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High diversity of invasive *Haemophilus influenzae* isolates in France and the emergence of resistance to third generation cephalosporins by alteration of *ftsI* gene.

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Running title: invasive *Haemophilus influenzae* infections

1 **Abstract**

2 **Background**

3 Invasive infections due to *Haemophilus influenzae* are infrequent following the
4 implementation of vaccination against *H. influenzae* of serotype b. However, their changing
5 epidemiology may not be clear due to a lack of appropriate genotyping methods combined
6 with antibiotic susceptibility analyses which do not discriminate invasive and non-invasive
7 isolates. We aimed to describe recent epidemiological trends of invasive *H. influenzae*
8 infections in France and explore the microbiological characteristics of invasive versus non-
9 invasive isolates.

10 **Methods**

11 All culture- and PCR-confirmed cases due to *H. influenzae* isolated from a sterile site, that
12 were received at the French national reference centre for *H. influenzae* during the year 2017
13 (n=138) were characterized by whole genome sequencing (WGS), serotyping and antibiotic
14 susceptibility testing. We also included 100 isolates that were received from non-invasive
15 infections.

16 **Findings**

17 Most of the non-invasive isolates were non-typeable (99%) and this proportion was
18 significantly less among invasive isolates 75%, $p < 0.0001$). Serotype f was the most frequently
19 observed but serotypes b and a were also present among invasive isolates. WGS analysis
20 suggested a serotype b to a capsule switching event. Non-typeable isolates showed extensive
21 heterogeneity. Antibiotic susceptibility testing indicated that 24% of the invasive isolates
22 were resistant to ampicillin but this percentage was significantly higher (51%, $p < 0.001$)
23 among the non-invasive isolates. Moreover, the proportion of beta-lactamase negative
24 ampicillin resistant isolates (BLNAR) was significantly higher among non-invasive isolates

25 compared to that of invasive isolates (24% versus 7%, $p < 0.001$). BLNAR isolates were linked
26 to modification in the *ftsI* gene encoding the penicillin binding protein 3 (PBP3). In particular,
27 *ftsI* alleles that harboured the mutations D350N, S357N, M377I and S385T were resistant to
28 ampicillin and third generation cephalosporins. These isolates were more frequent among
29 non-invasive isolates.

30 **Interpretation**

31 Our data suggest that invasive *H. influenzae* isolates differed phenotypically and
32 genotypically from non-invasive isolates. The high proportion of ampicillin resistance by
33 mutation in *ftsI* among non-invasive isolates may suggest a biological cost of these mutations
34 on the function of PBP3 that can lead to lower bacterial invasiveness. WGS should be used
35 routinely for the characterization of *H. influenzae* isolates in order to reliably follow the
36 emergence, spread and mechanism of antibiotic resistance.

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52 **Introduction**

53 *Haemophilus influenzae* (Hi) is a coccobacillus Gram negative bacterium that is most
54 frequently encountered at the mucosal surfaces in humans (mainly respiratory pathways). Hi
55 can provoke local infections at these mucosal surfaces such as otitis media, conjunctivitis,
56 sinusitis and also genitourinary infections (1). Moreover, invasive *H. influenzae* invasive
57 disease (IHiD) occurs when Hi invade the bloodstream and then spread to other distal organs.
58 In Europe, the usual forms of IHiD are septicaemia. However, meningitis and more rarely,
59 invasive pneumonia, epiglottitis, arthritis/osteomyelitis are reported (2-4).

60 Hi isolates are divided into two broad categories: encapsulated and **non-encapsulated** isolates.
61 Capsule structure and antigenicity determine the serotype. Of the six identified serotypes (a to
62 f), Hi of serotype b (Hib) is the most pathogenic in humans, affecting mainly infants and
63 young children. **The introduction of the serotype b conjugate vaccine in the 1990s resulted in**
64 **a considerable decline in the incidence of invasive Hib infections and reduced circulation of**
65 **Hib isolates. The other serotypes (a, c, d, e and f) are less frequently associated with IHiD**
66 **although serotype a is emerging in the USA and Canada (5, 6). Non-encapsulated** strains also
67 called "non-typeable" Hi (NTHi), cause usually non-invasive infections of the upper
68 respiratory tract, but can also be responsible for invasive infections (7). Asymptomatic
69 carriage of NTHi strains in healthy children under 5 years of age is common (27.7% with a
70 variation between 73.2% in winter and 26.8% in summer) (8). Carriage of encapsulated
71 strains is rarer especially carriage of Hib in countries where Hib vaccination is included into
72 national immunization schedules (9). In Europe, the total number of cases of IHiD was 2799
73 in 2014 (61% with a known serotype) with a trend to increasing numbers of reported cases
74 from 2010 to 2014 (3, 4). The incidence is higher in infants (4 cases for 100,000) and in older
75 adults (1.7 cases for 100,000).

