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# Hepatitis B virus preS2 $\Delta$ 38–55 variants: A newly identified risk factor for hepatocellular carcinoma

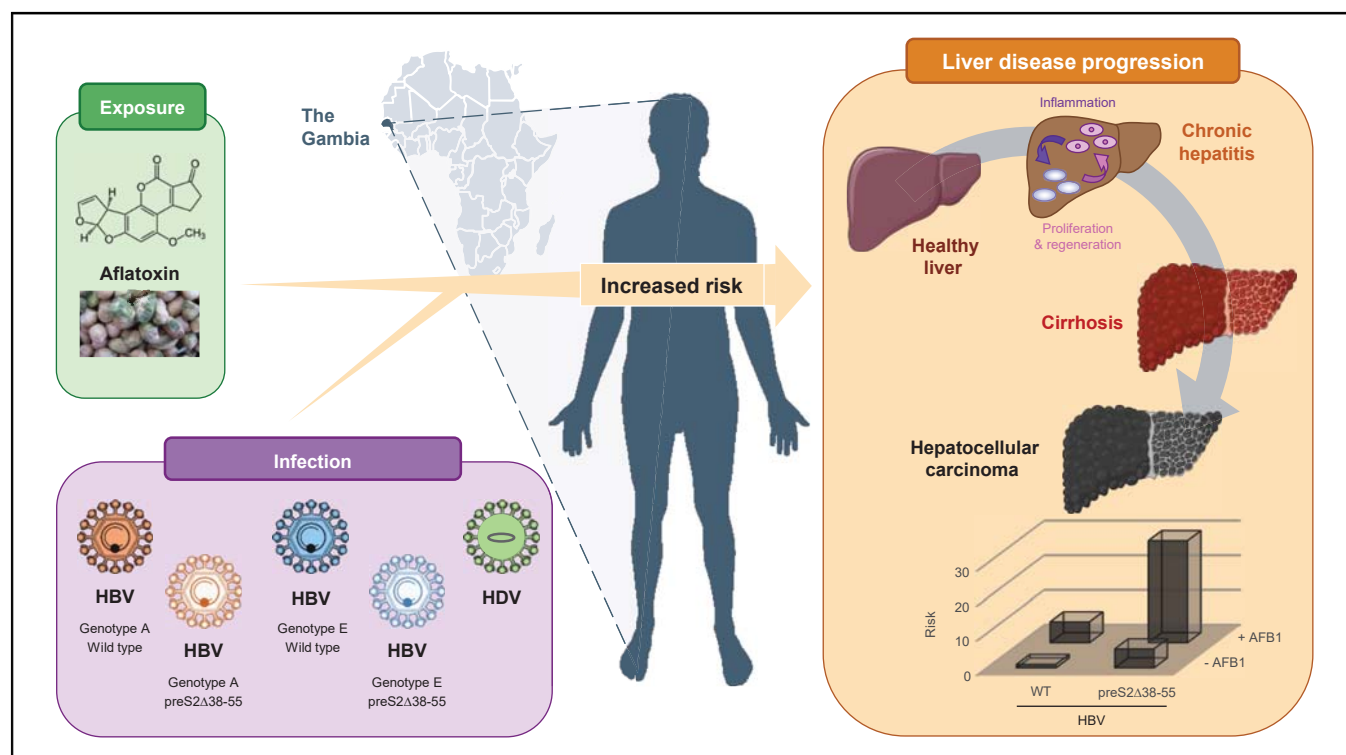
## Authors

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## Graphical abstract



## Highlights

- The rarer HBV genotype A (vs. E) is associated with a higher risk of HCC (odds ratio 5.2).
- A genotype-independent deletion hotspot was found in the preS2 domain (preS2 $\Delta$ 38–55).
- PreS2 $\Delta$ 38–55 was significantly associated with HCC and is an independent risk factor.

## Lay summary

Although HBV-related liver disease is highly prevalent in sub-Saharan Africa, the associated virological characteristics are poorly studied. Using clinical data from African patients chronically infected with HBV, an assessment of the virological variability (genotypes and mutations) and exposure to AFB1, a toxin often contaminating food, was carried out. Our results show that HBV genotypes, the presence of a highly prevalent mutant form of HBV, and AFB1 exposure contribute to the high liver cancer risk in this population.



# Hepatitis B virus preS2Δ38–55 variants: A newly identified risk factor for hepatocellular carcinoma

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**Background & Aims:** Although HBV is a major cause of death in Africa, its genetic variability has been poorly documented. This study aimed to address whether HBV genotype and surface gene variants are associated with HBV-related liver disease in The Gambia.

**Methods:** We conducted a case-control study nested in the Prevention of Liver Fibrosis and Cancer in Africa programme. Consecutive treatment-naïve patients with chronic HBV infection and detectable viral load were recruited: 211 controls with no significant liver disease and 91 cases (56 cirrhosis and 35 HCC cases). HBV genotypes and surface gene variants were determined by Sanger sequencing or next-generation sequencing (NGS) in serum DNA. Aflatoxin B1 (AFB1)-specific codon 249 TP53 mutation was determined by NGS in circulating cell-free plasma DNA.

**Results:** In phylogenetic analysis, 85% of individuals carried HBV genotype E, 14% genotype A, and 1% A/E recombinant viruses. Surface gene variants were more frequently observed in cases (43% and 57% in cirrhosis and HCC cases, respectively) than controls (25%;  $p < 0.001$ ), with preS2 deletions between nucleotides 38–55 (preS2Δ38–55) being the main genetic variant detected. In multivariable analysis, HBeAg seropositivity, low HBsAg levels, and HDV seropositivity were significantly associated with cirrhosis and HCC, whilst older age, higher viral load, genotype A, preS2Δ38–55, and AFB1 exposure were only associated with HCC. There was a multiplicative joint effect of preS2Δ38–55 variants with HBeAg seropositivity (odds ratio [OR] 43.1 [10.4–177.7]), high viral load  $> 2,000$  IU/ml (OR 22.7 [8.0–64.9]), HBsAg levels  $< 10,000$  IU/ml (OR 19.0 [5.5–65.3]), and AFB1 exposure (OR 29.3 [3.7–230.4]) on HCC risk.

**Conclusions:** This study identified a hotspot for HBV preS2 deletions as a strong independent factor for HCC in The Gambia, with HBV genotypes and AFB1 exposure contributing to the high liver cancer risk.

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## Introduction

Worldwide, 248 million individuals are positive for HBsAg, with the highest prevalence ( $> 8\%$ ) found in sub-Saharan Africa (SSA).<sup>1</sup> Chronic infection with HBV is a major cause of cirrhosis and accounts for more than a half of the global 770,000 HCC cases.<sup>2</sup>

The natural history of chronic HBV infection involves complex viral-host interactions, and the development of HBV-related HCC might be influenced by HBV genotypes and genetic variants that emerge during chronic infection. Ten genotypes (from A to J) exist based on a 7.5% nucleotide divergence that cluster geographically and can be distinguished by surface gene sequencing.<sup>3,4</sup> For instance, genotype A is found in North America, Europe, South Africa, and India, whereas genotype E is predominant in West Africa. Links have been suggested between the genotypes, transmission routes, and impacts on clinical outcome.<sup>5</sup> However, these associations have not been completely elucidated worldwide, particularly for the rarer HBV genotypes A and E circulating in SSA.

