, version 1.0.12 (default options) (https://CRAN.R-project.org/ package=pheatmap). The clusters were named after wellknown *oriT*s contained in the cluster: F-like, R6K-like, R64-like, ColE1-like, RP4-like and R46-like. The association of each *oriT* to their *oriT* family is available in the Supplementary Table S3 and Supplementary Figure S4.

Identification of antimicrobial resistance genes

We identified antimicrobial resistance genes in the plasmid dataset using AMRFinderPlus (45), version 3.10, with the default options. This tool combines BLASTP and HM-MER to identify the 6189 resistance determinants available in the NCBI Pathogen Detection Reference Gene Catalog (April 2022). The latter is the result of the curated merging of various widespread-used databases, including CARD (46), and ResFinder (47) databases, among others (45).

Statistical analysis

Except where explicitly stated, all statistical analyses were done with R, version 3.5.2. Additionally, all visualizations were performed with the R package ggplot2 (https:// CRAN.R-project.org/package=ggplot2), version 3.3.5, occasionally supported by the R packages ggsignif (https:// CRAN.R-project.org/package=ggsignif), version 0.6.0 and ggridges (https://CRAN.R-project.org/package=ggridges), version 0.5.3. For the construction and visualization of the networks, we used the R package igraph (https://CRAN.Rproject.org/package=igraph), version 1.2.4.1 and the software Gephi 0.9.2 (48), respectively.

RESULTS

Characterization of E. coli and S. aureus plasmid repertoires

We analyzed the complete genomes available in RefSeq of E. coli (n = 1585) and S. aureus (n = 581) to characterize the size and diversity of their plasmids. E. coli isolates carry almost three times more plasmids per genome than S. *aureus* isolates ($t_{(2068.9)} = 20.65$; P < 2.2e-16) (Figure 1A). Moreover, E. coli plasmids tend to be larger (Kolmogorov-Smirnov test, D = 0.586, P < 2.2e-16) (Figure 1B) and have higher GC% than S. aureus plasmids ($t_{(1074.7)} = 191.23$, P < 2.2e-16) (Supplementary Figure S5). They are also more diverse in terms of gene repertoires. E. coli plasmids encode on average four times more gene families than those of S. aureus $(t_{(2817.9)} = 43.129, P < 2.2e-16)$ (Supplementary Figure S5). The plasmid pangenome of E. coli (11 530 gene families) is much larger than that of S. aureus (ca. 1000), even when comparing samples with similar numbers of plasmids (Figure 1C). Overall, plasmids contribute many genes to the species pangenomes. This is particularly striking in E. coli, where the plasmid pangenome is more than double the average size of a strain genome (41).

We characterized the plasmids in terms of the proteincoding genes involved in conjugation: pCONJ encode an MPF and a relaxase, pMOB encode a relaxase, and pMOBless lack a relaxase. In E. coli \sim 35% of the plasmids are pCONJ, ~25% pMOB, and ~40% pMOBless (Figure 1D). These values are close to previously published ones across Bacteria (8). In contrast, only 4% of the S. aureus plasmids were classed as pCONJ, 18% as pMOB and 77% as pMOBless. Hence, S. aureus seems a more atypical bacteria, where conjugative plasmids are rare. We then tested the hypothesis that ICEs could compensate for the paucity of conjugative plasmids in the species. We searched the chromosomes for loci associated with ICEs (encoding MPF and relaxase) and IMEs (encoding a relaxase), and found that 46% of the chromosomes of S. aureus encode MPF systems (Figure 1E). In contrast, conjugative systems were identified in only \sim 7% of *E. coli* chromosomes. Interestingly, many genomes in both species have either conjugative plasmids or ICEs, but rarely both. The integration of these analyses provides a more nuanced view of the differences between the species in terms of the fraction of genomes containing a conjugative element: ~52% of E. coli and ~47% of S. aureus (Figure 1F). The precise identification of the limits of ICEs and IMEs in the chromosome is difficult and precludes systematic comparisons between elements in terms of gene content. Still, these results suggest that the existence of ICEs could explain the mobility of some pMOBless, especially in S. aureus. In summary, the two species show different patterns in terms of the mobility of plasmids and integrative elements, but both contain many plasmids lacking relaxases.

oriTs are frequent in plasmids of E. coli and S. aureus

To unveil the mechanisms of mobilization of the many plasmids lacking a relaxase, we searched the literature for plasmids with just an *oriT* that could be mobilized by conjugative or mobilizable plasmids (Supplementary Tables S4 and S5). All these plasmids are artificial constructions, so they cannot be used for validation of our method. Yet, they



