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Phylogenetic background and habitat drive the genetic diversification of *Escherichia coli*

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- ⁴ Marie Touchon^{1*}, Amandine Perrin^{1,7}, Jorge André Moura de Sousa¹, Belinda Vangchhia^{2,3},
- 5 Samantha Burn², Claire L. O'Brien⁴, Erick Denamur^{5,6}, David Gordon², Eduardo PC Rocha¹
- 6
- ⁷ ¹ Microbial Evolutionary Genomics, Institut Pasteur, CNRS, UMR3525, 25-28 rue Dr Roux, Paris,
- 8 75015, France.
- 9 ² Ecology and Evolution, Research School of Biology, The Australian National University, 116 Daley
- 10 Road, Acton, ACT, 2601, Australia.
- ³ Department of Veterinary Microbiology, College of Veterinary Sciences & Animal Husbandry, Central
- 12 Agricultural University, Selesih, Aizawl, Mizoram, 796014, India.
- ⁴ School of Medicine, University of Wollongong, Northfields Ave Wollongong, NSW 2522, Australia.
- ⁵ Université de Paris, IAME, UMR 1137, INSERM, 75018, Paris, France.
- ⁶ AP-HP, Laboratoire de Génétique Moléculaire, Hôpital Bichat, 75018, Paris, France.
- 16 ⁷ Sorbonne Université, Collège doctoral, F-75005 Paris, France. 17
- 18 * To whom correspondence should be addressed. Email: mtouchon@pasteur.fr
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- 21
- 22

23 Abstract

24 Escherichia coli is a commensal of birds and mammals, including humans. It can act as an 25 opportunistic pathogen and is also found in water and sediments. Since most population 26 studies have focused on clinical isolates, we studied the phylogeny, genetic diversification, 27 and habitat-association of 1,294 isolates representative of the phylogenetic diversity of more 28 than 5,000, mostly non-clinical, isolates originating from humans, poultry, wild animals and 29 water sampled from the Australian continent. These strains represent the species diversity 30 and show large variations in gene repertoires within sequence types. Recent gene transfer is 31 driven by mobile elements and determined by habitat sharing and by phylogroup 32 membership, suggesting that gene flow reinforces the association of certain genetic 33 backgrounds with specific habitats. The phylogroups with smallest genomes had the highest 34 rates of gene repertoire diversification and fewer but more diverse mobile genetic elements. 35 suggesting that smaller genomes are associated with higher, not lower, turnover of genetic 36 information. Many of these small genomes were in freshwater isolates suggesting that some 37 lineages are specifically adapted to this environment. Altogether, these data contribute to 38 explain why epidemiological clones tend to emerge from specific phylogenetic groups in the 39 presence of pervasive horizontal gene transfer across the species.

41 Introduction

42 The integration of epidemiology and genomics has greatly contributed to our understanding 43 of the population genetics of epidemic clones of pathogenic bacteria. However, the forces 44 driving the emergence of these lineages in species where most clades are dominated by 45 commensal or environmental strains remain unclear. Escherichia coli is a commensal of the 46 gut microbiota of mammals and birds (primary habitat)¹⁻³, and has been found in host-47 independent secondary habitats including soil, sediments, and water⁴⁻⁷. Yet, some E. coli 48 strains produce virulence factors endowing them with the ability to cause a broad range of 49 intestinal or extra-intestinal diseases (pathotypes) in humans and domestic animals⁸⁻¹³. Many 50 of these are becoming resistant to multiple antibiotics at a worrisome pace^{14,15}.

51 Studies on *E. coli* were seminal in the development of bacterial population genetics¹⁶. They showed moderate levels of recombination in the species^{3,17-19}, and a strong phylogenetic 52 structure with eight main phylogroups, among which four (A, B1, B2 and D) represent the 53 54 majority of the strains and four others (C, E, F and G) are rarer²⁰⁻²². Strains differ in their phenotypic and genotypic characteristics within and across phylogroups^{2,3,23,24}, and their 55 isolation frequency depends on factors such as host species, diet, sex, age²⁵⁻²⁷, body mass²⁸, 56 but also climate^{29,30}, and geographic location³¹. Strains of phylogroups A and B1 appear to 57 be more generalists since they can be isolated from all vertebrates² and are often isolated 58 from secondary habitats^{7,32-35}. E. coli strains able to survive and persist in water 59 environments usually belong to the B1 phylogroup^{7,33,34}. In contrast, the extraintestinal 60 pathogenic strains usually belong to phylogroups B2 and D³⁶⁻³⁸. Genome size also differs 61 62 among phylogroups, with A and B1 strains having smaller genomes than B2 or D strains²³.

The phylogenetic vicinity of geographically remote *E. coli* isolates, and the co-isolation of phylogenetically distant strains, supports the hypothesis that strains circulate rapidly^{39,40}. The genome of the species is also remarkably plastic, since only about half of the average genome is present across most strains of the species (core or persistent genome) and the pan-genome vastly exceeds the size of the typical genome⁴¹⁻⁴⁴. Interestingly, the rapid 68 circulation of strains and the high plasticity of their genomes have not erased the 69 associations of certain clades with certain isolation sources. In consequence, such 70 associations might reflect local adaptation^{16,45}, which would suggest frequent genetic 71 interactions between the novel adaptive changes and the strains' genomic background.

72 Understanding how the evolution of gene repertoires is shaped by population structure and 73 habitats requires large-scale comparative genomics of samples with diverse sources of 74 isolation representative of natural populations of E. coli. Most of the efforts of genome 75 sequencing have been devoted to study pathogenic lineages and very few genomic data are 76 available for commensal strains, especially in wild animals, and environmental strains. Here, 77 we analysed the genomes of a large collection of E. coli strains collected across many 78 human, domestic and wild animal and environmental sources in different geographic 79 locations from the Australian continent. This collection is dominated by non-clinical isolates, 80 corresponding to the main habitats of the species. We sought to understand the dynamics of 81 the evolution of gene repertoires and how it was driven by mobile genetic elements. The 82 analysis of the isolation sources in the light of phylogenetic structure and genome variation 83 suggests that adaptation varies with the habitat and the phylogenomic background. This 84 contributes to explain why known epidemiological clones of the species emerge from specific 85 phylogenetic groups, even though virulence strongly depends on the acquisition of virulence 86 factors by horizontal gene transfer.

87 **Results**

88 Very rapid initial divergence of gene repertoires becomes linear with time

89 We sequenced and annotated the genomes of 1,294 E. coli sensu stricto strains selected 90 from more than 3,300 non-human vertebrate hosts, 1,000 humans and 800 environmental 91 samples between 1993 and 2015, chosen to represent the phylogenetic diversity of the 92 species (Materials and Methods, Fig. 1a, Supplementary Notes). All samples were collected 93 by a single team, spanning a 20 year-period, from different regions in a single isolated 94 continent (Australia). The origin of each strain was accurately characterized and the 95 genomes were uniformly annotated and analyzed using the same bioinformatics processes. 96 The strains were isolated from humans, domesticated and wild animals, representing the 97 primary habitat of *E. coli*, and from freshwater, representing its secondary habitat³. Less than 98 22% of the samples were recovered from clinical situations. A series of controls confirmed 99 that the sequences were of high quality and contained the known essential genes 100 (Supplementary Notes). The genomes varied widely in size from 4.2 to 6.0 Mb (average 5 101 Mb), but had similar densities of protein-coding sequences (~87%) and GC content (50.6%, 102 Supplementary Fig. 1 and Supplementary Table 1).

103

104 The pan-genome contained 75.890 gene families that were classified as *persistent* (3%, 105 gene families present in \geq 99% of the genomes), singletons (44%, present in a single 106 genome), or accessory (the remaining) (Fig. 1b, Supplementary Fig. 2). The persistent gene 107 families are a tiny fraction of the pan-genome, but account for half of the average genome. 108 They were used to build a robust phylogeny of the species, which was rooted using genomes 109 from other species in the genus (Supplementary Fig. 3). In contrast, singletons are almost 110 half of the gene families of the pan-genome, but less than 1% of the average genome. As a consequence, the pan-genome is open, as measured by the fit to a Heaps' law model⁴⁶, and 111 112 increases on average by ~26 protein coding genes with the inclusion of a new genome

113 (Supplementary Fig. 2). Singletons are smaller than the other genes and tend to be located 114 at the edge of contigs (44%). Hence, some of these singletons may result from sequencing 115 and assembly artifacts (Supplementary Notes and Supplementary Fig. 4). When all the 116 singletons were excluded, the pan-genome still remained open (Supplementary Fig. 2). Most 117 singletons (80%) and accessory (74%) gene families, but also a surprisingly high number of 118 persistent gene families (24%), lacked a clear functional assignment as given by the EggNOG database⁴⁷ (Fig. 1c). Hence, we are still ignorant of the function, or even the 119 120 existence, of many genes of the species.