**Keywords:** Aflatoxin B1; Africa; Carcinogenesis; Cirrhosis; Genotype; Hepatitis B virus; Hepatocellular carcinoma; PreS deletion.

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HBV genetic variants have been reported in the 3.2 kb HBV genome that encodes the viral polymerase, surface (preS/S), precore/core, and X proteins.<sup>6</sup> This includes base substitutions, deletions, or insertions, which can emerge naturally during chronic infection caused by error-prone viral replication. They can persist as a dominant population during liver disease progression, modifying disease severity and cancer risk. For instance, preS deletions reported in longitudinal and cross-sectional studies conducted in Europe and Asia<sup>7</sup> are generally associated with liver disease progression and could promote immune escape.<sup>8</sup>

HBV-associated HCC risk can also be modified by aflatoxin B1 (AFB1) exposure. This food contaminant is a class 1 human carcinogen found in SSA and China, and may multiplicatively amplify the effect of chronic HBV infection on HCC risk.<sup>9</sup> The molecular hallmark of AFB1-induced liver carcinogenesis is the *TP53* tumour suppressor gene codon 249 AGG>AGT mutation (p53R249S), with its presence in circulating cell-free (cf)DNA isolated from blood components considered as a surrogate biomarker of AFB1 exposure.<sup>10</sup>

In The Gambia, West Africa, previous studies found that the risk of HBV-related HCC is elevated in chronic HBV carriers with high viral load,<sup>11</sup> positive HBeAg,<sup>11</sup> coinfecting with HCV<sup>12</sup> or HDV,<sup>13</sup> and previous exposure to AFB1.<sup>14</sup> However, the impact of HBV genotypes and variants has not been elucidated yet. Therefore, to address this research gap, we conducted a case-control study nested in the Prevention of Liver Fibrosis and Cancer in Africa (PROLIFICA; [www.prolifica.africa](http://www.prolifica.africa)) programme<sup>15</sup> in The Gambia.

## Materials and methods

### Study population

We investigated 302 treatment-naive HBsAg-positive patients with detectable viral load consecutively enrolled in the PROLIFICA programme from December 2012 to June 2015 (Fig. S1). They were identified as HBsAg positive through either community-based HBV screening<sup>15</sup> or referral to the PROLIFICA liver clinic for a suspected chronic liver disease.<sup>16</sup>

All patients had a standardised comprehensive liver assessment, including physical examination, fasting liver stiffness measurement (LSM) using FibroScan® (Echosens, Paris, France), abdominal ultrasound with Doppler, liver function tests, and virological investigations (including HDV, HCV, and HIV serologies), as described previously.<sup>15</sup> Cirrhosis was diagnosed using an LSM cut-off (9.5 kPa) previously validated in this population,<sup>17</sup> or histologically or clinically in case of decompensated cirrhosis. HCC cases were either identified histopathologically or clinically if they fulfilled at least 2 of the following criteria: focal liver lesion  $\geq 2$  cm consistent with HCC by ultrasound; alpha-fetoprotein (AFP) levels  $\geq 200$  ng/ml; and cirrhosis.<sup>16</sup>

Controls were defined as HBsAg-positive patients without cirrhosis or HCC. The study was approved by The Gambia Government/MRC Joint Ethics Committee (SCC 1266) and conducted according to the guidelines of the Declaration of Helsinki. Ethical approval was granted by the institutional ethics committees, and all participants gave informed consent.

### Viral DNA extraction, PCR, and sequencing

Viral DNA extracted from patient sera (200  $\mu$ l) using the QIAamp® DNA Mini Kit (QIAGEN, Hilden, Germany) was used to

PCR amplify the preS1/preS2/S gene before bidirectional Sanger sequencing (GenBank submission ID 2208379).<sup>18,19</sup> First-round PCR was performed in 25  $\mu$ l containing 5  $\mu$ l HBV DNA and the F8-5'-ATTGTCATACTCTTTGGAAGGC-3' and R5-5'-AAAGCC-CAAAGACCCACAAT-3' primers. Then, 1  $\mu$ l of this product was used in a nested PCR (primers F3-5'-CGCCTCATTTGTGGGTAC-3' and R8 5'-CTTTGACAACTTTCCAATCAAT-3') resulting in 1.4 kb (approximate) fragment. PCR products were checked on 1% agarose gels and purified using QIAquick® PCR Purification Kit (QIAGEN). The SeqScape™ Software version 3.0 (Thermo Fisher Scientific, Waltham, MA, USA) was used to join contigs with respect to the reference HBV genotype C AB014360. The Multiple Alignment using Fast Fourier Transform programme<sup>20</sup> was used for alignments and CLC Sequence Viewer 8 (QIAGEN Bioinformatics) for mutation observation. Sequence logos were generated using an online application.<sup>21</sup>

### Genotyping and phylogenetic tree

Genotypes and recombinants were determined using the jumping profile hidden Markov model.<sup>22</sup> A/E recombinants were cut at the break point and analysed separately (Fig. S2). Three sequences were discarded from the data set (N-terminal of EG7151, EG7194, and EG7190) because of their very short length (<50 nt) leading to a set of 304 HBV S gene sequences from 302 individuals. Four S gene sequences of HBV genotype C (AB014360), B (AB010289), D (AB048701), and F (AB036905) have been added as external references.<sup>6</sup> The sequences have been aligned using MACSE version 2.03 (default parameters),<sup>23</sup> and the resulting alignment was trimmed using BMGE version 1.0<sup>24</sup> (option -t CODON). The phylogenetic tree was inferred with PhyML version 3.1<sup>25</sup> using the GTR+G4 evolutionary model proposed by ModelFinder,<sup>26</sup> according to the Bayesian information criterion. The branch robustness was measured using the non-parametric bootstrap procedure implemented in PhyML (100 replicates of the original alignment). The inferred tree was rooted by HBV genotype F sequence and visualised using Interactive Tree Of Life.<sup>27</sup>

### AFB1 assessment by isolation of circulating cfDNA and p53R249S fragment amplification

Circulating cfDNA was isolated from plasma (600  $\mu$ l) of 117 patients, using the QIAamp® Circulating Nucleic Acid Kit (QIAGEN), quantified using the Quant-iT™ PicoGreen® dsDNA Assay (Molecular Probes/Invitrogen), and used to PCR amplify a *TP53* fragment covering codon 249 (Supplementary Methods and materials).

