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1 **SARS-CoV-2-RELATED BAT VIRUS BEHAVIOR IN HUMAN-RELEVANT**  
2 **MODELS SHEDS LIGHT ON THE ORIGIN OF COVID-19**

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35

1 **Abstract:**

2 Bat sarbecovirus BANAL-236 is highly related to SARS-CoV-2 and infects human cells, albeit  
3 lacking the furin cleavage site in its spike protein. BANAL-236 replicates efficiently and pauci-  
4 symptomatically in humanized mice and in macaques, where its tropism is enteric, strongly  
5 differing from that of SARS-CoV-2. BANAL-236 infection leads to protection against  
6 superinfection by a virulent strain. We find no evidence of antibodies recognizing bat  
7 sarbecoviruses in populations in close contact with bats in which the virus was identified,  
8 indicating that such spillover infections, if they occur, are rare. Six passages in humanized mice  
9 or in human intestinal cells, mimicking putative early spillover events, select adaptive mutations  
10 without appearance of a furin cleavage site and no change in virulence. Therefore, acquisition of  
11 a furin site in the spike protein is likely a pre-spillover event that did not occur upon replication  
12 of a SARS-CoV-2-like bat virus in humans or other animals. Other hypotheses regarding the  
13 origin of the SARS-CoV-2 should therefore be evaluated, including the presence in bats of  
14 sarbecoviruses carrying a spike with a furin cleavage site.

15 **Key Words:**

16 Bat coronavirus, animal model, pathogenesis, adaptive mutations, serology.

17

18

## 1 **Introduction**

2 The origin of SARS-CoV-2, as well as its mode of introduction into the human population, is  
3 currently unknown. Various SARS-CoV-2-related viruses have been described in *Rhinolophus*  
4 *shameli* (isolated in Cambodia in 2010 (Delaune *et al*, 2021)), *R. pusillus* and *R. malayanus*  
5 (China, 2020 and 2019 respectively(Zhou *et al*, 2021)), in *R. acuminatus* (Thailand,  
6 2020(Wacharapluesadee *et al*, 2021)), *R. cornutus* (Japan, 2013 (Murakami *et al*, 2020)) and *R.*  
7 *affinis*, RaTG13 (China, 2013) (Zhou *et al*, 2020; Rahalkar & Bahulikar, 2020). Very recently  
8 we reported the discovery in fecal swabs of bats from Laos coronaviruses highly similar to  
9 SARS-CoV-2: BANAL-52 in *R. malayanus*, BANAL-103 in *R. pusillus* and BANAL-236 in *R.*  
10 *marshalli*(Temmam *et al*, 2022). These were shown to bind human angiotensin-converting  
11 enzyme 2 (hACE2) more efficiently than early strains of SARS-CoV-2, to enter primate cells by  
12 an ACE2-dependent mechanism and, for the unique virus that could be isolated (BANAL-236),  
13 to replicate in human Caco-2 and Calu-3 cells expressing physiological levels of  
14 hACE2(Pommerenke *et al*, 2021). They lack a furin cleavage site that has been shown to reduce  
15 the pathogenicity and transmissibility of SARS-CoV-2 in animal models(Johnson *et al*, 2021),  
16 suggesting that these bat viruses could be less pathogenic in humans. These results do not rule  
17 out these viruses from having initiated the pandemic, as SARS-CoV-2 showed very high  
18 adaptability after the pandemic start. The emergence of variants of concern (VOC) paralleled  
19 their increase in transmissibility and/or pathogenicity in humans without any decrease of the host  
20 range, as evaluated in wild-type mice(Hoffmann *et al*, 2021; Cameroni *et al*, 2021; Halfmann *et*  
21 *al*, 2022). Recently, two publications suggested that the epicenter of the pandemic was the  
22 Huanan Seafood Wholesale Market(Worobey *et al*, 2022) and that the source of SARS-CoV-2  
23 was very likely the live mammals sold in the market(Pekar *et al*, 2022).

24 Our objective was to investigate the possibility that infection of human populations, or more

1 generally permissive animals, with strains like BANAL-236 with potential low pathogenicity  
2 and/or transmissibility occurred prior to the detection of the first COVID-19 clinical cases (end  
3 of 2019), preceding adaptation to humans of more transmissible and pathogenic strains. We  
4 asked whether such infections could have been detected clinically, could have led to a cycle of  
5 human-to-human transmission, and whether adaptive mutations could have occurred in a first  
6 phase of silent circulation before the emergence and detection of symptomatic cases.

## 7 **Results**

8

### 9 **Bat BANAL-236 replicates in transgenic mice expressing hACE2**

10 In this study, we aimed to analyze whether mice that express hACE2 (K18-hACE2) were  
11 susceptible to BANAL-236. To this end, K18-hACE2 mice (on a C57BL/6J background,  
12 [jax.org/strain/034860](http://jax.org/strain/034860)) were inoculated with BANAL-236 or SARS-CoV-2 strains (strain  
13 BetaCoV/France/IDF0372/2020, GISAID accession number EPI\_ISL\_406596, referred below as  
14 “Wuhan-372”), while BALB/cJRj mice were used as controls (Fig. 1A). These latter were  
15 chosen as non-transgenic controls because they tend to be more susceptible to SARS-CoV-2  
16 infection (Leist *et al*, 2020) with a mouse-adapted virus than C57BL/6J mice, therefore increasing  
17 the chance to detect symptoms due to BANAL-236 infection.

18 Mice inoculated with BANAL-236 showed no weight loss at  $10^4$  or  $10^3$  PFU (Fig. 1B), while an  
19 important but transient weight loss was observed in mice infected with the Wuhan-372 strain at  
20  $10^4$  PFU. Compared with Wuhan-372, BANAL-236-infected K18-hACE2 mice showed lower  
21 average genome copy number and virus titer in the lungs (-1.66 and -1.65  $\log_{10}$ , respectively,  
22 Fig. 1C). Infectious BANAL-236 virus, however, could not be detected in the liver and spleen of  
23 K18-hACE2 mice, and the genome copy numbers were 2.53  $\log_{10}$  (spleen) and 5.53  $\log_{10}$  (liver)  
24 lower than in the lungs. Significant differences between the two viruses were not observed (-0.53  
25  $\log_{10}$  in the spleen and -0.44  $\log_{10}$  in the liver between BANAL-236 and Wuhan-372). BALB/c

1 mice could be infected by BANAL-236, but at lower levels compared with K18-hACE2 mice  
2 and without any clinical sign of infection (Fig. 1B, 1C). All mice infected with BANAL-236  
3 developed an antibody response, which neutralized the homologous strain more efficiently than  
4 the Wuhan-372 strain (Fig. 1D, day D30; Fig. 2; Appendix Figure S1).

5 K18-hACE2 mice infected by BANAL-236 at  $10^4$  PFU were fully protected against lethality and  
6 weight loss after challenge with  $10^4$  PFU of a virulent SARS-CoV-2 strain (hereafter called  
7 “Wuhan-D614G”, strain hCoV-19/France/GES-1973/2020, GISAID accession number  
8 EPI\_ISL\_414631), while naive K18-hACE2 mice infected by Wuhan-D614G presented a 100%  
9 mortality rate at day 8 post-infection (Fig. 1E, logrank test,  $p=0.00095$ ). At  $10^3$  PFU, 1 of 6 mice  
10 primo-infected by BANAL-236 and challenged by Wuhan-D614G was euthanized due to severe  
11 symptoms while 5/6 experienced moderate and transient body weight loss (Appendix Figure S2).  
12 This cross-protection (comparison with Wuhan-D614G: logrank test,  $p=0.0019$ ) was less  
13 efficient than that conferred by the Wuhan-372 strain (logrank test,  $p=0.00095$ ), for which, at the  
14  $10^3$  PFU dose, all mice survived after challenge (Fig. 1E). The neutralizing antibody responses  
15 against BANAL-236 and Wuhan-372 were boosted after the challenge with the Wuhan-D614G  
16 strain (Fig. 1D, D45).

17 Histopathological analysis of the lung at day 3 post-inoculation of K18-hACE2 mice infected  
18 with  $10^4$  PFU revealed less severe lesions in BANAL-236- than in Wuhan-372-infected mice,  
19 with interstitial inflammation often centered on blood vessels or bronchioles and endothelial cell  
20 injury (Fig. 1F, Appendix Figure S3).

21 Therefore, BANAL-236 replicates in hACE2 transgenic mice, but its pneumotropism and its  
22 pathogenicity are lower than those of the SARS-CoV-2 Wuhan strain.

23 **Pneumotropism of BANAL-236 is not increased by serial passages in hACE2 transgenic**  
24 **mice**

1 We conducted six passages of the initial BANAL-236 strain by inoculating three K18-hACE2  
2 mice intranasally with pooled lung homogenates from the previous passage (Fig. 1G). The  
3 pooled lung homogenates from the last passage (P6,  $10^4$  PFU) were then inoculated into K18-  
4 hACE2 mice to compare the pathogenicity of P6 with that of P1. We found no significant  
5 differences in body weight variation between the two strains (Fig. 1G). The number of genome  
6 copies per g of lung increased upon passages up to  $9.7 \log_{10}$  gc/g at P4 and remained constant  
7 during the next passages, never reaching the levels observed for the Wuhan-372 strain at the first  
8 passage ( $11.3 \log_{10}$  gc/g). We did not detect any viral RNA in the liver of mice inoculated with  
9 P6, whereas the viral load was  $4.1 \log_{10}$  gc/g for P1. Genome copy numbers in the spleen  
10 decreased by  $1.4 \log_{10}$  between mice infected by P1 and P6. One mouse of three infected by  
11 BANAL-236 P6 presented a detectable but non quantifiable viral load in the feces or in the  
12 rectum (positive RT-qPCR at a RNA dilution of 1/10 [Ct=39.13] but negative when RNA was  
13 not diluted). These results show that the six serial passages in humanized mice did not modify  
14 the pneumotropism of BANAL-236 nor steer the virus towards a more virulent phenotype.

### 15 **BANAL-236 behaves as an enteric virus in macaques**

16 Two cynomolgus macaques (#MF1 and # MF2) were exposed to  $6.10^5$  PFU of BANAL-236 by  
17 the intranasal and intratracheal routes simultaneously and followed for 43 days (Fig. 3A). Body  
18 temperature and clinical score remained within normal values but #MF2 showed progressive  
19 weight loss (Fig. 3B). None of the animals had detectable genomic viral loads in broncho-  
20 alveolar lavage (BAL) and in nasopharyngeal and tracheal samples (swabs), as assessed by RT-  
21 qPCR for viral genomic and sub-genomic RNAs, except a low transient blip for the two  
22 macaques at D2-3 post-infection in nasopharyngeal fluids ( $2.9-3.2 \log_{10}$  copies/mL) and in BALs  
23 ( $4.1-3.4 \log_{10}$  copies/mL) (Fig. 3C). Viral sub-genomic RNAs (sgRNAs), which are indirect  
24 evidence for viral replication, were not detectable in nasopharyngeal swabs nor BALs. In

1 contrast, the two macaques shed the virus in the feces (rectal swabs) at D11 (3.52 log<sub>10</sub>  
2 copies/mL) for animal #MF1 and from D7 to D14 for animal #MF2 that peaked at D11 at high  
3 level (7.84 log<sub>10</sub> copies/mL). Both animals had undetectable viral loads at D21. Viral sub-  
4 genomic RNA peaked at D11 for animal #MF2 (Fig. 3C). Of note, this individual presented  
5 progressive weight loss from D3 to D28, suggesting a possible link with viral excretion of  
6 BANAL-236 virus in the feces. Results were compared to historical data obtained for SARS-  
7 CoV-2 (Wuhan-372 strain) when administered by the same standardized protocol to 33 or 11  
8 macaques at 1.10<sup>6</sup> or 1.10<sup>5</sup> PFU, respectively. The replication profile of SARS-CoV-2 and  
9 BANAL-236 was strikingly different, with lung tropism (BAL) and respiratory shedding  
10 (tracheal and nasopharyngeal swab samples) being dominant for SARS-CoV-2, and enteric  
11 tropism for BANAL-236 (Fig. 3C).

12 The two macaques infected by BANAL-236 showed very mild pulmonary lesions (Appendix  
13 Figure S4) characterized by non-extended ground-glass opacities detected by chest computed  
14 tomography (CT score of 1), while macaques infected by SARS-CoV-2 showed CT scores of 5  
15 or more (Fig. 3B)(Maisonnette *et al*, 2020). BANAL-236-infected animals experienced a  
16 transient lymphopenia at D2 or D3 while other hematological parameters remained within  
17 normal ranges (Appendix Figure S5). Sera of the immunized macaques exhibited BANAL-236  
18 and cross-reactive SARS-CoV-2 neutralizing responses (Fig. 3B).