Figure 1. *E. coli* and *S. aureus* plasmids and integrative elements. (A) Number of plasmids per genome. The horizontal lines represent the median value, while the lower and upper hinges correspond to the first and third quartiles. The whiskers extend from the hinge to 1.5 times the range between the first and third quartile. Data beyond these values are shown as dots. The horizontal bar over the plot denotes statistically significant difference ($t_{(2068.9)} = 20.65$; P < 0.0001). (B) Plasmid size distribution. The curves were drawn using a kernel density estimate. (C) Plasmid pangenome of *E. coli* and *S. aureus* attending to the number of plasmids sampled. The vertical dashed grey line at x = 455 represents the number of plasmids from which *S. aureus* pangenome is inferred following an Arrhenius model. (D) Classification of plasmids according to their protein-coding genes involved in conjugative (pCONJ, MOB + MPF); mobilizable (pMOB, only MOB); and pMOBless (neither MPF, nor MOB). (E) Percentage of each mobility type among the plasmid repertoir of both species. (F) Percentage of the chromosomes with at least one ICE (complete MPF and relaxase), IME (relaxase without a complete MPF), both ICE and IME, or none of them. (G) Percentage of genomes with a complete MPF in plasmids (pCONJ), in the chromosome (ICE), or in both (ICE and pCONJ).

confirm that these oriT-carrying pMOBless can be transferred by conjugation using genes from other plasmids. To screen for *oriT*s in our plasmid collection, we collected 51 oriT from the 'oriT database' (30) and added 40 new ones from the literature (Supplementary Table S3). Most of these 91 experimentally validated *oriTs* (mean size \sim 131 bp) were originally identified and verified in plasmids of γ -Proteobacteria (n = 44) and Bacilli (n = 22) (Supplementary Figure S6). We then used the collection of *oriT* sequences to search for origins of transfer in the 1585 E. coli and 581 S. *aureus* genomes by sequence similarity (see Methods). We identified 2831 putative oriTs in 2626 plasmids, almost the totality of which locate in intergenic regions (Supplementary Figure S7). Even if *E. coli* has more diverse plasmids and more types of *oriTs* (n = 37) than S. *aureus* (n = 7), *oriT*s were found at similar frequencies in the plasmids of the two species (ca. 70%) (Figure 2A). We also identified 336 oriTs in 282 chromosomes. These chromosomal oriT were much more abundant in *S. aureus* (25% of the genomes) than in *E. coli* (9%), in line with the higher frequency of ICEs in the former (Figure 2A). Although many *oriT*s were identified in both types of replicons, a given family tends to be present either in plasmids or in chromosomes (Figure 2B). Importantly, none of the *oriT*s was identified in both species.

Most *oriT*-encoding plasmids have just one *oriT* (~88% *E. coli*, ~85% *S. aureus*), although a few can have up to 5 (Supplementary Figure S7). Expectedly, plasmids showing multiple *oriT*s tend to encode multiple relaxases ($r_{(3868)} = 0.32$, P < 2.2e-16) (Supplementary Figure S7). To study the co-occurrence of *oriT*s and relaxases, we retrieved the families of *oriT*s identified in >10 plasmids. The *oriT*s of a given family are usually associated with plasmids of a specific size range, i.e. they tend to be associated to either small or large plasmids (Figure 2C). Yet, in a few cases, the *oriT* families associated with large plasmids are also found on



Figure 2. Identification of *oriTs*. (A) Percentage of plasmids and chromosomes with at least one *oriT* in *E. coli* (top) and *S. aureus* (down). (B) Counts of *oriTs* in the genomes of *E. coli* (left) and *S. aureus* (right). (C) Size of plasmids containing at least one *oriT* for families of *oriTs* present in at least 10 plasmids. (D) MOB families associated to the *oriTs* in (C). (E) Percentage of plasmids in which at least one *oriT* was identified, classed by mobility type.

smaller ones. Finally, the oriTs of a given family tend to be in plasmids with the same class of relaxases (Figure 2D). All things considered, although experimental validation would be needed to confirm their functionality, the identification of oriTs in most plasmids, usually in a single copy, the strict association between the oriT and the MOB, and their identification in plasmids of homogeneous size, suggest that most oriTs we identified are true positives.

oriT-MOBless plasmids are abundant and usual carriers of antimicrobial resistance genes

