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# Imprinted antibody responses against SARS-CoV-2 Omicron sublineages

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**Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) Omicron sublineages carry distinct spike mutations and represent an antigenic shift resulting in escape from antibodies induced by previous infection or vaccination. We show that hybrid immunity or vaccine boosters elicit plasma neutralizing activity against Omicron BA.1, BA.2, BA.2.12.1 and BA.4/5 and that breakthrough infections, but not vaccination-only, induce neutralizing activity in the nasal mucosa. Consistent with immunological imprinting, most antibodies derived from memory B cells or plasma cells of Omicron breakthrough cases cross-react with the Wuhan-Hu-1, BA.1, BA.2, and BA.4/5 receptor-binding domains whereas Omicron primary infections elicit B cells of narrow specificity up to 6 months post infection. Although most clinical antibodies have reduced neutralization of Omicron, we identified an ultrapotent pan-variant neutralizing antibody, that is a strong candidate for clinical development.**

The emergence of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) Omicron at the end of 2021 caused worldwide COVID-19 case surges. The Omicron BA.1 and BA.1.1 lineages swept the world followed by the BA.2 lineage (1). Although BA.1 and BA.2 share a large number of spike (S) mutations, they are each characterized by unique sets of amino acid changes, which are associated with different antigenic properties (2–4). The BA.2.12.1 sublineage emerged in the United States, peaking at the beginning of June and is characterized by the presence of the S<sub>1</sub> L452Q receptor-binding domain (RBD) and S<sub>2</sub> S704L subunit mutations in addition to the BA.2-defining mutations (4). The BA.2.75 sublineage is spreading in multiple countries and carries unique mutations (added to the BA.2 background) in

the N-terminal domain (NTD), along with D339H, G446S and N460K mutations and the R493Q reversion in the RBD (5). The BA.3 S glycoprotein comprises a combination of mutations found in BA.1 S and BA.2 S (6), whereas BA.4 S and BA.5 S are identical to each other and comprise a deletion of residues 69–70, the L452R and F486V substitutions along with the R493Q reversion compared to BA.2 S (7). We characterized the emergence of Omicron (BA.1) as a major antigenic shift due to the unprecedented magnitude of immune evasion associated with this variant of concern (3, 8–12). Mutations in the BA.1 S glycoprotein NTD and RBD, which are the main targets of neutralizing antibodies (3, 8, 13–18), explain the markedly reduced plasma neutralizing activity of previously infected or vaccinated subjects,

especially those that have not received booster doses, and the escape from most monoclonal antibodies (mAbs) used in the clinic. As a result, an increasing number of reinfections or breakthrough infections are occurring (19–22), even though these cases tend to be milder than infections of immunologically naive individuals.

### **Characterization of plasma and mucosal humoral responses to Omicron infection**

Understanding the relationships between prior antigen exposure, through vaccination or infection with one SARS-CoV-2 strain, and the immune response to subsequent infections with a different strain is paramount to guiding strategies to exit the COVID-19 pandemic. To address this question, we first evaluated the magnitude of immune evasion associated with the Omicron sublineages by assessing the neutralizing activity of human plasma using a non-replicative vesicular stomatitis virus (VSV) pseudotyped with Wuhan-Hu-1 S harboring G614 (Wu-G614), Delta, BA.1, BA.2, BA.2.12.1 and BA.4/5 mutations or with SARS-CoV S (Fig. 1A; fig. S1, A to G; table S1; and data S1). We compared plasma from 6 cohorts of individuals: those previously infected in 2020 (with a Washington-1-like SARS-CoV-2 strain) and then vaccinated twice or three times ('Infected-vaccinated 2 doses', 'Infected-vaccinated 3 doses'), those who were vaccinated and then experienced either a Delta or an Omicron BA.1 breakthrough infection ('Delta breakthrough 3 doses', 'BA.1 breakthrough 2 doses' or 'BA.1 breakthrough 3 doses'), or those who have only been vaccinated and boosted ('vaccinated-only 3 doses'). Neutralizing antibody responses were slightly more robust against BA.2 S VSV than BA.1 S VSV among all groups except for the BA.1 breakthrough cases. Reductions of geometric mean titers (GMTs) relative to Wu-G614 S VSV ranged from 1.4- and 8.2-fold against BA.1 and between 1.6- and 4-fold against BA.2 (Fig. 1A; fig. S1, A to G; table S1; and data S1), in line with recent findings (4). BA.2.12.1 S VSV was associated with further reductions of plasma neutralizing activity relative to BA.2 S VSV whereas BA.4/5 S VSV had the greatest impact of all SARS-CoV-2 variants evaluated here with GMT reductions of 5- to 14-fold relative to Wu-G614 S VSV (Fig. 1A; fig. S1, A to G; table S1; and data S1). All six cohorts experienced reductions in plasma neutralizing GMT of 1.4-3.6-fold against Delta (23–25) relative to Wu-G614 S VSV, underscoring that even hybrid immunity (i.e., acquired through vaccination and infection (26)) do not overcome evasion from neutralizing antibody responses of this previously dominant variant of concern (Fig. 1A; fig. S1, A to G; table S1; and data S1). The highest neutralizing GMTs against SARS-CoV-2 variants were observed for BA.1 breakthrough cases, possibly due to exposure to BA.1 S, as no correlation was found between time intervals and GMTs (data S1). Neutralizing GMTs against the

SARS-CoV S pseudovirus was reduced for all cohorts by 8.6- to 25-fold relative to Wu-G614 S VSV, underscoring the marked genetic and antigenic divergence of this sarbecovirus clade (19, 27, 28).

Given the recall of Wuhan-Hu-1 plasma neutralizing antibodies in Omicron breakthrough cases, we investigated the cross-reactivity of RBD-directed antibodies produced by in vitro stimulated memory B cells obtained up to 200 days after infection or vaccination as well as circulating plasma cells collected in the days following infection (29). These analyses used blood samples from individuals who were infected prior to the emergence of Omicron and subsequently vaccinated ('Infected-vaccinated 2/3 doses') as well as subjects who experienced either an Omicron primary infection or an Omicron breakthrough infection. Primary and breakthrough Omicron infections occurred between January and March 2022 during which the prevalence of Omicron BA.1/BA.2 sublineages exceeded 90% in the region from which samples were obtained (fig. S2). Plasma neutralizing activity of Omicron-infected (primary and breakthrough) cases was reduced on average 6.1-fold against BA.4/BA.5 S VSV relative to BA.1 S VSV (table S2), likely as a result of both RBD and NTD mutations in the former lineage, concurring with the above data and recent studies (30, 31). Strikingly, more than 80% of SARS-CoV-2 Wuhan-Hu-1 RBD-directed IgGs secreted by memory B cells and plasma cells obtained from breakthrough cases cross-reacted with BA.1, BA.2, BA.4/5 and Delta RBDs, and more than 90% of these antibodies blocked binding to ACE2 (a correlate of neutralization (13, 32)) (Fig. 1B, figs. S3 to S6, and table S2). Moreover, Omicron breakthrough infections failed to elicit BA.1-, BA.2- or BA.4/5-specific RBD-directed memory B cells. Notably, a fraction (1 to 3%) of Wuhan-Hu-1 RBD-directed antibodies cross-reacted with both BA.2 and BA.4/5 RBDs, but not with the BA.1 RBD, consistent with the antigenic distance of BA.1 from the other Omicron sublineages (Fig. 1B, figs. S3 to S6, and table S2). Furthermore, the proportion of BA.4/5-reacting antibodies cross-reacting with Wuhan-Hu-1, BA.1 and BA.2 decreased overtime when comparing 1-3 versus 4-6 months after breakthrough infections (fig. S4, D to F). This suggests that the maturation of antibodies driven by BA.1 or BA.2 breakthrough infections may also result in narrowing their specificity over time, thereby decreasing cross-reactivity with the BA.4/5 RBD. These findings illustrate how immunological imprinting from prior exposure, also named 'original antigenic sin', can strongly affect the response to distantly related antigens. In contrast, memory B cell-derived RBD-directed IgG antibodies obtained from Omicron primary infections up to 6-7 months after infection were present at low frequency and were mostly specific for the BA.1 and BA.2 RBDs, but did not cross-react with the BA.4/5 RBD (Fig. 1B, figs. S3 to S6, and data S1). The