19 Therefore BANAL-236 largely behaves like an enteric virus and displays low pathogenicity in  
20 macaques.

### 21 **Mutations selected upon passages in human cellular or animal models**

22 We attempted to model the human transmission cycles of BANAL-236 by passaging it 6 times in  
23 Caco-2 intestine cells and in transgenic mice expressing hACE2, beginning with the strain  
24 initially isolated and amplified by 2 passages in Vero E6 cells (Fig. 4A). Several studies reported



1 significant increases in lung viral load of transgenic mice after a small number of  
2 passages(Huang *et al*, 2021; Montagutelli *et al*, 2021; Gu *et al*, 2020). Therefore, we did not  
3 passage the virus further, as the objective was not to adapt the virus to human cells but to mimic  
4 a worst-case scenario considering the low transmissibility of the virus as informed by previous  
5 animal studies for SARS-CoV-2 deleted for the furin cleavage site(Johnson *et al*, 2021), and as  
6 developed below for BANAL-236. We did not find evidence for any variation within or around  
7 the furin cleavage site despite high vertical sequencing depth (Appendix Table S1). We  
8 confirmed with standard PCR that at the same locus no insertion was detectable (Appendix  
9 Figure S6).

10 However, we identified a set of mutations that were not detectable in the original BANAL-236  
11 fecal swab despite a high sequencing depth (Appendix Table S2). Notably, a missense mutation  
12 V391I (V395 in SARS-CoV-2 Wuhan strains), already detected after the first passages in Vero  
13 E6 cells, became dominant after the first passage in intestinal Caco-2 cells. The same mutation  
14 was positively selected in the course of macaque infection (from day 7 to day 14) while being  
15 counter-selected in K18-hACE2 mice (Fig. 4B, Appendix Figure S7). This mutation was  
16 associated with other mutations appearing during passages in Caco-2 cells (NSP10 N4350K  
17 [N4358 in SARS-CoV-2], endoRNase NSP15 N6448T [N6456 in SARS-CoV-2], ORF3a silent  
18 mutation T248 [T248 in SARS-CoV-2]) or during macaque infection (spike NTD S52R [T51 in  
19 SARS-CoV-2], NSP14 3'-5' exonuclease H5943Q [H5951 in SARS-CoV-2]), suggesting a  
20 possible complementarity of these mutations affecting different proteins or genes.

21 On the other hand, passaging the virus in transgenic mice selected a cluster of mutations located  
22 in the spike (P627L [P631 in SARS-CoV-2], silent T108 [T108 in SARS-CoV-2]); in the RdRp  
23 (silent N4998 [N4992 in SARS-CoV-2]; in ORF6 (A12T [A12 in SARS-CoV-2]), and in ORF3a  
24 (L101R [L101 in SARS-CoV-2]) that were specific to the mouse model (Fig. 4B). These

1 mutations were identified after the first passage and became predominant thereafter.  
2 Remarkably, these mutations were different from those observed in Caco-2 cells (Fig. 4C),  
3 suggesting that they probably do not impact infection pathways shared by transgenic mice and  
4 Caco-2 cells. Silent mutations were also identified but the codon used in SARS-CoV-2 for the  
5 corresponding residue was most generally the original codon of BANAL-236 sequenced from  
6 the bat feces and not the mutated one (Appendix Table S3).

7 To gain insight into the phenotype of the missense mutations of the spike RBD-V391I [V395 in  
8 SARS-CoV-2 Wuhan strains] and in particular its effect on binding to hACE2, we performed all-  
9 atom explicit solvent Molecular Dynamics (MD) simulations of the wild type (WT) BANAL-236  
10 RBD/hACE2 complex and the mutant (Appendix Table S4). The MD simulations were initiated  
11 from the X-ray crystal structure of the WT complex (PDB code 7PKI)(Temmam *et al*, 2022) and  
12 an homology model of the mutant and were extended for a total aggregated simulation time of 6  
13  $\mu$ s. The MD simulations did not reveal significant differences upon mutation in the stability of  
14 the RBD or in the interaction strength with hACE2 in the microsecond timescale (Appendix  
15 Figure S8). The FoldX scoring function predicted similar binding affinities for all the RBD-  
16 hACE2 complexes studied (Appendix Figure S9). Moreover, persistent hydrogen bonds  
17 (Appendix Figure S10) and salt bridges (Appendix Figure S11) observed at the WT RBD-  
18 hACE2 interface were conserved in the mutated complex.

19 Regarding the S1-P627L mutation, this residue belongs to a loop in S1 that shares high amino  
20 acid identity with SARS-CoV-2. The loop is at the interface with the NTD and is not resolved in  
21 most cryo-EM structures of the spike, except for the Omicron variant(Yin *et al*, 2022), which  
22 indicates a high flexibility in this region of the spike. Therefore, we do not expect that the P627L  
23 mutation will drastically affect the overall structure and function of the spike besides inducing  
24 minor local conformational changes.

1 Finally, the S52R mutation (T51 in SARS-CoV-2) located in the NTD, which was positively  
2 selected during the course of macaque infection, is located at the interface of the trimer but is not  
3 fully surface exposed. This residue does not seem to interact with any other residue in the  
4 vicinity but may possibly affect the spike overall dynamic.

#### 5 **Sarbecoviruses serosurvey in humans exposed to bats**

6 In order to determine whether humans in close contact with bats could have been infected by bat  
7 sarbecoviruses, we compared reactivity of sera from a population in close contact with bats in  
8 this sampling area (guano collectors, bat hunters or sellers) and with that of sera from the general  
9 population in Laos collected at the same period (2020) or earlier, before the emergence of  
10 COVID-19 in China (2019)(Virachith *et al*, 2021; Xaydalasouk *et al*, 2021). Pre-pandemic sera  
11 collected in France and matched for sex and age with the 2019 Lao pre-pandemic sera served as  
12 a negative control for subjects exposed to these viruses, and sera from Lao people infected with  
13 SARS-CoV-2 were used as a positive control. Sera were tested for their ability to neutralize  
14 pseudotypes of BANAL-236, -52 and -103 viruses or to bind their S proteins by two independent  
15 techniques (LIPS and LuLISA). None of the sera from the three Lao non-COVID populations  
16 showed binding or neutralizing activity against any of the three viruses above the background  
17 signals identified in the control French population (Fig. 5A-C), except for the 2019 Lao pre-  
18 pandemic sera that showed slight reactivity in LIPS-52 compared to the French pre-pandemic  
19 group. Because this finding was not supported by any other technique (PNT or LuLISA) and  
20 because the signal in bat-exposed population was lower to the one observed in the 2019 Lao pre-  
21 pandemic population, we considered this finding as non-significant. Control sera from patients  
22 infected with SARS-CoV-2 showed cross-neutralization and cross-binding to bat viruses  
23 BANAL-236, -52 and -103 as expected, given the proximity of their sequences (Fig. 5A-C).  
24 Cross-neutralization assays showed that the outlier (present in the bat-exposed Laotian

1 population) that neutralized BANAL-52 pseudotype was likely infected by SARS-CoV-2,  
2 reflecting the cross-reactivity of SARS-CoV-2 neutralizing antibodies for BANAL viruses  
3 (Appendix Figure S12, Appendix Table S6). Therefore, no human infection by bat  
4 sarbecoviruses close to SARS-CoV-2 (such as BANAL viruses) was detected, with an upper  
5 limit of infection rate detection of 4.1% (bat-exposed population, 2020, n=74, p=0.05) and 1.5%  
6 (general population 2019 and 2020, n=200, p=0.05).

## 7 **Discussion**

8 The origin of the COVID-19 pandemic could have proceeded from direct human infection by bat  
9 viruses like BANAL-236 or BANAL-52, which is supported by their very close proximity with  
10 SARS-CoV-2 and the failure to identify intermediate hosts contrary to their rapid detection for  
11 SARS-CoV(Guan *et al*, 2003) and MERS-CoV(Müller *et al*, 2015; Reusken *et al*, 2013).  
12 According to this hypothesis, people may have been infected in the South of China or  
13 neighboring countries by bats while visiting caves such as for trapping purposes, or by their  
14 products like meat or guano, and travelled to Wuhan. Another possibility could be the infection  
15 of terrestrial mammals with an ACE2 receptor presenting also a very high affinity for the RBD  
16 of such bat strains(Temmam *et al*, 2022) that could have acted as an intermediate host between  
17 the bat reservoir and humans. In this context, it is noteworthy that the affinity of human ACE2  
18 for SARS-CoV-2 RBD is better than that predicted for the 54 other ACE2 orthologues  
19 evaluated(Kaushik *et al*, 2022) with the exception of the house cat (*Felis catus*), not  
20 commercialized on this market(Xiao *et al*, 2021). Another circumstance could be leakage from a  
21 laboratory that propagated bat sarbecoviruses in Wuhan.

22 To aid in evaluating the likelihood of these scenarios, we have taken advantage of our successful  
23 isolation of the BANAL-236 virus to anticipate the clinical consequence of such infection and  
24 the subsequent evolution of the virus. Our results add three important elements. First, data in

1 transgenic mice and macaques suggest that human infections, if any, could have been sub-  
2 clinical, or led to mild symptoms that could have been easily missed. Second, we show that  
3 BANAL-236 was mainly enterotropic and shed at high titer in the feces of macaques infected by  
4 the nasal and tracheal routes. This is reminiscent of SARS-CoV, which was enterotropic in  
5 addition to being pneumotropic (Leung *et al*, 2003; Peiris *et al*, 2003). Notably, viral load in  
6 mouse lungs was around 2 logs lower than upon SARS-CoV-2 infection, suggesting that  
7 transmission through the respiratory route was less efficient for BANAL-236 than for SARS-  
8 CoV-2. Therefore, if a similar strain had circulated initially in humans, or in other terrestrial  
9 mammals with similar ACE2 affinity as the human one, it was likely an enteric virus that  
10 remained undetected until acquiring a more efficient spreading ability, becoming also more  
11 pathogenic because of its adaptation to the respiratory tract. This spreading ability became  
12 further optimized in the variants that emerged later (delta, omicron). Spreading of enteric viruses  
13 is generally much less efficient than for respiratory viruses and does not lead to pandemics. In  
14 addition, people infected by such strains might be protected against more virulent strains (Fig.  
15 1E). Third, we found no evidence of human infection by SARS-CoV-2-like bat viruses in a  
16 population in contact with infected bats, in contrast with previous observations that used less  
17 specific nucleocapsid-derived antigen (Li *et al*, 2019), which supports the hypothesis that  
18 BANAL viruses are highly transmissible neither from bats to humans nor between humans. It  
19 was recently estimated that approximately 66000 people were infected annually in Southeast  
20 Asia with SARS-like sarbecoviruses and that the frequency of infections could even be higher in  
21 people who have high contact with infected bats or intermediate hosts (Sánchez *et al*, 2022).  
22 These results were based on data from the literature on human-bat contacts, a few serological  
23 surveys among humans describing bat contact, and human SARS antibody duration. Our results,  
24 which were obtained with very specific tests are not in favor of high infection rates in such

1 highly exposed populations.

2 We have modeled the likely evolution of these bat viruses in humans by performing 6 passages  
3 in transgenic mice through the respiratory route and in Caco-2 cells, a human intestinal epithelial  
4 cell line. We evidenced mutations along the genome, but none was found among hACE2  
5 contacting residues of the RBD, nor were predicted by our MD simulations to increase affinity  
6 for hACE2, which suggests that the very high affinity of BANAL-236 RBD for hACE2 did not  
7 benefit from additional selection pressure. This confirms that the repertoire of adaptive mutations  
8 at the RBD interface appears to be limited (Rochman *et al*, 2022). Within the spike, V391I, which  
9 has no impact on RBD binding to hACE2 (Starr *et al*, 2020), was positively selected in intestinal  
10 Caco-2 cells and in monkey feces but not in the lungs of transgenic mice. This mutation could  
11 therefore reflect either an adaptation to the host species or the intestinal tropism of BANAL-236  
12 in primates. Other mutations selected in transgenic mice (NSP12 RdRP N4984N, S1 P627L, NS6  
13 A12T) and in monkey feces (NSP14 ExoN H5943Q and S1-NTD S52R) warrant further  
14 investigation regarding their phenotype and complementarity as it is noteworthy that they were  
15 selected synchronously during passages in mice or in the course of infection in macaques.  
16 Nevertheless, all residues mutated during passages, like most of the silent mutations, are  
17 identical in the Wuhan strain and in BANAL-236 sequenced from bat fecal swabs. Moreover,  
18 these mutations were rare in the variants described in the GISAID database (Appendix Table  
19 S5), suggesting that they could correspond to an early adaptive process but were not stabilized in  
20 the consensus of early and late SARS-CoV-2 strains. Therefore, the increase in fitness that they  
21 impart in human cells could only occur when the spike lacks a furin cleavage site.