76 In Europe in 2014, 6% of the cases with a known serotype were Hib, of which 57% were aged
77 25 years and older. **Non-encapsulated** strains caused the majority of cases in all age groups
78 (82% of all cases for which serotyping results were available). Serotype f caused 9% of all
79 cases and 72% of cases among non-B serotypes (serotypes a, c, d, e, and f) (4). The increase
80 in the incidence of IHiD that is observed in Europe appears to be due to the increase in
81 invasive NTHi and Hi infections in individuals over 60 years of age. These patients are not
82 immunocompromized and yet they develop severe infections (10). Recent data suggest
83 increasing virulence of these NTHi isolates as well as the acquisition of resistance to several
84 antibiotics. In particular, resistance to beta-lactams seems to be driven by both the acquisition
85 of a beta-lactamase and/or acquisition of well characterized mutations in the penicillin-
86 binding-protein 3 (PBP3 encoded by the *ftsI* gene) (11). However, these isolates remained
87 most frequently susceptible to third-generation cephalosporins. Resistance to third-generation
88 cephalosporins was reported and suggested to be due to the acquisition of TEM-15 beta-
89 lactamase, but the role of mutations in PBP3 is still unclear (12). Our aim was to screen all Hi
90 isolates received at the French National Reference Centre for meningococci and *Haemophilus*
91 *influenzae* during the year 2017 and characterize resistance to third-generation cephalosporins
92 by whole genome sequencing.

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102 **Methods**

103 **Culture conditions and identification of *H. influenzae*.**

104 IHiD reporting is not mandatory in France and sentinel surveillance is part of surveillance for
105 invasive bacterial infections. IHiD is defined by the detection of Hi in a sterile site (such as
106 blood, cerebrospinal fluid (CSF), or synovial fluid) by culture and/or PCR. Isolates that
107 are identified as *H. influenzae* by hospitals can be sent to the National Reference Centre for
108 meningococci and *Haemophilus influenzae* (NRCMHi) at the Institut Pasteur, Paris for further
109 typing. Isolates were cultured on chocolate agar plates (BioMérieux, Marcy l'Etoile, France)
110 and identification was confirmed based on the requirement for heme and NAD for growth on
111 ordinary medium. In addition, a molecular identification algorithm was set up on the basis of
112 PCR-amplification of four common genes (*hpd*, *ompP2*, *bexA*, *fucK*,) (13). PCR-based
113 prediction of serotypes a, b, c, d, e and f was also performed for each strain (14). A typeable
114 isolate was identified as positive by PCR for the five common genes and one of the serotype-
115 specific genes. Non-typeable isolates were identified as positive by PCR for four of the
116 common genes and negative for *bexA* gene and all of the serotype-specific PCRs (14). Real-
117 time PCR was used to confirm the diagnosis of IHiD by the detection of the *hpd* gene and the
118 specific gene for the six serotypes for non-culture cases (15). All of the primers used in this
119 study are listed in the Supplementary Table 1

120 **Antibiotic susceptibility testing**

121 Susceptibility and resistance to antibiotics was performed using MHF agar plates (BioRad,
122 Marnes-la-Coquette, France) and Etest strips (BioMérieux, Marcy l'Etoile, France). Critical
123 values (breakpoints) were set according to the recommendation of the EU-CAST. Beta-
124 lactams tested were ampicillin, amoxicillin+clavulanic acid and third generation
125 cephalosporins (cefotaxim). Susceptibility to meropenem was occasionally performed upon
126 the detection of resistance to cefotaxim.

127 **Whole genome sequencing**

128 WGS was performed systematically on all invasive cultured isolates received at the
129 NRCMHi. Genomic DNA was extracted with the MagNA Pure 96 system (Roche Molecular
130 System, Pleasanton, USA). Library preparation were performed with the Nextera[®] XT DNA
131 library Preparation Kit (Illumina, San Diego, USA) and whole genome sequencing was
132 processed with Illumina technology (NextSeq 500, Illumina) with paired-end strands of 150
133 bp and a sequencing depth of 50X. All *de novo* assemblies were generated using SPAdes 3.10
134 (CAB, St. Petersburg State University, Russia). Sequences are available through the
135 PubMLST [https://pubmlst.org/hinfluenzae/_database_\(16\).](https://pubmlst.org/hinfluenzae/_database_(16).) WGS data were used to infer
136 sequence types (ST) according to the Multilocus Sequence Typing (MLST) scheme (17)
137 WGS data were also analysed using a “gene-by-gene” approach using the annotated reference
138 strain (Rd KW20) on the Bacterial Isolate Genome Sequence Database (BIGSdb) platform on
139 PubMLST (18). SplitsTree4 (version 4.14.6) was used to visualise the resulting distance
140 matrices as Neighbour-net networks. (19) GrapeTree was also drawn on the basis of
141 comparisons of allelic profiles for the isolates with complete MLST data (20). IDs of all these
142 isolates are given in the supplementary Table 2 to allow retrieving of WGS sequences in
143 FASTA formats as well as the ENA accession numbers (project accession N° PRJEB28646).