121

122 Fig. 1: The genetic diversity of Australian E. coli.



125 (a) Distribution of isolates per region and per source. (b) The pan-genome is composed of 75,890 126 gene families, of which 33,705 are singletons (in green, present in a single genome), 2,486 persistent 127 (in gold, present in at least 99% of genomes), the remaining being accessory (in grey). 29,657 gene 128 families (39% of the pan-genome) were related to mobile genetic elements (MGE). (c) Percentage of 129 the different EggNOG categories (see insert) in the persistent, accessory and singleton gene families 130 and among genes associated to MGE. (d) [Top] Violin plots of the patristic distance computed 131 between pairs of genomes. [Bottom] Association between GRR (Gene Repertoire Relatedness) and 132 the patristic distance across pairs of genomes. Due to the large number of comparisons (points), we 133 divided the plot area in regular hexagons. Color intensity is proportional to the number of cases (count) 134 in each hexagon. The linear fit (black solid line, linear model (lm)) was computed for the entire dataset 135 (1,294 genomes, Y=90.2-75.7*X, R²=0.49, P<10⁻⁴). The spline fit (generalized additive model (gam)) 136 was computed for the whole (in black dashed line) or the intra-ST (in blue solid line) comparisons. 137 There was a significant negative correlation between GRR and the patristic distance (Spearman's rho 138 = -0.67, P<10⁻⁴). (e) Histograms of the number of intra-ST (in blue) and inter-ST (in purple) 139 comparisons at short evolutionary scales. (f) Violin plots of the intra-ST, inter-ST and inter-phylogroup 140 GRR (%). (d-e-f) All the distributions were significantly different (Wilcoxon test, P<10⁻⁴), the same color 141 code was used and described in (d).

142 Traditional epidemiological studies of *E. coli* focused on multilocus sequence types (ST) 143 and/or the O- and H-serotypes (often the O:H combination). These epidemiological units 144 regroup strains in terms of sequence similarity in a few persistent genes (ST) or in key traits 145 related to the cell envelope (the LPS structure and the flagellum). However, it is unclear if 146 these types systematically regroup strains with similar gene repertoires. We identified 442 147 distinct STs, of which 61% are represented by a single strain. A few STs are very abundant 148 in our dataset: 20 include more than 10 genomes each and encompass 40% of the dataset. 149 The intra-ST genetic distances are 10-times smaller than distances between other pairs of 150 genomes (0.003 vs. 0.03, Fig. 1d). Yet, 6% of intra-ST comparisons have more than 0.01 substitutions per position showing extensive genetic diversity at the genome level (Fig. 1e). 151 152 Some O-groups are abundant, e.g., O8, O2 and O1 (each present in >50 genomes) but 153 almost half of the groups occur in a single genome and 43% of the strains could not be 154 assigned an O-group (even when the wzm/wzt and wzx/wzy genes were present). In contrast, 155 most H-types were previously known (87%). We found 311 combinations of O:H serotypes 156 among the 726 typeable genomes. Of these, 64% are present in only one genome, 17% are 157 in multiple STs and 7% in multiple phylogroups (e.g. O8:H10). Conversely, half of the 95 STs 158 with more than one genome have multiple O:H combinations, e.g. ST10 has 24. These 159 results confirm that surface antigens and their combinations change quickly and are

160 homoplasic. They also show extensive variation of gene repertoires within STs. The gene 161 repertoire relatedness (GRR) between genomes (see Methods) decreases very rapidly with 162 phylogenetic distance for closely related strains, as revealed by spline fits (Fig. 1d). Similar 163 results were observed when removing singletons, which only account for on average 0.5% of 164 the genes in genomes, suggesting that this result is not due to annotation or sequencing 165 errors (Supplementary Fig. 6). As a consequence, 85% of the intra-ST comparisons have a 166 GRR lower than 95% (corresponding to ~235 gene differences per genome pair), and some 167 as little as 77% (Fig. 1f). Hence, even genomes of the same ST can differ substantially in the 168 sequence of other persistent genes and in the overall gene repertoires.

169

170 To check if the dataset is representative of the species and can be used to assess its 171 diversity, we compared it with the ECOR collection⁴⁸ and the complete genomes available in 172 RefSeq (Materials). All datasets had similar nucleotide diversity (Supplementary Fig. 5a and 173 Supplementary Table 1). Using rarefied datasets, to compare sets of same size, ours had the 174 largest pan-genome, partly because of a larger number of singletons (Supplementary Fig. 175 5b-d). Our dataset also had the highest α -diversity for the three typing schemes (STs, O-, H-176 serotypes, Supplementary Table 1). Since the gene repertoire diversity of E. coli in Australia 177 is at least as high as that of ECOR and RefSeq, we studied the variation in gene repertoires 178 beyond the intra-ST level. After the rapid initial drop in GRR described above, the values of 179 this variable decrease linearly with phylogenetic distances (Fig. 1d). The average values of 180 GRR given by the regression vary between 90% for very close genomes and 80% for the 181 most distant ones. The variance around the regression line is constant and a spline fit shows 182 few deviations around the regression line. This is consistent with a model where initial 183 divergence in gene repertoires is driven by rapid turnover of novel genes. After this initial 184 process, divergence in gene repertoires increases linearly with patristic distance.

185 Phylogroups vary in the rates of gene repertoire diversification

186 We used the species phylogeny to study the associations between phylogroups and genetic 187 diversity (Fig. 2a). The tree showed seven main phylogenetic groups very clearly separated 188 by nodes with 100% bootstrap support. The 17 phylogroup C strains were all included within 189 the B1 phylogroup and were thus grouped with the latter in this study. For the rest, the 190 analysis showed a good correspondence between the assignment into the known 191 phylogroups - A, B1, B2, D, E, F, and G – and the different clades of this tree. In line with the 192 literature⁴⁰, four major phylogroups were very abundant - A (24% of the dataset), B1 (24%), 193 B2 (25%) and D (14%) - whereas the others were rarer. The nucleotide diversity of the 194 phylogroups is very dependent on their phylogenetic structure, since some clades have more 195 closely related clusters of strains than others (Supplementary Fig. 7). Nevertheless, 196 nucleotide diversity, patristic distances, and Mash distances revealed similar trends: the 197 phylogroup D exhibited the highest genetic diversity, followed by F, E, and then by the most 198 abundant groups – A, B1 and B2 – which all have similar levels of diversity (Supplementary 199 Fig. 7). The phylogroup G was the least diverse, but it is also poorly represented in our 200 dataset (33 genomes from three STs). Overall, genetic diversity is proportional to the depth 201 of the phylogroup, i.e. the average tip-to-MRCA distance, except for phylogroup F which is 202 more diverse than expected (Fig. 2b). These results suggest that genetic diversity varies 203 between phylogroups and that within phylogroups it is strongly affected by the time of 204 divergence since the most recent common ancestor.

205





208 209 (a) Phylogenetic tree of E. coli rooted using the genomes of other Escherichia (not shown for clarity). 210 From the inside to the outside: the 7 main phylogroups (arcs covering the tree), the source of each 211 genome (seven rows), and the size of the genomes (outer row, see insert legend). (b) Association 212 between the nucleotide diversity per site (Pi, average and s.e) within phylogroup and their distance to 213 their most recent common ancestor (MRCA). In each pylogroup, we averaged the nucleotide diversity 214 (π) obtained for 112 core-genes, and the length branches (from tip-to-MRCA) of the species tree. (c) 215 Association between the rarefied pan- and persistent-genomes in each phylogroup. We used 1,000 216 permutations (genomes orderings) of 50 randomly selected genomes (rarefied datasets) to compute 217 the pan- and the persistent-genomes in each phylogroup (ignoring the G group), and then averaged 218 the results. (d) Principal component analysis of the pan-genome (matrix of presence/absence of each 219 gene family across genomes). Each dot corresponds to a genome in the two first principal 220 components (PC). The ellipse (90%) and barycenter of each phylogroup are reported. The 221 percentages in the axis labels correspond to the fraction of variation explained by the PC. Panels (b), 222 (c), and (d) have the same color code as (a).

223

224 The sets of genomes of each phylogroup have large and open pan-genomes 225 (Supplementary Fig. 8 and Supplementary Table 2). The sizes of these pan-genomes differ 226 widely across phylogroups and are partly correlated to the number of genomes in the 227 phylogroup, explaining why the phylogroup G has the smallest pan-genome (Supplementary 228 Fig. 8). To control for the effect of sample size, we computed pan-genomes from 1,000 229 random samples of 50 genomes for each phylogroup (ignoring the few strains of the G 230 phylogroup, Fig. 2c and Supplementary Table 2). This revealed larger pan-genomes for 231 phylogroups A, D, and B1 followed by E, B2 and F. Intriguingly, the larger the pan-genome of a phylogroup, the smaller the fraction of its genes that are part of the persistent genome (Fig.
2c). This suggests that differences of pan-genome sizes across phylogroups are caused by
different rates of gene turnover in certain phylogroups. They affect all types of genes, even
those at high frequency in the species.

236

237 To quantify the similarities in gene repertoires, we analyzed the GRR values between 238 phylogroups. The smallest values were observed when comparing B2 strains with the rest 239 (Supplementary Fig. 10). Accordingly, a principal component analysis of the 240 presence/absence matrix of the pan-genome shows a first axis (accounting for 23.6% of the 241 variance) clearly separating the B2 from the other phylogroups (Fig. 2d). This shows that 242 gene repertoires of B2 strains are the most distinct from the other groups, even if B2 is not a 243 basal clade in the species tree. Hence, phylogroups differ in terms of their gene repertoires 244 and in their rates of genetic diversification.