### Next-generation sequencing analysis by Ion Torrent technology

The NEBNext® Fast DNA Library Prep Set for Ion Torrent® kit (New England Biolabs) was used for *TP53* mutation analysis (Supplementary Methods and materials). The same protocol was used to analyse the preS1/preS2/S amplicon. Purified barcoded libraries were sequenced on Ion Torrent Proton™ systems (Thermo Fisher Scientific). The accuracy and detection threshold and read error rate for the p53R249S mutation were determined using genomic DNA from p53R249S homozygous PLCPRF5 cells diluted into human wild-type (WT) DNA. For HBV sequences, the detection limits for variant sequences were estimated at 2% with a coverage of 10,000 reads.

**Table 1. Characteristics of the HBsAg-positive controls, cirrhosis cases, and HCC cases (n = 302).**

	Controls (n = 211)	Cirrhosis cases (n = 56)	HCC cases (n = 35)	p value
Male	156 (74)	47 (84)	25 (71)	0.3
Median age (years)	33 (29–39)	36 (27–42)	40 (32–48)	0.03
Born in rural area	146 (69)	37 (66)	23 (66)	0.9
Family history of liver cancer	11 (5)	1 (2)	2 (6)	0.5
Ever drunk alcohol	11 (5)	6 (11)	3 (9)	0.3
Obesity	15 (7)	2 (4)	0	0.2
Median LSM (kPa)	5.3 (4.4–6.7)	15.9 (10.3–27.7)	75 (46.4–75)	<0.001
Median ALT (IU/L)	27 (21–33)	39 (26–61)	65 (47–107)	<0.001
Median AST (IU/L)	30 (26–38)	52 (33–102)	351 (195–429)	<0.001
Median GGT (IU/L)	27 (21–39)	58 (36–100)	363 (201–624)	<0.001
Median ALP (IU/L)	84 (69–106)	129 (85–182)	365 (261–452)	<0.001
Median total bilirubin (mg/dl)	0.6 (0.5–0.9)	0.8 (0.6–2.1)	2.0 (1.2–5.5)	<0.001
Median albumin (g/ml)	4.3 (4.0–4.5)	4.0 (2.9–4.3)	3.1 (2.8–3.3)	<0.001
Median creatinine (mg/dl)	0.89 (0.76–1.03)	0.86 (0.71–0.98)	0.71 (0.60–1.11)	0.1
Median platelets (10 <sup>9</sup> cells/L)	199 (156–251)	118 (81–174)	208 (143–329)	<0.001
Median AFP (ng/ml)	4.2 (2.5–6.5)	13.4 (7.8–58.0)	1,559.0 (167.9–6,172.0)	<0.001
HBeAg seropositivity	18 (8.5)	17 (30.9)	14 (40.0)	<0.001
Median HBV DNA (log <sub>10</sub> IU/ml)	2.7 (2.2–3.3)	4.0 (2.3–5.9)	5.2 (3.2–6.3)	<0.001
Median HBsAg (log <sub>10</sub> IU/ml)	4.1 (3.8–4.4)	3.7 (3.3–3.9)	3.6 (3.2–4.0)	<0.001
Genotype				<0.001
E	188 (89)	48 (86)	20 (57)	
A	23 (11)	7 (12)	12 (34)	
A and E	0	1 (2)	3 (9)	
HBV mutations				<0.001
Wild type	159 (75)	32 (57)	15 (43)	
Other mutation	11 (5)	2 (4)	1 (3)	
PreS2Δ38–55	41 (20)	22 (39)	19 (54)	
Positive anti-HCV	0	2 (4)	3 (9)	0.001
Positive anti-HDV	1 (1)	4 (7)	5 (17)	<0.001
Positive anti-HIV=	4 (2)	2 (4)	0	0.5
AFB1 exposure, n (%) <sup>a</sup>				
No	60 (81)	22 (73)	6 (46)	0.03
Yes	14 (19)	8 (27)	7 (54)	
Median allelic fraction in those positive for AFB1 (IQR)	0.009 (0.003–0.224)	0.039 (0.002–0.342)	0.332 (0.064–0.419)	<0.001

Data are presented as n (%) for categorical variables and median (IQR) for continuous variables. Obesity was defined as a body mass index  $\geq 30$  kg/m<sup>2</sup>. Characteristics were compared between the controls and cases using chi-squared test or Fisher's exact test for categorical variables, and Kruskal-Wallis test for continuous variables. Logistic regression was computed to identify factors associated with cirrhosis or HCC. All the variables significantly associated with liver disease in a crude analysis ( $p < 0.05$ ) were further included in a multivariable model to obtain adjusted OR. The interactions between PreS2Δ38–55 and HBeAg-seropositivity, HBV DNA, HBsAg levels, HBV genotype, or AFB1 exposure were examined using a likelihood ratio test by adding interaction terms in the logistic regression model. The capability of the allelic fraction to correctly discriminate HBV-related HCC cases from people with chronic HBV infection without HCC was evaluated using the ROC curve. Its optimal cut-off was selected to maximize the sum of sensitivity and specificity.

AFB1, aflatoxin B1; AFP, alpha-fetoprotein; ALP, alkaline phosphatase; ALT, alanine transaminase; AST, aspartate aminotransferase; GGT, gamma-glutamyltransferase; LSM, liver stiffness measurement.

<sup>a</sup> AFB1 exposure was assessed by next-generation sequencing using the presence of the p53R249S mutation in cell-free DNA extracted from plasma as a surrogate biomarker.

### Next-generation sequencing bioinformatics and statistical analyses

For the HBV genome, signal processing and base calling were performed with Torrent Suite™ Software version 5.0.2. Variant calling was obtained using Torrent Variant Caller 5.8 and applying somatic variant frequency, including down-sampling to coverage of 50,000 using the HBV EG0270 genome (genotype E) as reference. Next-generation sequencing (NGS) reads are available at the NCBI Sequence Read Archive (accession number PRJNA527947). HBV variants were filtered and highlighted using either IGV\_2.3.55 or a Python local script.

For TP53, variant calling was performed using the Needlestack algorithm in extra-robust regression mode (Supplementary Methods and materials). A threshold of Phred scale  $q$  values QVAL >30 was used to call variants (QVAL =  $-10 \log_{10} [q \text{ value}]$ ).

### Statistical analysis

Data were analysed using Stata 13.0 (StataCorp, USA). Characteristics were compared between the controls and cases using chi-square test or Fisher's exact test for categorical variables, and Kruskal-Wallis test for continuous variables. Logistic regression

was computed to identify factors associated with cirrhosis or HCC. All the variables significantly associated with liver disease in a crude analysis ( $p < 0.05$ ) were further included in a multivariable model to obtain adjusted odds ratio (OR). The interactions between PreS2Δ38–55 and HBeAg seropositivity, HBV DNA, HBsAg levels, HBV genotype, or AFB1 exposure were examined using a likelihood ratio test by adding interaction terms in the logistic regression model.