frequency of IgG antibodies cross-reacting with the SARS-CoV RBD was similar across all three cohorts, concurring with the overall weak plasma neutralizing activity (Fig. 1, A and B, and table S2).

We determined the site specificity of RBD-directed antibodies secreted by stimulated memory B cells by competition with structurally characterized mAbs targeting four distinct antigenic sites (13, 27). Most of the memory B cell-derived antibodies from (pre-Omicron) infected-vaccinated individuals competed with the five reference mAbs used whereas a large fraction of antibodies from Omicron breakthrough cases did not compete with any of these five mAbs, indicating they recognize other undefined RBD antigenic sites (Fig. 1C and fig. S7). Antibodies recognizing most antigenic sites overlapping with the receptor-binding motif, such as mAb S2E12 (33), were found at lower frequency upon Omicron breakthrough infections relative to infected-vaccinated subjects, consistent with the presence of several immune escape mutations in the Omicron RBM (Fig. 1C and fig. S7) (3, 18). A similar relative reduction was observed for antibodies targeting RBD antigenic site IIa (recognized by the S2X259 mAb (34)) (Fig. 1C and fig. S7), in agreement with previous findings describing Omicron immune escape from several site IIa mAbs (3, 8, 18). Collectively, these findings demonstrate that Omicron breakthrough infections preferentially expanded existing B cell pools primed by vaccination and elicited cross-reactive plasma cells and antibodies, supporting the concept of immunological imprinting.

To evaluate mucosal antibody responses in subjects who experienced a BA.1 breakthrough infection or vaccinated-only individuals, we assessed IgG and IgA binding titers in nasal swabs obtained longitudinally after PCR testing. Although we detected S-specific IgG, and to a lesser extent IgA, in swabs from several breakthrough cases, vaccinated-only individuals had no detectable binding antibody titers (fig. S8, A to D, and fig. S9, A and B). We observed mucosal neutralizing activity against Wu-G614 and BA.1 S VSV pseudoviruses for nasal swabs obtained from breakthrough cases throughout the month following symptoms onset, corresponding to up to 19 days post positive PCR testing (Fig. 1, D to E; fig. S9C; and data S1). Furthermore, analysis of nasal swabs obtained from four breakthrough cases approximately six months after symptoms onset demonstrated retention of neutralizing activity. Assessing plasma neutralizing antibody titers of these BA.1 breakthrough cases yielded similar magnitude and GMT reductions compared to the rest of the BA.1 breakthrough cohort (Fig. 1A, fig. S1F, and data S1). We note that the magnitude of neutralizing antibody responses in nasal swabs cannot be directly compared to plasma samples due to the self-administration procedure and resulting sample non-uniformity. Overall, we observed heterogeneous

mucosal neutralizing antibody responses among BA.1 breakthrough cases but not in vaccinated-only individuals (Fig. 1, D and E; fig. S9, C and D; and data S1). Collectively, these data underscore the lack of or very weak induction of mucosal antibody responses upon intra-muscular delivery of mRNA vaccines or adenovirus-vectored vaccines (35, 36) and are in line with concurrent findings that Omicron breakthrough infection but not vaccination alone induced neutralizing antibody responses and tissue-resident T cells in the nasal mucosa (37, 38).

### **Omicron sublineages escape neutralization mediated by most clinical mAbs**

We next evaluated the impact of BA.1, BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75 S mutations on neutralization mediated by a panel of RBD-directed mAbs using VSV pseudoviruses and VeroE6 target cells. The site Ib COV2-2130 mAb weakly neutralized BA.1 (3), while it neutralized BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75 S VSV pseudoviruses with 1.6-fold, 4.2-fold, 14.5-fold, 8.8-fold, 2.0-fold and 7.9-fold respective drops in half-maximal inhibition concentrations ( $IC_{50}$ ) compared to Wu-G614 S VSV (Fig. 2A and fig. S10, A and B). Moreover, the COV2-2196+COV2-2130 mAb cocktail experienced a 106.4-fold, 7.6-fold, 35-fold, 92.8-fold, 46.5-fold, 9.3-fold and 9.1-fold reduction in potency against BA.1, BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75, respectively (Fig. 2A and fig. S10, A and B). Since COV2-2196 weakly inhibited Omicron sublineages (except for BA.2.75 where the reduction in  $IC_{50}$  is 17.3-fold), the neutralizing activity of the cocktail is largely mediated by COV2-2130. Within the COV2-2130 epitope, position 446 is a glycine residue for Wuhan-Hu-1, BA.2, BA.4, BA.5 and BA.2.12.1 S or a serine residue in BA.1, BA.3 and BA.2.75 S, the latter residue disrupting the binding interface of COV2-2130 (18). The importance of this site was also identified through deep mutational scanning (39) and this point mutation was shown to reduce neutralizing activity ~4 fold for COV2-2130 (8). The greater reduction in potency against BA.4 and BA.5 relative to BA.2 is likely driven by the L452R mutation, as reported (<https://www.fda.gov/media/154701/download>) (39). The REGN10987+REGN10933 mAb cocktail, LY-CoV16+LY-CoV555 mAb cocktail, the CT-P59 mAb and ADI-58125 mAb experienced reductions of in vitro neutralization potency ranging between two and four orders of magnitude against all Omicron sublineage S VSV pseudoviruses compared to Wu-G614 S VSV due to mutations in the RBM (Fig. 2A and fig. S10, A and B) (18). CT-P59, however, retained neutralizing activity against the BA.2.75 sublineage (29.2-fold reduction relative to Wu-G614 S VSV). The recently described ACE2-mimicking S2K146 mAb (40) that retained unaltered activity against BA.1 compared to Wu-G614 (3), had a mildly reduced neutralizing activity against BA.2, BA.3, BA.2.12.1 and BA.2.75

S VSV pseudoviruses (3.3-fold, 3.1-fold, 1.9-fold and 4.3-fold, respectively) (Fig. 2A and fig. S10, A and B). However, S2K146 experienced a marked reduction in neutralizing activity against BA.4 and BA.5 (with 472- and 285-fold  $IC_{50}$  reductions compared to Wu-G614 S VSV), likely due to the F486V mutation.