22 The acquisition of a furin cleavage site could therefore have been the key event leading to the  
23 SARS-CoV-2 epidemic and pathogenic Wuhan strain. Furin cleavage sites have a well-known  
24 role in avian influenza subtypes H5 and H7 pathogenesis. Indeed, acquisition of a furin cleavage

1 site composed of arginine (R) or lysine (K) in avian influenza virus hemagglutinin after  
2 replication in chickens is a documented event leading to the emergence of highly pathogenic  
3 avian influenza viruses (HPAIVs) from low pathogenic avian influenza viruses (LPAIVs) after  
4 spillover from wild aquatic birds. Such a mechanism can be reproduced by passages *in*  
5 *ovo*(Horimoto & Kawaoka, 1995; Laleye & Abolnik, 2020; Seekings *et al*, 2020). The furin site  
6 is thought to be created by duplication of lysine and arginine residues by polymerase slippage, as  
7 these amino acids are encoded by purine-rich codons(Perdue *et al*, 1997). In our experiments, 6  
8 passages in mice by the respiratory route or in human intestinal cells did not lead to the selection  
9 of a furin site. It can be argued that more passages could have allowed the selection of mutants  
10 with a polybasic site, as for the influenza virus which requires up to 11 *in vivo* passages from  
11 LPAIV strains to see HPAIVs strains emerge(Laleye & Abolnik, 2020). This high number of  
12 passages is consistent with the efficient circulation of LPAIVs in high-density poultry flocks.  
13 Regarding bat sarbecoviruses, in the context of the demonstration of, at worst, a low circulation  
14 in humans exposed to bats, our results make the spontaneous appearance of a furin site during  
15 silent circulation in humans unlikely.

16 The hypothesis of intense circulation of a bat coronavirus close to BANAL viruses in a different  
17 animal species remains open, but there is no reason to believe that such circulation would have  
18 been more efficient than in humans given the high affinity of the spike of BANAL viruses for  
19 human ACE2(Temmam *et al*, 2022). It has been proposed that a virus was circulating in bats,  
20 and likely after gaining the ability to bind ACE2, jumped into an intermediate host, from which  
21 two different lineages (named A and B) were separately but nearly concomitantly introduced into  
22 humans shortly thereafter(Pekar *et al*, 2022). Our results suggest that such a low-spreading strain  
23 is unlikely to diffuse in susceptible mammals (modeled by humanized transgenic mice and  
24 macaques) and to acquire a furin site, associated with pneumotropism at least on two occasions

1 during this short timeframe. This appears difficult to reconcile with, on the one hand, the quasi  
2 identity of sequences between BANAL-236 and SARS-CoV-2 even in the RBD, and on the other  
3 hand, the time necessary for this low-spreading virus to acquire by successive mutations a furin  
4 cleavage site while we did not identify such event even after six passages under selection  
5 pressure in transgenic mice or in human cells. Unfortunately, we could not use an evolutionary  
6 speed calibrated by known trees to estimate the time necessary for the appearance of a furin site  
7 as it corresponds to an indel.

8 Independently from the questions regarding the distant localization of infected bats from the  
9 epicenter of the epidemic(Harrison & Sachs, 2022), our work shows that the low diffusion, if  
10 any, of these enteric bat viruses between terrestrial mammals harboring high affinity receptors  
11 (like humans) renders unlikely the probability of co-infection of cells of these hosts by two  
12 coronaviruses, which would be required for the acquisition of the furin site by recombination,  
13 especially during such a short timeframe. Therefore, the most probable hypothesis is that the  
14 insertion of these PRRA amino-acids was a pre-spillover event that occurred in the bat reservoir  
15 and that generated a virus able to spread rapidly by the respiratory route in human populations  
16 (or through a not yet identified intermediate host). A natural origin of a SARS-CoV-2 supposes  
17 the existence of bat viruses harboring a furin site(Sander *et al*, 2022) that could have recombined  
18 with BANAL-like viruses. Interestingly, infection with BANAL-236 conferred cross-protection  
19 in mice against a SARS-CoV-2 challenge, which suggests that herd immunity against putative  
20 more virulent bat sarbecovirus strains resulting from such recombination could exist in local  
21 human populations frequently infected by bat viruses, albeit not detected in our study. To  
22 investigate the existence of bat coronaviruses bearing a furin cleavage site, we are pursuing  
23 investigations in caves located within the vast karstic biotope shared by China and neighboring  
24 countries.



## 1 **Materials and Methods**

### 2 Ethics

3 All work done in mice was approved by the Institut Pasteur Ethics Committee (project dap  
4 210050) and authorized by the French Ministry of Research under #31816 in compliance with  
5 the European and French regulations on the protection of live vertebrates and the Standards for  
6 Human Care and Use of Laboratory Animals, of the Office for Laboratory Animal Welfare  
7 (OLAW, assurance number #F16-00110 A5476-01).

8 *Cynomolgus* macaques (*Macaca fascicularis*) originating from Mauritian AAALAC certified  
9 breeding centers were used in this study. All animals were housed within IDMIT animal  
10 facilities at CEA, Fontenay-aux-Roses under BSL-3 containment when necessary (Animal  
11 facility authorization #D92-032-02, Préfecture des Hauts de Seine, France) and in compliance  
12 with European Directive 2010/63/EU, the French regulations and the Standards for Human Care  
13 and Use of Laboratory Animals, of the Office for Laboratory Animal Welfare (OLAW,  
14 assurance number #A5826-01, US). Animals tested negative for *Campylobacter*, *Yersinia*,  
15 *Shigella* and *Salmonella* before being used in the study.

16 The protocols were approved by the institutional ethical committee “Comité d’Ethique en  
17 Expérimentation Animale du Commissariat à l’Energie Atomique et aux Energies Alternatives”  
18 (CEtEA #44) under statement number A20-037. The study was authorized by the “Research,  
19 Innovation and Education Ministry” under registration number APAFIS#24434-2020030216532863  
20 v3. All information on the ethics committee is available at [https://cache.media.enseignementsup-  
21 recherche.gouv.fr/file/utilisation\\_des\\_animaux\\_fins\\_scientifiques/22/1/comiteethiqueea17\\_juin2  
22 013\\_257221.pdf](https://cache.media.enseignementsup-recherche.gouv.fr/file/utilisation_des_animaux_fins_scientifiques/22/1/comiteethiqueea17_juin2013_257221.pdf).

### 23 Biosafety

1 All experiments with animals (mice and macaques) and cell culture have been conducted in  
2 BSL-3 laboratories using procedures approved by each institution's health and security  
3 department. The project was monitored by an Institut Pasteur's internal committee in charge of  
4 the dual use research of concern monitoring: experiments in mice and cell culture were approved  
5 by the committee under the number #DURC-2021-01.

#### 6 Human samples

7 Pre-COVID-19 human serum samples (n=100) were collected in the context of a hospital-based  
8 serostudy in Saravan province, southern Laos, 2017 (Lao National Ethics Committee for Health  
9 Research reference 018/NECHR/2017 (Xaydalasouk *et al*, 2021). Serum samples from late 2020  
10 (n=100) were collected from the general Lao population, healthcare workers and bat contacts  
11 from six provinces (Ref 052/NECHR/2020 (Virachith *et al*, 2021)). The bat contacts group  
12 (n=74) consisted of guano collectors and bat hunters or sellers from four villages located in areas  
13 alongside isolated limestone karsts where BANAL-20-236 and other SARS-like coronaviruses  
14 were detected from bats. SARS-CoV-2 positive serum samples (n=15) were obtained from  
15 patients during routine COVID-19 surveillance.

#### 16 Cells

17 Vero E6 and Caco-2 cells (ATCC) were grown in Dulbecco's modified Eagle's medium  
18 (DMEM) with 5% fetal bovine serum (Thermo Fisher Scientific) and 1% penicillin-streptomycin  
19 (Thermo Fisher Scientific). All cell lines were tested negative for mycoplasma.

#### 20 Mice infection

21 B6.Cg-Tg(K18-ACE2)2Prlmn/J transgenic mice were imported from The Jackson Laboratory  
22 (SN #34860) and bred at the Institut Pasteur. BALB/cJrj were purchased from Janvier Labs (Le

1 Genest St Isle, France). Mice were maintained under specific-pathogen-free conditions with a  
2 14-h light and 10-h dark cycle and ad libitum food and water in the Institut Pasteur animal  
3 facility.

4 Infection studies were performed in animal biosafety level 3 (BSL-3) facilities at the Institut  
5 Pasteur, on 11 to 19-week-old female mice. Forty to 60 microliters of either viral suspension or  
6 lung homogenate were inoculated intranasally to ketamine-xylazine-anesthetized mice. Group  
7 size and inoculation dose are indicated in the figure legends. Clinical signs of disease and weight  
8 loss were monitored daily. Mice reaching predefined humane endpoints, the end of the  
9 observation period or the time point for sample collection were euthanized by ketamine/xylazine  
10 overdose. Blood was collected by puncture of the retroorbital sinus. For viral quantification,  
11 tissue samples were placed in lysing matrix D tubes (MP Biomedical) and stored at -80°C.

12 For serial passaging, three mice (P1) were inoculated with  $10^3$  PFU of BANAL-236 and  
13 euthanized at 3 days post-infection. The right lung lobe was placed on a 70 $\mu$  cell strainer  
14 (Falcon), minced with fine scissors and ground with a syringe plunger using 400  $\mu$ L of PBS. The  
15 three lung homogenates were mixed in equal volumes and 40  $\mu$ L of the mix was inoculated to  
16 each of three P2 mice. Viral load and viral titers were measured on the mix. The procedure was  
17 repeated until P6. Lung homogenates from P6 mice were frozen until viral titer was obtained. P7  
18 mice were inoculated with  $10^4$  PFU of P6 mix.

### 19 Histology

20 Mouse lungs were fixed for one week in 10% neutral-buffered formalin for biosafety reasons and  
21 transferred into 70% ethanol. Samples were embedded in paraffin. Four  $\mu$ m-thick sections were  
22 cut and stained with hematoxylin and eosin (HE).

### 23 Macaques infection

1 According to preliminary experiments, to the literature and also to the recommendation of the  
2 Bill and Melinda Gates Foundation, we routinely use  $10^5$  or  $10^6$  PFU of SARS-CoV-2  
3 Wuhan/animal to evaluate the efficacy of vaccine and treatment. Both doses are efficient to  
4 obtain mild symptoms after SARS-CoV-2 Wuhan exposure. For this study, two female  
5 cynomolgus macaques aged 4-5 years were exposed to a total dose of  $6.10^5$  PFU of BANAL-236  
6 virus isolated and passaged twice in VeroE6 cells via the combination of intranasal and intra-  
7 tracheal routes (0.25 mL in each nostril and 4.5 mL in the trachea, i.e. a total of 5 mL; day 0),  
8 using atropine (0.04 mg/kg) for pre-medication and ketamine (5 mg/kg) with medetomidine  
9 (0.05 mg/kg) for anesthesia, with sample collection as previously described (Maisonnasse *et al*,  
10 2021). Blood cell counts, haemoglobin and haematocrit were determined from EDTA blood  
11 using a DXH800 analyzer (Beckman Coulter). We have compared the results with those obtained  
12 in 44 macaques inoculated in independent challenges with the Wuhan strain using the same  
13 exposure (including titrations made in the same laboratory), sampling protocol and read out.