245 Mobile genetic elements drive rapid initial turnover of gene repertoires

246 Different mechanisms can drive the rapid initial diversification of gene repertoires. Mobile 247 genetic elements encoding the mechanisms for transmission between genomes (using 248 virions or conjugation) or within genomes (insertion sequences, integron cassettes) are known to transfer at high rates and be rapidly lost⁴⁹⁻⁵¹. We detected prophages using 249 250 VirSorter⁵², plasmids using PlaScope⁵³, and conjugative systems using ConjScan⁵⁴ 251 (Supplementary Figs. 11-13). These analyses have the caveat that some mobile elements 252 may be split in different contigs, resulting in missed and/or artificially split elements. This is 253 probably more frequent in the case of plasmids, since they tend to have many repeated 254 elements⁵⁵. Only two genomes lacked identifiable prophages and only 9% lacked plasmid 255 contigs. We identified 929 conjugative systems, with some genomes containing up to seven, 256 most often of type MPF_F , the type present in the F plasmid. On average, prophages 257 accounted for 5% and plasmids for 3% of the genomes (Fig. 3a). Together they account for 258 more than a third of the pan-genomes of each phylogroup. We also searched for elements 11

259 capable of mobilizing genes within genomes: Insertion Sequences, with ISfinder⁵⁶, and 260 Integrons, with IntegronFinder⁵⁷. Even if ISs are often lost during sequence assembly, some 261 genomes had up to 152 identifiable ISs representing ~1% of the genome (Fig. 3a and 262 Supplementary Fig. 13). A fourth of the ISs were in plasmids and very few were within 263 prophages. We found integron integrases in 14% of the genomes, usually in a single copy. It 264 is interesting to note that even if the frequency of each type of MGE varies across strains, 265 each of them is strongly correlated with the frequency of the other elements (Fig. 3b). Hence, 266 the typical E. coli genome has at least one transposable element, a prophage and a plasmid, 267 the key tools to move genes between and within genomes. When genomes are enriched in 268 one type of MGE, they tend to get simultaneously enriched in the remaining MGEs.

269



Fig. 3: Genetic diversification across phylogroups.





(a) Percentage of genes associated with MGEs per genome (sum in first graph). (b) Spearman's rank 274 correlation matrix between the number of genes related to MGE (altogether or individually) and the 275 genome size (in Mb and number of genes). Color intensity and the size of the circle are proportional 276 to the correlation coefficients. All values are significantly positive ($P<10^{-4}$). (c) Differences in genome 277 size when including or removing gene families associated to MGE (Wilcoxon test, $P<10^{-4}$). (d)

278 Number of accessory gene families associated to MGE present in one (i.e., phylogroup-specific) to 279 seven phylogroups. The color code used corresponds to the Z-score obtained for the observed 280 number (O) with respect to the random distribution (E) (see Methods) for each case with a color code 281 ranging from blue (under-representation) to red (over-representation). The level of significance was 282 reported: |Z-score| : * ([1.96-2.58[), ** ([2.58-3.29[, ***([3.29). (e) Heatmap where a cell represents the 283 deviation (the difference) of the phylogroup to the rest. All values were standardized by column. The 284 color code ranging from blue (lower) to red (higher), with white (overall mean). The level of 285 significance of each ANOM test was reported: * (P<0.05), ** (P<0.01), *** (P<0.001). (f) Network of 286 recent co-occurence of gains (co-gains) of accessory genes within and between phylogroups. Nodes 287 are phylogroups and edges the O/E ratio of the number of pairs of accessory genes (from the same 288 gene family) acquired in the terminal branches of the tree. Only significant O/E values (and edges) are 289 plotted (|Z-score|>1.96). Under-represented values are in dash blue and over-represented in red (see 290 Methods).

291 What is the effect of these MGEs in the dynamics of *E. coli* genomes? First, the acquisition of 292 MGEs affects the size of the genome. Those identified in this study account for ~8% of the 293 genome size (Fig. 3c and Supplementary Fig. 14). Accordingly, the number of genes 294 associated with MGEs was strongly correlated with genome size for every type of element 295 (Fig. 3b). Second, MGEs increase the variability of genome sizes, since removing them 296 decreases the coefficient of variation of the size of gene repertoires by 34% (expected 297 increase of 4% under a Poisson model, Fig. 3c). Third, the increase in variance in genome 298 size caused by MGEs is amplified by their short persistence times in the genome. No MGE-299 associated gene family is sufficiently frequent to be part of the persistent genome, and most 300 (85%) are present in less than 1% of the genomes. For example, 41% of the IS gene families 301 are singletons (Supplementary Fig. 14). Adaptive genes acquired through the action of 302 MGEs may become fixed in populations, but the lack of fixation of recognizable MGEs 303 suggests that the long-term cost of MGEs themselves is significant and/or their contribution 304 to fitness is low (or temporary).

305

306 Is the distribution of MGEs associated with phylogroups leading to preferential paths of gene 307 transfer? It has been suggested that homologous recombination is much rarer between than 308 within phylogroups¹⁸. To test if this applies to the transfer of MGEs, we analyzed the 309 distribution of the pan-genome gene families that are part of MGEs (excluding singletons, for 310 the separate analysis of prophages and plasmids, see Supplementary Fig. 15). Even if these 311 genes are at low frequency in the pan-genome and are observed in a single phylogroup 312 more often than expected by chance (Z-score>20, see Methods), 75% of the phage and plasmid gene families were found in more than one phylogroup and 8% were found in all 313 314 phylogroups (usually at low frequency, Fig. 3d). Accordingly, the number of gene families 315 present in two to six phylogroups is barely lower, even if significantly so, than expected by 316 chance. These results suggest that there is frequent transfer of MGEs across the different 317 phylogroups. To test this hypothesis more precisely, we used Count to infer gene gain and 318 loss events in the phylogenetic tree of the species (see Methods). We found that half of the 319 recent gene acquisitions, i.e., those that took place at the level of the terminal branches of 320 the species tree, are in families of genes of MGEs. Conversely, the acquisitions at the 321 terminal branches correspond to 40% of the MGE genes of the species. Hence, MGEs are 322 key players in genome diversification at the micro-evolutionary scale. They are transferred 323 across phylogroups and many of them, even if present in several strains, were acquired 324 independently and have just arrived in their host genome.

325

326 One might expect more genetic diversity in phylogroups with more MGEs and larger 327 genomes. In apparent agreement with this hypothesis, genomes from phylogroups A and B1 are significantly smaller than the others (Fig. 3e, col 1, ANOM tests, P<10⁻³) and have fewer 328 329 MGE-associated genes (Fig. 3e, col 2, ANOM tests, P<0.05). However, these phylogroups 330 also have the largest diversity of gene families associated to MGEs (Fig. 3e, col 3, in both the full and rarefied datasets, both ANOM tests, P<10⁻³), *i.e.* they encode fewer but more 331 332 diverse MGEs. Furthermore, the phylogroups A and B1, in spite of having among the most 333 recent common ancestors of the phylogroups (Fig. 2b), have the largest pan-genomes, the 334 smallest persistent genomes, and the largest diversity of STs, and serotypes (Fig. 3e, in both the full and rarefied datasets, cols 4.5.9.10, ANOM tests, P<10⁻³). This intriguing pattern 335 336 suggests that the smallest genomes have the highest turnover of genes, not the lower rates 337 of transfer. To test this hypothesis, we took the quantification of gene gains and losses at the

338 terminal branches of the species tree and computed the number of these events per 339 phylogroup. We found that phylogroups A and B1 have the highest number of gene gains 340 and losses per terminal branch (Fig. 3e, cols 6-7). In parallel, we quantified the number of 341 recently acquired (terminal branches) gene pairs (co-gains) from the same gene family within 342 a phylogroup (Fig. 3e, col 8) and between phylogroups (see Methods, Fig. 3f). The results 343 were represented as a graph where the edges represent significantly fewer (dashed lines) or 344 higher (solid lines) number of co-gains than expected by chance. We found that phylogroup 345 B1 has significantly more co-gains of genes with other phylogroups than expected, while the 346 inverse was observed for phylogroup B2. We reached similar results when considering only 347 the co-gains associated with MGEs (Supplementary Fig. 15). These results are consistent 348 with the separation of the B2 phylogroup from the others in the PCA analysis (Fig. 2d). They 349 show that such separation is due to lower rates of transfer in B2, which leads to fewer co-350 gains within the phylogroup and between this and the other phylogroups. In summary, 351 phylogroups differ in terms of their genome size and in their rates of genetic diversification, 352 the two traits being inversely correlated within the species.

353 Not everything is abundant everywhere: the interplay between phylogroups354 and sources

Frequent horizontal transfer across phylogroups could result in adaptation being independent of the strain genetic background, if there is a lack of epistatic interactions. While we observed that all isolation sources have strains from all phylogroups (Fig. 4a), different phylogroups are typically over-represented depending on the source (Fig. 4b). These observations match previous studies³, and suggest strong associations between the phylogenetic structure of populations and the natural habitats of strains.

How much of the variability in gene repertoires is explained by the source of isolation of the strains? Genome sizes vary significantly across isolation sources. Strains isolated from poultry meat had the largest average genomes, followed by ExPEC strains. In contrast, strains from wild birds' feces and freshwater had the smallest genomes (Fig. 2a and Fig. 4c, 365 col 1, ANOM tests, P<10⁻³). We showed above that genome size also varies across 366 phylogroups. To understand the relative role of the two variables, isolation source and 367 phylogroup, we made two complementary analyses. First, we compared the genome size of 368 strains from different sources within each phylogroup. Even if the statistical power was 369 sometimes low, this revealed trends similar to the ones observed across phylogroups 370 (Supplementary Fig. 17). Second, we used stepwise multiple regressions to assess the 371 effects of phylogroup and the strains' source on its genome size. Both variables contributed 372 significantly, and in almost equal parts, to the statistical model and together explained 36% of 373 the variance ($R^2=0.36$; $P<10^{-4}$, Supplementary Table 3). We found similar results after 374 removing MGE-associated genes (Fig. 4d and Supplementary Table 4). We conclude that 375 both isolation source and phylogroup are equally associated with genome size.