144 **Molecular analysis of the *ftsI* gene**

145 Sequences of a 621-bp fragment of *ftsI* gene (encoding the PBP3), corresponding to
146 nucleotides 977-1597 relative to the *ftsI* start codon, were used to assign alleles for the
147 corresponding isolates through the PubMLST database and analysed through the tools
148 available on the BIGSdb platform. Sequences were aligned using Multiple Sequence
149 Alignment by CLUSTALW and sequences differing by at least one nucleotide were assigned

150 a unique *ftsI* allele sequence number. Phylogenetic networks were generated using SplitsTree
151 (version 4.14.6) (www.splitstree.org) with default parameters (19).

152 **Data analysis**

153 Proportions were compared using Chi-square test or Fisher exact test using the threshold of p -
154 value < 0.05 as statistically significant.

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170 **Results**

171 **Description of the isolates**

172 During the year 2017, the NRCMHi received a total of 236 Hi cultured isolates in addition to
173 2 CSF that were confirmed only by PCR. There were 100 cultured isolates from non-invasive
174 sites of which (88%100, 88%) isolates were from respiratory sites (including nasopharynx,
175 sinus, ear and conjunctiva) and 12 isolates were from genito-urinary sites (most frequently
176 vagina). Most of these non-invasive isolates (74/100; 74%) were from adults. All the non-
177 invasive isolates were non-typeable except one isolate of serotype f.

178 There were 138 invasive cases (136 invasive cultured isolates and 2 non-culture PCR-
179 confirmed cases) that were mainly confirmed from blood (96/138; 70%) and CSF (36/138;
180 26%). The remaining isolates (6/138; 4%) were from synovial fluid, peritoneal fluid and
181 organ biopsies. Most of the cases (84/138; 61%) were among children <5 years of age
182 (40/138; 29%) and among adults > 64 years (44/138; 32%). The male-to-female ratio was
183 1.3:1. Age and serotype distributions of invasive isolates are depicted in Fig.1. Most of the
184 cases corresponded to non-typeable isolates (103/138; 75%) and 35/148 invasive cases were
185 typeable (25%, $p < 0.0001$ when compared to 1% of the non-invasive isolates). Detected
186 serotypes were serotype a (Hia $n=7$ mainly among children <5 years) serotype b (Hib $n=10$),
187 serotype d (Hid $n=1$), serotype e (Hie $n=5$ of which 1 case was PCR-confirmed only) and
188 serotype f (Hif $n=12$). Serotypeable isolates were more likely to be detected under the age of
189 5 years than other age groups (17/40; 43% versus 10/44 23%; $p=0.018$).

190 **Antibiotic susceptibility testing**

191 Among the 136 invasive cultured isolates, (104/136; 76%) were beta lactamase negative
192 ampicillin susceptible (BLNAS), (23/136; 17%) were beta lactamase positive ampicillin
193 resistant (BLPAR) and (9/136; 7%) were beta lactamase negative ampicillin resistant

194 (BLNAR) (Table 1). Invasive typeable isolates were more frequent among BLNAS isolates
195 (30/104; 29% of all BLNAS invasive isolates were typeable) than BLPAR or BLNAR (4/32;
196 13% of all BLPAR and BLNAR invasive isolates were typeable) although this difference was
197 not significant. It is noteworthy that none of the invasive typeable isolates were BLNAR and
198 all the 4 ampicillin resistant typeable isolates (2 Hib and 2 Hie) were BLPAR (Table 1). Of
199 interest, 8 invasive isolates were resistant to cefotaxim (MIC >0.125 mg/L). These isolates
200 were BLNAS (n=2), BLPAR (n=2) and BLNAR (n=4). They were all nontypeable except two
201 isolates of serotype b (BLPAR) and e (BLNAS).

202 Antibiotic susceptibility testing showed that only 49 of the non-invasive isolates were
203 susceptible to ampicillin with no detection of beta lactamase (BLNAS). However, 51 of the
204 non-invasive isolates were resistant to ampicillin (MIC>1 mg/L). The proportion of ampicillin
205 resistant isolates was significantly higher among non-invasive isolates compared to invasive
206 isolates (51/100; 51% versus 32/136; 24% respectively, p<0.001). Among the 51 ampicillin
207 resistant non-invasive isolates, 27 isolates produced detectable beta-lactamase (BLPAR) and
208 24 did not (BLNAR). All these ampicillin resistant non-invasive isolates were NTHi while the
209 serotype f non-invasive isolate was susceptible to all beta-lactams tested. Of interest, 29 non-
210 invasive isolates were resistant to cefotaxim (MIC >0.125 mg/L). These isolates were BLNAS
211 (n=4), BLPAR (n=9) and BLNAR (n=16). The proportions of BLNAS and BLNAR, but not
212 that of BLPAR, differed significantly between the non-invasive and invasive isolates
213 (p=0.0111, p=0.0002 and p=0.1683 respectively). Serotyping and antibiotic susceptibility
214 testing clearly suggest that invasive and non-invasive isolates differed significantly.