Sotrovimab, a site IV mAb with broad sarbecovirus (clade Ia and Ib) cross-neutralizing activity (41), experienced 16-fold, 7.3-fold, 21.3-fold, 22.6-fold, 16.6-fold and 8.3-fold reduction in potency relative to Wu-G614 against BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75, respectively (Fig. 2A and fig. S10, A and B). These reductions in neutralizing activity are greater than that observed against BA.1 (2.7-fold), although no additional residue mutations map to the sotrovimab epitope except the G339H substitution present in BA.2.75 instead of G339D found in BA.1 (41–43). We recently showed that sotrovimab retained in vitro effector functions against BA.2 and conferred Fc-dependent protection in the lungs of mice infected with BA.2 (44). The additional loss of neutralization of these Omicron sublineage VSV pseudoviruses beyond BA.1 likely results from the S371F substitution, which is found in BA.2, BA.3, BA.4/5, BA.2.12.1 and BA.2.75 and introduces a bulky phenylalanine nearby the N343 glycan which is part of the sotrovimab epitope (41). A recently determined BA.2 S structure shows that the RBD helix comprising residues 364–372 is indeed remodeled (45) and adopts a distinct conformation than the ones observed for Wuhan-Hu-1 S or BA.1 S structures (18, 46). This structural rearrangement is sterically incompatible with the glycan N343 conformation observed in S309-bound spike structures (18, 41), as supported by molecular dynamics simulations and likely explains the reductions in neutralization potency (fig. S11, A to D). Although we could not test the effect of the S371F substitution alone in the Wu-G614 S background (due to poor VSV pseudovirus infectivity), the S371F, S373P and S375F triple mutant (as found in BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75) reduced sotrovimab-mediated neutralization by 3.4-fold relative to Wu-D614 S VSV (fig. S11E and table S3). Moreover, the S371L, S373P and S375F triple mutant (as found in BA.1) did not alter sotrovimab activity (fig. S11F and table S3), lending further support to the role of F371 in reducing the sotrovimab potency against BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75.

S2X259, a site IIa mAb that broadly reacts with the RBD of multiple sarbecoviruses (34) retained activity against BA.1 (3). However, the neutralization potency of S2X259 was decreased by one to two orders of magnitude against BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75 S VSV pseudoviruses (Fig. 2A and fig. S10, A and B), likely due to the detrimental effect of the aforementioned S371F/S373P/S375F-induced remodeling and of the R408S mutation (34). S2H97 is a site V mAb that experienced a 4.7- to 10-fold decrease in

neutralization potency against Omicron sublineages compared to Wu-G614 S VSV (Fig. 2A and fig. S10, A and B) despite the absence of mutations present in the epitope or otherwise found to affect binding by DMS, perhaps reflecting differential accessibility to its cryptic epitope in the context of these S trimers (27).

### Identification of the pan-variant and ultrapotent neutralizing mAb S2X324

The S2X324 mAb stood out in our panel as its neutralization potency was largely unaffected by BA.1, BA.2, BA.3, BA.4, BA.5, BA.2.12.1 and BA.2.75 S mutations (Fig. 2A and fig. S10, A and B). S2X324 cross-reacted with and neutralized all SARS-CoV-2 (VSV pseudovirus and authentic virus) variants tested with  $IC_{50}$  values below 10 ng/ml except BA.2.75 for which the  $IC_{50}$  was 18 ng/ml (Fig. 2, B and C; figs. S10, A to C, S12, and S13; and table S4). S2X324 cross-reacted with the sarbecovirus clade Ib Pangolin-GD RBD, but did not recognize more divergent sarbecovirus RBDs (Fig. 2D), in contrast to the previously described broadly neutralizing mAbs S2X259 (34). Furthermore, S2X324 inhibited binding of the SARS-CoV-2 RBD to human ACE2 in a concentration-dependent manner, as measured by competition ELISA (Fig. 2E) and induced slow premature shedding (47) of the  $S_1$  subunit from cell-surface expressed S (Fig. 2F). However, S2X324 did not promote the fusogenic conformational changes of a wildtype-like purified recombinant S ectodomain trimer (fig. S14), likely due to the slow kinetics of  $S_1$  shedding. This suggests that blockage of ACE2 binding is the main mechanism of S2X324-mediated inhibition of SARS-CoV-2.

To evaluate the ability of S2X324 to promote antibody dependent-phagocytosis or cytotoxicity, we tested whether the mAb could activate Fc $\gamma$  receptors expressed at the surface of Jurkat cells. Although S2X324 only activated Fc $\gamma$ RIIIa but not Fc $\gamma$ RIIa in vitro (fig. S15, A and B), it triggered both antibody-dependent phagocytosis and cytotoxicity following incubation of peripheral blood mononuclear cells with SARS-CoV-2 S-expressing cells (fig. S15, C to F). The slow  $S_1$  shedding kinetics likely explain the ability of S2X324 to promote Fc-mediated effector functions.

### Structural basis for S2X324-mediated neutralization

To understand the pan-variant S2X324 inhibitory activity, we determined a cryo-electron microscopy structure of the Omicron BA.1 S ectodomain trimer bound to the S2X324 Fab fragment at 3.1 Å resolution (Fig. 3A, fig. S16, and table S5). In our structure, the BA.1 S trimer has three Fabs bound to one closed and two open RBDs. We used focused classification and local refinement of the closed RBD-S2X324 Fab complex to obtain a 3.3 Å structure revealing the molecular details of the binding interface.

S2X324 recognizes an RBD epitope partially overlapping with antigenic sites Ib and IV (Fig. 3, A and B), explaining the observed competition with S2H14 (I3) and S309 (sotrovimab parent) (41) mAbs (fig. S13B). S2X324 utilizes all six complementary-determining loops to recognize RBD residues T345, N439, K440, L441, S443, K444, V445, S446, G447, N448, Y449, N450, R498, P499, T500, Y501, G502, Q506 and R509 (Fig. 3C). In line with the competition assay, S2X324 overlaps with the receptor-binding motif on the RBD and sterically hinders receptor engagement (Figs. 2E and 3D).

The structure explains how this mAb accommodates residues that are mutated in Omicron lineages relative to Wuhan-Hu-1:

(BA.1/BA.2/BA.3/BA.4/BA.5/BA.2.12.1/BA.2.75),	N440K
(BA.1/BA.3//BA.2.75),	G446S
(BA.1/BA.2/BA.3/BA.4/BA.5/BA.2.12.1/BA.2.75) and	Q498R
(BA.1/BA.2/BA.3/BA.4/BA.5/BA.2.12.1/BA.2.75). Specifically,	N501Y

K440 forms a salt bridge with the VL E53 side chain, S446 forms van der Waals interactions with VH R60 and VL S96/S97 whereas R498 forms electrostatic interactions with the VL S96 backbone. Our structure further suggests that the tighter binding of S2X324 to the Wuhan-Hu-1 and BA.2 RBDs, relative to BA.1 (fig. S13A), might be due to G446S as although the mutation is clearly accommodated, at least 1 out of 3 favored rotamers for S446 would clash with the Fab. The Y501 backbone forms van der Waals interactions with the VL N32 side chain which are independent of the RBD residue identity at position 501 (explaining retention of neutralization of all Y501-containing variants). S2X324 and LY-CoV1404 share 87% and 91% amino acid sequence identity in their heavy and light chains, respectively, likely explaining their similar binding mode (fig. S17) (48), pan-variant neutralizing activity (49) and their comparable resilience to Omicron sublineage mutations thus far (Fig. 2A).

