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“Epidemic Clones” of *Listeria monocytogenes* Are Widespread and Ancient Clonal Groups

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1 **Epidemic clones of *Listeria monocytogenes***
2 **are widespread and ancient clonal groups**

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Abstract

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34 The food-borne pathogen *Listeria monocytogenes* is genetically heterogeneous. Although
35 some clonal groups were implicated in multiple outbreaks, there is currently no consensus on
36 how ‘epidemic clones’ should be defined. The objectives of this work were to compare the
37 patterns of sequence diversity on two sets of genes that are widely used to define
38 *L. monocytogenes* clonal groups: multilocus sequence typing (MLST) and multi-virulence
39 locus sequence typing (MvLST). Further, we evaluated the diversity within clonal groups by
40 pulsed-field gel electrophoresis (PFGE). Based on 125 isolates of diverse temporal,
41 geographical and source origins, MLST and MvLST genes (i) had similar patterns of
42 sequence polymorphism, recombination and selection, (ii) provided concordant phylogenetic
43 clustering and (iii) had similar discriminatory power, which was not improved when
44 combining both datasets. Inclusion of representative strains of previous outbreaks
45 demonstrated the correspondence of ‘epidemic clones’ with previously recognized MLST
46 clonal complexes. PFGE analysis demonstrated heterogeneity within major clones, most of
47 which were isolated decades before their involvement in outbreaks. We conclude that the
48 ‘epidemic clones’ denominations represent a redundant but largely incomplete nomenclature
49 system for MLST-defined clones, which must be regarded as successful genetic groups that
50 are widely distributed across time and space.

51

52 Introduction

53 *Listeria monocytogenes* is a foodborne pathogen that can cause listeriosis, a severe
54 invasive infection with a particularly high (20-30%) case fatality rate in persons at risk.
55 Listeriosis is currently regarded as increasing in incidence in Europe, especially in the elderly
56 (13, 23), and can occur in large outbreaks as illustrated in recent years (22, 38). To assist
57 epidemiological surveillance and outbreak investigation, different strain typing methods are
58 used, including serotyping and pulsed-field gel electrophoresis (17, 28). Population diversity
59 studies at the global scale have revealed that *L. monocytogenes* is a genetically heterogeneous
60 species (10, 46, 47, 56) and a variety of strain genotyping methods are used to characterize
61 and classify isolates into four major lineages and clonal groups thereof (7, 12, 37, 47, 50, 55,
62 56). The precise delineation of lineages and clonal groups is a prerequisite to characterize the
63 links between within-species genetic variation and important characteristics such as
64 pathogenic potential, virulence or epidemiology.

65 Given the facultative nature of genetic exchange in bacteria, reproduction is
66 predominantly clonal. In evolutionary biology terms, clones can be defined as groups of
67 isolates that descend from a common ancestor and accumulate differences among themselves
68 by a predominantly mutational process. As *L. monocytogenes* is one of the bacterial species
69 with the lowest rate of homologous recombination (11, 47), clones are expected to evolve
70 slowly and to be recognizable over large temporal and geographic scales. As a matter of fact,
71 the discovery of genetically similar isolates involved either in geographically and temporally
72 distant outbreaks or in large, single outbreaks led to the definition of *L. monocytogenes*
73 epidemic clones (EC) (6, 11, 27, 28, 46). Although initial ECs have been defined primarily
74 based on PFGE, MLEE and ribotyping (27, 28), subsequent ECs were mostly defined on the
75 basis of multi virulence locus sequence typing (MvLST) (6, 29, 38). MvLST, which is based
76 on the analysis of six to eight genes, has also been used to redefine previously described
77 epidemic clones (5, 6).

78 In many bacterial species, multilocus sequence typing (MLST) is used as a reference
79 method for clonal group definition (18, 39). An MLST scheme based on seven housekeeping
80 genes was developed for *L. monocytogenes* (47, 49). Using this approach, we recognized
81 highly prevalent clones (8, 47), which were defined using a simple and flexible operational
82 definition: clones are clonal complexes, i.e. groups that share 6 out of 7 allelic sequences with
83 at least one other member of the group. Currently, it is unknown how MLST clonal
84 complexes and epidemic clones correspond to each other.

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85 The objectives of this study were (i) to determine the phylogenetic position of
86 reference strains of previously defined epidemic clones within the MLST framework; (ii) to
87 compare the patterns of diversity, recombination and selection of MLST and MvLST genes;
88 and (iii) to estimate the amounts of PFGE diversity within clonal groups or epidemic clones.
89 We demonstrate that MLST and MvLST define largely concordant clonal groups, and that the
90 current approach to define 'epidemic clones' based on MvLST is redundant with the MLST
91 nomenclature.
92

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Materials and Methods

93

94

Strain selection

96

A total of 125 *Listeria monocytogenes* isolates were included (**Table S1**). First, in order to establish the position of outbreak strains or other reference strains in the international MLST scheme maintained at Institut Pasteur (www.pasteur.fr/mlst), a group of 50 strains was assembled. This reference set included (i) 27 reference strains from well documented outbreaks, including 19 strains of the outbreak set included in the ILSI collection (20) and 8 genome sequence reference strains corresponding to outbreak strains; (ii) fifteen lineage I and II strains from the diversity set of the ILSI collection; (iii) seven additional reference strains representing available genome sequences, and reference strain EGD. This reference set included the intensely studied laboratory reference strains EGD-e, LO28, 10304S, F2365 and Scott A, as well as strains representing 'epidemic clones' ECI, ECII, ECIII and ECIV/ECIV.

Second, with the aim of evaluating the ability of PFGE and MvLST to discriminate within and among MLST-defined clonal complexes (CC), we selected 75 isolates (the clone diversity set) representing multiple isolates of the major MLST-defined CCs, i.e. CC1, CC2, CC6, CC7, CC8 and CC9 (8, 47). These isolates were selected from our previous global MLST study of *L. monocytogenes* (8) to represent geographically and temporally diverse isolates. They originate from the Institut Pasteur *Listeria* Collection (CLIP) and from the Seeliger's *Listeria* Culture Collection (SLCC) (Rocourt and Seeliger, 1985).

113

Identification and serotyping

115

Isolates were identified as *L. monocytogenes* using API *Listeria* strips (BioMerieux, La Balme Les Grottes, France) and their serotype determined by classical serotyping and PCR serogrouping (15). Genomic DNA used as MLST or MvLST PCR template was extracted using the Promega Wizard Genomic DNA purification kit (Promega, Madison, WI, USA).

119

Multilocus sequence typing (MLST)

121

For the purposes of this study, MLST was performed as described (47). Novel alleles and profiles were incorporated into the international MLST database at www.pasteur.fr/mlst. MLST gene sequences from 16 publicly available genome sequences were extracted from the public sequence repositories.