The capability of the allelic fraction to correctly discriminate HBV-related HCC cases from people with chronic HBV infection without HCC was evaluated using the receiver operating characteristic (ROC) curve. Its optimal cut-off was selected to maximise the sum of sensitivity and specificity.

## Results

### Study population

In this study, 302 patients were enrolled: 211 controls and 91 cases (56 individuals with cirrhosis and 35 HCC cases). The demographic and clinical characteristics are presented in Table 1. The majority were men: 74% in controls, 84% in cirrhosis cases,



**Table 2. Factors associated with HBV-related cirrhosis and/or HCC.**

Variables	Cirrhosis				HCC			
	Crude ORs		Adjusted ORs <sup>a</sup>		Crude ORs		Adjusted ORs <sup>b</sup>	
	OR (95% CI)	p value	OR (95% CI)	p value	OR (95% CI)	p value	OR (95% CI)	p value
Gender								
Women	1.0	0.1			1.0	0.8		
Men	1.8 (0.8–4.0)				0.9 (0.4–1.9)			
Age (OR per unit increase)	1.0 (1.0–1.0)	0.7			1.1 (1.0–1.1)	0.01	1.0 (0.9–1.1)	0.2
HBeAg								
Negative	1.0	<0.001	1.0	0.04	1.0	<0.001	1.0	0.04
Positive	4.8 (2.3–10.1)		3.2 (1.0–10.5)		7.1 (3.1–16.4)		5.4 (1.1–26.8)	
HBV DNA (IU/ml) <sup>c</sup>								
<2,000	1.0	<0.001	1.0	0.1	1.0	<0.001	1.0	0.002
2,000–10 <sup>6</sup>	3.2 (1.6–6.4)		1.5 (0.6–3.4)		4.9 (2.1–11.7)		6.3 (1.5–26.4)	
≥10 <sup>6</sup>	4.8 (2.0–11.5)		2.9 (0.8–11.5)		8.8 (3.2–23.9)		15.9 (2.6–97.3)	
HBsAg (IU/ml)								
<10,000	1.0	<0.001	1.0	<0.001	1.0	<0.001	1.0	0.01
≥10,000	0.2 (0.1–0.3)		0.1 (0.1–0.3)		0.2 (0.1–0.5)		0.1 (0.1–0.6)	
Genotype								
E	1.0	0.7			1.0	<0.001	1.0	0.02
A	1.2 (0.5–2.9)				4.9 (2.1–11.3)		5.2 (1.4–19.7)	
PreS2Δ38–55								
Negative	1.0	0.002	1.0	0.09	1.0	<0.001	1.0	0.03
Positive	2.7 (1.4–5.1)		1.9 (0.9–4.2)		4.9 (2.3–10.4)		3.3 (1.1–9.7)	
Anti-HDV								
Negative	1.0	0.01	1.0	0.04	1.0	0.001	1.0	0.002
Positive	16.4 (1.8–149.8)		15.5 (1.1–219.7)		41.8 (4.7–372.3)		135.7 (5.9–3,124.3)	
Anti-HIV								
Negative	1.0	0.5			1.0	n.a.		
Positive	1.9 (0.3–10.7)				n.a.			
AFB1 exposure <sup>d</sup>								
No	1.0	0.4			1.0	0.01		
Yes	1.6 (0.6–4.2)				5.0 (1.5–17.2)			

AFB1, aflatoxin B1; n.a., not applicable; OR, odds ratio.

<sup>a</sup> Adjusted for HBeAg, HBV DNA levels, HBsAg levels, preS2Δ38–55, and anti-HDV.

<sup>b</sup> Adjusted for age, HBeAg, HBV DNA levels, HBsAg levels, HBV genotype, preS2Δ38–55, and anti-HDV.

<sup>c</sup> p values are based on a test for trend.

<sup>d</sup> AFB1 exposure was evaluated in a subset of study participants and is not included in the multivariable analysis.

and 71% in HCC cases. Median viral load (inter-quartile range [IQR]; log<sub>10</sub> IU/ml) and HBeAg positivity were 2.7 (2.2–3.3) and 8.5% in the control group, 4.0 (2.3–5.9) and 31% in cirrhosis cases, and 5.2 (3.2–6.3) and 40% in HCC cases, respectively. Age, median LSM, median liver function test levels, and serum AFP levels were higher in cases than in the control group ( $p < 0.001$ ).

### Risk factors of liver disease

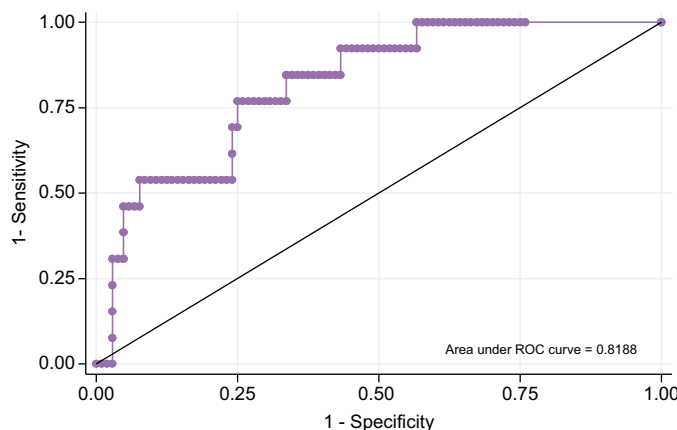
HBeAg seropositivity was significantly associated with liver disease severity; adjusted OR 3.2 (95% CI 1.0–10.5;  $p = 0.04$ ) for cirrhosis and 5.4 (95% CI 1.1–26.8;  $p = 0.04$ ) for HCC (Table 2). Similarly, after adjusting for confounding factors, high viral loads were significantly associated with HCC: compared with patients with low viral load (<2,000 IU/ml), the ORs were 6.3 (95% CI 1.5–26.4) for 2,000–10<sup>6</sup> IU/ml and 15.9 (95% CI 2.6–97.3) for ≥10<sup>6</sup> IU/ml. However, no association was observed between viral load level and cirrhosis in an adjusted analysis (Table 2). Controls had higher median HBsAg levels (4.1 log<sub>10</sub> IU/ml; IQR 3.8–4.4) than cirrhosis (3.7; IQR 3.3–3.9) or HCC cases (3.6; IQR 3.2–4.0) ( $p < 0.001$ ) (Table 1). HBsAg levels <4.0 log<sub>10</sub> IU/ml were associated with an increased risk of cirrhosis and HCC (adjusted  $p < 0.001$  and  $p = 0.01$ , respectively; Table 2).