380 381 382 383 384 385 386 387 388 389 390 391 (a) Distributions of the sources in each phylogroup. (b) Association between phylogroups and sources. The ratio of the number of observed (O) genomes divided by the expected (E) number was reported for all comparisons with a color code ranging from blue (under-representation) to red (over-representation) (Fisher's exact tests performed on each 2*2 contingency table). (c) Heatmap showing the associations between isolation sources and a number of traits. Each cell indicates the deviation (the difference) to the overall mean (in white). All values were standardized by column. By default, tests used standard ANOM (1). In presence of deviations from Gaussian distributions, we used non-parametric ANOM tests (2). We used ANOM for proportions (3). We represented the (O/E) ratio of the co-occurrence of gene pairs recently acquired (Co-gains) in each phylogroup with the same color code as in panel (b) (4). (d) Contribution of each variable (phylogoup and source) to the variance explained by the stepwise multiple regressions of genome size (for the component of MGEs or the remaining genome) on phylogroup and the isolation source. (e) Differences in diversity of gene families recently acquired across phylogroups (in black) and sources (in grey) for gene families associated to MGE or the remaining gene families 392 393 (Wilcoxon tests, red dots (means)). In all panels : the level of significance of each test was reported: (P<0.05), ** (P<0.01), *** (P<0.001).

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395 Adaptation to a habitat depends on HGT, which is driven by MGEs. Hence, we studied the 396 distribution of MGEs in relation to isolation sources. There are fewer MGE-associated genes 397 in strains isolated from freshwater and wild birds' feces, which have smaller genome sizes, 398 and more in strains from ExPEC and poultry meat (Fig. 4c, col 2, ANOM tests, P<10⁻³, and 399 Supplementary Table 5). We observed similar trends within each phylogroup even if the 400 statistical power was low (Supplementary Fig. 17). The analysis of the relative contribution of 401 phylogroups and isolation sources to the number of MGE-associated genes showed that the 402 source of the strain accounted for the vast majority of the explained variance (90%, full 403 model: R²=0.19; P<10⁻⁴, Fig. 4d and Supplementary Table 6). Accordingly, the number of 404 MGE-associated gene families specific to a given source was higher than expected (Z-405 score >17, Supplementary Fig. 15), and nearly one third of these source-specific families 406 were observed in multiple phylogroups. When we focused on the number of co-occurring 407 recently acquired gene pairs (encoding for MGE or not), we found that they are more 408 frequent within most of the isolation sources than expected by chance (Fig. 4c, col 15, see 409 Methods). These results suggest that the contribution of MGEs to genome size is primarily 410 driven by isolate source rather than phylogroup membership.

411

The previous result could arise from preferential co-gains of MGEs in an isolation source relative to a phylogroup. To test this hypothesis, we used the results from Count and built a matrix where for each gene family we indicate the acquisition or not of a gene in a terminal

branch of the phylogenetic tree. We then compared the clustering of these recent acquisitions by phylogroup and by isolation source using Shannon indexes (see Methods). If the hypothesis is correct, we expected higher clustering (lower diversity) across sources than across phylogroups. We observed slightly higher clustering across phylogroups than across sources, both for MGE-associated and for the other genes (Fig. 4e). We conclude that the contribution of MGEs to genome size depends largely on the isolation source but that this does not reflect systematic co-gains of MGEs in the same source.

422

423 It is tempting to speculate that the association between the number of MGE-associated 424 genes and isolation sources reflects selection for the acquisition of locally adaptive functions 425 transferred by these MGEs. To test this, we searched for the presence of antibiotic 426 resistance genes (ARGs) in our dataset using the reference databases. Many of these ARGs were in integrons (~3 per integron), which is well documented⁵⁸, and genomes carrying 427 428 integrons had more ARGs than the others (Wilcoxon test, P<10⁻⁴, Supplementary Fig. 18). 429 Expectedly, integrons and ARGs were more prevalent in ExPEC and in poultry meat isolates 430 (Fig. 4c, cols 7-8) and Supplementary Table 5). Similar results were observed in the 431 analyses at the level of each phylogroup (Supplementary Fig. 18). The clear association of 432 integrons and ARGs with human (or domesticated animals) isolates of *E. coli* independently 433 of the phylogroups' genetic background reinforces the idea that source-specific MGEs 434 provide locally adaptive traits.

435

To complement the previous results, we searched for the presence of other factors known to be adapative under specific conditions: virulence factors involved in antagonistic interactions with humans and colicins involved in intra-specific competition. Virulence factors (VFs) from VFDB are more prevalent in human strains with an excess in ExPEC isolates (ANOM test, P<10⁻³) and less frequent in strains isolated from freshwater and wild birds' feces (ANOM test, P<10⁻³, Fig. 4c, col 9). While VFs are more concentrated in phylogroups B2, D, E and F 442 (ANOM test, P<10⁻²) as previously shown³⁷, the trends regarding isolation sources are 443 conserved within each phylogroup (Supplementary Fig. 19). In particular, within phylogroup 444 B2, only human strains have a significantly higher average number of VFs (Supplementary 445 Fig. 19) reinforcing previous results²⁶. We also analyzed colicin gene clusters, which are 446 agents of bacterial antagonistic competition and are often encoded in plasmids⁵⁹. The 447 average number of colicins identified using BAGEL3⁶⁰ (some of which are also included in 448 VFDB) depends on the phylogroup of the strain, from an average of 2.8 genes in B2 strains 449 to 0.4 in B1 strains. Interestingly, the water isolates have the fewest colicin genes, 450 presumably because free diffusion of these proteins in water makes them inefficient tools of 451 bacterial competition (Fig. 4c, col 10 and Supplementary Fig. 19). Thus, local adaptations 452 resulting from the acquisition of novel genes by HGT, involving antagonistic interactions with 453 other bacteria or with the host, are associated preferably with certain phylogroups. This may 454 result from specific genetic interactions in the different genetic backgrounds.

455

456 E. coli has usually been regarded as a contaminant from animal, mostly human, sources and 457 used to test water quality. Yet, recent data suggests that some strains could inhabit aquatic 458 environments⁶¹. Given the contrast between the primary and secondary habitats of *E. coli*, 459 respectively guts of endotherms and aquatic environments, this would imply marked 460 differences between the 285 freshwater strains and the others. Indeed, our results show that 461 these strains are systematically different. They are over-represented in phylogroup B1 (43%), 462 a phylogroup under-represented in all other sources of isolation (Figs. 2a,4b). On the other 463 hand, they are under-represented in B2 (13%), a phylogroup over-represented in strains isolated from humans (this study) and other mammals². The genome size of freshwater 464 465 strains' is the smallest among all groups of isolates and across phylogroups (Fig. 4c, col 1, 466 Supplementary Fig. 17). Importantly, these strains show average pan-genome sizes in the 467 rarefied dataset, suggesting that adaptation is not exclusively due to genome reduction (Fig. 468 4c, col 11). This is also supported by the high number of gains and losses observed (Fig. 4c, 469 cols 13,14), although these genomes have the fewest MGEs and often lack plasmids (Fig. 4c, 470 cols 2-6). Consistent with adaptation to this habitat, they have the smallest number of 471 antibiotic resistance genes, virulence factors, and bacteriocins (Fig. 4d, cols 7-10) and 472 Supplementary Fig. 18,19). In contrast, these strains show the highest diversity of STs and 473 O:H serotypes (Fig. 4c, cols 16,17, and Supplementary Table 5). The extreme genomic traits 474 of isolates from water strongly suggest they are not the result of recent fecal contamination 475 from other sources. Instead, they strongly suggest that these strains have changed to adapt 476 to water environments. If so, this seems to have involved extensive horizontal gene transfer 477 concomitant with streamlining, i.e. a high turnover of gene repertoires that resulted in 478 genomes smaller than the average.

480 **Discussion**

481 Many of the recent advances in the understanding of E. coli evolution focused on clinical 482 isolates and placed a lot of emphasis on virulence and antibiotic resistance in a few clinically 483 important lineages⁶²⁻⁶⁷. Yet, most strains of the species are commensal. Hence, most of the 484 evolution of the species takes place in biotic contexts not associated with pathogenesis. 485 Furthermore, while a lot of attention has been placed on the rates of homologous 486 recombination in the chromosome of the species, it is now clear that HGT drives the 487 evolution of virulence^{12,42,68,69} and antibiotic resistance⁷⁰⁻⁷² in pathogenic strains as well as 488 that of many other traits in commensal strains¹². For example, MGEs were recently shown to 489 be more important than point mutations for the colonization of the mouse gut by E. coli 490 commensals⁷³. Here, we aimed at providing a global picture of the evolution of the *E. coli* 491 genomes with an emphasis on the variation of gene repertoires in strains from a variety of 492 sources (environmental and geographic) across a single continent. This allowed us to study 493 the joint effect of population structure and habitat on the variation of gene repertoires. Our 494 study focused on E. coli isolates from Australia, but its genetic diversity was higher or 495 comparable to other worldwide genome datasets, and its population structure was consistent with previous works^{16,40,74}. This indicates that what we have observed is likely to be 496 497 representative of the species as a whole. It also confirms previous reports of the large 498 genetic diversity of the species and of the planetary circulation of all major lineages^{39,45,75}. 499 Finally, the functional annotation of the pan-genome shows that in spite of over 375,000 500 papers citing E. coli in PubMed in 2019, we are still far from having discovered the full 501 genetic diversity of E. coli and from knowing the function of many of its most frequent gene 502 families.