#### 14 BANAL-236 genomic and sub-genomic RNA RT-qPCR for viral load assessment in NHPs

15 Upper respiratory (nasopharyngeal and tracheal) and rectal specimens were collected with swabs  
16 (Viral Transport Medium, CDC, DSR-052-01). Tracheal swabs were performed by insertion of  
17 the swab above the tip of the epiglottis into the upper trachea at approximately 1.5 cm of the  
18 epiglottis. All specimens were stored between 2°C and 8°C until analysis by RT-qPCR with a  
19 plasmid standard concentration range containing an RdRp gene fragment including the RdRp-  
20 IP4 RT-PCR target sequence. The limit of detection was estimated to be  $2.67 \log_{10}$  copies of  
21 SARS-CoV-2 gRNA per mL and the limit of quantification was estimated to be  $3.67 \log_{10}$  copies  
22 per mL. The protocol describing the procedure for the detection of SARS-CoV-2 is available on  
23 the WHO website ([https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-](https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2)  
24 [the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6\\_2](https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2)). SARS-CoV-2 sub-genomic

1 mRNA (sgRNA) levels were assessed by RT-qPCR targeting the E gene using primers and  
2 probes previously described(Corman *et al*, 2020): leader-specific primer sgLeadSARSCoV2-F  
3 CGATCTCTTGTAGATCTGTTCTC, E-Sarbeco-R primer  
4 ATATTGCAGCAGTACGCACACA and E-Sarbeco probe HEX579  
5 ACACTAGCCATCCTTACTGCGCTTCG-BHQ1. The limit of detection was estimated to be  
6  $2.87 \log_{10}$  copies of SARS-CoV-2 sgRNA per mL and the limit of quantification was estimated  
7 to be  $3.87 \log_{10}$  copies per mL.

### 8 Chest CT Protocol

9 All imaging acquisitions were performed on the Digital Photon Counting (DPC) PET-CT system  
10 (Vereos-Ingenuity, Philips) implemented in BSL3 laboratory. For imaging sessions, animals  
11 were first anesthetized with Ketamine (10mg/kg) + Metedomidine (0.05mg/kg) and then  
12 maintained under isoflurane 2% in a supine position on a patient warming blanket (Bear  
13 Hugger, 3M) on the machine bed with cardiac rate, oxygen saturation and temperature  
14 monitoring.

15 CT was performed under breath-hold with a detector collimation of  $64 \times 0.6$  mm, the tube  
16 voltage was 120 kV and intensity of about 150mAs. Automatic dose optimization tools (Dose  
17 Right, Z-DOM, 3D-DOM by Philips Healthcare) regulated the intensity. CT images were  
18 reconstructed with a slice thickness of 1.25 mm and an interval of 0.25 mm.

19 Images were analyzed using INTELLISPACE PORTAL 8 (Philips healthcare) and 3DSlicer  
20 (Open source tool). Pulmonary lesions were defined as Ground Glass Opacity, Crazy603 paving  
21 pattern or consolidation as previously described. Lesion features detected by CT imaging were  
22 assessed by two analyzers independently and final CT score results were obtained by consensus  
23 (Naninck *et al*, 2022).

## 1 Generation of lentiviral pseudoviruses and neutralization assay

2 The lentiviruses expressing BANAL-236 and Wuhan-372 synthetic spike genes were described  
3 previously(Temmam *et al*, 2022). Those expressing the spikes of BANAL-52 and BANAL-103  
4 were constructed similarly. Neutralization assays were conducted as described(Temmam *et al*,  
5 2022).

## 6 Luciferase Immunoprecipitation Assays

7 Recombinant antigens comprising the RBD or the ectodomain of the full spike of BANAL-236, -  
8 52, and -103 viruses were designed in fusion with the nanoluciferase as follows: the foldon  
9 domain (YIPEAPRDGQAYVRKDGWVLLSTFL) was added to the C-terminus of each  
10 ectodomain to allow the S protein to trimerize(Stevens *et al*, 2004), resembling the native spike  
11 state of the virion. The nanoluciferase was added to the carboxy-terminal end of each construct  
12 spaced by a 3-residues GSG linker. An exogenous signal peptide was added to RBD constructs  
13 to ensure efficient protein secretion. Synthetic genes were ordered from GenScript with codon  
14 usage optimized for protein expression in mammalian cells and cloned in the pcDNA3.1(+)  
15 vector. Plasmids were transfected in Expi293F Gn-TI cells using the ExpiFectamine293  
16 Transfection kit (Fisher Scientific) according to the manufacturer's recommendations,  
17 recombinant proteins were harvested at day 4 in the supernatant without any purification step and  
18 quantified by adding the substrate of the nanoluciferase to serial dilutions of the supernatant.  
19 Luminescence was measured onto a Centro XS<sup>3</sup> LB 960 luminometer (Berthold Technologies,  
20 France). LIPS assay was conducted as previously described(Grzelak *et al*, 2020) except that 10  
21  $\mu$ L of sera diluted to  $\frac{1}{4}$  in fetal calf serum (FCS, Fisher scientific) were engaged per reaction.  
22 The signal-to-noise ratio of each serum was calculated by dividing the RLU by the mean of 10  
23 negative controls (with 10  $\mu$ L of FCS) + five standard deviations.

## 1 LuLISA (Luciferase-Linked Immunosorbent Assay)

2 The purified full-length N and S protein stabilized in prefusion conformation of BANAL-236,  
3 BANAL-52 and BANAL-103 as described previously for SARS-CoV-2 (Woudenberg *et al*,  
4 2021) was diluted in PBS 1X at 50 ng/ $\mu$ L. White plates (Thermo Scientific 437796 /470372)  
5 were coated with 50  $\mu$ L/well during 4 hours at room temperature. After 3 washes in PBS 1X  
6 0,1%Tween, 50  $\mu$ L of heat-inactivated serums diluted 1/200 in PBS 1X, 0,1%Tween 1% milk  
7 were added/well, and incubated for 1 hour at room temperature. After 3 washes in PBS 1X  
8 0,1%Tween, anti-IgG nanobody fused to the nanoluciferase was added diluted in PBS 1X,  
9 0,1%Tween, 1% milk (dilution depending upon the preparation) and incubated for 20 minutes at  
10 room temperature. After 3 washes in PBS 1X 0,1%Tween, bioluminescent detection of antibody  
11 levels was performed by using 50  $\mu$ L of 12  $\mu$ M of the nanoluciferase substrate Furimazine, and  
12 measuring RLU for 0,5sec/well in a luminometer (Bertold centro XS).

## 13 Mutation analysis

14 The quantification of viral loads in genome copy per g of lung of each infected transgenic mouse  
15 expressing hACE-2 was carried out to constitute seven pools of replicates, each of 3-4 samples at  
16 equivalent concentration while individual macaque rectal samples were sequenced. A custom  
17 panel (251 probes) based on the MZ9370003.2 BANAL-236 genome was designed by TWIST  
18 Bioscience for NGS-based Target Capture. The double stranded cDNA (dscDNA) of each pool  
19 were constructed using the Protoscript II First strand cDNA synthesis kit followed by NEBNext  
20 Ultra II Non-Directional RNA Second Strand Synthesis Module. Purified dscDNA was then used  
21 as input for the Illumina DNA Prep with Enrichment library preparation protocol. Briefly, each  
22 purified dscDNA sample was tagged and fragments were barcoded. The barcoded libraries  
23 were pooled and hybridized with the TWIST custom panel for BANAL-236 following the

1 specifications for third-party panels of the Illumina DNA Prep with Enrichment protocol. The  
2 captured libraries were sequenced on an Illumina MiSeq Micro format in a paired-end 300-cycle  
3 run. For the infected VeroE6 and Caco-2 cells, the libraries were performed using the SMARTer  
4 Stranded Total RNA-Seq Kit v3 - Pico Input Mammalian kit (TaKaRa Bio, Ozyme) was used  
5 according to the manufacturer instructions and sequenced on an Illumina NextSeq 500 in a  
6 paired-end 300-cycle run, except for the Caco-2\_C4 sample (single-read sequencing).

7 Reads were trimmed with AlienTrimmer, then cropped using a custom python script in order to  
8 clean the sequence ends. Cleaned reads were mapped on the BANAL-20-236 genome (GenBank:  
9 MZ9370003.2) using CLC Genomics Workbench 20.0.4 with read Length fraction=1 and  
10 Similarity fraction=0.985. After adding InDel qualities, variants were called using LoFreq  
11 v.2.1.4 and variant annotation was performed with SnpEff v4\_5covid19\_core. Variants were  
12 checked for depth and strand bias. SNVs detected in at least three serial passages were first  
13 selected. Mutations with low frequency and weak variation were discarded.

#### 14 RT-qPCR on mice tissues

15 Organs were disrupted in 1 mL of DMEM with the TissueLyser LT homogenizer (Qiagen) and 2  
16 mL-reinforced tubes containing beads (Bertin, France). Total RNAs were extracted from 100 µL  
17 of clarified supernatant with the Maxwell® RSC simplyRNA Tissue kit (Promega) with DNase  
18 treatment, according to the manufacturer's instructions. Samples were tested with a one-step RT-  
19 qPCR Taqman system using a set of primers and probe targeting the E gene, as previously  
20 described(Corman *et al*, 2020) and [who.int/docs/default-source/coronaviruse/real-time-rt-pcr-  
21 assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6\\_2](https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2).

#### 22 Titration



1 Approximately  $10^6$  Vero E6 cells were seeded to each well of a 6-well plate and cultured  
2 overnight at 37°C, 5% CO<sub>2</sub>. The next day, the cells were infected with 400 µL of 10-fold serial  
3 dilutions of viral supernatants in DMEM without fetal bovine serum (FBS), supplemented with 1  
4 µg/mL TPCK-Trypsin and 1% penicillin-streptomycin. The viruses were incubated with the cells  
5 at 37 °C with 5% CO<sub>2</sub> for 1 hour. An overlay of MEM-avicel supplemented with 1 µg/mL  
6 TPCK-Trypsin was added to the cells. Three days later, the plates were stained with 30 % crystal  
7 violet, 20% ethanol and 10% formaldehyde. Plaques were counted and viral titers in PFU/mL  
8 were calculated.

### 9 Molecular Dynamics simulations of BANAL-236 RBD/human ACE2 complexes

10 We performed 6 all-atom explicit-solvent molecular dynamics (MD) simulations of the human  
11 ACE2 (hACE2) in complex with the wild type BANAL-236 RBD (WT-hACE2) and V391I  
12 mutant (V391I-hACE2). All simulations were performed with GROMACS 2021.4(Abraham *et*  
13 *al*, 2015) for a total aggregated time of 6 µs. The GROMACS topology and input files as well as  
14 the analysis scripts used are available on PLUMED-NEST ([plumed-nest.org](http://plumed-nest.org))(Bonomi *et al*,  
15 2019) under accession ID plumID:22.027. This work was granted access to the HPC resources of  
16 IDRIS under the allocation 2022-102408 made by GENCI.

#### 17 *Details of the MD simulations: setup, equilibration, and production.*

18 The X-ray structure of the wild type BANAL-236 RBD/hACE2 complex (PDB code 7PKI) was  
19 used as input to the CHARMM-GUI server(Jo *et al*, 2008). The zinc and chloride atoms present  
20 in 7PKI as well as the NAG and water residues were retained. The system was solvated in a  
21 triclinic box of initial x-y-z dimensions equal to 12.8 nm \* 8.9 nm \* 8.7 nm. Potassium and  
22 chloride ions were added to ensure charge neutrality at a salt concentration of 0.15 M. The total  
23 number of atoms were 96937. CHARMM-GUI was also used to construct a homology model of

1 the V391I mutant starting from the X-ray structure of the wild type. Additional details of the  
2 systems are reported in Appendix Table S4. The CHARMM36m force field(Huang *et al*, 2017)  
3 was used for the protein and ions and the TIP3P model(Jorgensen *et al*, 1983) was used for the  
4 water molecules. The CHARMM-GUI models were first energy-minimized using the steepest  
5 descent algorithm. After minimization, the wild type and mutant complexes were equilibrated  
6 using a 10-ns long simulation in the NPT ensemble followed by a 10-ns long simulation in the  
7 NVT ensemble. The temperature T was set at 300 K and the pressure P at 1 atm using the Bussi-  
8 Donadio-Parrinello thermostat(Bussi *et al*, 2007) and the Berendsen barostat(Berendsen *et al*,  
9 1984) respectively. During equilibration, harmonic restraints on the positions of the protein  
10 backbone and sidechains heavy atoms were applied. From the NVT equilibration runs, 3  
11 conformations of the wild type and V391I mutant were extracted and used as starting point for  
12 production simulations. The production simulations were performed in the NVT ensemble for 1  
13  $\mu$ s. A time step of 2 fs was used together with LINCS constraints on h-bonds(Hess *et al*, 1997).  
14 The van der Waals interactions were gradually switched off at 1.0 nm and cut off at 1.2 nm; the  
15 particle-mesh Ewald method was used to calculate electrostatic interactions with cutoff at 1.2  
16 nm(Essmann *et al*, 1995).