215 **Genomic analysis of the invasive isolates**

216 We next focused on the genetic typing of the isolates. Whole genome sequences (Illumina)
217 were obtained for 130 of the 136 invasive cultured isolates (96%) and complete MLST
218 schemes were retrieved for (126/130 isolates; 97% of all isolates with WGS data), while

219 partial MLST profiles were obtained for the 4 other isolates. There were 73 different STs
220 identified among the 126 invasive isolates with ST-124 the most frequent (n=10) followed by
221 ST-6 (n=8) and ST-388 (n=5).

222 MLST data were also obtained for 34 of the 100 non-invasive isolates and these belonged to
223 27 different STs. GrapeTree analysis using MLST allelic profiles is depicted in Fig. 2. The ST
224 were diverse and were represented by no more than three isolates (for ST-107), which was
225 distinct to the non-invasive isolates, with only 14 STs shared with the invasive isolates (STs
226 1, 3, 12, 57, 124, 155, 159, 160, 266, 388, 396, 513, 836 and 1877) (Fig. 2). Several of these
227 “mixed” nodes were composed of only BLPAR isolates (STs 160, 388 and 836). Serotype b
228 isolates were all invasive and located in two clusters one of which containing most of the Hib
229 isolates (ST-6 and ST-95). Interestingly, one serotype a isolate was ST-6 and clustered with
230 serotype b isolates suggesting a possible b to a capsule switching event and genetic
231 recombination between serotype a and b isolates. All of the other serotype a isolates clustered
232 separately and were predominantly ST-23 (n=3). Serotype f isolates clustered together with
233 more homogeneity (Fig. 2).

234 These results suggest a lack of correlation between serotype and genetic lineage among
235 invasive isolates. These data also indicate that non-invasive isolates differed from invasive
236 isolates not only phenotypically but also by MLST.

237 We next analysed WGS data from the 130 invasive isolates using the “Genome Comparator”
238 tool on PubMLST (16) and using the serotype d strain *H. influenzae* Rd KW20 as a
239 reference (21). The neighbour network showed a highly diverse non-typeable bacterial
240 population and to a lesser extent for typeable isolates (Fig. 3). Much more resolution was
241 obtained compared to the MLST analysis as several identical sequence types were further
242 separated into different related nodes. The serotype a isolate (ST-6) was clustered with the
243 other ST-6 isolates of serotype b. Moreover, serotype a and b isolates (ST-6) (Fig. 3).

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245 **Sequence data of *ftsI***

246 A 621 bp nucleotide sequence fragment of the *ftsI* gene was extracted for 131 of the invasive
247 isolates. This segment of *ftsI* corresponds to the region between the codons 326 and 533 that
248 includes the DNA sequences encoding the three functional motifs of the PBP3: STVK, SSN,
249 and KTG that start at positions 327, 379 and 512 respectively. These sequences allowed the
250 identification of 43 different *ftsI* alleles that were named *ftsIn* where *n* is the number of the
251 allele. Frequencies of isolates harbouring these alleles varied from 1 to 20 isolates per allele
252 and are available through the link <https://pubmlst.org/hinfluenzae/> through the sequence query
253 drop menu. These 43 alleles corresponded to 21 different amino-acid sequences (Table 2).
254 The phylogenetic tree drawn by SplitsTree4 was based on the CLUSTALW DNA sequence
255 alignment of these 43 *ftsI* alleles and indicates a clustering of these alleles into 4 groups (Fig.
256 4, each group is referred by a colour). Group 1 contained the two most frequent alleles *ftsI10*
257 and *ftsI8* (20 and 15 isolates respectively). These two alleles shared 99.5% sequence identity
258 (3 polymorphic sites). Moreover, translated amino acid sequences were identical for both
259 alleles and this protein sequence was also identical for 13 other *ftsI* alleles (Fig. 4). These 15
260 alleles were present in (74/131; 56%) isolates that belonged to different genetic groups and
261 different serotypes (a, b and d as well as among non-typeable isolates). The unique amino
262 acid sequence for all these 15 alleles showed no known modification associated with non-
263 beta-lactamase mediated resistance to ampicillin. However, 14 isolates harboured a beta-
264 lactamase and were therefore (BLPAR). The remaining 60 isolates were all BLNAS with
265 MIC ranging between 0.016 and 0.5 mg/L (Table 3). All isolates were susceptible to third
266 generation cephalosporins.