503

We started our study by quantifying gene repertoire diversification, which we found to follow
 a two-step dynamics. The very rapid initial diversification, where GRR quickly decreases to
 ~90%, implicates substantial heterogeneity in terms of gene repertoires for strains that are
 21

507 from the same sequence type and are almost identical in the sequence of persistent genes. 508 Some of this divergence may be due to genome sequencing or assembling artifacts 509 producing singletons and thus inflating pan-genomes. Yet, we have annotated all genomes in 510 the same way. We also confirmed key results by excluding singletons, and showed that 511 singletons represent only ~0.5% of a typical genome and that many of them have homologs 512 in the databases. The frequency of singletons is only weakly correlated with the number of 513 contigs in draft assemblies, a further sign that they are not just caused by sequencing or 514 assembly issues (Supplementary Notes). Furthermore, our analysis of ancestral genomes 515 showed that a large fraction of well-known MGEs, including phages, ISs and plasmids, were 516 acquired very recently (inferred acquisition at the terminal branches of the phylogenetic tree). 517 Some of these are singletons, whereas others are present across many phylogroups. They 518 contribute directly to the rapid divergence of gene repertoires between separating lineages. 519 Previous population genetics models applied to other clades observed the existence of genes that have rapid turnovers in genes^{76,77}. Our results show that frequent acquisition of 520 521 MGEs drives rapid diversification of gene repertoires even between strains that are almost 522 indistinguishable by classical typing schemes.

523

524 Following the abrupt initial loss of GRR between diverging lineages, we observed that the 525 similarity of gene repertoires decreases linearly with time. Hence, it does not follow the 526 negative exponential distribution that we proposed a decade ago⁴², which was based on a 527 very small set of genomes that precluded the identification of the change of dynamics at 528 small patristic distance. This change of dynamics resembles the accumulation of non-529 synonymous mutations in genes under weak purifying selection. Comparisons between 530 closely related strains reflect almost neutral accumulation of recent events whereas 531 differences between distant strains are driven by purifying selection with occasional fixation 532 of adaptive events^{78,79}. In the present context, this suggests that either many integrations of 533 genetic material are slightly deleterious or that there is rapid deletion of neutral genes. The

534 first hypothesis is consistent with the fitness costs associated with the acquisition of many MGEs⁸⁰⁻⁸², and with our observation that most MGEs present in a genome were very recently 535 536 acquired. The second hypothesis is consistent with the previous works suggesting the 537 existence of mechanistic biases towards gene deletion in bacteria ^{83,84}. Once most the recent 538 transfer has been purged, by natural selection or gene deletion biases, GRR decreases 539 linearly with divergence time and shows large variance around the regression line. The large 540 variance indicates that some distantly related bacteria may have more similar gene 541 repertoires than bacteria within the same sequence type. Importantly, the analysis does not 542 suggest the existence of a point beyond which relatedness and gene flow change abruptly. 543 Hence, these results do not suggest incipient sexual isolation within the species from the 544 point of view of horizontal gene transfer. The analysis of gene flow associated with B2 strains 545 should be placed in this context, it shows that this particular phylogroup has many MGEs and 546 large genomes, but is recently exchanging less genetic material with strains from its own and 547 from other phylogroups. This has placed it apart from the other phylogroups in terms of gene 548 repertoires and in terms of preferential habitats.

549

550 The rapid evolution of gene repertoires by HGT is consistent with the observation that 551 plasmids, prophages and ISs are almost ubiquitous among E. coli. These elements 552 contribute to the genome size and especially to its variability across strains, which supports 553 our previous results^{50,85}. While most MGEs are quickly lost from lineages, or drive the lineage 554 extinct, the large influx of such elements can bring adaptive accessory traits such as 555 antibiotic resistance genes⁷¹ and virulence factors^{86,87}. They also pave the way for cooption processes⁸⁸. The contribution of the MGE genes to genome size across the species is more 556 557 strongly associated with the isolation source of the strains than with the phylogroup. However, 558 the recent co-acquisition of MGEs by different strains is also associated with the phylogroup. 559 This is consistent with a scenario where the abundance of MGEs in a genome is strongly 560 dependent on the habitat, but their diversity also depends on the phylogroup. Since most

561 MGE genes arrived in the genome very recently, this suggests that habitat exerts a strong 562 constrain on the flow of gene exchanges across *E. coli* strains, in line with the view that 563 bacteria exchange more genes with those they coinhabit^{89,90}.

564

565 The need of favorable genetic backgrounds for certain local adaptation processes could 566 explain the observed over-representation of some phylogroups in certain isolation sources. 567 Virulence factors and antibiotic resistance genes provide relevant examples. In our dataset, 568 the plasmids encoding virulence factors are often conjugative and should be able to circulate 569 widely, but the virulent clones often concentrate in only a few phylogroups. Selection for 570 antibiotic resistance is expected to be higher in the virulent clones, because these are the 571 most targeted in the clinic. Hence, they endure stronger selection to keep the ARGs arriving 572 in MGEs. These causal links result in preferential associations of genetic backgrounds with 573 virulence factors and ARGs, and therefore with the frequency of pathogens in a given 574 phylogroup. How much of these trends are due to epistatic interactions between novel genes 575 and the genetic background and how much is due to availability of specific genes by 576 horizontal transfer in certain sources remains to be quantified. In conclusion, these results 577 contribute to explain why epidemiological clones tend to emerge from specific phylogenetic 578 groups even in the presence of massive horizontal gene transfer.

579

580 Genetic diversity, created by HGT, recombination, or mutation, affects a species' ability to 581 adapt to novel ecological opportunities. The higher the diversity of gene repertoires in a 582 population, the more likely that one of those genes will prove helpful in the face of 583 environmental challenges such as antibiotics. We observed that the generalist phylogroups, 584 such as A and B1, have broader pan-genomes than specialist phylogroups like B2. This was 585 not expected based on their smaller genome sizes or the lower frequency of MGEs in their 586 genomes. We propose that this reflects the high variability of the environments where they 587 circulate and the consequent diversity of local adaptation processes. Phylogroup B2 strains,

588 by comparison, have developed very specific traits that may let them take advantage of 589 some particular resources, e.g. they are better adapted to mammal gut environment². This has resulted in large genomes that have diverged more from the other E. coli, as revealed by 590 591 the PCA analysis, but that are overall more conserved (largest persistent-genome, smaller 592 pan-genomes, fewer recent gene acquisitions). Altogether, these results suggest that the 593 habitat and the phylogenetic structure jointly determine the size of genomes. The results also 594 suggest the hypothesis that the large genomes of some phylogroups, like B2, are caused by 595 a relative decrease in the rate of gene loss, not by an increase in the rate of gene gain.

596

597 The integration of information on gene repertoires and population structure in strains 598 sampled from diverse sources can shed light on the origin of environmental strains. This is 599 illustrated by the identification of genomic traits in freshwater E. coli isolates that are very 600 different from the average traits of the species and that suggest adaptation of certain 601 lineages to this environment. For bacteria, freshwater environments are much more nutrient 602 poor than the guts of endotherms, and it's interesting to note that strains associated with this 603 environment have more streamlined genomes. This may represent at the micro-evolutionary 604 scale, an adaptation similar to that observed in other bacteria adapted to poor nutrient environments that have small genomes and few MGEs^{91,92}. These results are also consistent 605 606 with recent studies showing that E. coli B1 strains can persist longer in water than strains of 607 the other phylogroups, and that B1 persistent strains in water often encode very few virulence factors and antibiotic resistance genes^{7,33,34}. Interestingly these strains have been 608 609 shown to be able to grow at low temperatures⁷. The prevalence of B1 isolates has been 610 observed in other environmental samples, such as drinking water or plants⁹³. The 611 characteristics observed in freshwater isolates might be general to this environment, since 612 they were observed in strains from the B1 and from other phylogroups (Supplementary Figs. 613 16-18). If some *E. coli* lineages are indeed adapted to freshwater this radically changes the 614 range of environments from where they can acquire novel genes and the selection pressures

that shape their subsequent fate. This finding also implies that environmental isolates are not necessarily the result of source-sink dynamics where *E. coli* strains evolve in relation to selection pressures linked to the host and environmental strains are just sinks where such strains find evolutionary dead-ends. Instead, the environment outside the host could have a significant impact on the evolution of *E. coli* subsequently colonizing human hosts.

621 Materials and Methods

622 Strains: We used different collections of *E. coli* strains recovered in Australia between 1993 623 and 2015 (for a more detailed description, see Supplementary Note and Supplementary 624 Dataset1). The subset of strains selected for whole genome sequencing includes : (1) faecal 625 strains isolated from various birds (N=195 strains), non-human mammals (N=135), and 626 humans living in Australia (N=93); (2) clinical strains isolated during intestinal biopsies of 627 patients with inflammatory bowel disease (N=172), or corresponding to human ExPEC 628 strains collected from urine or blood (N=112); (3) poultry meat strains isolated from chicken 629 meat products from diverse supermarket chains and independent butcheries (N=283); (4) 630 and freshwater strains isolated from diverse locations across Australia (N=285).