### 17 *Details of the analysis.*

18 To evaluate the stability of the starting model during the production runs, we calculated the  
19 backbone Root Mean Square Deviation (RMSD) with respect to the energy-minimized structure  
20 for each frame of the trajectories. The RMSD was calculated separately for: RBD, hACE2, the  
21 residues at the RBD-hACE2 interface, which were defined as the residues in one subunit closer  
22 than 0.8 nm to the residues in the other subunit in the X-ray structure 7PKI, and the entire  
23 complex (Appendix Figure 8). RMSD calculations were performed using the *driver* utility of  
24 PLUMED v. 2.7(Bonomi *et al*, 2019). To estimate the binding energy between RBD and

1 hACE2, we used the *AnalyseComplex* tool in FoldX v. 4(Schymkowitz *et al*, 2005) (Appendix  
2 Figure S9). To identify relevant interactions at the RBD-hACE2 interface, we quantified the  
3 frequency of formation of inter-subunits hydrogen bonds (Appendix Figure S10) and salt bridges  
4 (Appendix Figure S11) during the course of the MD simulations. To monitor the formation of  
5 hydrogen bonds, we used the Hydrogen Bond Analysis module of the MDAnalysis library v.  
6 2.1.0(Michaud-Agrawal *et al*, 2011). A donor–acceptor distance and angular cutoff of 0.3 nm  
7 and 150°, respectively, were used to define the formation of a hydrogen bond. For salt bridges,  
8 for each frame of the trajectories we used MDAnalysis to compute the distances between the  
9 sidechain charged groups of aspartic acids (OD1/OD2), glutamic acids (OE1/OE2), lysines (NZ),  
10 and arginines (NH1/NH2). A inter-subunits salt bridge was defined as formed if the distance  
11 between groups with opposite charge was lower than 0.32 nm.

## 12 Statistical analyses

13 Statistical analysis was performed with Prism (GraphPad Software, LLC) and R software.  
14 Survival curves were compared with a logrank test. Body weight loss curves were compared  
15 day-by-day with a Student's t-test.

## 16 **Data availability**

17 The NGS data generated in the study (Figure 3) were deposited in the NCBI/SRA archive, under  
18 the BioProject PRJNA796968 (<https://www.ncbi.nlm.nih.gov/bioproject/PRJNA796968/>).  
19 BioSample accession numbers: SAMN32126682-SAMN32126699.

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20 Illustrations for mice and primate infections were created with BioRender.com.

## 21 **Disclosure and Competing Interests Statement**

22 Authors declare that they have no competing interests.

23

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13



1 **Figure legends**

2 **Fig. 1. BANAL-236 infection in mice expressing hACE2.**

3 **A.** Schematic representation of infection of K18-hACE2 transgenic mice expressing human  
4 ACE2 (hACE2, black) or wild-type BALB/c mice (white) with BANAL-236 (red) or SARS-  
5 CoV-2 Wuhan-372 (blue) viruses. Sample size shown as 'x'. Lung, liver, spleen and brain were  
6 collected at day 3 (D3) post-infection. Blood was collected at D30 post-infection before  
7 challenge with SARS-CoV-2 Wuhan-D614G (yellow) virus. A second blood sample was  
8 collected at D14 post-challenge. Number of mice per group is shown as "x".

9 **B.** Body weight variation of K18-hACE2 or BALB/c mice infected by BANAL-236 (red) or  
10 Wuhan-372 (blue) viruses at  $10^4$  PFU (solid lines) or  $10^3$  PFU (dotted lines) expressed as the  
11 mean (+/- SEM) body weight variation. Significant differences observed between K18-hACE2  
12 mice infected at  $10^4$  PFU by BANAL-236 or Wuhan-372 at day 4 is noted by an asterisk  
13 (Student test,  $P < 0.05$ ). “#” artifactual body weight loss due to an incident occurred in the animal  
14 core facility on that day.

15 **C.** Quantification of BANAL-236 and Wuhan-372 in lung, spleen and liver of mice infected at  
16  $10^4$  PFU. Viral loads are expressed as the mean (+/- SD) genome copies of genomic RNA per g  
17 organ (solid bars) or as PFUs per g organ (dotted bars). The limit of detection of the RT-qPCR  
18 and viral titration are represented as a plain or dashed lines, respectively.

19 **D.** Neutralizing antibody titer (expressed in  $ED_{50}$ ) of mice primo-infected at  $10^4$  PFU by  
20 BANAL-236 (red) or SARS-CoV-2 Wuhan-372 (blue) viruses. The antibody response against  
21 the Wuhan-D614G challenge strain of mice primo-infected by BANAL-236 is presented as a  
22 dashed line. PNT means pseudo-neutralization. Error bars represent the standard error of the  
23 mean (SEM),  $p = 0.05$ .

24 **E.** Survival curves of mice challenged with SARS-CoV-2 Wuhan-D614G at  $10^4$  PFU, after a  
25 primo-infection by BANAL-236 or Wuhan-372 viruses at  $10^3$  or  $10^4$  PFU. Survival of K18-  
26 hACE2 naive mice infected by SARS-CoV-2 Wuhan-D614G at  $10^4$  PFU is presented as a  
27 reference (yellow).

1 **F.** Histopathological analysis, 3 days post-inoculation, of the lung of K18-hACE2 mice infected  
2 with  $10^4$  PFU of BANAL-236 (a-d) or SARS-CoV-2 Wuhan-372 (e,f) viruses. Interstitial  
3 pneumonia characterized by interstitial inflammation, often centered on blood vessels  
4 (perivasculitis, black arrows) or bronchi/bronchioles (black arrowheads), and endothelial cell  
5 injury and inflammation (endothelitis). Lesions were globally more severe after Wuhan-372  
6 infection (n=4; all of moderate severity) than after BANAL-236 infection (n=4; 3/4 of minimal  
7 severity (a-c) and 1/4 of moderate severity (d)). Scale bar: 100  $\mu$ . Low magnification images are  
8 provided in Figure S3.

9 **G.** Clinical and biological results of the 6 serial passages of BANAL-236 in K18-hACE2 mice as  
10 a pool of lung homogenates of the previous passage. The last passage (P6) was then inoculated  
11 into K18-hACE2 mice at  $10^4$  PFU. *Middle panel:* Viral load in the lung is expressed as the mean  
12 copies (+/- SEM) of genomic RNA per g of lung (solid line) or as PFUs per g of lung (dashed  
13 line). *Right panel:* Body weight variation of K18-hACE2 mice infected by BANAL-236 P1 (red,  
14 same results as those of Fig. 1B) or P6 (green) viruses at  $10^4$  PFU. Significant differences  
15 observed between K18-hACE2 mice infected by P1 or P6 are noted by an asterisk (\*\*:  $p < 0.01$ ).

16 **Fig. 2. Compared neutralizing antibody titer of mice infected by BANAL-236 or SARS-**  
17 **CoV-2 at two doses.**

18 Neutralizing antibody titer (expressed in  $ED_{50}$ ) of mice primo-infected at  $10^3$  (left) or  $10^4$  (right)  
19 PFU by BANAL-236 (red) or SARS-CoV-2 Wuhan-372 (blue) viruses. The antibody response  
20 against the Wuhan-D614G challenge strain of mice primo-infected by BANAL-236 is presented  
21 as a dashed line. PNT means pseudo-neutralization.

22 **Fig. 3. BANAL-236 infection in cynomolgus macaques.**

23 **A.** Schematic representation of infection of cynomolgus macaques by BANAL-236 virus. CT  
24 scan, clinical scoring and biological samples were realized 10 and 3 days before infection,  
25 respectively, to serve as baseline (BL) reference. The body temperature and weight were  
26 monitored at each anesthesia. Clinical scoring was realized at BL and then from D2 to D11 post-  
27 infection and CT scan at BL and at D2 and D11 post-infection. Broncho-alveolar lavage (BAL)  
28 was realized at D3 and D11 post-infection and biological sampling of nasopharyngeal, tracheal  
29 and rectal swabs at D2 to 28 post-infection to monitor the virus load and antibody profile. Blood

1 samples were collected 10 days before infection to 43 days post-infection and were used for  
2 hematology, cytokine quantification and antibody profiling.

3 **B.** Effects of infection by BANAL-236 virus in non-human primates. Animal #MF1 is presented  
4 in green and animal #MF2 in purple. Clinical score, body weight variation (in %), CT scores  
5 (compared to Wuhan-372) and neutralizing antibodies are measured from 10 days before  
6 infection to 43 days post-infection.

7 **C.** Viral load expressed in  $\log_{10}$  copies of genomic RNA (solid line) or sub-genomic RNA  
8 (dashed line) per mL of tracheal, nasopharyngeal, BAL and rectal fluids. Lower limit of  
9 quantification is presented in red for both genomic (solid line) and sub-genomic (dashed line)  
10 RNA, and limits of detection are presented in green for both genomic (solid line) and sub-  
11 genomic (dashed line) RNA. Results were compared to historical data of SARS-CoV-2 Wuhan-  
12 372 virus inoculated at  $10^6$  or  $10^5$  PFU (presented as the mean virus load +/- 95% CI expressed  
13 in  $\log_{10}$  copies of genomic RNA/mL).

14 **Fig. 4. Mutations selected upon passages in K18-hACE2 mice and Caco-2 cells.**