### Identification of S2X324 viral escape mutants in vitro

To explore potential mutations that could promote escape from S2X324-mediated neutralization, we passaged a replication-competent VSV chimera harboring either SARS-CoV-2 Wu-G614 S (50) or Omicron BA.1 S in the presence of S2X324. Residue substitutions at three distinct sites emerged in both S backgrounds (Fig. 3C; fig. S18, A and B; and tables S6 and S7): (i) K444N/T (Wu-G614 and BA.1 background) and K444E/M (BA.1 background), that would abrogate the salt bridges formed between the K444 side chain and the heavy chain D56 and D58 side chains; (ii) V445D (Wu-G614 background) and V445A/F (BA.1 background), which would disrupt Van der Waals contacts with S2X324; and (iii) P499R (Wu-G614 background) and P499S/H (BA.1 background) that might alter the local RBD backbone conformation and/or sterically hinder mAb binding. Furthermore, three additional mutations were detected in the BA.1 S background only:

S446I, G447S, and N448K, which are positioned near the interface between the heavy and light chains (Fig. 3C; fig. S18, A and B; and tables S6 and S7). The VSV chimera harboring SARS-CoV-2 Wu-G614 S outcompeted the chimeras harboring the K444T/N, V445D or P499R escape mutants after four rounds of passaging, suggesting reduced fitness in this replicating chimeric virus model system (fig. S18C). Even though each of these mutations require a single nucleotide substitution, they are very rare and have been detected cumulatively only in 0.087% and 0.080% of Delta and Omicron genome sequences as of August 12<sup>th</sup> 2022, respectively (table S8 and fig. S19) albeit the frequency of some of them is increasing. We further tested VSV pseudoviruses bearing Wu-G614, BA.1 or BA.2 S carrying K444E, K444D, K444N, K444T, V445D, and P449R/H and confirmed that these mutations abrogated or strongly reduced S2X324 neutralizing activity (fig. S19 and table S9). In addition, S2X324 neutralizing activity was abrogated when V445T/A/F was introduced in the BA.1 backbone (table S9). S2X324 retained potent neutralizing activity against pseudoviruses bearing other mutations in the epitope found in known variants such as N439K, N440K and N501Y in the Wu-G614 S background (table S9). Although the S2X324 escape mutants identified are rare, these data suggest that a mAb cocktail comprising S2X324 would increase the barrier for the emergence of resistance mutants even further compared to this single mAb.

### S2X324 protects hamsters against SARS-CoV-2 Delta, BA.2 and BA.5 variants

We investigated the in vivo prophylactic and therapeutic efficacy of S2X324 using Syrian hamsters challenged with SARS-CoV-2 variants. Prophylactic administration of S2X324 or S309 protected comparably hamsters challenged with SARS-CoV-2 Delta in a dose-dependent manner (Fig. 4, A to C), despite a 20-fold difference in in vitro potency against SARS-CoV-2 Delta S VSV (Fig. 2B). These data support the lack of direct correlation between in vitro and in vivo potency as previously reported (51, 52). Moreover, prophylactic administration of S2X324 at 5 mg/kg decreased viral loads below detection levels in the lungs of hamsters challenged with BA.2 or BA.5 (Fig. 4, D to F). In this model, S309 retained activity against BA.5, despite a 22.6-fold reduced in vitro potency relative to Wu-G614 (Fig. 2, A and B). Therapeutic administration of hamster IgG2a S2X324 (one day after challenge with the SARS-CoV-2 Delta variant) at 2 and 5 mg/kg prevented body weight loss and reduced lung viral RNA loads by 2.5 and 3 orders of magnitude compared to the control group, respectively (Fig. 4, G and H). Viral replication in the lungs was fully abrogated at 2 and 5 mg/kg of S2X324 and reduced by approximately one order of magnitude for animals treated with 0.1 and 0.5 mg/kg of S2X324 (Fig. 4I).

No statistically significant differences were observed for animals receiving an Fc-silenced version of S2X324 (N297A) versus the groups receiving the same doses of Fc-competent S2X324, indicating limited contributions of Fc-mediated effector functions in these experimental conditions.

## Discussion

Immune imprinting, which is also defined as original antigenic sin, was described based on the observation that infections with influenza virus strains distinct from the strains that caused a prior infection, preferentially boosted antibody responses against epitopes shared with the original strain (53). Although this phenomenon is often considered detrimental, it can also be beneficial, as was the case at the time of the 2009 H1N1 pandemic during which initial antibody responses to infection with this newly emerged and antigenically shifted virus were dominated by antibodies targeting the conserved hemagglutinin stem region (54, 55). Subsequent exposures through vaccination or infection elicited antibody responses to the shifted variant (i.e., to “non-conserved” hemagglutinin epitopes) (54, 56). Moreover, several studies reported hemagglutinin stem-directed antibody-mediated protection against H5N1 and H7N9 zoonotic influenza strains through imprinting during childhood resulting from exposure to seasonal H1N1 and H3N2, respectively (55, 57). Similarly, we show that exposure to antigenically shifted Omicron strains primarily recalls existing memory B cells specific for epitopes shared by multiple SARS-CoV-2 variants rather than by priming naïve B cells recognizing Omicron-specific epitopes (at least up to 180 days post breakthrough infection), as also recently reported (58). Although immune imprinting may be beneficial for stimulating responses to cross-reactive SARS-CoV-2 S epitopes, antibody responses to some Omicron S-specific epitopes were hindered by prior antigenic exposure.

Currently, there is uncertainty regarding the need for vaccines matching dominant circulating SARS-CoV-2 variants (like those used for seasonal influenza) or if the repeated use of Wuhan-Hu-1-based vaccines will suffice. Recent work showed that boosting previously immunized macaques with Beta or Omicron mRNA S vaccines or with Beta RBD nanoparticle vaccines elicited comparably high titers of antibodies broadly neutralizing multiple variants relative to Wuhan-Hu-1-based vaccines (59–61). Furthermore, administration of Wuhan-Hu-1-based vaccine boosters in humans was shown to elicit appreciable titers of neutralizing antibodies and prevent severe disease associated with Omicron infections (11, 19, 62–65). The limited cross-variant neutralization elicited by Omicron primary infection in humans or Omicron-based vaccination of immunologically naïve animals and the data on the specificity of memory B cells presented here indicate that an Omicron-based vaccine

might elicit antibody responses directed toward the vaccine-matched and closely related antigens, suggesting that a heterologous prime-boost or a multivalent approach might be preferable (59, 66–73). Omicron infection and Omicron S-based vaccination of previously immune subjects, however, recalls cross-reactive memory B cells (58, 74) which may further mature overtime to enhance their affinity and neutralizing potency against Omicron, but also to possibly broaden their neutralizing activity against past and future variants. Indeed, multiple studies showed that somatic hypermutations yield RBD-specific mAbs with increased affinity for the homotypic antigen and with augmented resilience to immune evasion of emerging heterotypic variants (40, 75–79). Finally, the recently introduced bivalent mRNA vaccine boosters encoding the Wuhan-Hu-1 and either the BA.1 or the BA.4/5 S glycoproteins have yielded encouraging results (80–82).