631

632 Sequencing: Of the 1,304 isolates, 70 were sequenced at Broad institute using the Roche 633 454 GS FLX system, 70 were sequenced by GenoScreen (Lille, France) using the 634 HiSeq2000 platform and the rest were sequenced at the Australian Cancer Research 635 Foundation (ACRF) Biomolecular Resource Facility (BRF) of the Australian National 636 University using the Illumina MiSeq platform.

637

Assembling: Paired-end read files were processed and assembled with CLC Genomics Workbench v.9.5.3 (Illumina) using their *de novo* assembly algorithm with default parameters. All genomes sequenced by the Broad institute were available into the NCBI Assembly (www.ncbi.nlm.nih.gov/assembly/) or SRA (www.ncbi.nlm.nih.gov/sra/) databases. While, the rest of the assemblies was deposited into the European Nucleotide Archive (PRJEB34791). The accession number of each genome is reported in Supplementary Dataset1.

644

Datasets: We used 4 datasets in this study. (1) The *Australian dataset* described above is
the main dataset. (2) *RefSeq dataset*: We retrieved 370 *E. coli* complete genomes from
GenBank Refseq (available in February 2018). (3) *ECOR dataset*: We retrieved 72 draft

648 genomes of the *E. coli* reference (ECOR) collection from DDBJ/ENA/GenBank⁴⁸. Strains in 649 this collection were isolated from diverse hosts and geographic locations and have been 650 used for more than 30 years to represent the phylogenetic diversity of E. coli as they have 651 been selected from over 2,600 natural isolates based on MLEE data¹⁷. (4) **Outgroup** 652 dataset: We retrieved 65 other closely related Escherichia genomes from ENA/GenBank and 653 sequenced 21 others on the Illumina MiSeq platorm (assembled as described above). They 654 belong to Clade I (N=14), Clade II (N=2), Clade III (N=8), Clade IV (N=2), Clade V (N=14), E. 655 fergusonii (N=8) and E. albertii (N=38) species. Only five of them were complete, others were 656 draft genomes. In this study, these genomes (called hereafter outgroup genomes) were only 657 used to root the Australian E. coli species tree. The general genomic features and the 658 sequencing status of these 1,832 genomes are reported in Supplementary Dataset1.

659

Data formatting: In an attempt to overcome the bias from different annotations all genomes 660 661 of the four datasets were annotated using Prokka v.1.11⁹⁴ which provided consistency across 662 the entire datasets (with hmmer v.3.1b1, aragorn v.1.2.36, barrnap v.0.4.2, minced v.0.1.6, 663 blast+ v.2.2.28, prodigal v.2.60, infernal v.1.1, ncbi toolbox v.20151127, and signalp v.4.0). 664 We performed three quality controls on genomic sequences of Australian and outgroup 665 datasets (see Supplementary Note). A total of 10 E. coli draft genomes and one genome 666 from clade V failed at least one of these tests and were removed from further analysis, 667 leading to a final dataset of 1,294 Australian E. coli genomes and 87 outgroup genomes. The 668 main characteristics of each draft genome are reported in Supplementary Dataset1.

669

E. coli typing. *Phylogroup*. The phylogroup of each *E. coli* genome (from ECOR, RefSeq,
and Australian datasets) was determined using the *in silico* ClermonTyping method²⁰. *Multilocus sequence typing* (*MLST*). Sequence type (ST) was identified by the MLST
scheme of Achtman¹⁰ using mlst v.2.16.1 (https://github.com/tseemann/mlst). We assigned
STs for a large majority of genomes, i.e., for 99%, 96% and 97% of the ECOR, RefSeq and
Australian genomes resp. *Serotype*. Serotype (O- and H-genotypes) was inferred with the

EcOH database⁹⁵ using ABRicate v.0.8.10 (<u>https://github.com/tseemann/abricate</u>). Currently
there are 220 *E. coli* O-groups and 53 H-types described in this database. While 99% of
Australian genomes had H-group assigned, only 57% had O-group assigned even if *wzm/wzt*and *wzx/wzy* genes are present. All these results are reported in Supplementary Dataset1.

Nucleotide diversity. The *nucleotide diversity* of the three datasets, *i.e.*, ECOR, RefSeq and Australian, was computed from the multiple alignments of 112 core gene families present in all *E. coli* genomes of these three datasets, (see below), using the diversity.stats function from the *PopGenome* v.2.6.1 R package⁹⁶. We also used these 112 core gene families to assess the nucleotide diversity for each phylogroup of the Australian dataset.

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687 **ST and O:H diversity.** The **Shannon index** was computed to assess the diversity of ST and 688 O:H serotypes within each phylogroup and source. For this, we calculated their relative 689 frequency in each group and then applied the function skbio.diversity.alpha_diversity from 690 the *skbio.diversity* v.0.4.1 python package (http://scikit-bio.org/docs/0.4.1/diversity.html).

691

Mash distances (M). Genome similarity. Due to the high cost of computing ANI⁹⁷ via 692 693 whole-genome alignment, we estimated genome similarity calculating the pairwise Mash 694 distance (M) between all Australian genomes using Mash v.2.0⁹⁸. Importantly, the correlation 695 between the Mash distances (M) and ANI in the range of 90-100% has been shown to be very strong, with M \approx 1-(ANI/100)⁹⁸. All the resulting Mash distances between *E. coli* 696 697 genomes are well below 0.05, in agreement with the assumption that they all belong to the 698 same species. The median is 0.027 and the maximal value is 0.04 (Supplementary Fig. 3). 699 Australian E. coli reference genomes. The Mash distance was strongly correlated to the 700 patristic distance in our dataset (spearman's rho=0.92, P<10⁻⁴). We used it to select 100 701 Australian E. coli strains representative of the species' diversity (called hereafter reference 702 genomes). Such reference genomes were used to root the Australian E. coli tree (to 703 drastically reduce the computational time required to build the rooted tree). To select representative genomes, we performed a hierarchical WPGMA clustering from the Mash
distance matrix computed with all Australian *E. coli* genomes, and then we cut it off to have
only 100 clusters. In each of these clusters, the genome with the smallest L90 was selected.
This *reference* dataset contained all the phylogroups and was composed of: 15-A, 10-B1, 13E, 39-D, 11-F, 10-B2 and 2-G genomes.

709

710 Identification of pan-genomes: Pan-genomes are the full complement of genes in the 711 species (or dataset, or phylogroup) and were built by clustering homologous proteins into 712 families. We determined the lists of putative homologs between pairs of genomes with 713 MMseqs2 v.3.0⁹⁹ by keeping only hits with at least 80% identity and an alignment covering at 714 least 80% of both proteins. Homologs proteins were then clustered by single-linkage¹⁰⁰. We 715 computed independently the pan-genome of each dataset, *i.e.*, ECOR, RefSeq, Australian 716 and of the 87 outgroups with the 100 Australian E. coli reference genomes. Each pan-717 genome was then used to compute a matrix of presence-absence of gene families. Hence, 718 gene copy number variations were not taken into account in this part of the study. The alpha exponent of Heap's Law was used to infer whether a pan-genome is open or closed⁴⁶. Thus, 719 720 if α (alpha) < = 1, the pan-genome is open. In contrast, α (alpha) > 1 represents a closed 721 pan-genome. This coefficient was computed using the heaps function of the micropan v.1.2 722 R package¹⁰¹ with n.perm = 1000. Principal component decomposition of the Australian pan-723 genome, *i.e.*, the matrix of presence-absence of protein families was computed using the 724 prcomp function from the stats v.3.5.0 R package.

The pan-genome of each phylogroup and source was taken from the pan-genome of the species. The pan-genome of the MGE (called **Pan-MGE**) was also taken from the species pan-genome and contained only genes encoding for MGEs.

728

Rarefaction of pan-genomes: The number of singletons was strongly correlated to the number of genomes analyzed in each phylogroup (Pearson's correlation = 0.97, P< 10^{-4}),

indicating that the pan-genomes size depend on the number of genomes analyzed. Thus, to compare genetic diversity across datasets (e.g. phylogroups), we rarefied the genome datasets, *i.e.*, each pan-genome was constructed with the same number of genomes in each comparison. To do this, 1,000 subsets of X genomes (X depending on the analysis, specified in the results section) were randomly selected for comparison in each group, resulting to datasets called hereafter *rarefied* datasets (Supplementary Fig. 8).

737

738 Identification of persistent-genomes: Gene families that are persistent were taken from 739 the analysis of pan-genomes. A gene family was considered as persistent when it was 740 present in a single copy in at least 99% of the genomes. We found 2,486 persistent gene 741 families when considering the 1,294 Australian genomes, representing 52% of the average 742 genome.

743

Identification of core-genome: The core genome was taken from the analysis of the pangenome. A gene family was considered as core if it is present in one single copy in all the genomes. To assess the nucleotide diversity, we built a core-genome with all the genomes of the ECOR, RefSeq, and Australian datasets. It was composed of 112 core gene families. Each gene family was aligned with mafft v.7.222 (using FFT-NS-2 method)¹⁰², and used to compute the average nucleotide diversity (π) in each dataset and within each phylogroup (see above).