The association of other known risk factors with liver disease severity was examined. Alcohol consumption and coinfection with HIV were relatively rare in this population (Table 1), and no association was observed probably owing to the lack of statistical

power. HCV coinfection was more frequent in liver disease cases: 0% in control group, 4% in cirrhosis cases, and 9% in HCC cases ( $p = 0.001$ ) (Table 1). Nevertheless, logistic regression was not performed to assess the effect of HCV because of the small number of coinfecting patients. Additionally, in this HBsAg-positive population, coinfection with HDV, although infrequent (10/302), was significantly associated with an increased risk of both cirrhosis (adjusted OR 15.5; 95% CI 1.1–219.7) and HCC (adjusted OR 135.7; 95% CI 5.9–3,124.3) (Table 2).

AFB1 exposure was evaluated using a surrogate biomarker in a subset of participants (74 controls, 30 cirrhosis cases, and 13 HCC cases) and found in 54% of HCC cases, 27% of cirrhosis cases, and 19% of HBsAg-positive controls ( $p = 0.03$ ) (Table 1). AFB1 exposure was significantly associated with HCC risk (crude OR 5.0; 95% CI 1.5–17.2) (Table 2). Of note, no significant difference was observed in the distribution of age, sex, alanine transaminase, and viral load levels between those with or without AFB1 assessment (data not shown). Interestingly, the median allelic fraction in those positive for this AFB1-associated TP53 mutation was significantly higher in HCC cases than controls (Table 1). We assessed the performance of the allelic fraction to discriminate between those with HCC and without (HBsAg-positive controls and cirrhosis cases), and found an area under the ROC curve of 0.82 (95% CI 0.71–0.93) with a sensitivity of 76.9% and specificity of 75.0% at a cut-off level of 0.0002 (Fig. 1).

Performance	Allelic fraction
AUROC (95% CI)	0.82 (0.71-0.93)
Optimal cut-off	0.0002
Sensitivity (95% CI)	76.9% (46.2-95.0)
Specificity (95% CI)	75.0% (65.6-83.0)
Positive likelihood ratio	3.1 (2.0-4.8)
Negative likelihood ratio	0.3 (0.1-0.8)



**Fig. 1. Performance of allelic fraction to discriminate HCC cases from non-HCC cases.** ROC curve for the allelic fraction to discriminate between HCC cases (n = 13) and those without HCC (n = 104, including 74 controls and 30 cirrhosis cases) and performance assessment. AUROC, area under the receiver operating characteristic curve; ROC, receiver operating characteristic.

### HBV genetic variability

Two HBV genotypes, as assessed by S region sequencing, were detected in the study population: 85% (256/302) were genotype E and 14% (42/302) were genotype A with, in addition, 1% (4/302) A/E recombinants. Three recombinants had an A genotype N-terminal and an E genotype C-terminal, and one N- and C-terminals corresponding to the E genotype and a section between corresponding to the A genotype (see Fig. S1; Fig. 2). The prevalence of genotype A was 34% in HCC cases, 12% in cirrhosis cases, and 11% in controls (Table 1). A significant association was observed between genotype A (vs. E) and HCC (adjusted OR 5.2; 95% CI 1.4–19.7), but not with cirrhosis (Table 2). The distribution of covariates by each genotype in the control group is presented in Table 3. Genotype A was associated with a family history of liver cancer ( $p = 0.005$ ) and low HBsAg levels ( $p < 0.001$ ), but no association was observed with other covariates.

Multiple sequence alignments revealed a higher frequency of preS1/preS2/S gene deletions in HCC cases (57%) than in cirrhosis cases (43%) or control groups (25%) ( $p < 0.001$ ) (Table 1). The vast majority were located in the preS2 domain (84.4%;  $n = 82/96$ ) (Fig. 3). These genotype-independent deletions were predominantly in-frame leading to 12, 15, or 18 bp (53%;  $n = 51/96$ ). Losses clustered around nucleotides 38–55 ( $\Delta 38-55$ ), resulting in the absence of up to 6 amino acids, but, as seen in the sequence logo, the amino acid sequence 3' to the deletion is conserved (Fig. 3).

This mutation profile, taken together with its high atypical prevalence in controls ( $n = 41/211$ ; 20%; Table 1), led to further phylogenetic analyses that showed that preS2 $\Delta 38-55$  was spread amongst the inferred tree (Fig. 2). This would suggest that its carriage was not a consequence of infection by a unique HBV strain, but more likely arises independently and multiple times during or after the initial infection of different hosts. Of the 4 A/E recombinant genotypes detected, 3 were from HCC cases and 1 from a cirrhosis case, and all carried preS2 $\Delta 38-55$  (Table 1). Despite the small sample size, shared recombination break points were observed: twice between nucleotides 146 and 193.5, at nucleotide 2,891, and between nucleotides 2,897 and 3,035 (Fig. S2).

The degree of coexistence of variant and WT populations and the quasi-species was assessed using NGS in 9 randomly selected samples identified as either WT or preS2 $\Delta 38-55$  by Sanger sequencing. As shown for 3 representative examples (Fig. S3), the

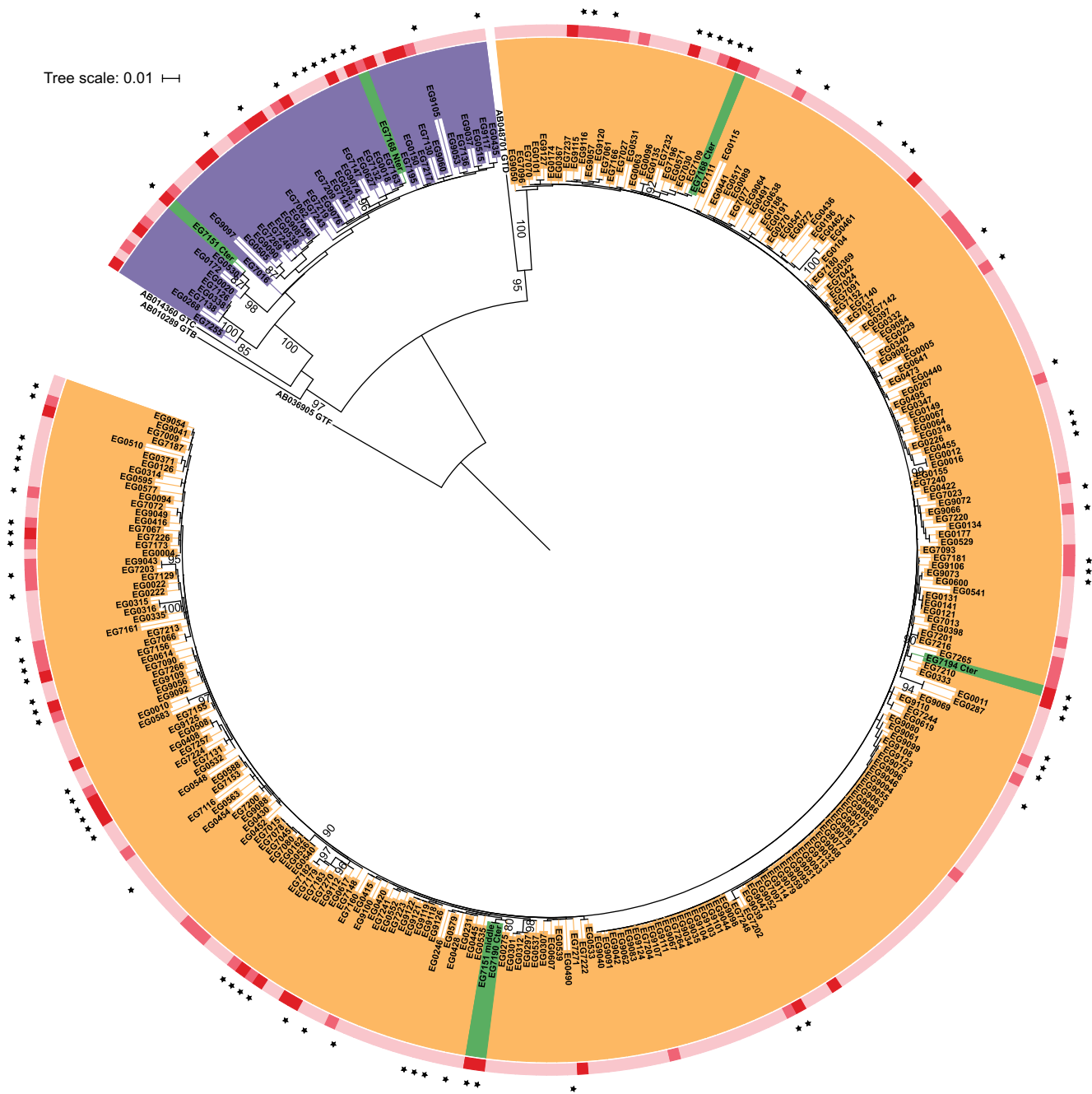
WT samples had a constant level of reads in the preS2 domain; by contrast, all those defined as preS2 $\Delta 38-55$  showed the coexistence of mutant and WT sequences.