267 Group 2 consisted of 11 *ftsI* alleles which clustered together on the phylogenetic tree
268 separately from Group 1. These 11 alleles corresponded to 6 unique amino-acid sequences

269 that were shared by 31 invasive isolates (31/131; 24%). Isolates were of different phenotypes
270 (non-typeable and serotypes e and f) and belonged to different STs. Five of these isolates also
271 harboured a beta-lactamase (BLPAR). The 26 isolates that were negative for the beta-
272 lactamase were also all susceptible to ampicillin (BLNAS). Indeed, the MIC of ampicillin for
273 these 26 isolates ranged from 0.032 mg/L to 0.5 mg/L (Table 3). All isolates were susceptible
274 to third generation cephalosporins. The PBP3 amino acid sequences of this group harboured
275 one of the following alterations D350N, A368T, A437S, A502T, and R517H but the alleles
276 *ftsI50* and *ftsI94* harboured two alterations (D350N, A437S and D350N, R517H) respectively.
277 However, no alleles showed alteration at the position N526.

278 Group 3 was composed of *ftsI* 10 alleles that corresponded to 8 unique amino-acid sequences
279 shared by 17 invasive isolates (17/131; 13%). Isolates were all non-typeable but belonged to
280 different STs. All of these amino-acid sequences showed a N526K alteration with other
281 variably present alterations. One isolate also harboured a beta-lactamase (BLPAR). The 16
282 other isolates that were negative for the beta-lactamase showed MIC of ampicillin ranging
283 from 0.190 mg/L to 2 mg/L (Table 3). They were BLNAS (11/16 with MIC of ampicillin
284 ranging between 0.380 and 1 mg/L) and 5/16 isolates were BLNAR (MIC of ampicillin >1
285 mg/L and ranged between 1.5 to 2 mg/L). These five isolates harboured *ftsI1*, *ftsI5*, *ftsI21* or
286 *ftsI42*. All of the 17 isolates in this group were susceptible to third generation cephalosporins
287 (Table 3).

288 Finally, group 4 was also identified on the *ftsI*-based phylogenetic tree. This group contained
289 7 highly diverse *ftsI* alleles when compared to the other alleles. These 7 alleles corresponded
290 to 6 unique amino-acid sequences that were shared by 9 invasive isolates (9/131; 7%). The
291 isolates belonged to 8 different STs and were non-typeable but two were of serotypes b or e.
292 Two of these 9 isolates were BLPAR while the 7 other isolates were BLNAS (n=3) and
293 BLNAR (n=4). All 9 isolates of this group were resistant to third generation cephalosporins

294 (MIC >0.125 mg/L) (Table 3). All these PBP3 encoded by these 7 alleles showed the
295 following four mutations (D350N, S357N, M377I and S385T) with other mutations that were
296 variably present including the N526K mutation (Table 2). These isolates were heterogeneous
297 and corresponded to several genotypes (indicated by arrows in Fig. 3).

298 We also obtained 57 *ftsI* sequences from carriage isolates that were distributed among 36
299 different *ftsI* alleles of which 17 were only found among carriage isolates. The alleles were
300 represented by no more than 4 isolates (4 isolates for *ftsI10* and *ftsI19*). The four groups
301 described among the invasive isolates were also observed among non-invasive isolates but
302 with different proportions for group 1 to group 4 that were respectively 30%, 3%, 23% and
303 44%. All the isolates were susceptible to meropenem including those that were resistant to
304 cefotaxime.

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318 **Discussion**

319 IHiD has become rare since the introduction of the conjugate vaccine against Hib. The
320 incidence in Europe is around 0.49 per 100,000 population. By extrapolation to the French
321 population we expect that the 138 IHiD that we characterized in the present work represent
322 around 40% of all IHiD cases. Age and sex distributions were similar to other European
323 countries with higher number of cases in children under five years and in adults aged 65 years
324 or older. The non-typeable isolates were the most frequent in all age groups as also reported
325 in Europe (4) .

326 Moreover, non-invasive infection mainly in the upper respiratory tract infections and otitis
327 media are frequent. Our data clearly suggest that the distribution of serotypes and antibiotic
328 susceptibility profiles were significantly different between invasive and non-invasive
329 infections. This was further strengthened by the MLST data. These observations warrant
330 separate typing and analysis of Hi isolates. Serotypeable isolates are almost exclusively
331 encountered among invasive isolates and were less diverse than non-typeable isolates as
332 suggested by MLST and WGS analysis. This is in line with previous observations by MLST
333 that suggested that the impact of recombination is greater among non-typeable than
334 encapsulated isolates explaining the high diversity of these isolates(17). Serotype f was the
335 most frequent. However, it is worthy to underline the presence of serotype a of the sequence
336 type ST-23 that was mostly among < 5 years of age as reported in Alaska and in Canada (5,
337 6). A serotype a isolate (isolated from an adult >64 years) shared the same ST (ST-6) as Hib
338 isolates and was also linked to those isolates on the basis of WGS analysis. Our data also
339 suggest frequent DNA recombination between isolates of serotypes a and b as also suggested
340 by the neighbour network on the basis of WGS analysis. Implementation of routine WGS is
341 therefore warranted to perform thorough surveillance and detect events such as the capsule
342 switching.