Understanding antibody responses elicited by and directed toward Omicron sublineages is key to inform public health policies and the design of SARS-CoV-2 and sarbecovirus vaccines (70, 71, 83–85). Our data show that Omicron breakthrough infections did not elicit high titers of pan-sarbecovirus neutralizing antibodies (e.g., directed against SARS-CoV), in agreement with recent data (86). These findings contrast with the observation that pre-existing immunity to SARS-CoV followed by SARS-CoV-2 vaccination was associated with elicitation of pan-sarbecovirus neutralizing antibodies (28). These different outcomes might be explained by the low frequency of memory B cells encoding for neutralizing antibodies targeting antigenic sites shared between pre-Omicron variants (Wuhan-Hu-1-related strains), Omicron and SARS-CoV, due to the genetic and antigenic distances between these three distinct viruses. For instance, Omicron BA.1 and BA.2 harbor variations of the RBD antigenic site II, which is the target of pan-sarbecovirus neutralizing antibodies such as S2X259 (34), DH1047 (87) and ADG2 (88), leading to resistance to neutralization mediated by some of these mAbs (3, 8, 18). This suggests that conservation of RBD antigenic sites across sarbecoviruses may have resulted (at least partially) from limited immune pressure rather than from functional or structural constraints (i.e., some mutations at these conserved sites may remain compatible with viral fitness) (86).

Finally, recent preclinical assessment of intranasally administered influenza and sarbecovirus vaccine candidates demonstrated the induction of lung-resident protective mucosal humoral and cellular immunity at the site of viral entry (89–92). These observations, along with our findings that SARS-CoV-2 breakthrough infections, but not vaccination-only, elicited neutralizing activity in the nasal mucosa motivate the development and evaluation of a next generation of vaccines administered intranasally.

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#### SUPPLEMENTARY MATERIALS

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Materials and Methods

Figs. S1 to S18

Tables S1 to S9

References (95–126)