124

125

126 Multi-virulence locus sequence typing (MvLST)

127 In this study, eight virulence-associated genes of *L. monocytogenes* were sequenced
128 for 111 strains, while for 16 strains, the gene sequences were extracted from the available
129 genome sequences. Six of the MvLST genes (*PrfA*, *inlB*, *inlC*, *clpP*, *dal*, *lisR*) were taken
130 from S. Knabel's group MvLST scheme (59). The two remaining genes, *inlA* and *actA*, were
131 included as they were used to complement the six previous ones in a subsequent MvLST
132 analysis (6). Note that we sequenced the entire length of the *inlA* gene (2,400 nt), as we did in
133 a previous study (47), whereas only 458 nt of this gene were sequenced in Chen et al. (2007).
134 For *actA*, because we experienced difficulties in PCR amplification using previously
135 described primers, we sequenced 450 nt from the 3' part of the gene, whereas the 582 nt
136 template of Chen et al. (2007) corresponds to the 5' region of the *actA* gene. PCR primers
137 (**Table 1**) were taken from Chen et al. (6) or designed in this study with EPRIMER3
138 (<http://mobyli.pasteur.fr/cgi-bin/portal.py?#forms::eprimer3>) based on the published genome
139 sequence of *Listeria monocytogenes* strain EGD-e (NCBI RefSeq NC_003210.1). PCR
140 amplification conditions as follows. For gene *dal*, 10 min at 95°C followed by 30 x (95°C 30
141 s, 57.6°C 30 s, 72°C 36 s) and 5 min at 72°C. For *prfA*, *inlB*, *inlC*, *clpP* and *lisR*, 15 min at
142 95°C followed by 25 x (94°C 30 s, 55°C 30 s, 72°C 1 min) and 7 min at 72°C. For *actA*, 10
143 min at 95°C followed by 30 x (95°C 30 s, 54.7°C 30 s, 72°C 36 s) and 5 min at 72°C. Finally
144 for *inlA*, 5 min at 94°C followed by 35 x (94°C 30 s, 55.2°C 30 s, 72°C 90 s) and 10 min at
145 72°C. The PCR products were purified by ultrafiltration (Millipore, France) and were
146 sequenced on both strands with Big Dye v.1.1 chemistry on an ABI3730XL sequencer
147 (Applied BioSystems). As for MLST, each nucleotide was sequenced in both directions and
148 validated by at least two independent chromatogram traces. MvLST gene sequences from
149 published genome were extracted from GenBank entries.

150

151 Pulsed-field gel electrophoresis (PFGE)

152 Each isolate was typed by PFGE according to PulseNet standardized procedures with
153 *AscI* and *Apal* restriction enzymes (24). Data analysis was performed using BIONUMERICS
154 version 6.5 (Applied Maths, Sint-Martens Latem, Belgium). *Apal* and *AscI* PFGE types were
155 defined as differing from other types by at least two bands for each individual enzyme.

156

157 Phylogenetic reconstructions

158 Clonal complexes were defined based on MLST data as groups of allelic profiles
159 sharing 6 out of 7 genes with at least one other member of the group (47). For phylogenetic

160 analyses, gene sequences were concatenated independently for the MLST and MvLST
161 schemes, and neighbour-joining trees were obtained using BIONUMERICS based on the
162 concatenated sequences using the p -distance (i.e., uncorrected percent of nucleotide
163 mismatches). Nucleotide polymorphism and summary statistics were calculated using DNASP
164 v5 (36). Minimum spanning trees were constructed using BIONUMERICS. Simpson's index and
165 adjusted Rand coefficient were computed using the website www.comparingpartitions.info
166 (3).

167

168 **Recombination and selection analyses**

169 We tested for recombination within phylogenetic lineages I and II for all loci
170 independently, as well as for the concatenated MvLST and MLST loci using the LDHAT v2.2
171 software (40). LDHAT employs a coalescent-based method to estimate the population-scaled
172 mutation ($\theta = 2N_e\mu$) and recombination ($\rho = 2N_e r$) rates, where N_e is the effective population
173 size, r the rate at which recombination events separate adjacent nucleotides and μ is the
174 mutation rate per nucleotide. The ratio r/μ were calculated as $\rho/(\theta/L)$, where L is the gene
175 length (sequence length). This r/μ ratio ranges from 0, which indicates full clonal
176 reproduction, to $\gg 1$, which is expected under free recombination. Significance of the
177 evidence for recombination was tested using nonparametric, permutation-based tests
178 implemented in LDHAT.

179 To detect signatures of positive selection, flat ω (d_N/d_S) ratios (as generated with
180 DNASP) might fail to detect on-going selection on a fraction of the molecule. We therefore
181 tested the presence of both recombination and positive selection using OMEGAMAP (57), which
182 is able to disentangle the confounding effects of recombination and selection in a Bayesian
183 framework. We chose a model with variable blocks of the ratio of non-synonymous to
184 synonymous rates, ω , and the population recombination rate, ρ . A first run was performed for
185 all loci using a block size set at 30 codons. A second run was performed using a block size set
186 at 10 codons for the loci where a posterior probability of selection greater than 0.80 was
187 detected in the first run. For all runs, an inverse distribution of range [0.01, 100] was used for
188 ω and ρ . For the other parameters μ , κ and Φ InDel we used improper inverse distributions
189 with starting values 0.1, 3.0 and 0.1, respectively. All genes were run with 100,000 iterations
190 and 10 re-orderings, with the first 8,000 iterations discarded as burn-in period.

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Results and Discussion

195

Phylogenetic positions of outbreak and reference strains based on MLST

197

198 To position reference strains, including isolates previously assigned to epidemic
199 clones I to IV, within the diversity of *L. monocytogenes*, the sequences of internal portions of
200 the seven multilocus sequence typing (MLST) genes (3,288 nucleotides in total) were
201 gathered from 14 available genome sequences, 34 strains of the ILSI reference collection
202 analyzed previously (7) and 76 isolates of clones belonging to CC1, CC2, CC6, CC7, CC8
203 and CC9 (7). In addition, we sequenced the 7 MLST genes from strain EGD, which is used as
204 a reference strain (31, 41) but has not been genotyped by MLST to our knowledge. For these
205 125 isolates or reference strains, alignment of the sequences of six genes revealed no
206 insertion/deletion event, whereas the *ldh* sequence of strain EGD revealed a 2-codons
207 insertion at alignment position 423. There were 20 to 61 variable nucleotide sites per gene,
208 corresponding to 7.5% of variable sites on average (**Table 2**). This variation allowed
distinguishing 10 to 20 alleles per genes, resulting in 51 distinct sequence types (ST).