343 Additionally, the proportion of BLNAS isolates differed significantly between invasive and
344 non-invasive isolates (76% versus 49% p=0.011). This difference may reflect the selective
345 pressure by repeated exposure of non-invasive isolates to antibiotics in the respiratory tract.
346 However, the BLPAR did not significantly differ between the two groups of isolates while the
347 proportions of BLNAR did. Indeed, BLNAR isolates were significantly less frequently
348 encountered among invasive isolates (Table 2). BLNAR isolates usually harbour
349 modifications of *ftsI* encoding the PBP3 that is involved in the biosynthesis of peptidoglycan
350 (PG) catalysing peptide cross-linking of PG chains. PBP3 is therefore an essential protein for
351 cell division (divisome) (22). Modifications of PBP3 impact on the structure of PG that is a
352 microbe associated molecular pattern (MAMP). These observations are in favour of biological
353 cost of the modifications of *ftsI* gene encoding the PBP3 that can lead to lower bacterial
354 invasiveness. This has been suggested for the modifications of PBP2 in *Neisseria meningitidis*
355 that is also involved in PG cross-linking (23). PBP2 modifications in *N. meningitidis* is also
356 responsible for reduced susceptibility to penicillin G, a phenotype that is more frequently
357 observed among non-invasive isolates (24).

358 Our *ftsI* sequence data shed new light on the correlation of the mutations of this gene and the
359 resistance to beta-lactam antibiotics. The role of the mutations in the region close to the three
360 functional motifs of the PBP3(STVK, SSN, and KTG) needs to be evaluated among beta-
361 lactamase negative isolates. We further suggest a classification based on the correlation of the
362 amino acid sequence of PBP3 and the geometric mean of the MIC of ampicillin for isolates in
363 each of the four phylogenetic groups identified on the basis of *ftsI* sequence. Our data suggest
364 that mutations in PBP3 have a cumulated effect to raise the MIC of ampicillin. However,
365 several mutations when present alone (D350N, A368T, A437S, A520T, and R517H) or in
366 combination of 2 mutations (D350N and A437S or D350N and R517H) did not confer

367 resistance to ampicillin. Indeed our group 2 corresponds to the group 1 according to the
368 classification of Ubukata and Dabernat (25, 26).

369 Our data suggest that the mutation N526K (that was absent in the second group) is necessary
370 to confer resistance to ampicillin. The presence of other mutation (particularly A502V) was
371 not consistent. Isolates of this third group showed significant increase in their MIC of
372 ampicillin and we suggest this group to correspond to BLNAR.

373 The fourth group corresponded to isolates that all harboured the following three mutations
374 D350N, S357N and M377 and showed BLNAR phenotype that was also associated with
375 resistance to third generation cephalosporins that we suggest to be named beta lactamase
376 negative ampicillin and cephalosporin resistance isolates (BLNACR). The high proportions of
377 ampicillin resistant isolates among both invasive and non-invasive isolates (24% and 51%
378 respectively) may suggest that the use of ampicillin or amoxicillin as a first line treatment in
379 *Haemophilus* infections (e.g; acute otitis media, AOM) is not supported by the molecular and
380 phenotypic data in this study (27). Indeed, NTHi was reported to be the most frequent agent in
381 AOM after the introduction of the 13-Valent Pneumococcal Conjugate Vaccine (28).
382 However, the surveillance of these isolates and the surveillance of the emergence of the
383 resistance to third generation cephalosporins require the use of molecular tools (*ftsI*
384 sequencing) in addition to the antibiotic susceptibility testing in order to reliably characterize
385 these isolates.

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Table 1 Distribution of the invasive and non-invasive cultured isolates according to their susceptibility to ampicillin and to their serotypes.