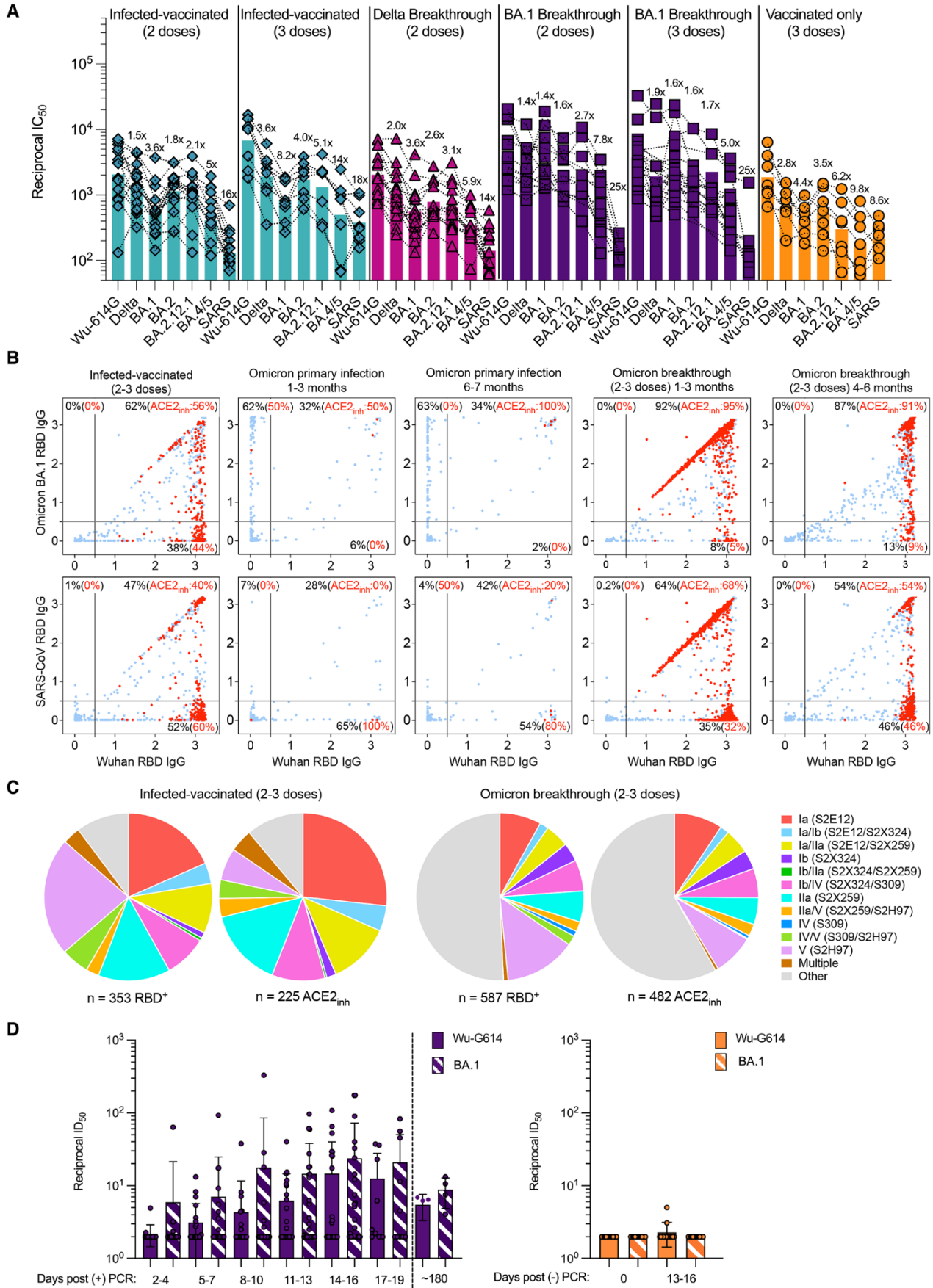
MDAR Reproducibility Checklist

Data S1

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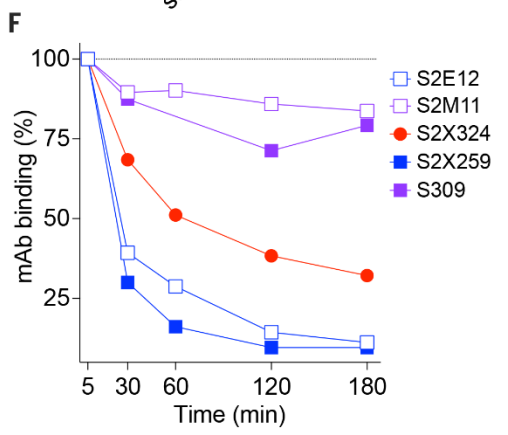
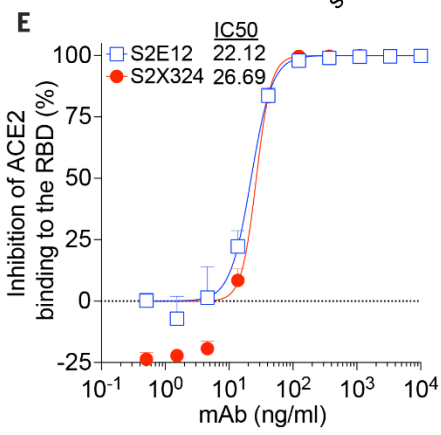
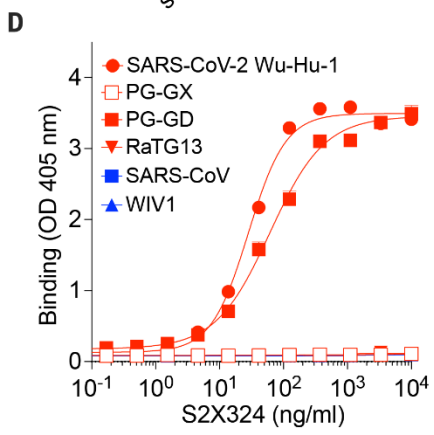
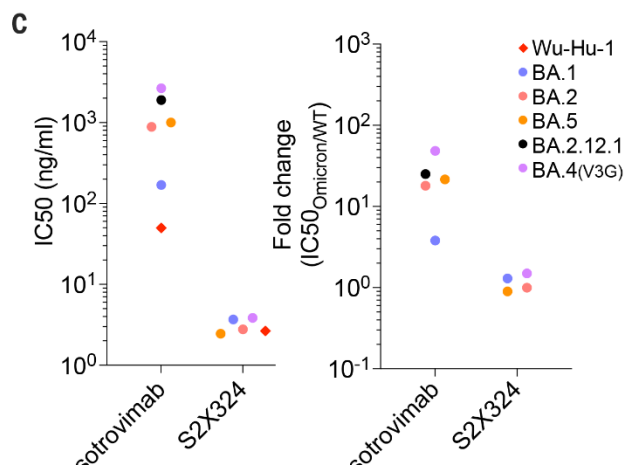
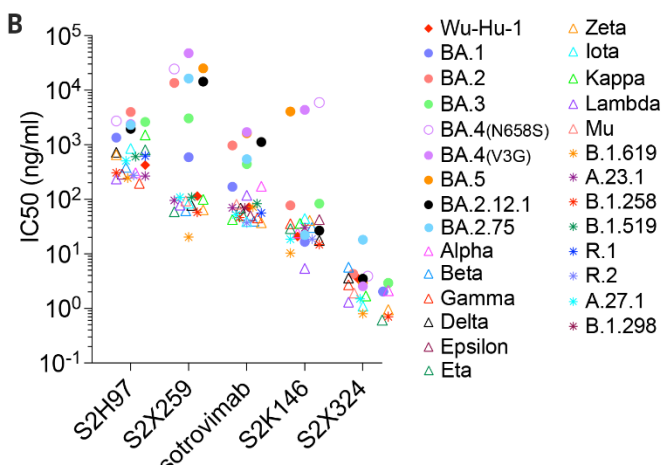
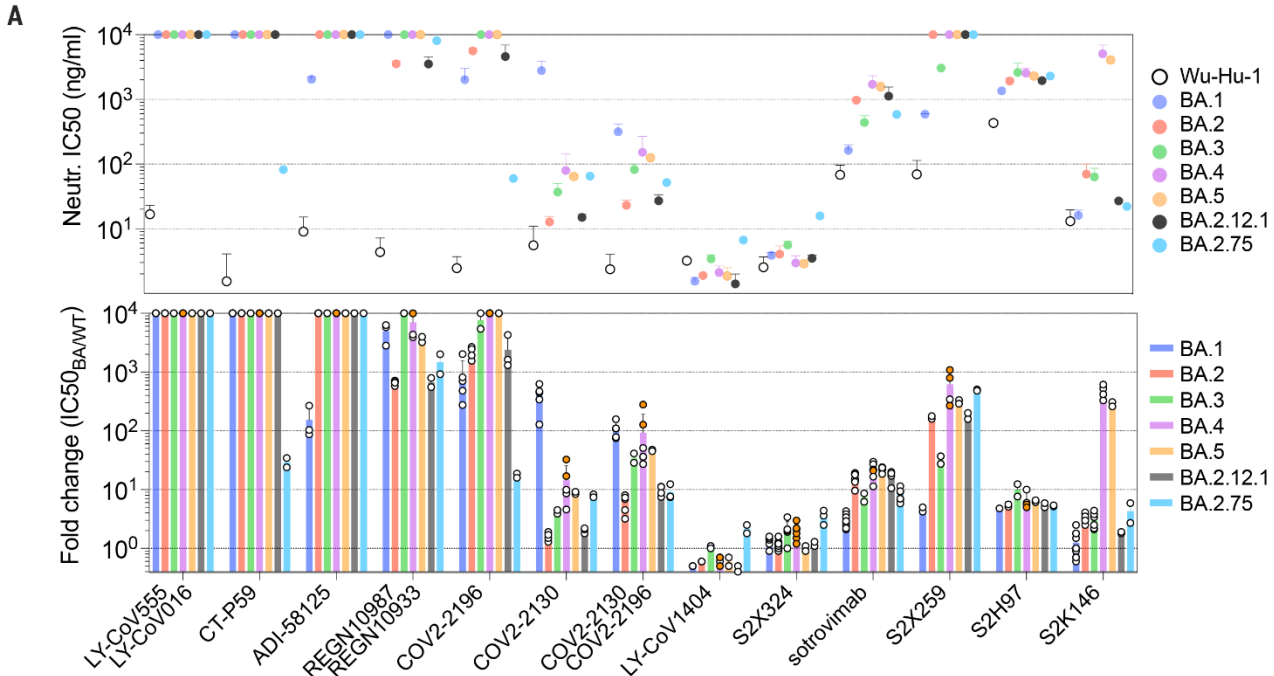
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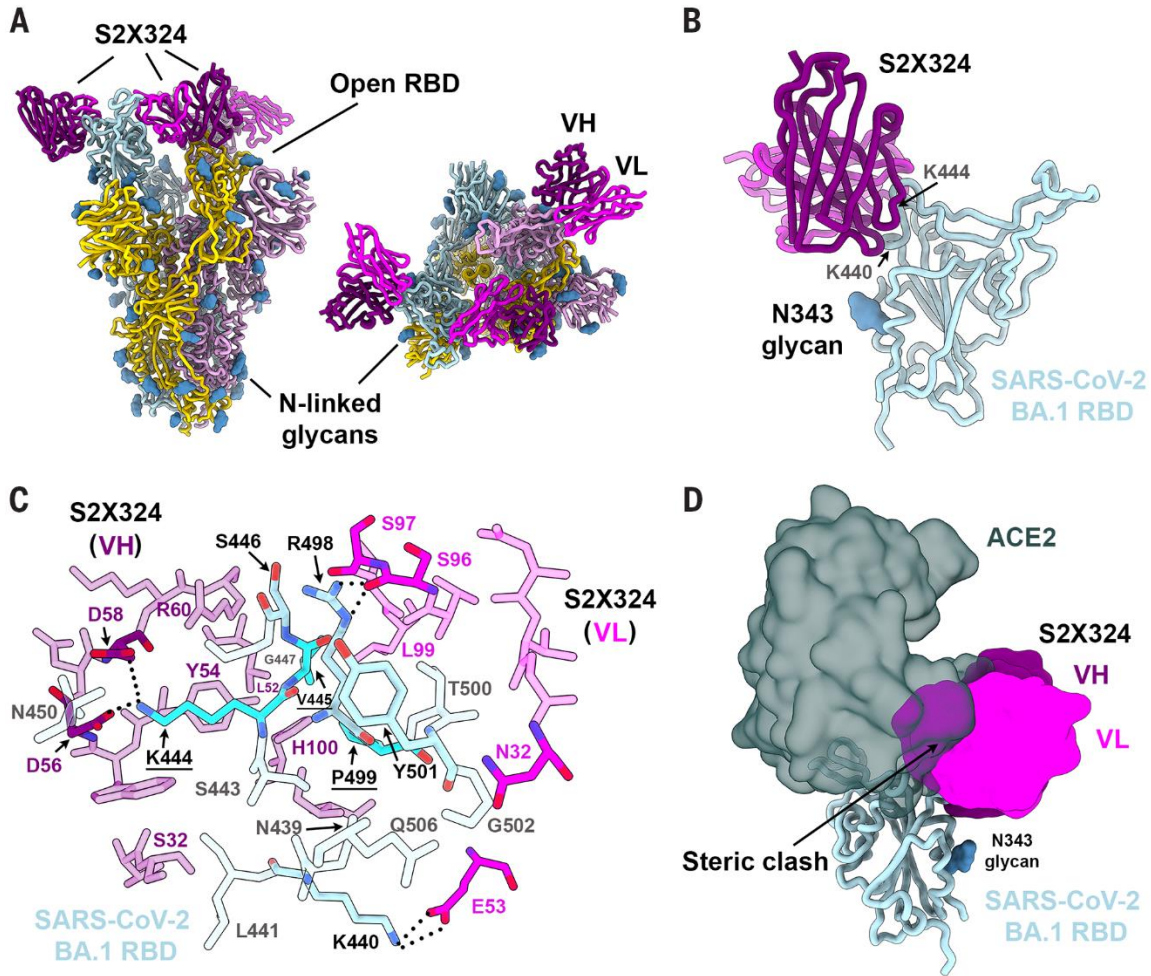