209

210 As previously described (7), clonal complex (CC) 1 included the reference strains of
211 the 1981 Nova Scotia coleslaw outbreak, the 1983-1987 Switzerland Vacherin Mont d'Or
212 outbreak, the 1986-1987 California Jalisco soft cheese outbreak and the 1992 French pork
213 tongue in jelly outbreak (**Figure 1**). These strains were previously attributed to epidemic
214 clone ECI (28). Clone CC2 comprised reference strains of the 1983 Massachusetts
215 pasteurized milk outbreak (strain Scott A), the 1987-1988 UK and Ireland pâté outbreak, and
216 the 1997 Italy gastroenteritis outbreak (**Figure 1**). These strains have been attributed to EC1a
217 (27), later renamed ECIV with a more restricted definition that excluded the 1983
218 Massachusetts milk outbreak (6). All these reference strains including Scott A belonged to
219 ST2, which is the central and most frequent genotype of CC2 (**Figure 1**). These results show
220 that reference strains of ECI, ECII and EC1a/ECIV fall in MLST-defined CC1, CC6 and CC2,
221 respectively. CC3 included the reference strain of the 1994 Illinois chocolate milk outbreak.
222 CC4 included the 1999-2000 France pork rillettes outbreak. CC6 included the 1998-1999
223 USA multistate hotdog outbreak and the 2002 USA multistate delicatessen turkey outbreak,
224 previously attributed to ECII. Finally, the 2000-2001 North Carolina Mexican-style fresh
225 cheese outbreak reference strain belong to ST558 (**Figure 1**). The unique genotype of this
226 outbreak strain is consistent with its characterization as ST24 (12). Note that ST5 included the
isolates of the recently described ECVI clone (38).

227 Lineage II comprised reference strains of fewer outbreaks. ST11 included the 1988
228 Oklahoma turkey franks case and the two strains from the 2000 USA multistate sliced turkey
229 deli meat outbreak, which was traced to the same food processing facility as the 1988 isolate.
230 All three ST11 strains were previously attributed to ECIII (28). Note that whereas ECI, ECII
231 and ECIV have been implicated in multiple outbreaks (28, 46), this was not the case to our
232 knowledge for ECIII. CC8 comprised the 2008 Canada ready-to-eat meat products outbreak,
233 consistent with previous report (22). Strains of this outbreak were recently proposed to
234 represent ECV (29). Clone ECVII (involved in the 2011 US Cantaloupe outbreak) was shown
235 to belong to CC7 (38).

236 The four widely used laboratory reference strains EGD, EGD-e, LO28 and 10403S
237 belonged to two distant clonal complexes of lineage II, CC9 (EGD-e and LO28) and CC7
238 (10403S and EGD). These results indicate that despite their similar names, strains EGD and
239 EGD-e appear to be phylogenetically distant (**Figure 1**), excluding direct laboratory descent
240 between them.

241 The genome reference strains of serotype 1/2b FSL J2-064 and FSL N1-017 fell in
242 major clones CC5 and CC3, respectively, thus also representing important clonal groups of
243 *L. monocytogenes*. In contrast, the three genome reference strains FSL J1-194 (ST88), FSL
244 J1-175 (ST87) and FSL N3-165 (ST90) represented rare STs not previously encountered,
245 except for ST87, which was described for one food strain in Colombia (8).

246 To illustrate the position of the above studied strains within the diversity of
247 *L. monocytogenes*, a minimum spanning tree (MStree) including all currently published
248 MLST strains was constructed (**Figure 1**). Whereas outbreak strains mostly fell into the
249 central and most frequent ST of clonal complexes, the laboratory reference strains EGD,
250 EGD-e, LO28 and 10403S represented variant STs that were not commonly found among
251 clinical or food isolates (**Figure 1**). Clearly, the diversity of *L. monocytogenes* is only partly
252 represented by the laboratory reference strains that have been analysed so far in studies of
253 host-pathogen interactions, virulence or other characteristics.

254 The distribution of MLST alleles showed that most CCs can be differentiated based on
255 one or a few genes (**Table 3**). For example, allele *lhkA-3* was uniquely observed for isolates
256 of CC1, *abcZ-1* was specific for CC2, and *bglA-9* was shared by isolates of CC6. These CC-
257 specific alleles allow a rapid screening of isolates to identify those belonging to CCs of
258 interest. However, because recombination can occur, MLST based on the 7 genes sequences
259 should be used for confirmation on selected isolates.

260

261 Diversity of MvLST genes and comparison with MLST

262 It has been suggested that virulence-associated genes included in MvLST studies
263 evolve faster than MLST genes, which code for central, housekeeping functions of the cell
264 (59). To test this hypothesis, the 125 study isolates were sequenced at eight MvLST genes
265 used for epidemic clone definition (6, 29, 38). The genetic variation recorded at MvLST
266 genes (**Table 2**) represented 6.62% of nucleotide sites on average, ranging from 3.06 for *inlC*
267 to 12.6% for gene *dal*. This level of variation was therefore slightly lower than the amount
268 observed for MLST genes (7.5%). The number of distinct alleles per gene varied from 4
269 alleles for gene *clpP* to 28 for gene *inlA*. When considering the eight MvLST genes, there
270 were 364 nucleotide polymorphisms out of the 5,496 sites that were sequenced, resulting in
271 40 distinct MvLST types. 51 distinct STs were found based on MLST. When excluding the
272 atypically variable gene *ldh* from the MLST data (see below), 41 sequence types were
273 distinguished, very similar to the number of MvLST types. These results show that MvLST
274 does not provide more discrimination than MLST even when including 8 MvLST genes,
275 among which the entire *inlA* gene sequence. In previous work, MvLST was found to be more
276 discriminatory than MLST (59), but this suggestion was based on different MLST genes (*prs*,
277 *sigB* and *recA*) and only 14 strains.

278 Similarly, the variation of MvLST types within the major MLST clones was limited
279 (**Table 4**). No more than 4 distinct MvLST types were observed within CCs. Besides, most
280 isolates within a CC were identical at the eight MvLST genes, as the other MvLST types were
281 represented by only one or few isolates. Remarkably, the homogeneity of MvLST gene
282 sequences within CCs was observed across large geographical and time scales (**Table S1**).
283 For example, the most frequent MvLST type in CC1 was observed for isolates collected
284 between 1963 and 2000 from 13 distinct countries in North America, Europe, Oceania and
285 Asia. These observations show that MvLST gene sequences are stable through time and
286 space, resulting in the same MvLST type being observed for isolates that are considered
287 unrelated from an epidemiological point of view. From a practical standpoint, the low
288 variation of MvLST within single STs or CCs implies that adding the analysis of virulence
289 genes to MLST does not improve the discriminatory power significantly: Simpson's index of
290 discrimination was 0.946 (59 types, 95% confidence interval 0.925-0.967) for MLST+MvLST
291 combined, whereas it was 0.932 (0.908-0.956) for MLST and 0.898 (0.871-0.925) for
292 MvLST.