We identified at least one oriT in more than 80% of pCONJ and pMOB (Figure 2E). Hence, the oriTs in our collection

are represented in a very large fraction of the oriTs used by the conjugative plasmids of these species. Importantly, we found an oriT in 790 pMOBless. Hereinafter, we will refer to these oriT-carrying pMOBless as pOriT. pOriTs constitute 65% of *S. aureus* plasmids lacking relaxases and more than 40% of those of *E. coli*. These results are subject to caution. We cannot ascertain the functionality of all these oriT, even if they are homologous to experimentally verified sequences. Also, we may have missed some oriTs, since a few pCONJ and pMOB lack identifiable oriTs. Despite these limitations, most plasmids have only one identifiable oriT, suggesting that we have identified most of them. If so, around half of the plasmids lacking relaxases are mobilizable by conjugation.

Due to the importance of E. coli and S. aureus as multidrug resistant pathogens (28), we inquired on the role of their different plasmids in the spread of antimicrobial resistance genes (ARG). Previous studies showed that conjugative plasmids tend to carry more ARGs than the other plasmids (29). This is the case of pCONJ in E. coli ($\sim 64\%$ of the genes) but not in S. aureus, where pOriTs carry most of these genes (\sim 76%) (Figure 3A). Furthermore, the number of ARGs per kilobase is highest in pOriT in both species (Figure 3B). The differences between plasmid types seem to be more important in terms of the number and density of ARGs than in the class of antibiotic resistance provided by the gene. Indeed, we found no obvious differences in the relative distribution of classes of ARGs between plasmids of different types (Supplementary Figure S8). Of note, the pOriT carry many ARG of clinical relevance, with high frequency of those conferring resistance for aminoglycosides and β -lactams, as is the case of the other plasmids. Interestingly, the plasmids with fewer ARGs, and lowest density, are those lacking both a relaxase and an *oriT* (presumably non-transmissible, pNT). These results show that plasmids lacking relaxases can be split in two categories, where those with an *oriT* have an important role in the spread of antibiotic resistance.

pOriTs exploit either conjugative or mobilizable plasmids

Many plasmids have *oriT*s from the same family. This information allows to study the functional dependencies between plasmids because we can link the pOriTs with sets of pMOBs or pCONJs. We have previously proposed that relaxases of pMOB evolve to interact with multiple types of MPF encoded in pCONJ, whereas those of pCONJ coevolve with the MPF to optimize their mutual interaction (49,50). As a consequence, one might expect that *oriT*s of pMOB and pCONJ would often be very different, in order to allow the respective relaxases to identify the plasmid with which to interact (26). In our dataset, many families of oriTs are present in either pCONJ or pMOB, but few are present in both (Figure 4A). The exceptions tend to correspond to 'pCONJ-like oriTs' (oriTs typical of pCONJ) that were found in large pMOB plasmids. We hypothesized that these might be decayed conjugative plasmids (pdCONJ) (36). These elements have some MPF genes, but not enough to be functional. Their analysis revealed that key genes, such as virB4, are often missing in pdCONJ (Supplementary Table S6), and seem to have derived from pCONJ by gene deletion (36). Hence, we split the pMOB into those encoding at least two MPF genes (pdCONJ) and the others. The pdCONJ are indeed 80% of the mobilizable plasmids with pCONJ-like oriTs. In contrast, pdCONJ do not have 'pMOB-like oriTs' (oriTs typical of pMOB) (Figure 4A). After this analysis, only three oriTs remained in a significant fraction of both pCONJ and pMOB (excluding pd-CONJ): $oriT_{pKL1}$, $oriT_{pWBG749}$ and $oriT_{pSK41}$. We then inquired on the possibility that ICEs or IMEs show similar trends, i.e. have specific oriTs. We found many oriTs in their chromosomes, but the precise in silico delimitation of ICEs and IMEs is technically challenging. Hence, we only analyzed if certain *oriT*s are present in chromosomes encoding an ICE, an IME or both. Our results showed that indeed,

oriTs tend to be associated with either ICEs or IMEs (Supplementary Figure S9). We conclude that conjugative and mobilizable elements tend to use different oriTs.