751

Functional assignment of the pan-genome: Gene functional assignment was performed by searching for protein similarity with hmmsearch from HMMer suite v.3.1b2^{103,104} on the bactNOG subset of the EggNOG v.4.5.1 database⁴⁷. We have kept hits with an e-value lower than 10⁻⁵, a minimum alignment coverage of 50% of the protein profile, and when the majority (>50%) of non-supervised orthologous groups (NOGs) attributed to a given gene family pertained to the same functional group (category). The gene families that cannot be classified into any existing EggNOG clusters were grouped into the "unknown" category. Hits corresponding to poorly characterized or unknown functional EggNOG clusters were groupedinto the "poorly characterized" category.

761

762 Phylogenetic analyses: We built a rooted phylogeny of the species in two steps. The 763 phylogenetic species tree of Australian E. coli was reconstructed from the concatenated 764 alignments of the 2,486 persistent proteins of the 1,294 Australian E. coli strains. Each of 765 these protein families was aligned with mafft v.7.222 (using FFT-NS-2 method)¹⁰². At this 766 evolutionary distance the DNA sequences provide more phylogenetic signal than protein 767 sequences. Hence, we back-translated the alignments to DNA, as is standard usage. We 768 built phylogenies from persistent genomes to avoid the loss of signal associated with the 769 small core genomes. When a genome lacked a member of a persistent gene family, or when 770 it had more than one member, we added a stretch of gaps ('-') of same length as the other 771 genes for it in the multiple back-translated alignments. Adding a few "-" has little impact on phylogeny reconstruction¹⁰⁵. We have not removed recombination tracts from the multiple 772 773 alignment because this has been shown to amplify errors in determining phylogenetic distances and it usually does not affect the topology of the tree^{106,107}. If determination of the 774 775 recombination was accurate in our >1.300 genomes dataset, this would have led to the 776 exclusion of almost all the genes. The length of the resulting alignment for the species was 2,298,168 bp. Each tree was computed with IQ-TREE multicore v.1.6.7¹⁰⁸ under the 777 778 GTR+F+I+G4 model. This model gave the lowest Bayesian Information Criterion (BIC) 779 among all models available (option -m TEST in IQ-TREE). We made 1,000 ultra-fast 780 bootstraps to evaluate node support (options -bb 1000 -wbtl in IQ-TREE) and to assess the 781 robustness of the topology of each tree¹⁰⁹.

The phylogenetic tree of *Escherichia* genus was inferred from the persistent-genome obtained with the 87 outgroup genomes and the 100 *E. coli* reference genomes (see above) using the same procedure as the species tree. In this case, the persistent-genome is composed of 1,589 proteins families, and the resulting alignment of 1,469,523 bp. The genus phylogenetic tree was extremely well supported: all nodes had bootstrap support higher than 32 95%. Its topology was consistent with a previous study¹¹⁰ (Supplementary Fig. 3c). Then, we
used it to precisely root the species tree (Supplementary Fig. 3d).

789 The most recent common ancestor of each phylogroup: We identified the node 790 corresponding to the most recent common ancestor (MRCA) for each phylogroup from the 791 rooted species tree using the *findMRCA* function from the *phytools* v.0.6.44 R package. Then, 792 the subtree of each phylogroup was extracted using the extract.clade from the ape v.5.2 R 793 package¹¹¹. The distance to the MRCA was computed from the length of branches in each 794 subtree. It corresponds to the average depth (distance from the MRCA) of all genomes (tips) 795 within a phylogroup, and was inferred using the *depthTips* from the *phylobase* v.0.8.6 R 796 package (https://github.com/fmichonneau/phylobase).

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798 Evolutionary Distances: For each pair of genomes, we computed a number of measures of 799 similarity : 1) The Patristic distance was computed from the length of branches in the 800 Australian E. coli species phylogenetic tree. The patristic distance is simply the sum of the 801 lengths of the branches that link two genomes (tips) in the tree, and was inferred using the 802 *cophenetic* function from the ape v.5.2 R package¹¹¹. They were computed between all pairs 803 of genomes, of the same ST (*intra-ST*), of different ST (inter-ST) within identical phylogroup, 804 or of different phylogroups (Inter-phylogroup). As expected, we found that the intra-805 phylogroup (both intra-ST and inter-ST) patristic distances were significantly shorter than the 806 inter-phylogroup (Wilcoxon test, P<10⁻⁴). 2) The Gene Repertoire Relatedness index (GRR) 807 between two genomes was defined as the number of common gene families (the intersection) 808 divided by the number of genes in the smallest genome¹¹². It is close to 100% if the gene 809 repertoires are very similar (or one is a subset of the other) and lower otherwise. 3) The 810 Manhattan index between two genomes is the number of different gene families. If two 811 genomes have identical gene content, the corresponding Manhattan index is 0. 4) The 812 Jaccard index between two genomes was defined as the number of common gene families 813 (the intersection) divided by the number of gene families in both (the union). The Jaccard 814 index between two genomes describes their degree of overlap with respect to gene family 33

content. If the Jaccard distance is 1, the two genomes contain identical protein families. If it is0 the two genomes are non-overlapping.

To characterize the genetic diversification of each phylogroup of the Australian dataset, we computed the three different standard indexes: the GRR, the Jaccard, and the Manhattan indexes. All these indexes were highly correlated (Supplementary Fig. 9). Thus, only analyses with GRR were reported and illustrated in the main text. Note that we always used the matrix of presence/absence of gene families to compute all these indexes, meaning that multiple occurrences were not considered. This downplays the impact of IS on pan-genome size and makes more conservative estimates of GRR divergence.

824

825 Reconstruction of the evolution of gene repertoires: We assessed the evolutionary 826 dynamics of gene repertoires of the Australian genomes using Count (downloaded in January 2018)¹¹³ with the Wagner parsimony method. Due to the size of our dataset it was 827 828 not possible to do the analysis using birth-death models, but our previous analyses revealed 829 very few differences between the two methods in smaller datasets¹¹⁴. Wagner parsimony 830 penalizes the loss and gain of individual family members (with relative penalty of gain with 831 respect to loss of 1, option g = 1), and infers the history with the minimum penalty. Thus, 832 from the pan-genome, *i.e.*, the matrix of presence-absence of gene families, and the rooted 833 species tree, Count inferred the most parsimonious gain/loss scenario of each gene family 834 along the tree. At each tree node, Count detailed information about individual families: 835 presence/absence, and family events on the edge leading to the node. Hence, we have 836 reconstructed the gene content of ancestral genome at each node. At each terminal branch, 837 the expected total number of recent acquisitions (HGT) was computed by summing all family-838 specific gene gains obtained from the edge leading to the tip. Among them, we identified 839 MGE associated genes that were recently acquired in each genome. We applied a similar 840 strategy to identify recent losses.

841

842 Distribution of accessory families across phylogroups (or sources): We counted the 843 number of MGE-associated gene families across phylogroups (Fig. 3d) or sources 844 (Supplementary Fig. 15). We excluded the singletons from this analysis to avoid over-845 estimation of the number of families specific to one category. To test if some categories over-846 represented or under-represented these genes, we made 1,000 simulations. In each 847 simulation, we shuffled the phylogroup (or source) assignment of the genomes while keeping 848 the same number of taxa in each category (phylogroups or sources). Thus, the presence of a 849 gene family in a genome and its frequency in the pan-genome remains the same, only the 850 phylogroup (or the source) of genomes changes. The Z-score obtained for the observed 851 number in the real data with respect to the random distribution (from 1,000 simulations) was 852 reported for each case with a color code ranging from blue (under-representation, Z-score-853 1.96) to red (over-representation, Z-score>1.96).

854

855 Recent co-occurrence of gains (co-gains) of gene families within phylogroups.

856 We counted the number of recently acquired gene pairs (co-gains) from the same pan-857 genome gene family (see above) within and between phylogroups. Recently acquired genes 858 were defined as those inferred as acquired in terminal branches using Count. To test if some 859 phylogroups over-represented or under-represented these co-gains, we compared the observed number (O) within each phylogroup to the expectation (E) given by 1,000 860 861 simulations. In each simulation, we shuffle the phylogroup assignment of the taxa (same 862 approach as for the accessory gene families) and count the number of co-gains within and 863 between phylogroups. For each phylogroup, we then divided the number observed in the real 864 data (O) by the average number observed in the simulations (E), and computed the Z-score 865 of the observed number (O) with respect to the random distribution (E). We considered an 866 over(under)-representation significant when Z-score>1.96 (Z-score<-1.96). Note that the O 867 and E numbers had to be previously normalized (divided by the total number of gene pairs, 868 i.e. the sum of pairs within and between phylogroups, in the real data, and in each simulation, resp.). We applied the same approach (i) considering only gene pairs encoding

for MGEs (similar result as in Fig. 3), (ii) for sources (instead of phylogroups, Fig. 4).

871

872 Network of co-occurrence of gains (co-gains) of gene families across phylogroups.

All co-gains (see above) were split into all possible combinations of phylogroup pairs (21 873 874 combinations). To test if these co-gains are over- or under-represented between 875 phylogroups, we compared the observed number (O) between each phylogroup to the 876 expectation (E) given by 1,000 simulations with the same strategy as above. As before, we 877 normalized the observed and expected numbers by the total number of co-gains in each 878 simulation, calculated the (O/E) ratio, and the Z-score of each observed value in the real data 879 with respect to the random distribution (E). The network was drawn using the igraph v.1.2.2 880 R package (https://igraph.org/r/) with the circle layout option, where nodes are phylogroups, 881 edges are (O/E) values for which the Z-score is significantly different from zero. The width of 882 the edges is proportional to the (O/E) value and the color is blue for under- and red for over-883 representation (Fig. 3f). We applied the same approach considering only gene pairs 884 encoding for MGEs (Supplementary Fig. 16).