293

294 **InlA evolves by in-frame deletions as well as variation leading to truncated forms of**
295 **internalin**

296 Internalin, encoded by *inlA*, plays a critical role in *L. monocytogenes* invasion of
297 cultured epithelial cells (21), and is a critical virulence determinant, mediating
298 *L. monocytogenes* crossing of the intestinal and placental barriers (14, 35). Alignment of the
299 MvLST gene sequences revealed that the gene *inlA* differed from the seven other genes by the
300 presence of insertion-deletion events. First, all strains of CC6 had a deletion of 9 nucleotides
301 corresponding to positions 2,212-2,220 in the *inlA* sequence of the other strains which result
302 in the predicted loss of 3 amino acids in the pre-anchor region of InlA. Second, ILSI strain
303 FSL C1-122 had a 30-nt deletion in *inlA* (positions 2,141-2,170), which results in the
304 predicted loss of 10 amino acids (723-732) in the pre-anchor region of InlA. These two in-
305 frame changes are not expected to impair InlA function, as the functional domain of InlA is
306 the LRR-IR region (34), and the CC6 and FSL C1-122 InlA variants harbour an intact anchor
307 region (32). Third, three strains of CC9 had a G to A change at position 2,054 resulting in a
308 stop codon at amino-acid position 694. Fourth, seven other strains of CC9 including LO28
309 had a one nt deletion in *inlA* at position 1635, resulting in the first premature stop codon
310 described for *inlA* (26). Fifth, three other CC9 isolates had a G to A change at position 1,380,
311 resulting in a stop codon at position 460. Six, strain LM05-01099 had a G to T change at
312 position 976, resulting in a stop codon at position 326. Finally, strain CLIP 11308 had a one
313 nt deletion at position 5 in gene *inlA*, resulting in a predicted stop codon at amino-acid
314 position 9. Functional, non-truncated InlA has been associated with the clinical origin of
315 *L. monocytogenes* isolates (25), and premature stop codons in *inlA* leading to the secretion of
316 a non-functional truncated protein have been associated with reduced pathogenicity (25, 26,
317 42, 47).

318

319 **Pattern of recombination, selection and phylogenetic relationships**

320 Homologous recombination and selection both influence the evolutionary rate and the
321 diversity pattern of genes that they affect. To characterize the evolutionary forces that act of
322 the diversification of clonal groups, we searched for evidence of recombination and selection
323 in MLST and MvLST genes. Using LDHAT, evidence for recombination was detected at five
324 out of the 15 loci (**Suppl. Table S2**). Within lineage I, recombination was only detected at the
325 *inlB* locus. Within lineage II, recombination was detected at the three MvLST genes *actA*, *dal*
326 and *inlA*. Among the MLST genes, recombination was detected only at gene *dapE* in lineages

327 I and II. These results confirm that recombination is infrequent in *L. monocytogenes* and
328 indicate that MvLST genes are more affected by recombination than MLST genes.

329 To detect positive selection, the software OMEGAMAP (57) was used. This software
330 separates the effects of recombination and positive selection, thus avoiding false positives for
331 selection in the presence of recombination. Using a posterior probability threshold of 0.95, the
332 results revealed positive selection within lineage I at MvLST genes *actA* and *inlC*, two major
333 virulence factors, as well as at MLST loci *dapE* and *ldh* (**Suppl. Figure 1**). No evidence for
334 positive selection was found at the other loci within lineage I, including at the recombining
335 gene *inlB*. However, positive selection is highly likely to occur at gene *inlA*, which had a
336 posterior probability of 0.94. No positive selection was detected within lineage II at any of the
337 15 genes. In this lineage, the highest posterior probability (0.84) was observed for gene *prfA*,
338 coding for the master regulator of virulence genes. In protein ActA, the positively selected
339 amino acids within lineage I were at codons 124 and 130 (88 and 94 of our sequenced region,
340 **Suppl. Figure 1**), located within the actin tail formation domain (52). Overall, these results
341 indicate contrasting impacts of recombination and selection between lineages: whilst lineage
342 II was more affected by recombination, consistent with previous findings (11), lineage I was
343 more affected by positive selection.

344 The phylogenetic analysis of the concatenated gene sequences from the MLST gene
345 set (**Figure 2 left**) and the MvLST gene set (**Figure 2 right**) gave highly similar results. As
346 expected, the two major lineages of *L. monocytogenes* corresponded to the deepest branches
347 and were clearly separated based on both gene sets. Based on MvLST genes, both lineages
348 showed unequally long branches, consistent with a higher impact of recombination on
349 MvLST genes. Nevertheless, both gene sets grouped strains into the same shallow branches,
350 and MLST-defined CCs were recovered as monophyletic groups based on both gene sets
351 (**Figure 2**). We also classified isolates into MvLST clonal complexes using their 8-digit
352 allelic profile. These groupings were highly concordant with MLST clonal complexes, as
353 illustrated by the adjusted Rand coefficient (0.988, 95% confidence interval: 0.976-1.000; and
354 0.981 (0.964-1.000) when excluding gene *ldh*, see below). These results show that groupings
355 of *L. monocytogenes* isolates obtained based on either MLST or MvLST gene sets are nearly
356 identical.

357

358 **Source-sink selection at gene *ldh* and impact on CC definitions**

359 For most genes, all isolates of a given clonal complex shared the same allelic sequence
360 (**Table 3**). However, gene *ldh* was a notable exception, as this gene was highly variable

361 within CCs, resulting in a large proportion of STs differing from the central genotype of each
362 CC solely by gene *ldh*. We observed that almost all *ldh* nucleotide differences within CCs
363 implied an amino-acid change in the deduced protein sequence (**Table S1**). Further, all
364 amino-acid changes were localized in the 3'-end (codon numbers 93 to 147) of the sequenced
365 *ldh* region, consistent with the results obtained with OMEGAMAP (**Suppl. Figure 1**). In
366 addition, we observed the same amino-acid substitutions in two pairs of isolates from
367 different CCs: change Y95C was observed both in CC1 (ST252) and in CC8 (ST289),
368 whereas change S120R was found both in CC1 (ST248) and CC7 (ST85). These evolutionary
369 parallelisms represent strong evidence for positive selection on *ldh* and contribute to the
370 atypically high diversity of this gene within CCs. Interestingly, the 93 – 137 region within
371 which changes were observed, includes a variable flexible loop of enzyme lactate
372 dehydrogenase in which amino acid substitutions were associated with adaptation to cold
373 temperatures in teleost fishes (19). In striking contrast to the observed pattern of intra-clone
374 variation, the amino acid sequence of lactate dehydrogenase was unchanged among the
375 central genotype of most *L. monocytogenes* clonal complexes and was even conserved
376 between lineages I and II. This absence of diversification at the amino-acids level in the long
377 term indicates strong purifying selection on *ldh*. Together, these results indicate that *ldh*
378 evolves in a source-sink fashion, whereby variants are selected in the short term because they
379 provide a selective advantage in a sink environment, but these variants are counter-selected in
380 the source environment, which sustains the population in the long run. Strikingly, the time
381 since initial isolation of strains with amino acid substitution in lactate dehydrogenase was
382 significantly larger than for non-mutated isolates ($p = 0.024$, two-sided Mann-Whitney test).
383 No substitution was observed in isolates collected after year 2000 (**Table S1**). We
384 hypothesize that non-synonymous mutations in gene *ldh* could have been selected during
385 long-term storage in the laboratory and would not represent naturally occurring variation.