The pOriT with a pCONJ-like *oriT* can recruit relaxases of a conjugative plasmid, in which case the relaxase will interact with the cognate MPF. In contrast, the pOriT with a pMOB-like *oriT* recruit relaxases of mobilizable plasmids, and these must then recruit a MPF from another plasmid. We know very little about the fitness costs of pOriT or pMOB on conjugative plasmids. But some mobilizable elements are known to strongly antagonize the cognate conjugative plasmids (51), which suggests competition for the conjugation pilus. Hence, mobilization of other elements may decrease the fitness of conjugative plasmids. If this is the case, then the pOriT with a pCONJ-like *oriT* is a parasite of the conjugative plasmid and the pOriT with a pMOBlike oriT is a parasite of pMOB and an hyper-parasite (a parasite of a parasite) of conjugative plasmids. One could expect that the most efficient strategy for a pOriT would be to take advantage of a unique conjugative plasmid rather than requiring on two other plasmids for transfer. However, since pMOB are often able to interact with multiple pCONJ, a pMOB-like oriT might allow a pOriT to have a higher chance of transfer under certain circumstances. Since the oriTs of pOriTs are homologous to those of conjugative or mobilizable elements (Figure 4B), we could infer the relations of dependence between pOriT and the other plasmids. We used E. coli data for this analysis because it includes much more diversity of *oriT*s for both pMOB and pCONJ than that of S. aureus. Interestingly, the frequency of pOriTs in *E. coli* with a pCONJ-like *oriT* (\sim 56%) or a pMOB-like one (35%) is very close to the relative frequency of each of these types of plasmids in the species (Figure 4C). Hence, the relative frequency of each type of pOriT matches the relative frequency of the hijacked plasmids.

pOriT may originate from both conjugative and mobilizable plasmids

Given the large number of pOriTs, we inquired on their evolutionary origin. It was recently suggested that some pMOBless derived from conjugative or mobilizable plasmids by gene deletion (36). Since pOriTs have either a pCONJ-like or a pMOB-like oriT, we thought they might have emerged by gene deletion in plasmids that lost the protein-coding genes associated with conjugation but kept an oriT. To evaluate this hypothesis, we grouped the 3,869 plasmids into Plasmid Taxonomic Units (PTUs) (27) and analyzed their mobility and oriTs. Most plasmids in a PTU have the same type of mobility, reflecting the short evolutionary distances between plasmids in the same PTU. Interestingly, the rare plasmids that have different types of mobility still tend to have oriTs of the same family (Supplementary Figure S10). This suggests that the *oriT* family is more conserved than the mobility type.

To test the possibility that some pOriTs originated from conjugative plasmids, we selected two PTUs and explored the relation between the pOriTs and pCONJ within a PTU. We analyzed the PTU-F_e (Figure 5) and the PTU-C (Supplementary Figure S11). Most of the plasmids in these PTUs are pCONJ with a pCONJ-like *oriT* (Figure 5C, D,



Figure 3. Plasmid types and antimicrobial resistance (AMR). (A) Number of AMR genes in plasmids of each type. (B) Density of AMR genes (genes per kilobase) in plasmids of each type.

Supplementary Figure S11B, C). Yet, both include a few plasmids with other mobility type that have *oriTs* of the same family but are significantly smaller (Figure 5B, Supplementary Figure S11A). This supports the idea that these replicons derived from conjugative plasmids by gene deletion. To further test this idea, we sought and selected pairs of pCONJ/pOriT within the PTUs having similar gene repertoires (wGRR > 0.75, see Materials and Methods). This analysis suggests that these pOriTs were generated by staggered degradation of the MPF system in pCONJ (see a representative example in Figure 5E, Supplementary Figure S11D). Crucially, the derived replicons are likely to be mobilized through *in-trans* conjugation because of the maintenance of their ancestral *oriT*.

We then selected two PTUs with a majority of pMOB (E1, E22) and analyzed them as above (Figure 6, Supplementary Figure S12). Both include ColE1-like plasmids, with a MOB_P (Figure 6C, Supplementary Figure S12B) and the pMOB-like family $oriT_{ColE1-like}$ (Figure 6D, Supplementary Figure S12C). As before, these PTUs include other types of plasmids, notably pOriTs and pNTs, which tend to have similar oriTs and smaller sizes (Figure 6B, Supplementary Figure S12A). The alignment of the closely related pMOB/pOriT pairs further suggest that small pOriTs

arise by the loss of the relaxase in an ancestral pMOB (see a representative example in Figure 6E, Supplementary Figure S12D). Interestingly, we identified a subgroup of plasmids of the PTU-E1 that has another family of *oriTs* (*oriT*_{pCERC7}). This origin of transfer is related to the *oriT*_{R64} of conjugative plasmids (52) (Figure 6D, Supplementary Figure S4). This finding suggests that recombination events may result in the exchange of the *oriT* of the plasmid. Overall, these results show at the micro-evolutionary scale how pOriTs can derive by gene deletion from other types of plasmids.