**Fig. 1. Evaluation of plasma, memory and mucosal antibody responses upon Omicron breakthrough infections in humans.** (A) Pairwise neutralizing activity (half-maximum inhibitory dilution;  $ID_{50}$ ) against Wu-G614, Delta, BA.1, BA.2, BA.2.12.1, BA.4/5 and SARS-CoV S VSV pseudoviruses using plasma from subjects who were infected and vaccinated, vaccinated and experienced breakthrough infection, or vaccinated-only individuals. VeroE6-TMPRSS2 cells were used as target cells (93). Data are the geometric mean of an  $n = 2$  technical replicate and have been performed in at least 2 biologically independent experiments. GMTs are shown with a color-matched bar (and reported in table S1) with fold change compared to Wu-G614 indicated above it. Demographics of enrolled donors are provided in data S1. (B) Cross-reactivity of IgGs secreted from memory B cells obtained from infected-vaccinated individuals ( $n=11$ ), primary SARS-CoV-2 infection ( $n=3$  samples collected at 1-3 months and  $n=2$  samples collected at 6-7 months) or breakthrough cases ( $n=7$  samples collected at 1-3 months and  $n=4$  samples collected at 4-6 months) occurring in January-March 2022 when the prevalence of Omicron BA.1/BA.2 exceeded 90% in the region where samples were obtained (fig. S2). Each dot represents a well containing oligoclonal B cell supernatant screened for the presence of IgGs binding to the SARS-CoV-2 Wuhan-Hu-1 and BA.1 RBDs (top) or to the SARS-CoV-2 Wuhan-Hu-1 and SARS-CoV RBDs (bottom) using ELISA. Red dots indicate inhibition of the interaction with ACE2 (using Wuhan-Hu-1 target antigen) as determined in a separate assay. The percentages are expressed relative to the total of positive hits against any of the antigens tested. Numbers of positive hits relative to individual donors are shown in fig. S3. (C) Frequency analysis of site-specific IgG antibodies derived from memory B cells. RBD sites targeted by IgG derived from memory B cells were defined by a blockade-of-binding assay using mAbs specific for sites Ia (S2E12), Ib (S2X324), IIa (S2X259), IV (S309; parent of sotrovimab) and V (S2H97). Hybrid sites Ia/Ib, Ia/IIa, Ib/IIa, Ib/IV, IIa/V and IV/V were defined by competition with the two corresponding mAbs. Hybrid sites exhibiting competition with more than 2 mAbs are indicated as "Multiple". Lack of competition is indicated as "Other". Pie charts show cumulative frequencies of IgGs specific for the different sites among total RBD-directed IgG antibodies (left) and those inhibiting binding of RBD to human ACE2 (right) in 11 infected-vaccinated individuals or 7 breakthrough cases. (D) Neutralizing activity against Wu-G614 and BA.1 S VSV pseudoviruses determined from nasal swabs obtained longitudinally upon BA.1 breakthrough infection up to 185 days following positive PCR test (post (+) PCR). (E) Neutralizing activity against Wu-G614 and BA.1 S VSV pseudoviruses from nasal swabs obtained longitudinally following a negative PCR (post (-) PCR)\_ test of vaccinated-only individuals.