386 The positive selection pattern at the 3' end of the *ldh* sequence led us to evaluate the
387 impact of this gene on the assignment of isolates to clonal complexes. We selected the 863
388 isolates and reference strains previously analyzed herein and in our previous studies (2, 7, 8,
389 33, 47). Classification of the isolates into CCs was performed using either the 7 MLST genes
390 (**Figure 1**) or the six genes after excluding *ldh* (MLST-6). For the 515 isolates of lineage I,
391 there was a total correspondence between CCs obtained based on 7 or 6 genes. Similarly, in
392 lineage III, there was no impact of exclusion of gene *ldh* on the grouping of the 25 isolates.
393 However, when analyzing the 318 isolates of lineage II based on MLST-6, a few
394 discrepancies were observed compared to MLST-7. First, CC8 and CC16 became merged into

395 a single CC, as these two CCs differed by *ldh* (alleles *ldh-3* and *ldh-2*, respectively).
396 Similarly, CC11 (*ldh-2*) and CC19 (*ldh-24*) became merged using MLST-6, and ST226 (*ldh-*
397 96) became a member of CC177 (*ldh-26*). Finally, ST126 (*ldh-65*) became part of CC7 (*ldh-*
398 2). Among the *ldh* alleles that affected CC membership, only *ldh-65* has a non-synonymous
399 change (H121R) that could potentially have been selected. Therefore, the positive selection on
400 the 3' region of the *ldh* sequence potentially impacted the classification of only a single
401 isolate (SLCC875, of ST126) out of the 863 isolates. The adjusted Rand coefficient between
402 MLST-7 and MLST-6 was 0.992 (95% confidence interval: 0.979-1.000). We conclude that
403 the use of gene *ldh* in MLST does not impact importantly the classification of isolates into
404 clonal complexes.

405

406 **Minimal age of clones and heterogeneity of pulsed-field gel electrophoresis (PFGE)**

407 Although we believe it was not the intention of the author who coined the name
408 'epidemic clone' (28), this denomination might suggest that such groups undergo recent
409 emergence and have diffused within epidemiological timescales. To provide a minimal age of
410 clones, we searched the public MLST database (as of July 8th, 2013 – 2,586 isolates) for the
411 oldest isolate of the central ST of each major clone. ST1, ST2, ST3, ST4, ST5, ST6, ST7, ST8
412 and ST9 were isolated as early as 1936, 1954, 1955, 1965, 1992, 1990, 1927, 1991 and 1949,
413 respectively. These dates are several decades older than the first described outbreak of the
414 corresponding 'epidemic clone', and it is well possible that the central STs existed much
415 earlier.

416 In order to estimate the diversity within clones, 110 isolates (all except the genome
417 references) were analyzed by PFGE using two enzymes separately. A total of 65 *ApaI* types,
418 48 *AscI* types and 76 combined PFGE types were distinguished (**Suppl. Figure 2**). PFGE
419 patterns within an MLST-defined CC were more similar among themselves than with patterns
420 of other clones. This was illustrated by cluster analysis of combined *ApaI* and *AscI* PFGE
421 patterns (**Suppl. Figure 2**), which grouped isolates of each clone within a single branch. One
422 exception was the grouping of the two CC558 strains from the 2000 Mexican-style fresh
423 cheese outbreak, which were clustered within the CC1 branch (**Figure S2**). As ST1 and
424 ST558 are not closely related (differing by five out of seven MLST genes), this observation
425 might suggest an evolutionary convergence of the PFGE profiles of clones CC1 and CC558.

426 Although they clustered together, the PFGE patterns within a given clonal group
427 showed a high level of heterogeneity (**Table 5**). First, the seven clonal groups represented by
428 multiple isolates displayed 2 to 21 distinct PFGE types, when combining profiles obtained

429 with *ApaI* and *AscI* enzymes. CC1 and CC2, which both comprised 25 analyzed isolates, were
430 the most heterogeneous, with 21 and 17 distinct PFGE profiles, respectively. Furthermore, the
431 most unrelated profiles within these CCs differed by more than 20 bands when considering
432 both *ApaI* and *AscI* patterns (**Table 5**). These results show that PFGE has higher
433 discriminatory power than MLST, and that CCs exhibit high levels of PFGE diversity. As a
434 matter of fact, isolates that differ by three or more PFGE bands are generally considered as
435 being epidemiologically unrelated (51). Therefore, the PFGE diversity observed within *L.*
436 *monocytogenes* clones confirms the observation based on date and country of origin, that most
437 isolates that share the same or closely related MLST or MvLST type, are epidemiologically
438 unrelated. As there is no evidence for epidemiological links between most outbreaks of
439 *L. monocytogenes* caused by the same EC, the ‘epidemic clone’ denomination is potentially
440 misleading. Instead, these results favour the view that ‘epidemic clones’ are evolutionary
441 successful lineages that are widely distributed, and caused multiple independent outbreaks
442 due to their large distribution (8, 12, 28, 46).

443 It is possible that ‘epidemic clones’ might in fact represent genetically distinct
444 subgroups within MLST defined CCs (8), even though the MLST and MvLST genes cannot
445 discriminate such subgroups. Comparison of PFGE patterns showed that among isolates of
446 CC1, the strains representative of the 1981 Nova Scotia coleslaw outbreak were clearly
447 distinct from the representatives of the two other CC1 outbreaks (1987 Vacherin Mont d’Or
448 and 1985 Jalisco outbreaks), which were more similar among each other. Within CC2, the
449 1987 UK and Ireland pâté outbreak and the 1983 Massachusetts milk outbreak strains were
450 undistinguishable. Finally within CC6, the strains from the 2002 multistate ready-to-eat meat
451 outbreak and from the 1998 hot-dog outbreak were distinguished by a conspicuous doublet
452 when *AscI* enzyme was used (**Suppl. Figure 2**). These results show that within a single CC,
453 strains with distinct PFGE patterns were implicated in outbreaks. Clearly, the within-clone
454 phylogenetic relationships need to be established more precisely, using the high-resolution of
455 whole genome sequencing (48, 60), to determine whether they are structured into subgroups
456 with distinctive involvements in outbreaks.