Most plasmids may be mobilized by known mechanisms of transfer

Our results suggest that \sim 80% of *E. coli* and >70% *S. aureus* plasmids use an *oriT* to transfer by conjugation. To this, one may add other genetic elements that spur plasmid transfer (Figure 7A). Notably, some rolling-circle replication proteins (RC-Rep) act as replicative relaxases (37). They interact with the MPF system of a conjugative element and trigger plasmid conjugation in an *oriT*-independent manner (53). We searched for these proteins to test if this alternative pathway could be involved in the mobilization of plasmids



Figure 4. (A) Percentage of plasmid types with a given *oriT* (for *oriTs* occurring in >10 plasmids). (B) Number of pOriTs (*oriT*-encoding MOBless plasmids) found for each *oriT*. pCONJ-like: *oriTs* identified mostly (>75%) in conjugative plasmids; pMOB-like: *oriTs* identified mostly (>75%) in mobilizable plasmids; pOriT: *oriTs* identified in many conjugative and mobilizable plasmids; pOriT: *oriTs* identified only in pOriTs. (C) Ratio of pCONJ/pMOB plasmids (left) and ratio of pOriTs with pCONJ-like/pMOB-like *oriTs* (right) in *E. coli*.

lacking *oriT* and classical relaxases. We identified 225 homologs of RC-Rep proteins in 208 plasmids. These plasmids are frequent in *S. aureus* (~30%), but rare in *E. coli* (1.9%). As expected, there is an overrepresentation of RC-Rep in non-*oriT* pMOBless ($\chi^2_{(4)} = 103.12$, P < 2.2e-16) (Supplementary Figure S13). The unexpected abundance of RC-Rep in plasmids lacking an *oriT* suggests that such proteins could mediate the mobility of many plasmids in *S. aureus*.

Some plasmids can be transferred within viral particles. The propensity of a plasmid to be transduced cannot be predicted from its sequence. But ca. 6% of the plasmids are also phages (P-Ps) (7), and encode viral particles, virion assembly packaging, and cell lysis (54). Phage-plasmids can be identified from the plasmid sequences by searching for these genes. We identified 222 P-Ps in *E. coli* and 1 in *S. aureus,* which is consistent with the reported uneven distribution of P-Ps across bacteria (7). P-Ps correspond to a third of the pMOBless without *oriT* in *E. coli* (n = 216/702). In agreement with the idea that P-Ps provide an alternative mechanism of plasmid transfer, only six P-Ps encode conjugationrelated elements (Supplementary Figure S14). The latter are much larger (~175 kb) than the remaining P-Ps (~90 kb), and might be the result of co-integration events or assembly artifacts (Supplementary Figure S14).

At the end of these analyses, we could assign a putative mechanism of mobility for most plasmids in each species. In E. coli, 80% of the plasmids were classed as conjugative or mobilizable by conjugation, and $\sim 7\%$ as P-Ps. In S. aureus, 90% were classed as conjugative or mobilizable by some type of conjugation and only 1 is a P-P. Hence, when one accounts for MPF, relaxases, RC-Rep, oriT, and P-Ps, few plasmids lack a hypothetical mechanism of transfer, i.e. few remain putatively non-transmissible (pNT) (Figure 7A): 13.7% in E. coli and 10.4% is S. aureus. We inquired on the possible mechanisms of mobility of the remaining plasmids. Around 50% of the E. coli pNTs are related to the large plasmid pO157 (PTU-E5) (Supplementary Figure S15). These are well-known non-transmissible plasmids that have disseminated in E. coli O157:H7 (55). The mechanisms of mobility of the few remaining plasmids (if any) remains unknown.

The distribution of the size of plasmids is bi-modal and associated with their type of mobility (Figure 7B). The mode associated with the largest plasmids is characteris-



Figure 5. Evolution of pCONJ-like pOriTs. (**A**) Proposed evolutionary hypothesis for the origin of pCONJ-like pOriTs. (**B**) Size of plasmids of the PTU-Fe (IncF/MOB_F/MPF_F) according to their mobility type. The horizontal bars over the plot denote statistically significant difference (pairwise *t*-tests): ***P < 0.001, **P < 0.01, *P < 0.05, P < 0.1. (**C** and **D**) Graphs showing the plasmids of the PTU-Fe. Nodes (circles) represent the plasmids and edges (grey lines) connect highly similar plasmids (wGRR > 0.75). The colors of the nodes represent the plasmid mobility type (**C**) and the plasmid *oriT* family (**D**). (**E**) Multiple alignment of a pCONJ, pdCONJ, pMOB and pOriT from the PTU-Fe. Brown shadings between sequences denote >80% identity between the sequences. Conjugative genes are represented by blue arrows, the relaxase is red, the coupling protein in brown, *virB4* is in green and the *oriT* is represented as an orange rectangle.