Serotypes (invasive isolates)	a	b	d	e	f	All typeable	NT	All	MIC50	MIC90	RANGE	Geometric mean MIC	95% CI
Ampicillin susceptible (BLNAS)	7	8	1	2	12	30	74	104	0.25	0.5	0.016 - 1	0.264	0.231 - 0.302
Ampicillin resistant (All)	0	2	0	2	0	4	28	32	12	256	1.5 - 256	20.31	9.168-45.01
BLNAR	0	0	0	0	0	0	9	9	1.5	256	1.5 - 256	2.831	0.770-10.41
BLPAR	0	2	0	2	0	4	19	23	64	256	2 - 256	43.92	19.33-99.83
Serotypes (non-invasive isolates)	a	b	d	e	f	All typeable	NT	All	MIC50	MIC90	RANGE	Geometric mean MIC	95% CI
Ampicillin susceptible (BLNAS)	0	0	0	0	1	1	48	49	0.38	0.5	0.064 - 1	0.399	0.335 - 0.474
Ampicillin resistant (All)	0	0	0	0	0	0	51	51	8	256	1.5-256	16.34	9.894 - 30.01
BLNAR	0	0	0	0	0	0	24	24	2	256	1.5 - 12	2.351	1.857 -2.977
BLPAR	0	0	0	0	0	0	27	27	256	256	6 - 256	91.5	51.27 - 163

Table 2. Mutations and groups of *ftsI* (group1 in black, group2 in blue, group3 in green and group4 in red)

allele id	D350N	S357N	A368T	M377I	S385T/N	L389F	A437S	I449V	G490E	A502T/V	R517H	N526K
4 ^a	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
6 ^b	D350N	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
28	NO	NO	A368T	NO	NO	NO	NO	NO	NO	NO	NO	NO
41	NO	NO	NO	NO	NO	NO	NO	NO	NO	A502T	NO	NO
50 ^c	D350N	NO	NO	NO	NO	NO	A437S	NO	NO	NO	NO	NO
52 ^d	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	R517H	NO
94	D350N	NO	NO	NO	NO	NO	NO	NO	NO	NO	R517H	NO
5	NO	NO	NO	NO	NO	NO	NO	I449V	NO	NO	NO	N526K
20	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	N526K
1 ^e	D350N	NO	NO	M377I	NO	NO	NO	NO	NO	A502V	NO	N526K
21	NO	NO	NO	NO	NO	NO	NO	NO	NO	A502T	NO	N526K
11	D350N	NO	NO	NO	NO	NO	NO	NO	NO	A502V	NO	N526K
23	D350N	NO	NO	M377I	NO	NO	NO	NO	G490E	A502V	NO	N526K
42 ^f	NO	NO	NO	NO	NO	NO	NO	NO	G490E	A502V	NO	N526K
91	NO	NO	NO	NO	NO	NO	NO	NO	G490E	NO	NO	N526K
40	D350N	S357N	NO	M377I	S385T	L389F	NO	NO	NO	NO	NO	N526K
87	D350N	S357N	NO	M377I	S385T	L389F	NO	NO	NO	NO	NO	N526K
16	D350N	S357N	NO	M377I	S385T	L389F	NO	NO	NO	A502T	NO	N526K
19	D350N	S357N	NO	M377I	S385T	L389F	NO	NO	G490E	NO	NO	N526K
32 ^g	D350N	S357N	NO	M377I	S385T	NO	NO	NO	NO	NO	R517H	NO
26	D350N	S357N	NO	M377I	S385T	L389F	NO	NO	NO	NO	R517H	NO

^a The following alleles also shared the same amino-acid sequence as the allele 4 (8, 10, 15, 18, 27, 29,31, 35, 37, 46, 53, 59, 63 and 99).

^b The following alleles also shared the same amino-acid sequence as the allele 6 (12, 39 and 55).

^c Allele 54 shared the same amino-acid sequence as the allele 50.

^d Allele 101 shared the same amino-acid sequence as the allele 52.

^e Allele 96 shared the same amino-acid sequence as the allele 1.

^f Allele 47 shared the same amino-acid sequence as the allele 42.

^g Allele 33 shared the same amino-acid sequence as the allele 32.

Table 3. MIC of beta-lactam antibiotics according to the group of *ftsI* sequence among invasive beta lactamase negative isolates

<i>ftsI</i> groups	Antibiotics	Number of isolates	Minimum MIC	Maximum MIC	Geometric mean of MIC	95% CI of geometric mean
Group1	Ampicillin	60	0.016	0.500	0.214	0.181-0.254
Group2		26	0.032	0.500	0.270	0.220-0.332
Group3		16	0.190	2.000	0.868	0.619-1.216
Group4		7	0.500	256.0	2.192	0.302-15.92
Group1	Amoxicillin	60	0.016	1.000	0.455	0.373-0.554
Group2		26	0.094	1.000	0.520	0.423-0.640
Group3		16	0.380	6.000	1.857	1.306-2.640
Group4		7	0.750	48.00	3.394	0.775-14.85
Group1	Amoxicillin+ Clavulanic acid	60	0.016	5.000	0.461	0.373-0.571
Group2		26	0.094	1.000	0.496	0.408-0.603
Group3		16	0.750	4.000	1.719	1.303-2.268
Group4		7	0.500	256.0	3.536	0.482-25.93
Group1	Cefotaxim	60	0.002	0.047	0.0145	0.012-0.017
Group2		26	0.003	0.064	0.0255	0.020-0.032
Group3		16	0.008	0.094	0.045	0.033-0.062
Group4		7	0.125	0.750	0.316	0.162-0.617