457

458 **Conclusions**

459 Research into the pathogenicity or epidemiology differences among *L. monocytogenes*
460 clonal groups would benefit from a widely accepted way to define clonal groups, as well as a
461 reference system to name them. Serotyping has provided a widely shared language, but
462 unfortunately this approach lacks discrimination and is unreliable as a classification system.

463 Similarly, the recognition of ‘epidemic clones’ as groups of similar isolates causing multiple
464 outbreaks, has been invaluable for research on *L. monocytogenes* epidemiology and biology.
465 However, the concept of epidemic clone was not designed to become a common
466 nomenclature system for *L. monocytogenes*. First, it only includes clones involved in
467 outbreaks, whereas most listeriosis cases are sporadic, thus excluding an important fraction of
468 *L. monocytogenes* diversity. Second, there is no consensual definition of an epidemic clone.
469 For example, authors either accept gene sequence variation within clones (12), or not (5, 6,
470 29, 37, 38). In particular, ECIV to ECVII were defined based on complete identity at the six
471 MvLST genes. Strict application of this definition led, for example, to the exclusion of strain
472 Scott A, based on a single nucleotide change in *lisR*, from ECIV (6). As shown here, this
473 strain is otherwise identical to other strains of CC2, EC1a and ECIV at all other MvLST
474 genes, as well as at MLST genes, suggesting that its exclusion from ECIV is too restrictive.
475 An early definition of clone in a medical microbiology context was given by Orskov as
476 genetically similar (but not identical) isolates from unrelated places and times that share many
477 common features (44). The MLST-based definition of clones as CCs (18, 39) is in line with
478 this definition, as it allows one isolate to belong to a CC even if it differs at one out of six
479 genes from another member of the clone. The flexible definition of CCs thus accommodates
480 random accumulation of variation within clones. In fact, MLST has initially been devised to
481 provide a reliable way to recognize and define clonal groups (18, 39).

482 In this work, we have shown that reference isolates of distinct epidemic clones belong
483 to distinct CCs. However, whereas all ECs have a corresponding group based on MLST, only
484 a small fraction of MLST diversity is covered by the EC nomenclature (**Figure 1**). We
485 demonstrated that MvLST genes evolve at a similar rate as MLST genes, implying that both
486 approaches will delimit equivalent groups of isolates. Finally, we showed that CCs represent
487 ancient, diverse and globally distributed genetic groups. This result is in line with the view of
488 CCs in many bacterial species (1, 18, 39).

489 Given the above, we regard EC denominations as a redundant but partial and less
490 flexible denomination system for MLST groups. Given the close correspondence between
491 MLST CCs and ECs, the identification methods developed previously for specific ECs (4, 9,
492 16, 17, 29, 55, 58) should apply readily to the distinction of MLST clones. Unlike MvLST,
493 MLST data are publicly available for comparison through a dedicated web site, providing a
494 continuously growing overview of *L. monocytogenes* diversity. The MLST database
495 (<http://www.pasteur.fr/mlst>) currently contains 2,586 isolates and is being used by an
496 increasing number of laboratories (2, 7, 8, 29, 30, 38, 43, 45, 47, 49, 53, 54) for epidemiology

497 and population biology of this pathogen, thus providing *de facto* a common language for the
498 denomination of clonal groups of *L. monocytogenes*.
499

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512

513

Figure legends

514

515 **Figure 1. Minimum spanning tree of MLST data for 863 *L. monocytogenes* isolates.**

516 Each circle represents one sequence type (ST), the size of which is related to the number of
517 isolates with this ST, as indicated (111 represents ST1, the central ST of clonal complex
518 CC1). Red sectors represent the 125 isolates or reference strains included in this work,
519 whereas white sectors represent previously published strains. Links between circles are
520 represented according to the number of allelic mismatches between STs, as indicated. Grey
521 zones surrounding groups of STs represent clonal complexes (CC). Most CC numbers are
522 indicated; those CCs that correspond to 'epidemic clones' (EC) are written in bold with
523 corresponding EC number in parentheses. Laboratory reference strains are indicated in blue.
524 STs of outbreak reference strains are indicated by colored triangles as given on the right. Top,
525 lineage II (starting with CC37); bottom, lineage I (starting with CC195).

526

527 **Figure 2. Compared phylogenies based on MLST (left) and MvLST (right) gene**
528 **sequences of the 125 study isolates.**

529 Phylogenies were obtained based on concatenated gene sequences using the neighbour joining
530 method based on uncorrected p-distance. Branches corresponding to clonal complexes are
531 colored according to the central legend. The position of reference strains is indicated.

532

533

534 **References**

535

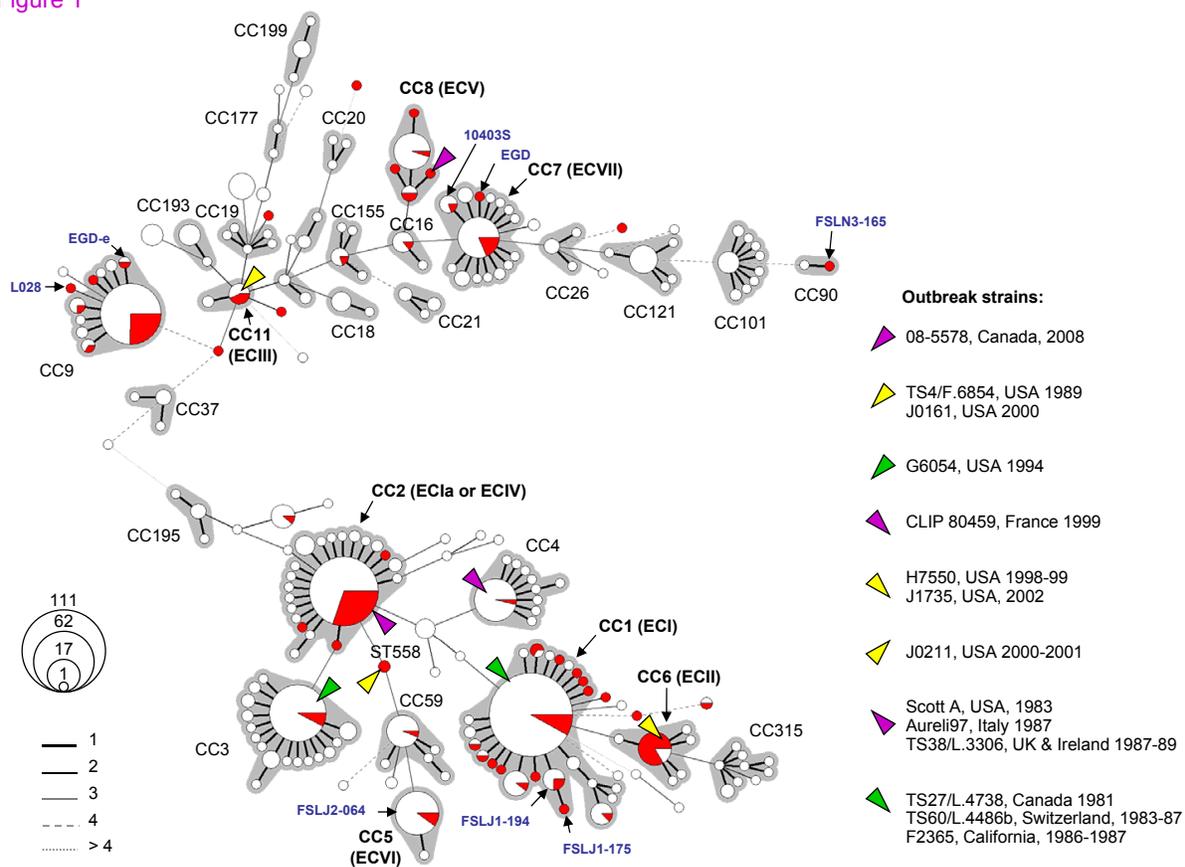
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731