Figure 6. Evolution of pMOB-like pOriTs. (**A**) Proposed evolutionary hypothesis for the origin of pMOB-like pOriTs. (**B**) Size of plasmids of the PTU-E1 (ColRNAI/Col440I/MOB_P) according to their mobility type. The horizontal bars over the plot denote statistically significant difference (pairwise *t*-tests): ***P < 0.001, **P < 0.01, *P < 0.05, *P < 0.1. (**C** and **D**) Graphs showing the plasmids of the PTU-E1. Nodes (circles) represent the plasmids and edges (grey lines) connect highly similar plasmids (wGRR > 0.75). The colors of the nodes represent the plasmid mobility type (**C**) and the plasmid *oriT* family (**D**). (**E** and **F**) Alignments of pMOB and pOriT from the PTU-E1. Brown shadings between sequences denote > 80% identity between the sequences. The relaxase is indicated as a red arrow and the *oriT* as an orange rectangle.



Figure 7. Classification of plasmid mobility. (A) Representation of plasmids in function of their category, genetic composition, and mechanism of mobility. The frequency (%) of each plasmid type in *E. coli* and *S. aureus*, respectively, is shown at the right columns of the figure. (B) Distribution of plasmid sizes attending to the mobility type. The curves were drawn using a scaled kernel density to simplify the representation (sample sizes at the right of each row). The size distribution of P-Ps is shown in the Supplementary Figure S9.

tic of pCONJ, but also found among certain pMOB and pOriT in both species. For the latter, we observed a shift of the peak to lower values of plasmid size. Similarly, the mode of the smaller plasmids is characteristically associated with pMOB, but is also found among pOriT, with a shift of the peak to lower values of plasmid size. These small downwards shifts observed among pOriT are consistent with our hypothesis that they often originate from pCONJ or pMOB by gene deletion (Supplementary Figure S16). The patterns for pNT are less clear. In *E. coli* they are shaped by the many large pO157-like plasmids, whereas in *S. aureus* they seem to follow the trends of pOriT, suggesting that maybe some *oriT* remain to be uncovered in the species.

Mobilization explains patterns of plasmid co-existence

The dependence of certain plasmids, e.g. pOriT, on others, notably pCONJ, for conjugative transfer means that the type of mobility of plasmids may affect the patterns of their co-occurrence in cells. We can now test this hypothesis by analyzing which plasmids tend to co-occur. The number of plasmids per genome is much more variable (and on average higher) in *E. coli* than in *S. aureus*. Hence, we concentrated on the *E. coli* data for this analysis. We identified the most common patterns of occurrence among the 1207 plasmid-bearing *E. coli* genomes, focusing on pCONJ, pMOB, pOriT and pNT (Figure 8A). The most common pattern is the presence of only conjugative plas-



Figure 8. (A) Upset plot showing the frequency of co-occurrences of pCONJ, pMOB, pOriT and pNT. (B) Percentage of plasmid types in genomes attending to the number of different plasmids present in the genome. (C) Percentage of pCONJ-like and pMOB-like pOriTs attending to the number of plasmids in their hosts' genomes.

mids in the cell. The second and fourth most frequent patterns are a pair of pCONJ-pMOB and the triplet pCONJpMOB-pOriT. Interestingly, the third most frequent pattern is the single presence of MOBless pNTs, in contrast to the much rarer event of having single pOriTs in the cell. This further reinforces the idea that while MOBless pNTs are non-transmissible and vertically transmitted with their host cells, pOriTs co-transfer with other elements in the cell.

If the pMOB and pOriT require a pCONJ to transfer between cells, one would expect that the frequency of each type of plasmids would vary with the number of plasmids per genome. Notably, genomes with few plasmids would tend to have more pCONJ and those with many plasmids would have progressively a larger fraction of other types of plasmids. Indeed, the frequency of pCONJ in E. coli is highest in genomes with a single plasmid and constantly decreases with the increase in the number of plasmids (Figure 8B). As expected, pMOB and pOriT show the inverse trend. These plasmids are rarely found alone in the genome and become increasingly frequent when cells contain more and more plasmids. The frequency of these plasmids is very high (almost 70%) in genomes with more than 10 different plasmids. Hence, the relative frequency of plasmids of a given mobility type varies in a predictable way with the number of plasmids in the cell.