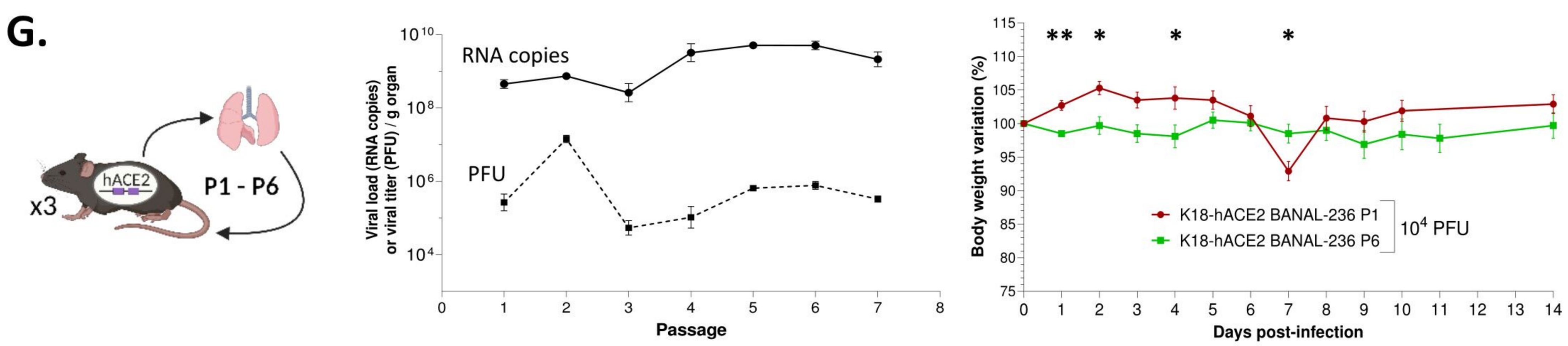
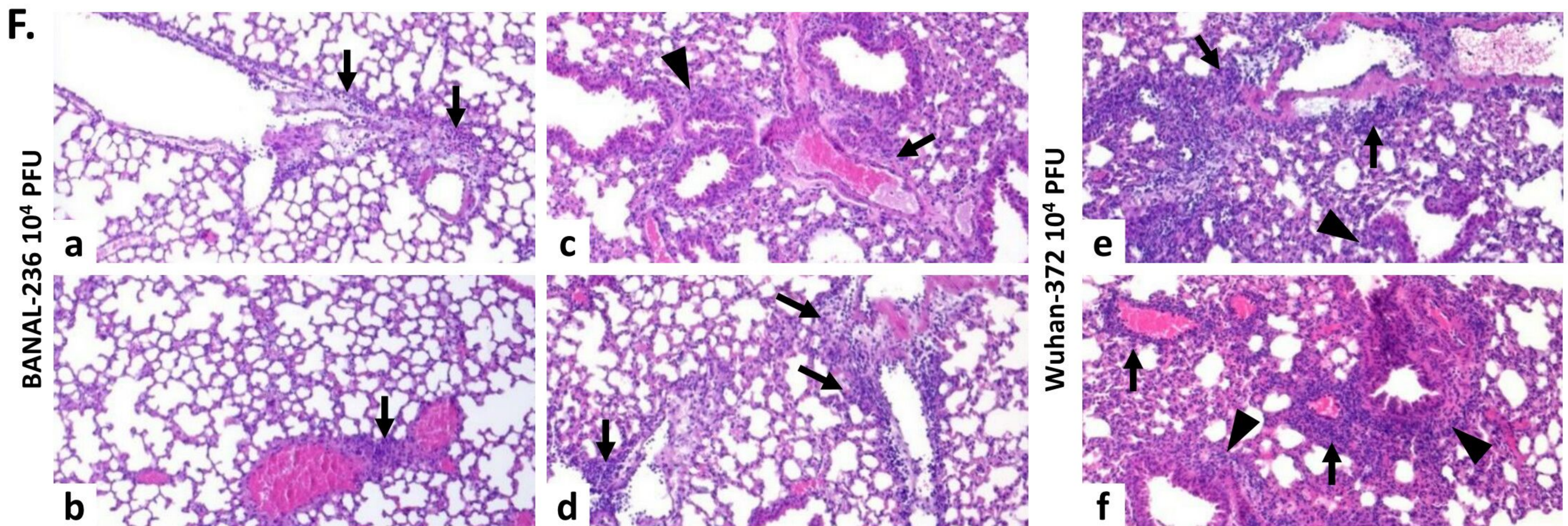
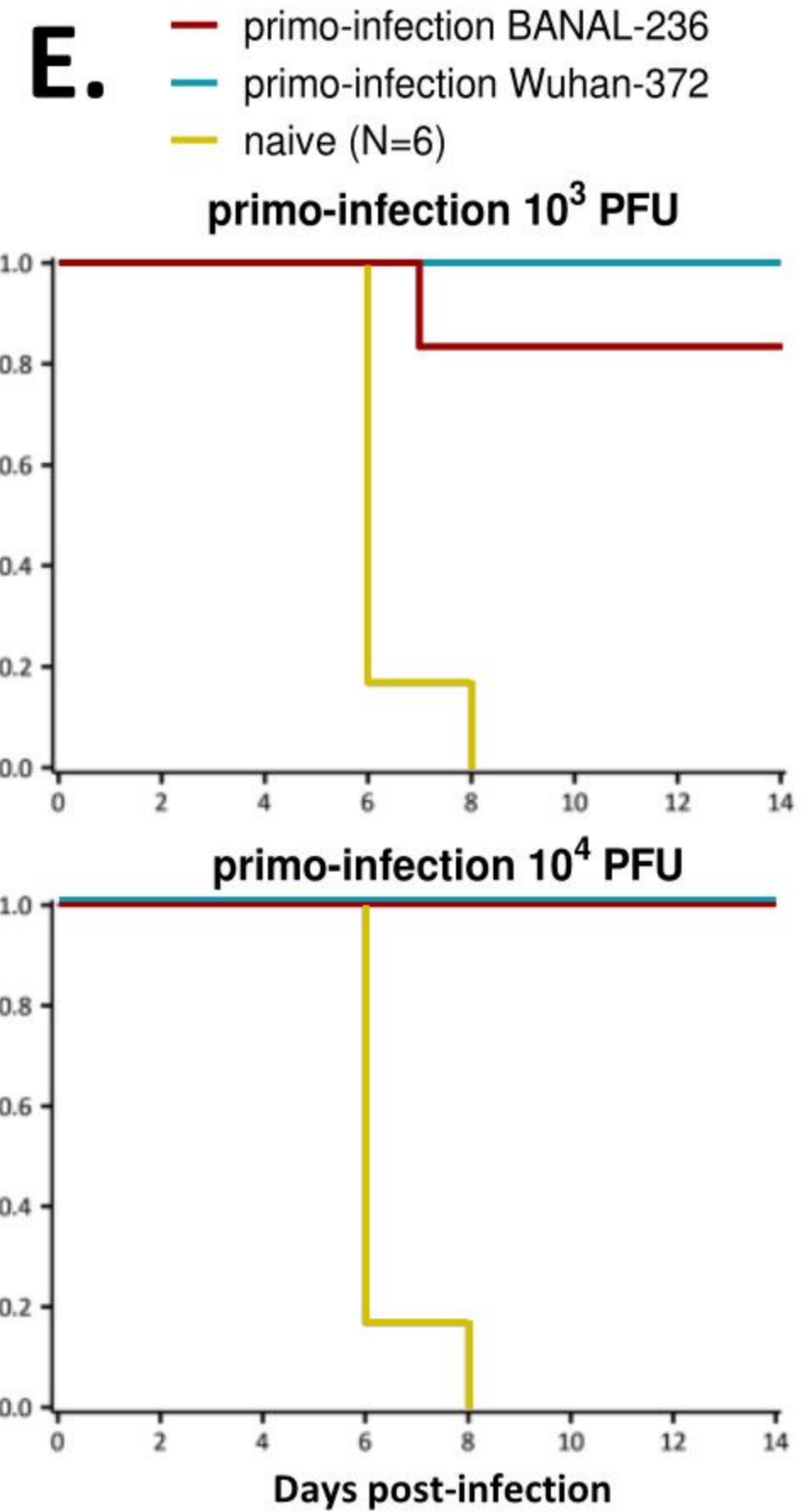
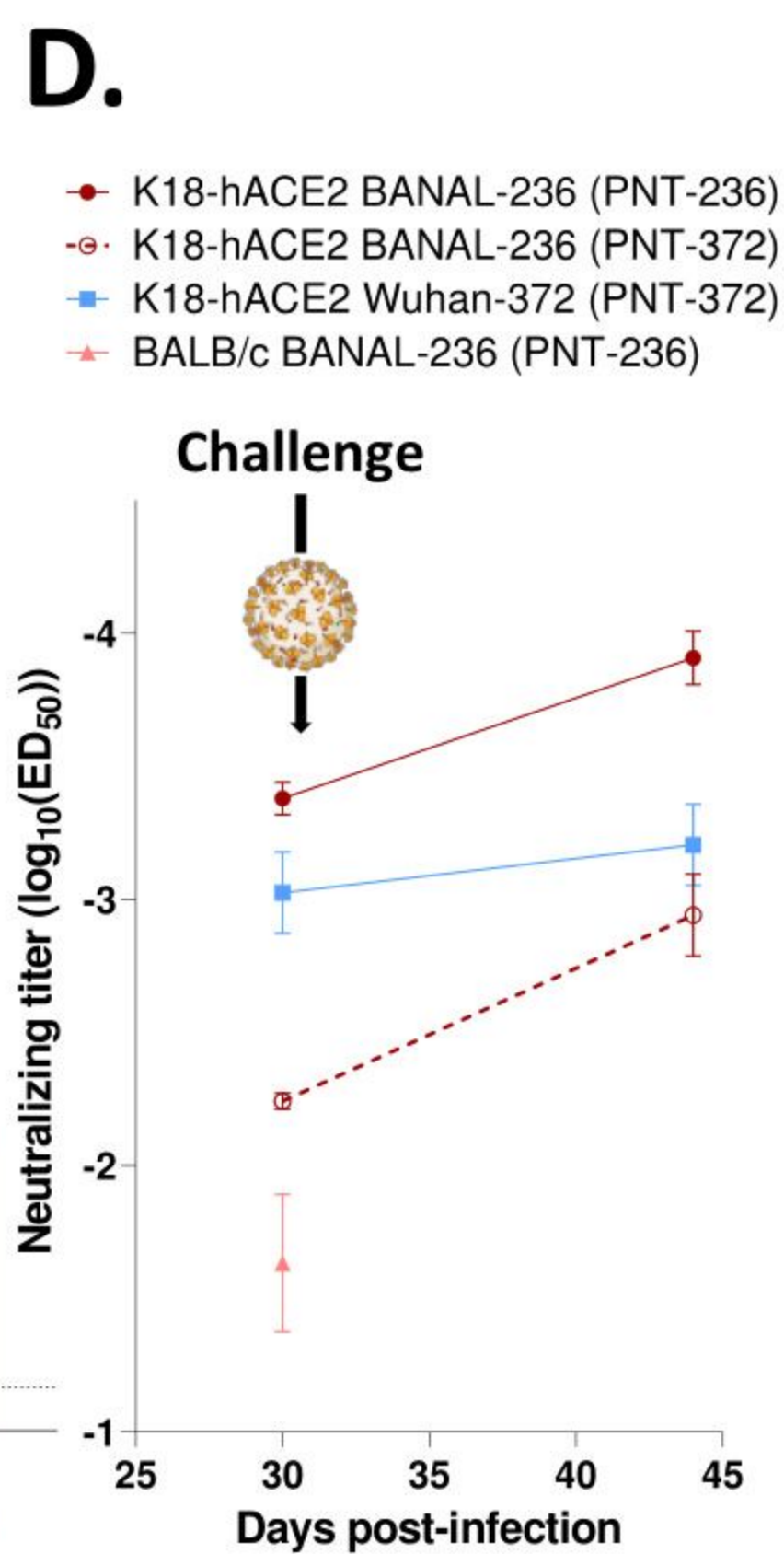
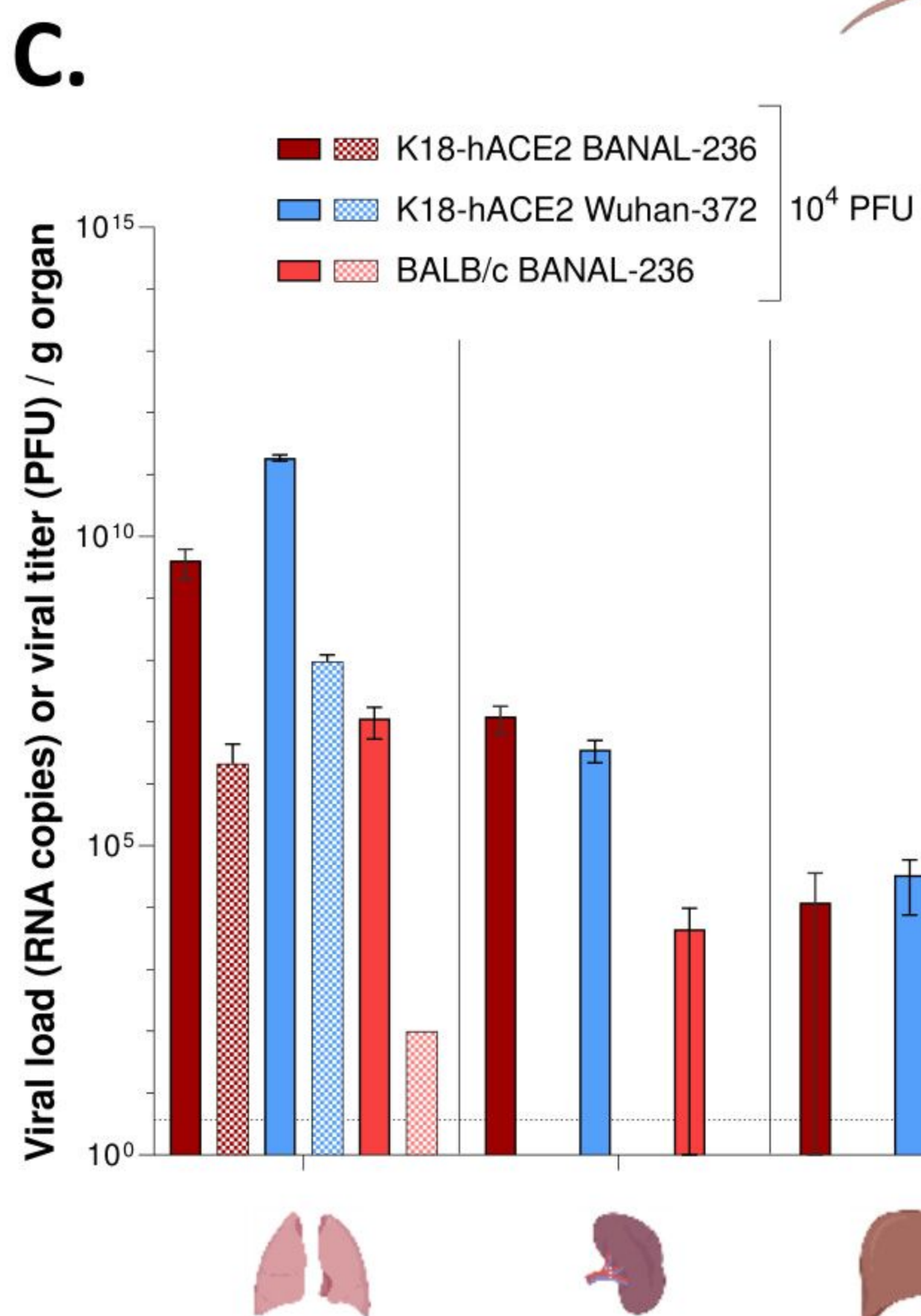
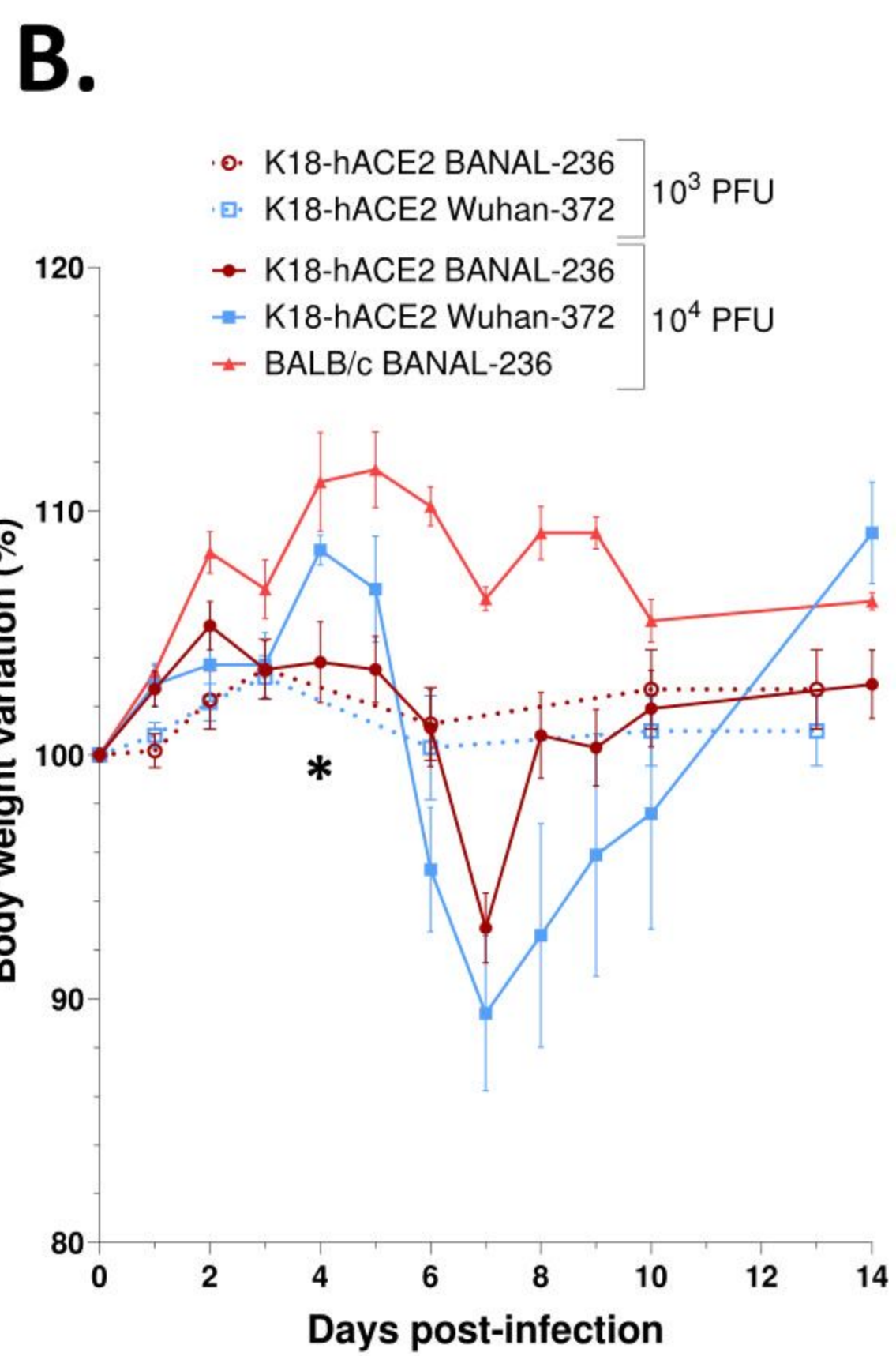
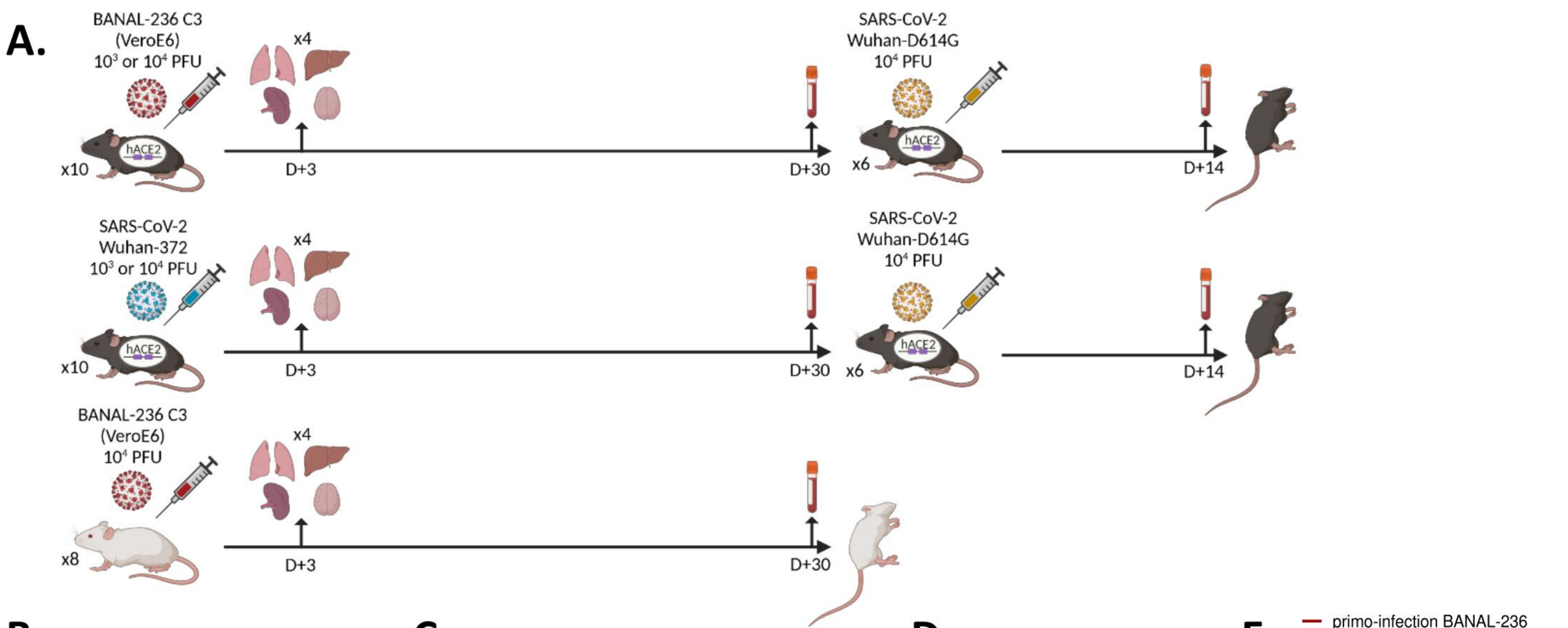
15 **A.** Schematic representation of serial passages of BANAL-236 virus in Vero E6 cells (C1 to C3)  
16 followed by 6 passages in K18-hACE2 mice or by 6 passages in Caco-2 cells at a constant  
17 multiplicity of infection (MOI) (C4 to C9).