Figure 1



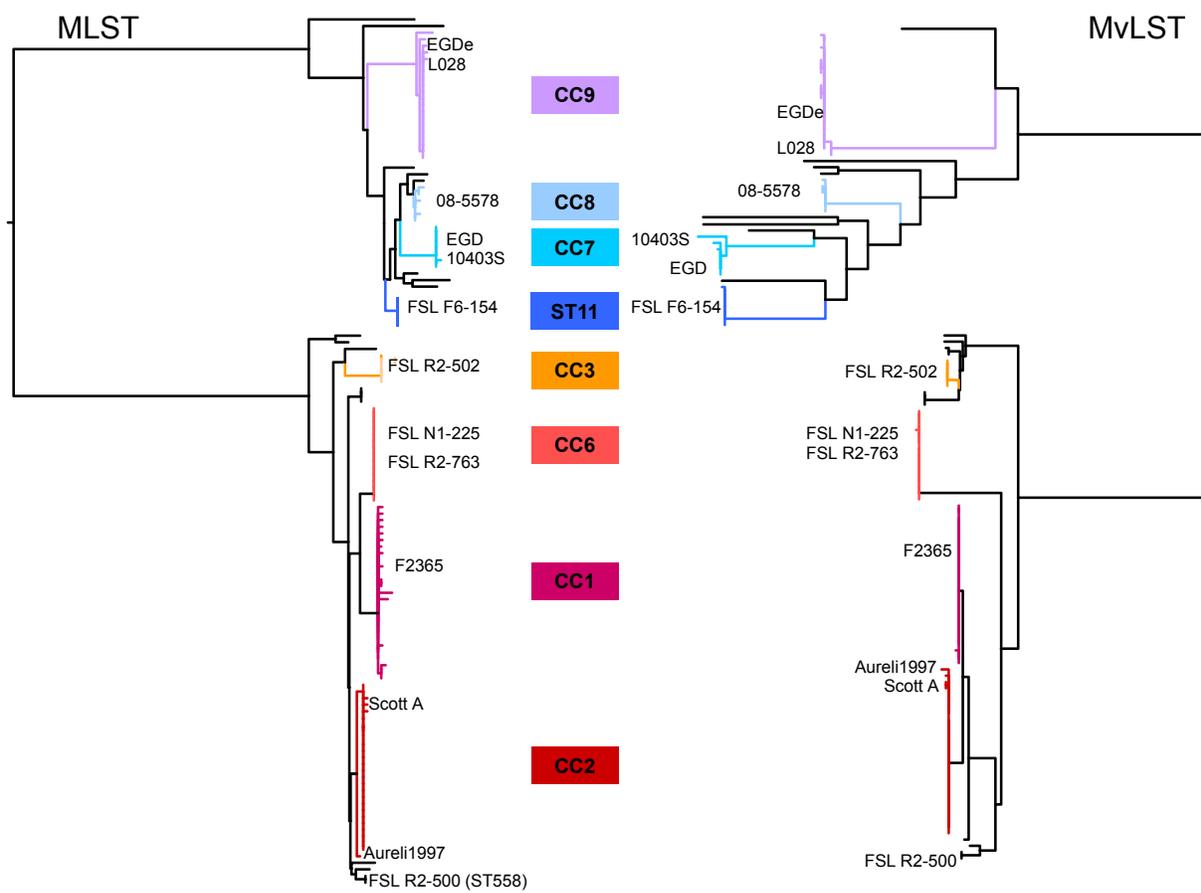


Table 1. Primers used for MvLST.

Gene	Forward primer	Reverse primer	Location (EGD-e)	Size of PCR product (bp)	Size of template (bp)	Reference
<i>actA</i>	CGA CAT AAT ATT TGC AGC GAC A	GAA TCT AAG TCA CTT TCA GAA GCA T	209,541 to 210,041	500	450	This study
<i>clpP</i>	CCA ACA GTA ATT GAA CAA ACT AGC C	GAT CTG TAT CGC GAG CAA TG	2,542,026 to 2,542,524	498	419	Zhang & al (2004)
<i>daf</i>	GAA GGT ATC TAC ACG CAT TTT GC	GCC AAT TAT CGT TAC TTT TGA ACC	925,391 to 925,909	518	428	This study
<i>iniB</i>	CAT GGG AGA GTA ACC CAA CC	GCG GTA ACC CCT TTG TCA TA	457,954 to 458,453	499	432	Zhang & al (2004)
<i>iniC</i>	AAC CAT CTA CAT AAC TCC CAC	CGG GAA TGC AAT TTT TCA CTA	1,860,322 to 1,860,822	500	457	Zhang & al (2004)
<i>lisR</i>	CGG GGT AGA AGT TTG TCG TC	ACG CAT CAC ATA CCC TGT CC	1,402,726 to 1,403,224	498	447	Zhang & al (2004)
<i>prfA</i>	TGC GAT GCC ACT TGA ATA TC	AAC GGG ATA AAA CCA AAA CCA	203,811 to 204,311	500	460	Zhang & al (2004)
<i>iniA</i>	CGGATGCAGGAGAAAATCC	CTTTCACACTATCCTCTCC	454,463 to 457,027	2,564	2,403	Ragon & al (2008)