885

886 Gene family diversity: We computed Shannon indexes to assess the diversity of each gene 887 family recently acquired (terminal branches) across phylogroups and across sources (Fig. 888 4e). If diversity is low, this means that acquisitions are clustered by phylogroup or source 889 (depending on the analysis). For this, we calculated the relative frequency of each gene 890 family recently acquired within each phylogroup (vs. each source). It is simply the number of 891 genomes (within a phylogroup) with at least one acquisition divided by the total number of 892 genomes in the phylogroup. We therefore obtained 2 vectors per gene family (one for 893 phylogroups and one for sources) each containing 7 frequencies (for each phylogroup or 894 each source) and then applied for each vector the function diversity from the vegan v.2.4.6 R 895 package (https://github.com/vegandevs/vegan). If the index is 0, recent acquisitions of genes

of the family are limited to a single group (phylogroup or source). The higher the index, the

- 897 more scattered the acquisitions of the family's genes are (across phylogroups or sources).
- 898

899 Statistics: All basic statistics were performed using R v 3.5.0, or JMP-13. (i) Analysis of 900 means: We used **ANOM** to compare group means to the overall mean, when the data were approximately normally distributed. In cases where the data were clearly non-Gaussian and 901 902 could not be transformed, we used the nonparametric version of the ANOM analysis, i.e., 903 ANOM with Transformed Ranks. It compares each group's mean transformed rank to the 904 overall mean transformed rank. In both, we used the methods implemented in JMP-13. (ii) 905 Pairwise Wilcoxon Rank Sum Tests were computed using the pairwise.wilcox.test function 906 from the stats v.3.5.0 R package. We used the Bonferroni correction during multiple 907 comparison testing. (iii) Fisher's exact tests were computed using the *fisher.test* function 908 from stats v.3.5.0 R package. They were performed for testing the null of independence of 909 rows (phylogroups) and columns (sources) in a 2x2 contingency table. (iv) Correlation 910 coefficients. Pearson's and Spearman's rank correlation rho were computed using the cor 911 function from stats v.3.5.0 R package. The correlation matrices were represented using the 912 corrplot v.0.84 R package (https://cran.r-project.org/web/packages/corrplot/index.html). (v) 913 **Smooth regression**: We used the generalized additive model (gam) smoothing method from 914 the mgcv v.1.8.23 R package (https://cran.r-project.org/web/packages/mgcv/index.html). (vi) 915 Stepwise multiple regressions were computed with JMP-13. This standard statistical 916 method consists in a stepwise integration of the different variables in the regression by 917 decreasing order of contribution to the explanation of the variance of the data¹¹⁵. We used 918 the forward algorithm and the BIC criterion for model choice in the multiple stepwise 919 regressions. The P-values associated with each variable were assessed using an F-test.

920

921 Identification of Mobile Genetic Elements (MGEs): Prophages: Prophages were
 922 predicted using VirSorter v.1.0.3⁵² with the RefSeqABVir database in all genomes from
 923 Australian and RefSeq datasets, as a control. The least confident predictions, *i.e.*, categories
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924 3 and 6, were excluded from the analyses in both datasets. The prophage-associated 925 regions in drafts are more numerous and shorter than in complete genomes (Supplementary 926 Fig. 11). These results reveal that such regions are sometimes split in assemblies. In 927 complete genomes, the cumulative size of the prophage-associated regions (X) is highly 928 correlated with the number of prophages (Y) present in the genomes (Y=1.2923362 + 929 1.6767.10⁻⁵ X, R²=0.91, P<10⁻⁴, Supplementary Fig. 11). Hence, we used this linear equation 930 to estimate the number of prophages in drafts using the cumulated size of prophage regions 931 in the draft genomes. Plasmids: In the RefSeq dataset, all the extrachromosomal replicons 932 were considered as plasmids. In the Australian dataset, plasmid sequences were identified 933 using PlaScope v.1.3⁵³ with the database dedicated to *E. coli*. PlaScope provides a method 934 for plasmid and chromosome classification of E. coli contigs. It has the specificity to select a 935 unique assignment to each contig of a draft genome to plasmid, chromosome or unclassified. 936 The number (~16, max: 124) and size (~9 kb, max: 166 kb) of contigs predicted as plasmid 937 were highly variable (Supplementary Fig. 12) in the Australian dataset. Their size is much 938 smaller than that of the average plasmid in complete genomes (~80 kb), reflecting the split of 939 plasmids across different contigs because of the presence of repeated sequences, e.g. IS 940 elements. Hence, we have not attempted to estimate the exact number of plasmids per 941 genome and focus our analysis on the number of genes predicted to be in plasmid contigs. 942 MGEs (Plasmids + Prophages): We found 11,864 gene families specifically related to 943 plasmid elements, 14,188 to prophage elements, and 2,599 shared by both (9% of the MGEs 944 gene families). In complete genomes, prophage and plasmids elements account for half of 945 the pan-genome, of which 1 third were singletons. The large fraction of singletons from 946 MGEs confirms that these elements are extremely diverse and evolved very rapidly, which 947 underlines the difficulty of accurately detecting them and probably leads to their under-948 estimation in draft genomes. Loci encoding conjugative or mobilizable elements were 949 detected with the CONJscan module of MacSyFinder¹¹⁶, using protein profiles and definitions following a previous work^{54,117}. 87% of conjugative systems and 75% of putative mobilizable 950

951 elements were located on contigs predicted as plasmids by Plascope. Integrons were 952 identified using IntegronFinder v.1.5 with the –local max option⁵⁷. 186 integron-integrase (*intl*) 953 were detected with one quarter located at the edges of contigs. We only found one copy per 954 genome. They were often located on very short contigs (20 proteins on average), and five 955 make all the contigs. Most (86%) were located on contigs predicted as plasmid by Plascope, 956 the remaining were on unclassified contigs. Except for the latter, intl genes were always located next to ARGs. **IS elements** were identified using ISfinder⁵⁶. Only hits with an e-value 957 958 lower than 10⁻¹⁰, a minimum alignment coverage of 50% and with at least 70% identity were 959 selected, we extracted the IS name of the best hit. Therefore, we identified 47,592 genes 960 encoded for IS elements, among them 43% were located at the edges of contigs 961 (20,329/47,592). They represented 1,006 gene families (~1% of the pan-genome), of which 962 41% were singletons. Only 13% were multigenic protein families (i.e., with more than one 963 member in at least one genome). Among them, 9 protein families were found in more than 10 964 copies in at least one genome, i.e., ISEc1 (10 copies), IS1397 (11), ISSoEn2 (11), IS621 (11), 965 IS2 (15), IS629 (17), IS200C (17) IS1203 (18), and the most extreme case IS1F (107). Very 966 large numbers of ISs, usually a sign of recent proliferation, was restricted to a small number 967 of genomes (Supplementary Dataset1), but this may be an under-estimate caused by the 968 loss of ISs in the assembling process. ISs were often fragmented, characterized by 969 numerous singletons, and six times more frequently present at the edges of contigs than 970 expected by chance. All the results are reported in Supplementary Dataset1.

971

Antibiotic resistance genes (ARG) were detected using 2 curated databases of antibiotic resistance protein: Resfinder v.3.1¹¹⁸ and ARG-ANNOT v.3¹¹⁹. Therefore, we used BlastP and selected the hits with an e-value lower than 10^{-5} , with at least 90% of identity and a minimum alignment coverage of 50%. We found a strong positive correlation between the number of ARGs per genome using each database (pearson's r=0.97, P<10⁻⁴). The main difference is the additional detection of three ARGs by ARG-ANNOT, i.e., AmpC2, AmpH, 978 Mfd, which are persistent in Australian dataset and normally do not confer antibiotic 979 resistance in *E. coli*. All the results are reported in Supplementary Dataset1.

980

Virulence factors (VF) were identified using VFDB (downloaded in February 2018, ¹²⁰). The two databases, *i.e.*, VFDB_setA and VFDB_setB were used independently. We used BlastP and selected the hits with an e-value lower than 10^{-5} , at least 70% of identity and minimum alignment coverage of 50%. We found 1,332 (vs. 3481) gene families encoding virulence factors with the setA (vs. setB). In spite of these differences, we found qualitatively similar conclusion with the 2 sets because they are very correlated (pearson's r=0.97, P<10⁻⁴). All the results are reported in Supplementary Dataset1.

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995 Author Contributions

D.G., B.V., S.B., and CL.B. collected, sequenced and assembled the Australian isolates. A.P.
annotated the genomes and deposited them into ENA. M.T., E.D., D.G., and EPC.R.
designed the research. M.T. managed the project and made most of the computational
analyses. JAM.S. performed computational analyses. M.T and EPC.R analyzed the data.
M.T. and EPC.R. wrote the manuscript with input from other co-authors.

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1001 Competing Interests Statement

1002 No conflict of interest.

1003 Data accessibility

- 1004 Data deposition: Genome assemblies have been deposited into the European Nucleotide
- 1005 Archive (ENA) at EMBL-EBI under accession number PRJEB34791.
- 1006 This article contains supporting information online at url :
- 1007

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