### Factors associated with the presence of preS2 $\Delta 38-55$

The presence of the preS2 $\Delta 38-55$  hotspot mutation was not associated with any of the demographic, lifestyle, or virological factors in the control group (Table 3). However, it was marginally associated with an increased risk of cirrhosis (adjusted OR 1.9; 95% CI 0.9–4.2) and significantly with HCC risk (adjusted OR 3.3; 95% CI 1.1–9.7) (Table 2). AFB1 exposure was not associated with preS2 $\Delta 38-55$  carriage or HBV genotype in the HBsAg-positive controls (Table 3; Fig. S4A).

### Joint effects between preS2 $\Delta 38-55$ and the other risk factors

The interaction was examined between the effects of preS2 $\Delta 38-55$  and each of the following HCC risk factors: HBeAg seropositivity, viral load, HBsAg, HBV genotype, and AFB1 exposure (Table 4). HDV was not assessed because of the small number of coinfecting patients. There was no evidence of interaction between the effects of preS2 $\Delta 38-55$  and HBsAg levels ( $p = 0.6$ ) or preS2 $\Delta 38-55$  and AFB1 exposure ( $p = 0.9$ ) on HCC risk, supporting that these effects combine multiplicatively. Although the test for heterogeneity was not statistically significant, the joint effect on HCC was more than multiplicative between the preS2 $\Delta 38-55$  carriage and HBeAg seropositivity ( $p = 0.1$ ) and the preS2 $\Delta 38-55$  carriage and viral load levels ( $p = 0.1$ ). By contrast, there was strong evidence of the interaction between the effects of preS2 $\Delta 38-55$  and viral genotype on HCC ( $p < 0.01$ ). Compared with the reference group with the lowest HCC risk (genotype E/deletion[−]), the ORs were 8.4 (95% CI 3.1–22.7) in genotype E/deletion(+), 12.4 (95% CI 4.1–37.7) in genotype A/deletion(−), and 9.4 (95% CI 2.0–44.4) in genotype A/deletion(+). These dissimilarities suggest that preS2 $\Delta 38-55$  is associated with an increased HCC risk in patients infected with genotype E, but not in those infected with genotype A. However, because of the low frequency of genotype A carriers, this differential effect needs to be confirmed in a larger cohort. In addition, a multiplicative effect on HCC risk was seen, albeit with a wide CI interval, between the preS2 $\Delta 38-55$  carriage and AFB1 exposure with a 29-fold (95% CI 3.7–230.4) increased risk



**Fig. 2. Phylogenetic tree of HBV preS2 region.** Phylogenetic tree based on the HBV S gene sequences shows that genotype E was the most frequent (red), followed by genotype A (blue), and that 4 recombinants were detected (green). Recombinant sequences were cut at the break point and analysed (Fig. S2). The sequences containing preS2Δ38–55 (dots) are spread amongst the tree. The clinical status of patients is indicated by the outer striped ring: light pink, chronic carriers; pink, liver cirrhosis; red, HCC. Numbers at branches correspond to bootstrap values higher than 80%. The scale represents the average number of substitutions per site.

observed compared with either the deletion (5.5-fold) or AFB1 (6.0-fold) exposure alone (Table 4).

### Discussion

This study has, for the first time, investigated the association between HBV genetic diversity and liver disease severity in a West African population exposed to the African environment.

In terms of virological factors, HBV genotype A, a minor genotype in West Africa, was significantly associated with HCC risk

in The Gambia (OR 5.2 [1.3–21.0]). This is in line with our previous longitudinal study in The Gambia that showed an association between genotype A and significant liver fibrosis.<sup>28</sup> In southern Africa, a study estimated that patients infected with HBV genotype A had a significantly higher HCC risk (risk ratio 4.5), which occurred 6.5 years earlier as compared to non-genotype A-infected patients.<sup>29</sup>

Our study also found that the preS2Δ38–55 variants are associated with an increased HCC risk in those infected with genotype E, despite genotype E carriers being at lower risk of



**Table 3. Characteristics of HBsAg-positive controls by the presence of preS2Δ38–55 deletions and different HBV genotypes (n = 211).**