Table 2. Polymorphism of the MLST and MvLST genes, based on the 125 study isolates

	Length	No. alleles	No. Polymorphic sites	% Polymorphic sites	Ks	Ka	Ka/Ks	π (%)
MvLST genes								
<i>actA</i>	450	14	44	9.78	0.07	0.02	0.35	3.21
<i>clpP</i>	419	4	14	3.34	0.06	0.001	0.02	1.44
<i>dal</i>	428	14	54	12.62	0.15	0.002	0.01	3.88
<i>inlA</i>	2403	28	140	5.83	0.06	0.06	1.01	1.76
<i>inlB</i>	432	15	40	9.26	0.03	0.04	1.38	3.38
<i>inlC</i>	457	10	14	3.06	0.03	0.01	0.25	1.18
<i>lisR</i>	447	5	38	8.50	0.02	0	0	0.51
<i>prfA</i>	460	9	20	4.35	0.06	0.00008	0.001	1.37
Concatenate	5,496	40	364	6.62	0.06	0.03	0.53	1.96
MLST genes								
<i>abcZ</i>	537	14	37	6.89	0.08	0.001	0.01	1.96
<i>bglA</i>	399	15	21	5.26	0.04	0.0006	0.01	0.91
<i>cat</i>	486	17	26	5.35	0.08	0.002	0.03	1.93
<i>dapE</i>	462	19	40	8.66	0.11	0.01	0.08	3.27
<i>dat</i>	471	10	61	12.95	0.20	0.01	0.07	5.72
<i>ldh</i>	453	20	39	8.61	0.06	0.0008	0.01	1.37
<i>lhkA</i>	480	10	20	4.17	0.06	0.0026	0.04	1.55
Concatenated	3,294	51	244	7.41	0.09	0.004	0.05	2.41

Ks: No. of synonymous changes per synonymous site. Ka: No. of non-synonymous changes per non-synonymous site.

π : nucleotide diversity.

Table 3. Distribution of MLST alleles within clones, observed based on the 125 study isolates.

Lineage	CC	No. isolates	No. of distinct alleles (alleles found)							No. ST	No. ST without <i>ldh</i>
			<i>abcZ</i>	<i>bglA</i>	<i>cat</i>	<i>dapE</i>	<i>dat</i>	<i>ldh</i>	<i>lhkA</i>		
I	CC1	25	1 (3)	2 (1;56)	3 (1;20;60)	4 (1;34;42;44)	4 (3;14;18;42)	9 (1;11;12;61;90;101;103;104;105)	1 (3)	16	10
	CC2	25	1 (1)	2 (1;38)	1 (11)	2 (3;11)	1 (2)	1 (1)	2 (5;8)	4	4
	CC3	5	1 (3)	1 (4)	1 (4)	1 (4)	1 (2)	1 (1)	1 (5)	1	1
	CC6	15	1 (3)	1 (9)	1 (9)	1 (3)	1 (3)	1 (1)	1 (5)	1	1
	Others	10	5 (1;2;11;12;34)	4 (1;2;12;39)	3 (4;11;12)	6 (1;3;14;15;16;38)	2 (2;3)	3 (1;5;39)	5 (2;3;4;5;7)	7	7
II	CC7	6	1 (5)	1 (8)	1 (5)	1 (7)	1 (6)	1 (2;22;38)	1 (1)	3	1
	CC8	6	5 (5;57)	1 (6)	1 (2)	2 (9;29)	1 (5)	3 (3;89;121)	1 (1)	5	3
	CC9	19	2 (6;33)	1 (5)	1 (6)	2 (4;20)	1 (1)	3 (4;57;62)	1 (1)	5	3
	Others	14	5 (5;6;7;21;53)	6 (5;6;10;13;27;52)	9 (2;3;8;10;16;17;24;26;39)	6 (4;6;7;8;21;67)	5 (1;5;6;13;39)	4 (2;3;24;32)	4 (1;6;14;41)	10	10

Table 4. MvLST allelic diversity within clones, observed based on the 125 study isolates

Lineage	CC	No. strains	No. of distinct alleles (allele number)								No. MVLST types
			<i>actA</i>	<i>clpP</i>	<i>dal</i>	<i>inlA</i>	<i>inlB</i>	<i>inlC</i>	<i>lisR</i>	<i>prfA</i>	
I	CC1	25	2 (14;15)	1 (4)	1 (5)	1 (28)	1 (3)	2 (1;4)	1 (1)	1 (3)	3
	CC2	25	2 (10;13)	1 (4)	1 (5)	1 (28)	1 (4)	1 (1)	3 (1;2;5)	1 (2)	4
	CC3	5	1 (10)	1 (4)	1 (5)	1 (22)	1 (5)	1 (4)	1 (1)	1 (2)	1
	CC6	15	2 (10;12)	1 (4)	1 (5)	1 (29)	1 (3)	1 (4)	1 (3)	2 (2;5)	3
	Others	10	4 (9;10;11;14)	1 (4)	1 (4;5;6;7)	6 (21;23;24;25;26;27)	4 (1;2;6;7)	3 (2;3;4)	1 (3)	4 (1;2;4;6)	7
II	CC7	6	2 (6;8)	1 (2)	2 (11;13)	2 (9;10)	2 (10;13)	2 (10;11)	1 (4)	2 (7;9)	4
	CC8	6	1 (4)	1 (1)	1 (8)	2 (14;15)	2 (9;12)	1 (10)	1 (4)	1 (8)	2
	CC9	19	1 (1)	1 (2)	2 (1;2)	6 (1;2;3;4;5;6)	1 (8)	1 (5)	1 (4)	1 (8)	3
	Others	14	7 (1;2;3;4;5;6;7)	3 (1;2;3)	7 (1;3;8;9;10;12;14)	11 (4;7;8;11;12;13;16;17;18;19;20)	6 (8;9;10;11;14;15)	6 (5;6;7;8;9;10)	2 (4;6)	1 (8)	10

Table 5. Pulsed field gel electrophoresis diversity per clone

Lineage	Clone	No. Isolates	No. of <i>AscI</i> profiles (Max. No. of different bands)	No. of <i>Apal</i> profiles (Max. No. of different bands)	No. of <i>AscI</i> + <i>Apal</i> profiles
I	CC1	25	11 (13)	12 (9)	21
	CC2	25	7 (7)	13 (15)	17
	CC3	3	1 (0)	2 (6)	2
	CC6	15	2 (5)	5 (10)	6
	Others	6	5 (10)	5 (12)	5
II	CC7	5	4 (5)	4 (7)	5
	CC8	4	3 (8)	2 (3)	4
	CC9	20	6 (9)	4 (16)	7
	Others	11	9 (12)	8 (13)	9