We showed above that some pOriTs may only require a pCONJ (since they have a pCONJ-like *oriT*), whereas others may require a pCONJ and a pMOB to transfer (pMOB-like *oriT*). The latter might be found preferentially in genomes with more plasmids, since they require a combination of two compatible plasmids to transfer. Indeed, while pCONJ-like pOriTs reach a frequency plateau in genomes with \geq 7 plasmids, pMOB-like pOriTs increase steeply in frequency up to 10 plasmids/genome (Figure 8C). All these findings suggest that functional dependencies between plasmids shape the co-occurrence of plasmids in cells.

DISCUSSION

To understand how plasmids lacking relaxases could be transferred between bacteria, we searched for homologs of experimentally verified oriT, the only genetic element a plasmid needs *in-cis* for conjugation. The search for homologs of oriTs could result in misidentifications, but our observations suggest that most of the identified *oriTs* are correct. (i) While most plasmids have an *oriT*, most chromosomes lack them, in spite of their much longer sequences. (ii) At least one *oriT* has been identified in most plasmids that were expected to have it (pMOB or pCONJ). (iii) There are no cross matches between E. coli and S. aureus oriTs. (iv) There are almost no cross matches between pCONJs/pdCONJs and pMOBs, allowing to identify pCONJ-like and pMOBlike oriTs. (v) Most plasmids have one single oriT, and the others often have multiple relaxases, seem to be plasmid co-integrates, or have been already described (56). (vi) Almost all *oriT*s identified are located in non-coding regions. (vii) There is a strict association between the oriTs and their associated relaxase family. (viii) The *oriT*s were not found where they were not expected, e.g. in phage-plasmids that rely on alternative mechanisms rather than conjugation (45), or in pO157-like plasmids, which are known to be non-conjugative (55). Finally, previous work in *S. aureus* validated the identification of *oriT*s in plasmids (25). The results (i), (ii), (v) and (vi) suggest that we have identified *oriT*s with high sensitivity, i.e. we found most plasmids with a given type of *oriT*. The results (i), (iii), (iv), (vi), (vii) and (viii) suggest that we have identified them with high specificity, i.e. we have few false positives. Hence, our *oriT* screening seems accurate.

Despite the previous results, we believe that some *oriT*s remain to be identified in these two species because we found that some pCONJ and pMOB lack known oriTs (Figure 2E, Supplementary Figure S17). This could result from occasional oriT deletion. Yet, we found a small number of PTUs of conjugative (e.g. PTU X4) and mobilizable plasmids (e.g. PTU-E7) lacking identifiable oriTs in most elements (Supplementary Figure S17). This strongly suggests the existence of still uncharacterized origins of transfer in the plasmids of these widely studied species. The number of unknown oriTs seems much larger in elements integrated in the chromosome. For example, we identified relaxases in \sim 30% of *E. coli* chromosomes, but *oriT*s in only 9%. Further work on integrative elements will require identification of novel *oriTs* and development of methods to accurately delimit conjugative and mobilizable elements in chromosomes. We are actively working on both of these aspects.

The observation that pOriTs usually have oriTs from either pCONJ or pMOB, suggests that these elements have evolved to either hijack the relaxase of a conjugative or that of a mobilizable plasmid. The latter results in a more complex succession of ecological dependencies since transfer of the plasmid needs the presence in the cell of an additional pCONJ (see below). In natural environments, these two types of pOriT could have arisen by gene deletion of the conjugation protein coding genes in pCONJ (first) or pMOB (second), while the ancestral oriT was kept. This is consistent with the observation that novel pOriTs may emerge within PTUs (which are sets of very closely related plasmids). More complex scenarios are also possible, e.g. the translocation of an oriT to a plasmid lacking one. The hypothesis of frequent pOriT genesis by gene deletion from pMOB or pCONJ is further supported by the analysis of the distribution of pOriT size (Figure 7B, Supplementary Figure S16). This distribution has two peaks, each at values of plasmid size that are slightly smaller than the corresponding peaks for pMOB and pCONJ. We have proposed that a fraction of pMOB derived recently from pCONJ (36). Our present results further suggest that a part of pOriT originated from either pCONJ or pMOB.