Variables	PreS2Δ38–55			HBV genotypes		
	Absent (n = 170)	Present (n = 41)	p value	E (n = 188)	A (n = 23)	p value
<b>Demographic factors</b>						
Gender						
Women	47 (85)	8 (15)	0.3	49 (89)	6 (11)	0.9
Men	123 (79)	33 (21)		139 (89)	17 (11)	
Age (years)						
<40	134 (82)	30 (18)	0.4	145 (88)	19 (12)	0.6
≥40	36 (77)	11 (23)		43 (91)	4 (9)	
Birth place						
Rural	114 (78)	32 (22)	0.2	131 (90)	15 (10)	0.7
Urban	56 (86)	9 (14)		57 (88)	8 (12)	
Family history of liver cancer						
No	161 (81)	39 (19)	0.9	181 (90)	19 (10)	0.005
Yes	9 (82)	2 (18)		7 (64)	4 (36)	
<b>Lifestyle factors</b>						
Alcohol intake						
Never	162 (81)	38 (19)	0.5	180 (90)	20 (10)	0.07
Ever	8 (73)	3 (27)		8 (73)	3 (27)	
AFB1 exposure						
No	44 (73)	16 (27)	0.7	54 (90)	6 (10)	0.2
Yes	11 (79)	3 (21)		14 (100)	0	
<b>Virological factors</b>						
HBsAg seropositivity						
Negative	155 (80)	38 (20)	0.8	171 (89)	22 (11)	0.4
Positive	15 (83)	3 (17)		17 (94)	1 (6)	
HBV DNA (IU/ml)						
<2,000	125 (81)	30 (19)	0.9	137 (88)	18 (12)	0.8
2,000–10 <sup>6</sup>	32 (80)	8 (20)		36 (90)	4 (10)	
≥10 <sup>6</sup>	13 (81)	3 (19)		15 (94)	1 (6)	
HBsAg levels (IU/ml)						
<10,000	46 (74)	16 (26)	0.3	48 (77)	14 (23)	<0.001
≥10,000	87 (81)	21 (19)		103 (95)	5 (5)	
Genotype						
E	154 (82)	34 (18)	0.2	n.a.	n.a.	
A	16 (70)	7 (30)		n.a.	n.a.	
Anti-HCV						
Negative	170 (81)	41 (19)	n.a.	188 (89)	23 (11)	n.a.
Positive	0	0		0	0	
Anti-HDV						
Negative	168 (80)	41 (20)	0.6	187 (89)	22 (11)	0.7
Positive	1 (100)	0		1 (100)	0	
Anti-HIV						
Negative	165 (80)	41 (20)	0.3	184 (89)	22 (11)	0.4
Positive	4 (100)	0		3 (75)	1 (25)	

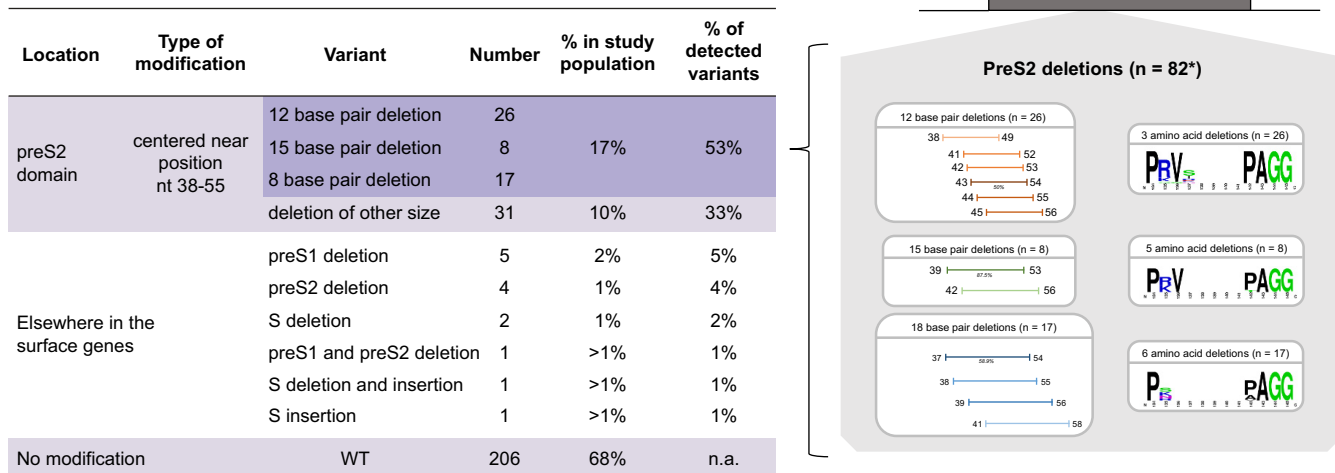
Data are presented as n (%).  
 AFB1, aflatoxin B1; n.a., not applicable.

HCC than genotype A patients. PreS deletions have been extensively described in Asian cohorts,<sup>30–32</sup> mainly in HBV genotypes B and C. A systematic literature review and meta-analysis performed on 109 such studies and including 1,511 patients has also reported an association between preS2 variants and HCC with an overall OR of 3.0 (2.3–3.9).<sup>33</sup> However, a clear distinction between the studies is the location of the preS deletion. In these Asian cohorts, they are scattered throughout the preS region,<sup>30–33</sup> whereas in the PROLIFICA cohort a deletion hotspot was found specifically in the preS2 domain. The phylogenetic tree based on sequences from the study population suggests that the mutated viruses arise independently many times and are not a unique viral strain. This aspect has not been investigated in other populations and clearly needs to be addressed in future studies.

The finding of the preS2Δ38–55 deletion hotspot raises a number of questions:

- its origin and whether it is a consequence of the presence or processing of DNA adducts on the viral genome caused by exposure to an environmental mutagen;
- if the deleted virus has a selective advantage in the Gambian environment; and
- the cellular consequences of the circulating mutant virus.

AFB1 is one candidate mutagen that has been shown to interact with HBV DNA.<sup>34</sup> Based on the p53R249S mutation analysis in cfDNA from individuals for whom adequate plasma samples were available, a high proportion of the study population (25%) has been exposed to AFB1, and this exposure was significantly associated with HCC risk. In addition, we noted that the median allelic fraction in those positive for this AFB1-associated TP53 mutation was significantly higher in HCC cases than in controls; the allelic fraction is an integrated marker of both past AFB1 exposure and the presence of a tumour carrying



**Fig. 3. Variants detected in HBV surface (S) genes, their positions, and prevalence.** As shown, 96/302 S gene sequences had mutations localised for the vast majority (82/96) in the preS2 domain at positions 38–55 (EcoRI nomenclature). Of these, 53% lost 12, 15, or 18 bp (shades of orange, green, and blue, respectively). Corresponding amino acid changes are shown using a sequence logo. The thickness of the bar reflects the frequency of the deletion. The other mutations (14/96) were localised elsewhere in the S genes. n.a., not applicable; WT, wild type.