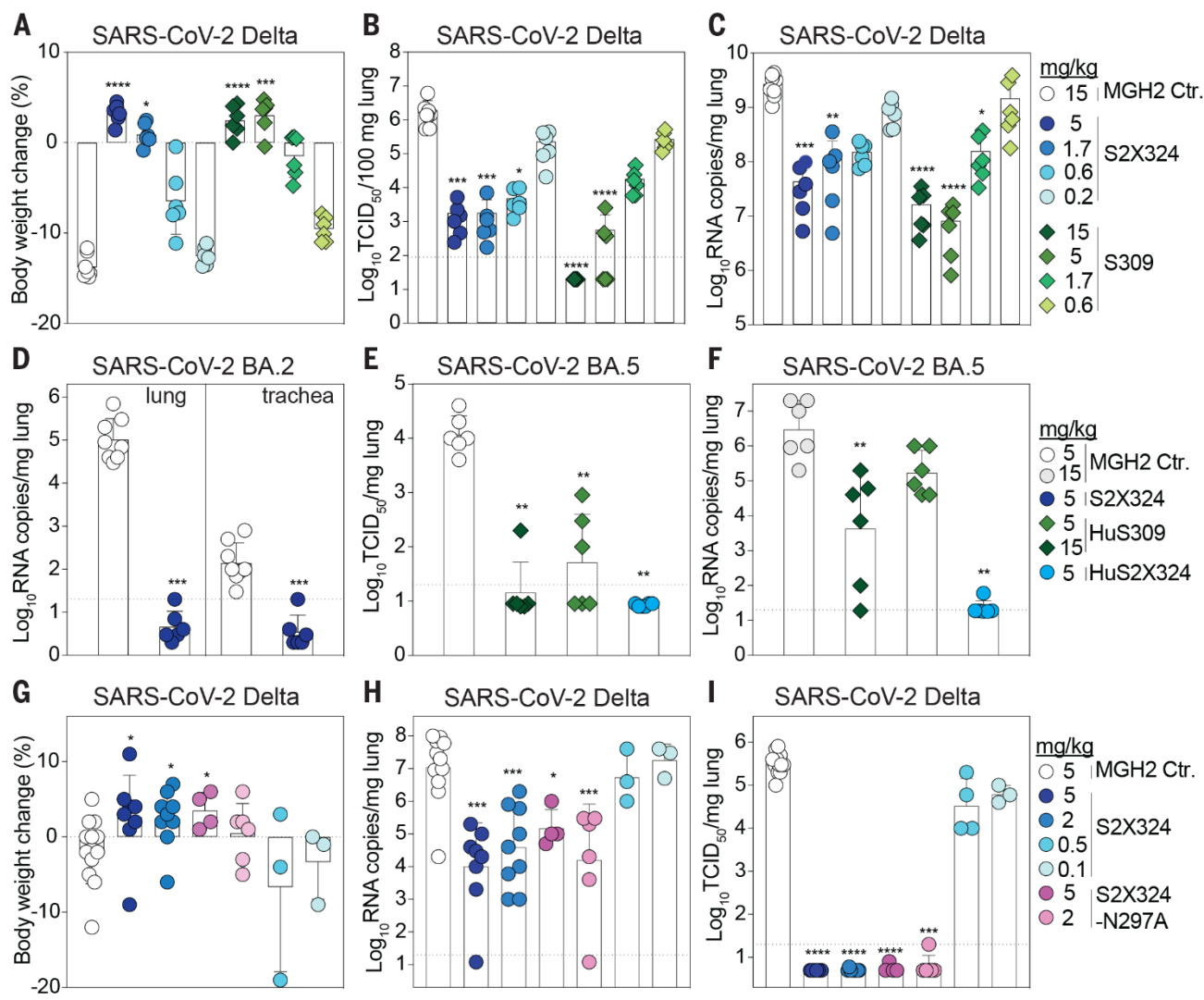




**Fig. 2. Identification and characterization of S2X324 as a pan-variant RBD-directed mAb.** (A) mAb-mediated neutralization of BA.1, BA.2, BA.3, BA.4, BA.5, BA.2.12.1, and BA.2.75 S VSV pseudoviruses. Two haplotypes of BA.4 S were tested: BA.4-V3G (orange dots) and BA.4-N658S (white dots) and the  $IC_{50}$  values reported in the text are the averages of both haplotypes. The potency of each mAb or mAb cocktail is represented by their  $IC_{50}$  (top, geometric mean  $\pm$  SD) or fold change relative to neutralization of the Wuhan-Hu-1 (D614) pseudovirus (bottom, average  $\pm$  SD). \*, not determined. (B) Neutralization of SARS-CoV-2 variant S VSV pseudoviruses mediated by broadly neutralizing sarbecovirus mAbs. Each symbol represents the GMT of at least two independent experiments. (C) Neutralizing activity (left) and fold change relative to WA-1/2020 (right) of S2X324 and sotrovimab against SARS-CoV2 Omicron BA.1, BA.2, BA.4, BA.5, and BA.2.12.1 authentic viruses using VeroE6-TMPRSS2 target cells. Data are representative of at least 2 biological independent experiments. Neutralization of Omicron BA.1 by sotrovimab refers to previously published data (3). (D) Cross-reactivity of S2X324 with sarbecovirus clade 1a and 1b RBDs analyzed by ELISA. (E) Preincubation of serial dilutions of S2X324 or S2E12 with the SARS-CoV-2 RBD prevents binding to the immobilized human ACE2 ectodomain in ELISA. PG-GX: Pangolin-Guangxi, PG-GD: Pangolin-Guangdong. Error bars indicate standard deviation between replicates. (F) S2X324-mediated  $S_I$ -shedding from cell surface-expressed SARS-CoV-2 S as determined by flow cytometry. S2E12 and S2X259 were used as positive controls whereas S2M11 and S309 were used as negative controls.



**Fig. 3. Structural characterization of the S2X324 pan-variant mAb.** (A) Cryo-EM structure viewed along two orthogonal orientations of the prefusion SARS-CoV-2 Omicron BA.1 S ectodomain trimer with three S2X324 Fab fragments bound. SARS-CoV-2 S protomers are colored light blue, pink, and gold. S2X324 heavy chain and light chain variable domains are colored purple and magenta, respectively. Glycans are rendered as blue spheres. (B) Ribbon diagram of the S2X324-bound SARS-CoV-2 RBD. The N343 glycan is rendered as blue spheres. (C) Zoomed-in view of the contacts formed between S2X324 and the SARS-CoV-2 BA.1 RBD. Selected epitope residues are labeled, and electrostatic interactions are indicated with dotted lines. A few of the escape mutants identified are colored turquoise. (D) Superimposition of the S2X324-bound (purple and magenta) and ACE2-bound [dark gray, PDB 6MOJ (94)] SARS-CoV-2 RBD (light blue) structures showing steric overlap. The N343 glycan is rendered as blue spheres.



**Fig. 4. S2X324 protects hamsters against SARS-CoV-2 Delta, BA.2 and BA.5 challenge.** (A to C) Dose-dependent (expressed in mg of mAb/kg of body weight) prophylactic protection of S2X324 (blue circles) and S309 (green diamonds) hamster IgG2a (harboring hamster IgG2a constant regions) administered to animals one day before infection with SARS-CoV-2 Delta and evaluated 4 days post infection based on fraction of body weight change (A), replicating viral titers [50% tissue culture infectious dose (TCID<sub>50</sub>)] (B) and viral RNA load (C). (n=6 animals/dose) \*, \*\*, \*\*\*, \*\*\*\* p < 0.05, p < 0.01, 0.001, and 0.0001 relative to isotype control (MGH2 mAb against circumsporozoite protein of Plasmodium sporozoites), respectively (Kruskal-Wallis test followed by Dunn's multiple comparison test). (D) Quantification of viral RNA loads in the lung and trachea of Syrian hamsters 4 days after intranasal infection with SARS-CoV-2 Omicron BA.2, which was preceded one day prior by prophylactic intraperitoneal administration of S2X324 hamster IgG2a at 5 mg/kg of body weight. \*\*\* p < 0.001 relative to control, respectively (Mann-Whitney 2-tail *t* test). (E and F) Quantification of replicating virus titers (TCID<sub>50</sub>) (E) and viral RNA load (F) in the lung of Syrian hamsters 4 days after intranasal infection with SARS-CoV-2 Omicron BA.5, which was preceded 1 day prior by prophylactic intraperitoneal administration of S309 or S2X324 human IgG1 (HuS309 and HuS2X324) at 5 mg/kg of body weight. (G to I) Dose-dependent protection in animals 4 days post infection with SARS-CoV-2 Delta by therapeutic intraperitoneal administration of S2X324 hamster IgG2a (blue symbols) or the S2X324 N297A mutant IgG2a (purple symbols) one day later at 5, 2, 0.5 or 0.1 mg/kg of body weight. \*, \*\*, \*\*\*, \*\*\*\* p < 0.05, p < 0.01, 0.001, and 0.0001 relative to control, respectively (Mann-Whitney 2-tail *t* test).

## Imprinted antibody responses against SARS-CoV-2 Omicron sublineages

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