18 **B.** Mutation profiles of BANAL-236 after passages in VeroE6 cells, Caco-2 cells, K18-hACE2  
19 mice and in the feces of macaque during the course of infection. Mutations reported in the  
20 heatmap were detected in at least three samples at a frequency above 10% in at least one sample.  
21 Rows are ordered according to the different passages and columns are clustered by SNVs  
22 frequencies.

23 **C.** Stacked histogram to compare the SNV frequencies of BANAL-236 from the Caco-2 and  
24 mice serial passages along the MZ9370003.2 genome. Mutations reported were detected in at  
25 least three serial samples. K18-hACE2 lung passages are color coded in red in the upper panel  
26 and Caco-2 passages are color coded in green in the lower panel. Each colored square height  
27 summarizes the allelic frequency (AF).

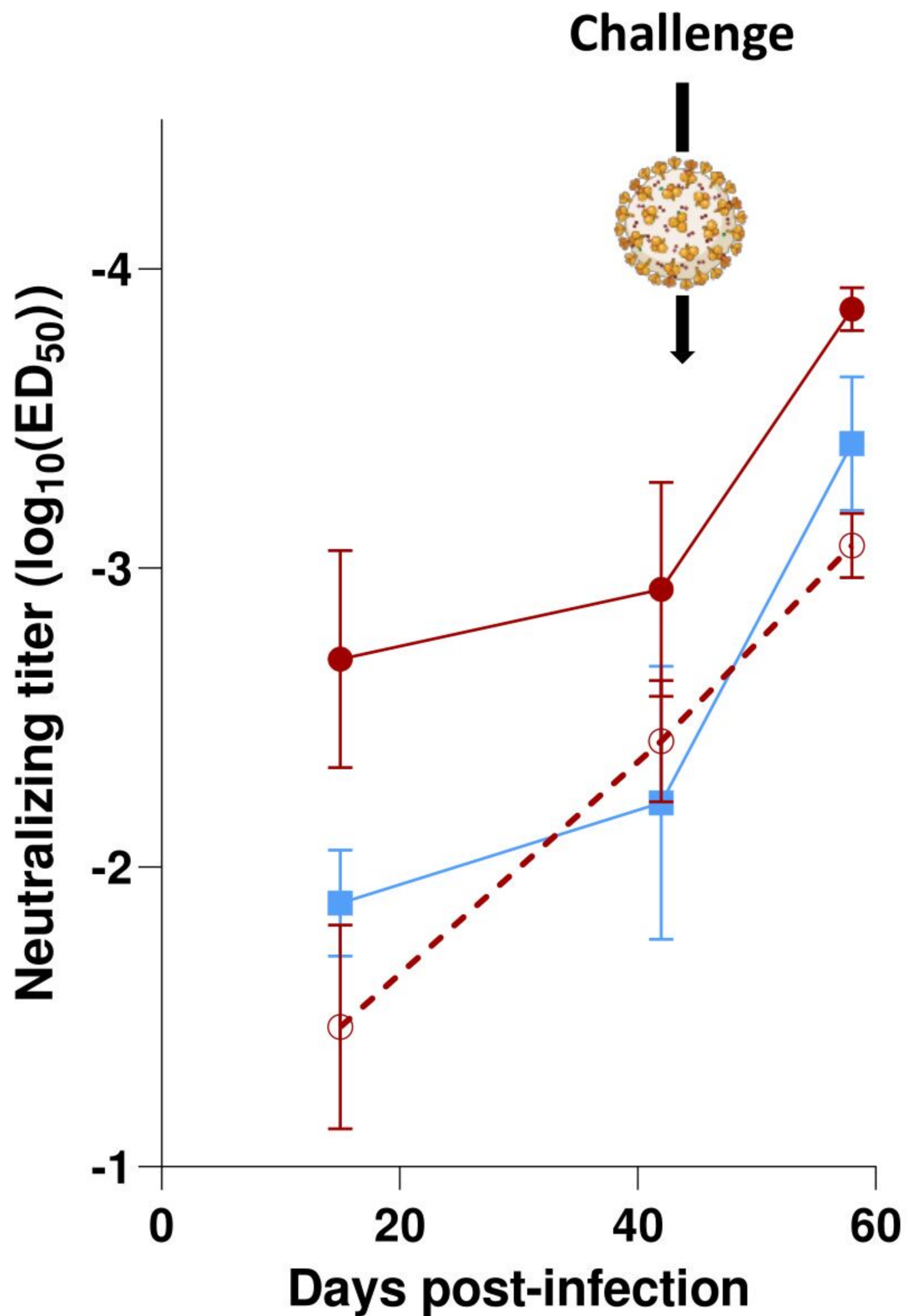
28 **Fig. 5. Antibody testing against bat sarbecoviruses in Lao human populations.**