Why would some plasmids evolve towards less autonomous mobilization, i.e. to depend on other plasmids for mobility? One might expect that such evolutionary processes would be counter-selected because they make plasmids dependent on the presence of other plasmids for horizontal transmission. We speculate that pOriT may be advantageous in terms of carriage cost. The *oriT* is a small non-coding sequence that may have little impact on bacterial fitness. In contrast, MPF systems and relaxases are costly and may hamper the successful vertical transmission

of the plasmid (57–59). This is why the genetic components of conjugative plasmids are usually repressed (60) and occasionally lost (61). Hence, the loss of protein-coding genes for conjugation may decrease horizontal transfer but increase the success of vertical transmission. In contrast, the loss of *oriT*s precludes horizontal transmission by conjugation without providing significant advantages for vertical transmission. Hence, the conditions that favor loss of conjugation-related protein coding genes may not favor the loss of *oriT*.

The transfer of pOriTs depends on their co-occurrence in cells with plasmids whose relaxases and MPF are compatible with the *oriT* of the plasmid. We observed that the frequency of pOriT with pCONJ-like and pMOB-like oriTs was in direct proportion of the frequency of the 'helper' plasmids. The dependence of pOriT on the presence of other plasmids in the cell might suggest that pOriTs should evolve to have a pCONJ-like *oriT* and dispense the requirement for a pMOB. This is not what we observed. Instead, the two types of pOriT seem frequent. It was previously known that pMOBs are frequent and can in some cases be mobilized by many different pCONJ (49,50). We speculate that pOriT with pMOB-like oriTs have an advantage in certain cases over those with pCONJ-like oriTs because pMOBs may hijack many different pCONJ. Genomes with many plasmids might thus often have the right combinations of pMOB/pCONJ allowing the transfer of the pOriT. Furthermore, if the mobilization of a pOriT and/or pMOB entails the co-transfer of the helper pCONJ as it has been suggested (62), the pOriT will find in this novel host cell all the plasmids that are required for its subsequent mobility.

Possibly because of processes of co-mobilization, plasmid mobility type seems to shape plasmid distribution within cells. Large and small plasmids were previously found to cooccur more often than expected in bacteria (63). Since large plasmids are often pCONJ and smaller ones are typically pMOB or pOriT, this fits our observations of co-occurrence of the different types of plasmids. Interestingly, pMOBs and pOriTs are particularly abundant in genomes bearing many plasmids, where the chances to find helper pCONJ are high. In contrast, pCONJ, which conjugate autonomously, are the most common plasmids in cells having one or a few elements. The simplest mechanism to explain these results is that these plasmids often arrive at the cell together, i.e. using the same mating event. But additional interactions may also contribute to further stabilize the presence of these plasmids in cells. For example, the cost of carrying small plasmids was smaller in a Pseudomonas strain already carrying a large plasmid (63).

Our results suggest that most plasmids can conjugate either autonomously or by recruiting the required functions from other plasmids. Notably, around 90% of *S. aureus* plasmids have the genetic elements needed for horizontal transfer by conjugation. Notwithstanding, alternative mechanisms of plasmid mobility have been recently described. Among *E. coli* plasmids, 7% are phage-plasmids that can transfer within viral particles. In *S. aureus*, phage-plasmids are rare, but plasmids can be transduced by phages and their satellites (64). This creates constraints in the size of plasmids because phages and satellites transduce pieces of DNA with the length of their own genomes. Interestingly, the size of the genomes of temperate phages matches one of the two peaks in the distribution of sizes of pMOBless and the size of the satellite genomes matches the other peak. It was proposed that plasmids were selected to have sizes compatible with transduction by phages and satellites, which explains the bimodal distribution of plasmid sizes (Figure 7B). If correct, transduction by phages and their satellites would explain the enigmatic bi-modality of plasmid sizes, while gene deletions causing the transitions between pCONJ or pMOB to pOriT would explain why the latter tend to follow the size distribution of the former.

In summary, 9 out of 10 plasmids have identifiable genetic elements that facilitate their horizontal transfer, most of them involving conjugation. There are only $\sim 10\%$ plasmids lacking known genetic elements associated with horizontal transfer. Such plasmids may still occasionally be transferred through alternative mechanisms leaving little trace in the plasmid sequence, such as transformation or transduction. With this work, we provide strong evidence suggesting that there is no conundrum of plasmid mobility. Most plasmids are mobile. They just differ in the mechanism and in their degree of autonomy for transfer.

DATA AVAILABILITY

The databases used in this work are publicly available (Ref-Seq database) or indicated within the text.

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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