**Table 4. Joint effect of preS2Δ38–55 and HBeAg seropositivity, viral load, HBsAg levels, HBV genotype, and AFB1 exposure, on HCC risk.**

Variables	Controls (n = 211)	HCC cases (n = 35)	OR (95% CI)	p value for heterogeneity
<b>HBeAg/preS2Δ38–55</b>				<b>0.1</b>
(-)/(-)	155 (74)	12 (34)	1.0	
(-)/(+)	38 (18)	9 (26)	3.1 (1.2–7.8)	
(+)(-)	15 (7)	4 (11)	3.4 (1.0–12.0)	
(+)(+)	3 (1)	10 (29)	43.1 (10.4–177.7)	
<b>HBV DNA/preS2Δ38–55</b>				<b>0.1</b>
<2,000/(-)	125 (59)	8 (23)	1.0	
<2,000/(+)	30 (14)	3 (9)	1.6 (0.4–6.2)	
≥2,000/(-)	45 (22)	8 (23)	2.8 (1.0–7.8)	
≥2,000/(+)	11 (5)	16 (45)	22.7 (8.0–64.9)	
<b>HBsAg/preS2Δ38–55</b>				<b>0.6</b>
≥10,000/(-)	87 (51)	4 (12)	1.0	
≥10,000/(+)	21 (12)	5 (14)	5.2 (1.3–21.0)	
<10,000/(-)	46 (27)	12 (34)	5.7 (1.7–18.6)	
<10,000/(+)	16 (10)	14 (40)	19.0 (5.5–65.3)	
<b>Genotype/preS2Δ38–55</b>				<b>&lt;0.01</b>
E/(-)	154 (73)	7 (22)	1.0	
E/(+)	34 (16)	13 (41)	8.4 (3.1–22.7)	
A/(-)	16 (8)	9 (28)	12.4 (4.1–37.7)	
A/(+)	7 (3)	9 (9)	9.4 (2.0–44.4)	
<b>AFB1/preS2Δ38–55</b>				<b>0.9</b>
(-)/(-)	44 (59)	2 (15)	1.0	
(-)/(+)	16 (22)	4 (31)	5.5 (0.9–33.0)	
(+)(-)	11 (15)	3 (23)	6.0 (0.9–40.4)	
(+)(+)	3 (4)	4 (31)	29.3 (3.7–230.4)	

AFB1, aflatoxin B1; OR, odds ratio.

this mutation, and indicative of HCC. The sensitivity and specificity of the technique used in this study to detect mutant TP53 alleles are comparable with those obtained using another highly sensitive technology, the droplet digital PCR,<sup>35</sup> and highlight their potential in assessing this biomarker in liquid biopsy studies for identifying and confirming HCC status as reviewed in 1 study.<sup>36</sup> Moreover, AFB1 exposure could contribute to the low viral load observed in chronic HBV carriers in The Gambia as *in vitro* AFB1 exposure has been reported to reduce HBV

replication.<sup>34</sup> In addition, we report for the first time a potential multiplicative effect of AFB1 exposure and HBV preS2Δ38–55 carriage on HCC risk, clearly highlighting the impact of this environmental carcinogen.

In terms of possible impacts, the preS2Δ38–55 variant did not affect viral replication as similar viral loads, median HBsAg levels, and HBeAg prevalence were found in individuals infected with the preS2Δ38–55 or WT virus (Fig. S4B–D). This is likely caused by a cohabitation of the WT HBV with the preS2Δ38–55

form within hepatocytes. Under such a coexistence, the WT viral DNA could compensate the variants with full-length surface proteins, which in turn allows the release of variant surface protein encoding virions, as described previously.<sup>37</sup> Our NGS data lend support to this situation and raise an important caveat: using Sanger sequencing, the prevalence and proportion of preS2 $\Delta$ 38–55 in populations are likely underestimated (Fig. S3).

Finally, previous literature suggests that preS2 deletions modulate cellular processes with a potential impact on liver disease. In addition to reducing envelope protein levels and favouring their retention in the endoplasmic reticulum (ER) resulting in ER stress,<sup>38</sup> similar preS2 mutant proteins interact with importin  $\alpha$ 1, a key nuclear transportation factor. This results in reduced nuclear Nijmegen breakage syndrome 1 (NBS1) protein levels and defects in NBS1-mediated homologous recombination that could contribute to genetic instability.<sup>39</sup> Other reports identified preS2 variants as associated with ground glass hepatocytes, which might be associated with preneoplastic lesions in chronic HBV infection.<sup>38–41</sup> Further *in vitro* studies are needed to fully decipher the molecular mechanisms generating this mutation, its cellular consequences, and whether this quasi-species context of preS2 deletions and WT species could explain the persistence of the variant that would otherwise be negatively selected owing to its altered surface proteins.

Our study has limitations. Firstly, it was conducted in a cross-sectional manner, and therefore care must be taken to interpret the association between virological factors and liver disease severity. A longitudinal cohort study is underway to confirm these associations observed here. Secondly, the number of study

participants, particularly those with HCC, was limited, and numerous variables were assessed for their potential associations with HCC. This might have led to a lack of statistical power to identify associations of some of the variables, and also might have resulted in generating 'chance findings'. It was indeed difficult to recruit and obtain blood samples from patients with end-stage liver disease. Nevertheless, the strength of association observed in several analyses in this study supports the robustness of the study findings. Thirdly, because of limited access to liver biopsy in this resource-limited setting, we were unable to distinguish between HCC cases with and without cirrhosis, or to report their degree of fibrosis. In addition, as patients with HCC in SSA present at advanced stages, we were unable to recruit individuals with early small tumours, and all patients had tumours >5 cm (data not shown). Finally, whilst our partial HBV sequences (surface genes) are sufficient for genotyping,<sup>3,4</sup> they are not for sub-genotyping as numerous misclassifications will arise,<sup>42–44</sup> and this issue needs to be resolved in future studies.

In conclusion, this study, which provides novel findings on HBV quasi-species and its impact on liver disease severity in The Gambia, identified the HBV preS2 $\Delta$ 38–55 variant as a strong independent risk factor and a biomarker for HCC in West African patients. Future studies need to use highly sensitive sequencing technologies to be able to fully validate this risk factor and address the mechanistic impact of its carriage on liver disease. This could help improve our understanding and the significance of the full virological profile of patients with chronic HBV infection to establish appropriate screening criteria and treatment strategies in such high-risk communities.

## Abbreviations

AFB1, aflatoxin B1; AFP, alpha-fetoprotein; ER, endoplasmic reticulum; LSM, liver stiffness measurement; NBS1, Nijmegen breakage syndrome 1; NGS, next-generation sequencing; OR, odds ratio; PROLIFICA, Prevention of Liver Fibrosis and Cancer in Africa; ROC, receiver operating characteristic; SSA, sub-Saharan Africa; WT, wild type.

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## Conflicts of interest

The authors declare no conflicts of interest that pertain to this work.

Please refer to the accompanying ICMJE disclosure forms for further details.

## Authors' contributions

DC, SG, YS, ML, JH, and IC drafted the paper, and all the authors reviewed and approved it. IC, ML, MT, MM, and FZ designed the study. ML, YS, NR, and GN recruited the patients. DC, SG, AD, CG, and NK-ND carried out laboratory analyses. YS carried out statistical analysis. PSG and CB-A carried out phylogenetic analyses. SA, GD, and CV carried out next-generation sequencing (NGS) analyses. FLC-K designed NGS TP53 assay and supervised these analyses. JH, IC, MM, NR, and GN supported the conduct of the study.

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## Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jhepr.2020.100144>.

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*Author names in bold designate shared co-first authorship*

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