1 (A-C) Results of Pseudoneutralisation (PNT), Luciferase immunoprecipitation (LIPS) and  
2 LuLISA tests are shown. Pre-pandemic French sera (black) were used as negative controls and  
3 Laotian sera samples from confirmed SARS-CoV-2 infection (green) were used as cross-reacting  
4 positive controls. Laotian sera samples collected in the general population before 2019 (blue,  
5 n=100) or late 2020 (gray, n=100) or in people exposed to bats (purple, n=74) were tested for  
6 BANAL-236 (A), BANAL-52 (B) and BANAL-103 (C) antibody responses. The ANOVA non-  
7 parametric Kruskal-Wallis test was conducted to compare each sub-population to the reference  
8 French population (\* P<0.05; \*\*P<0.005; \*\*\*P<0.0005; \*\*\*\*P<0.0001). The red bars represent  
9 the median.  
10



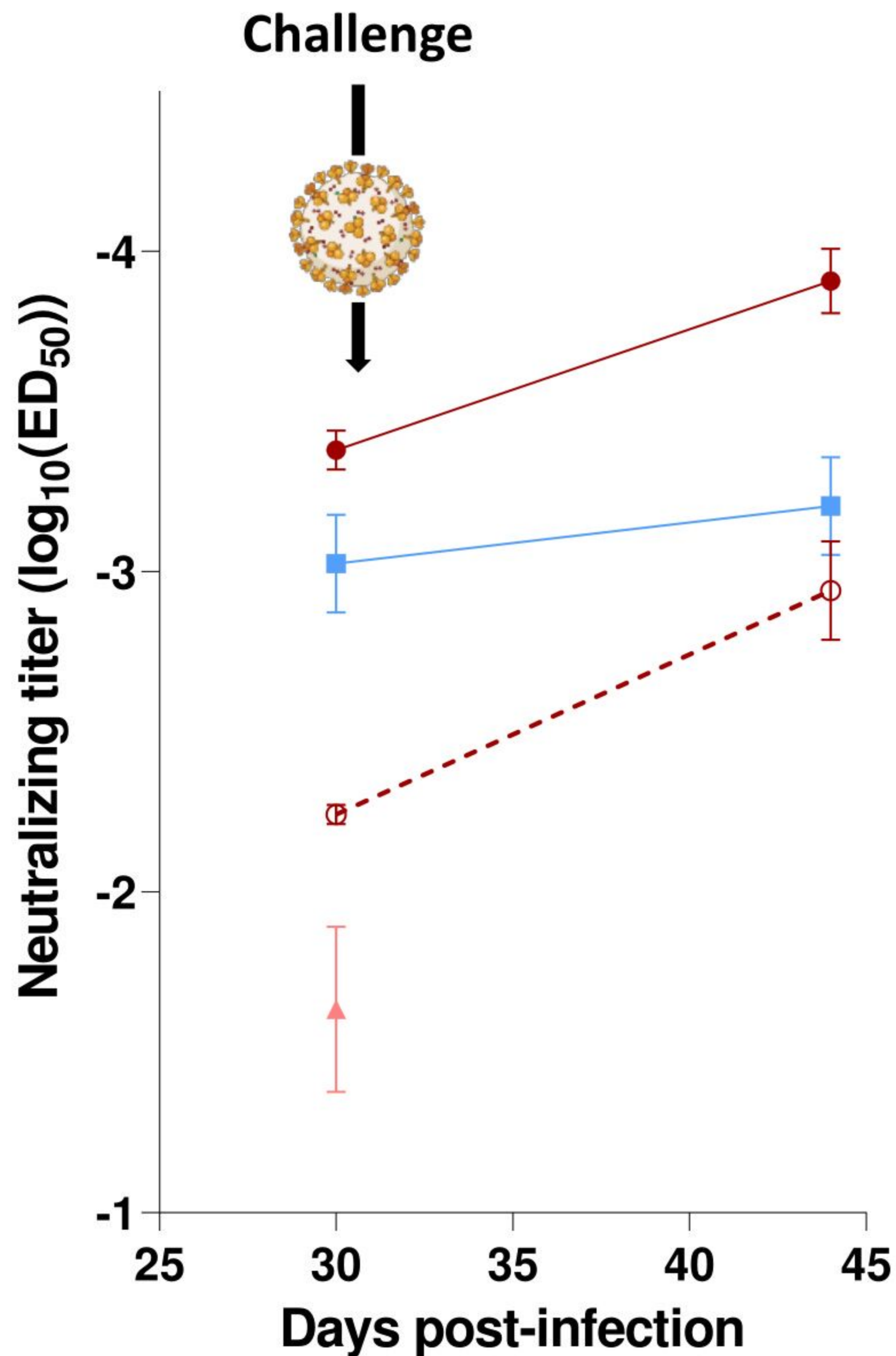
## Infection at $10^3$ PFU

- K18-hACE2 BANAL-236 (PNT-236)
- K18-hACE2 BANAL-236 (PNT-372)
- K18-hACE2 Wuhan-372 (PNT-372)



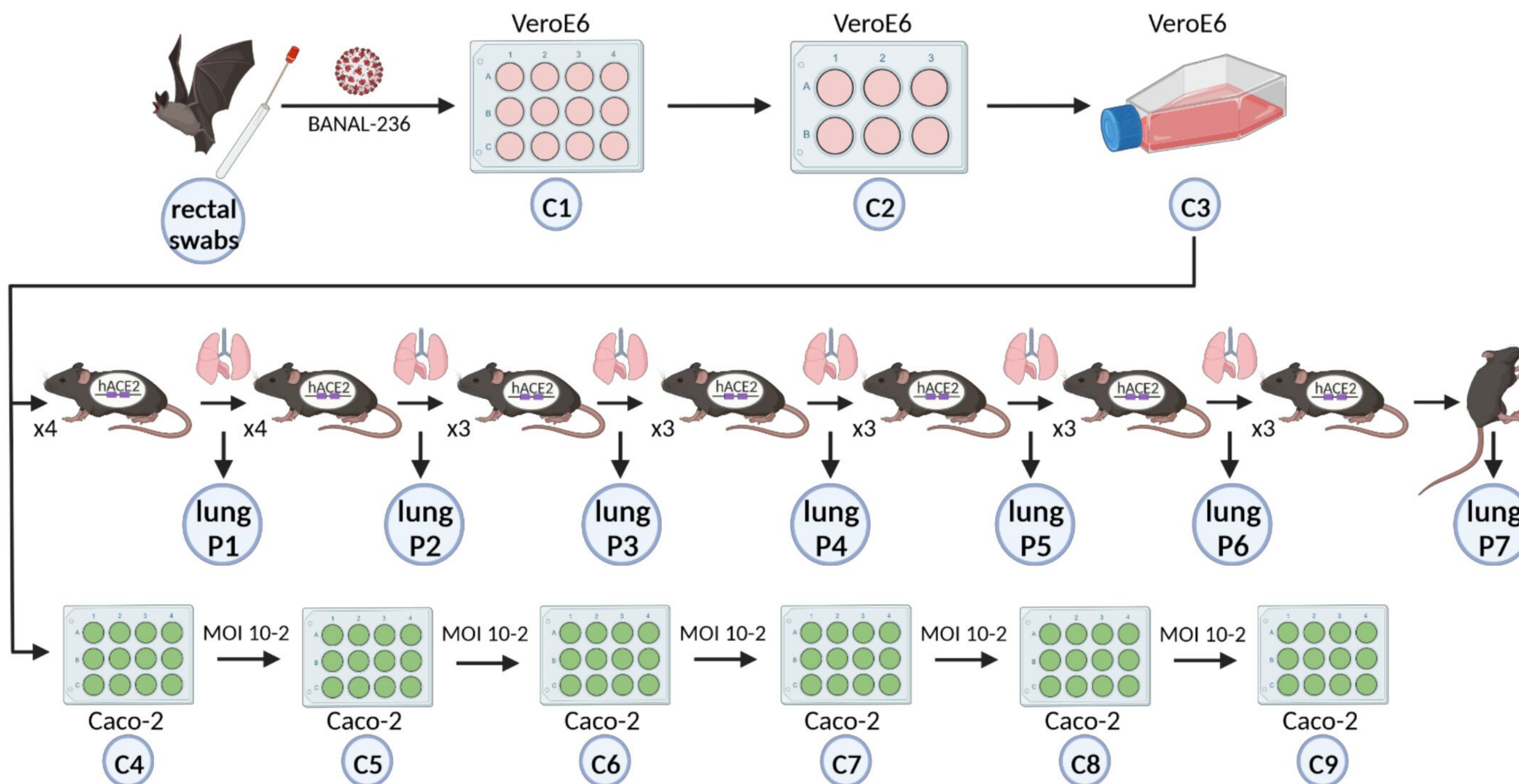
## Infection at $10^4$ PFU

- K18-hACE2 BANAL-236 (PNT-236)
- K18-hACE2 BANAL-236 (PNT-372)
- K18-hACE2 Wuhan-372 (PNT-372)
- ▲ BALB/c BANAL-236 (PNT-236)

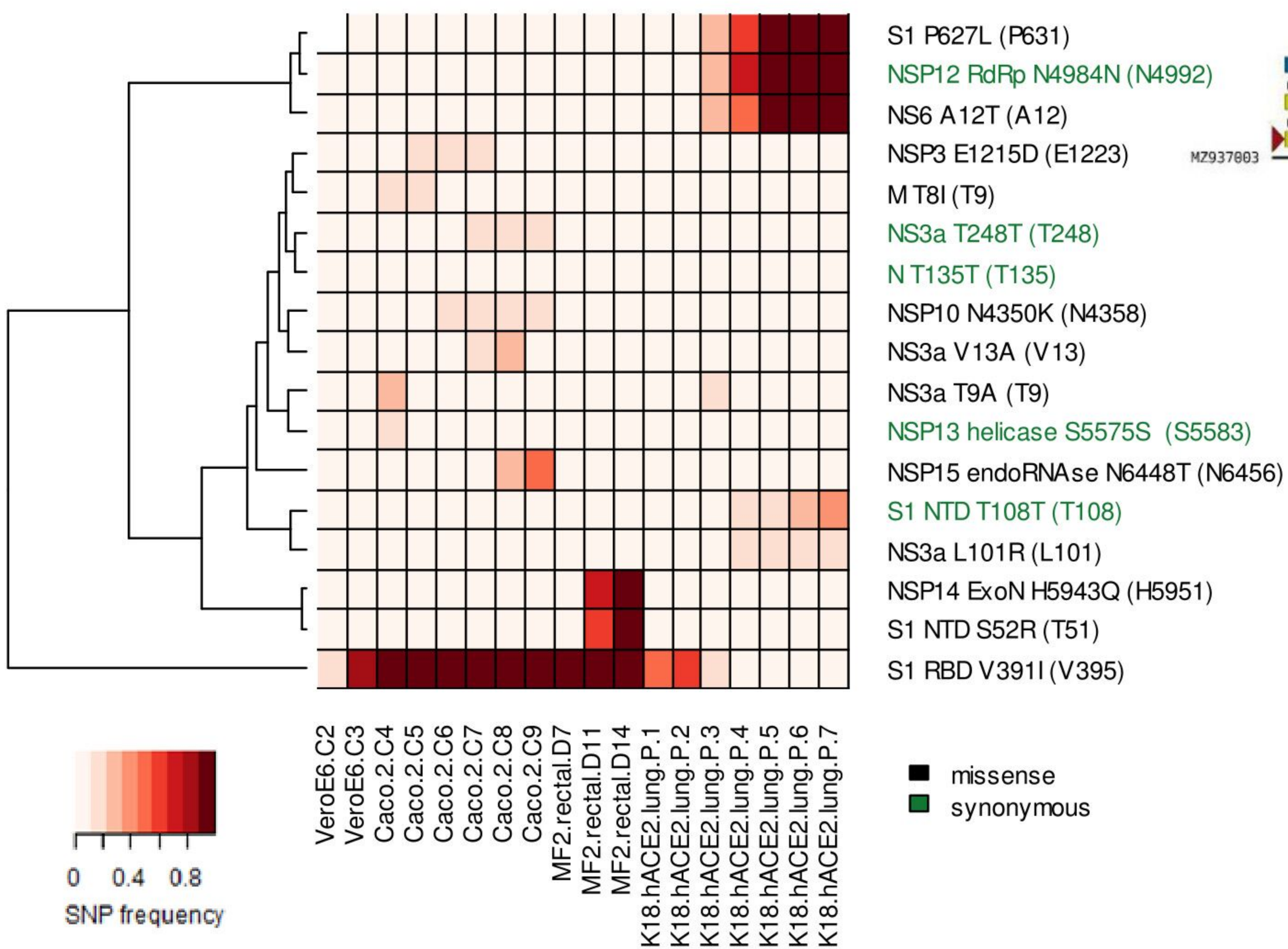




**A.**



**B.**



**C.**