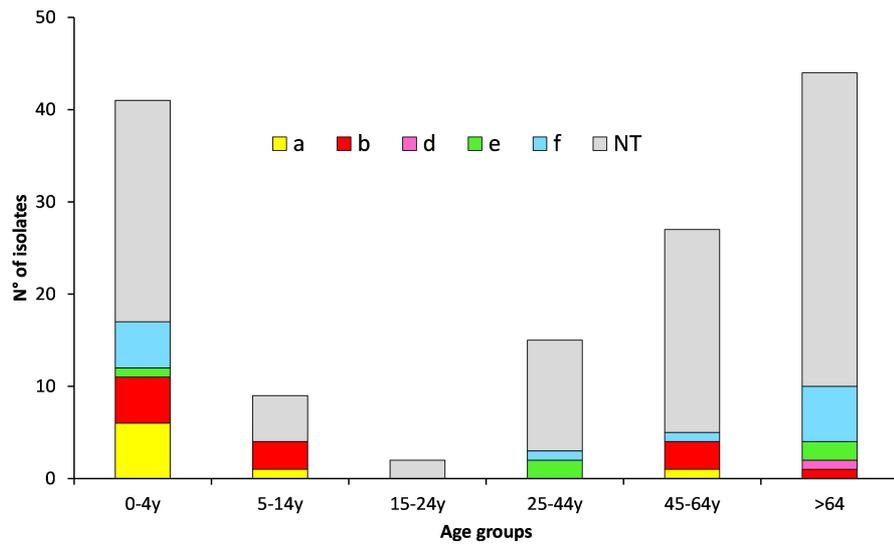


Figure 1.

Distribution of invasive isolates according to groups of age and serotypes

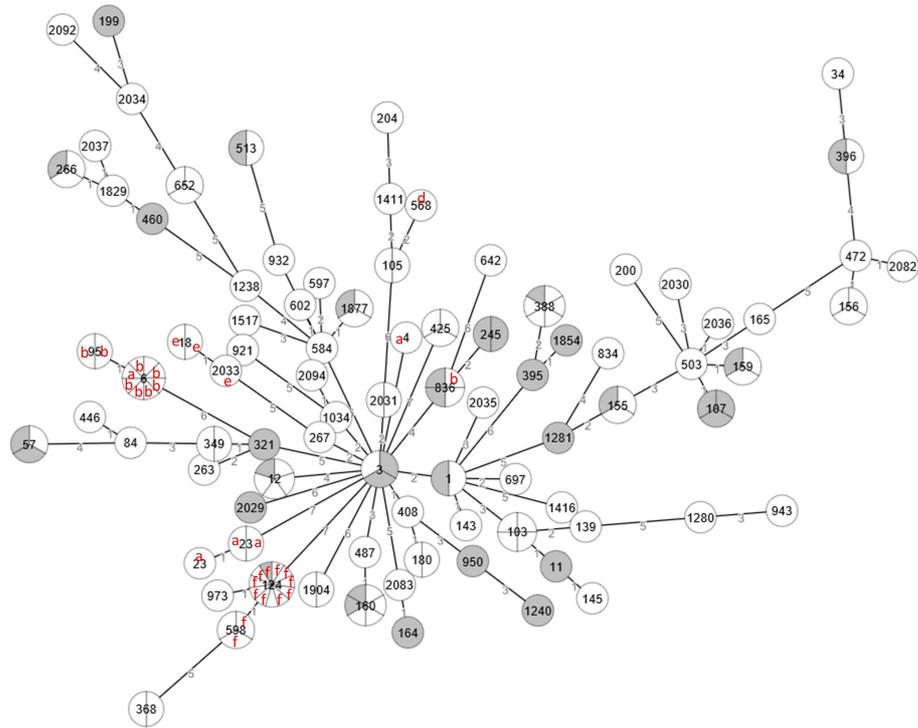


Figure. 2

A grapeTree based on the 7 MLST loci from 122 genome of invasive and the 34 carriage isolates of *H. influenzae* from this study. The nodes were drawn to scale according to the number of isolates (indicated by the pie chart) of each node. The white node corresponded to invasive isolates and the grey nodes to non-invasive isolates. When the isolates were typeable, serotypes were shown by the corresponding letters in red. The other isolates (no indication) were nontypeable. The ST corresponding to each node was indicated inside the node. UA (Unassigned) corresponded to ST with non-assigned ST in the PUBMLST. The branches between the nodes were drawn to scale and the number of different alleles between the two connected nodes is indicated on the branch.

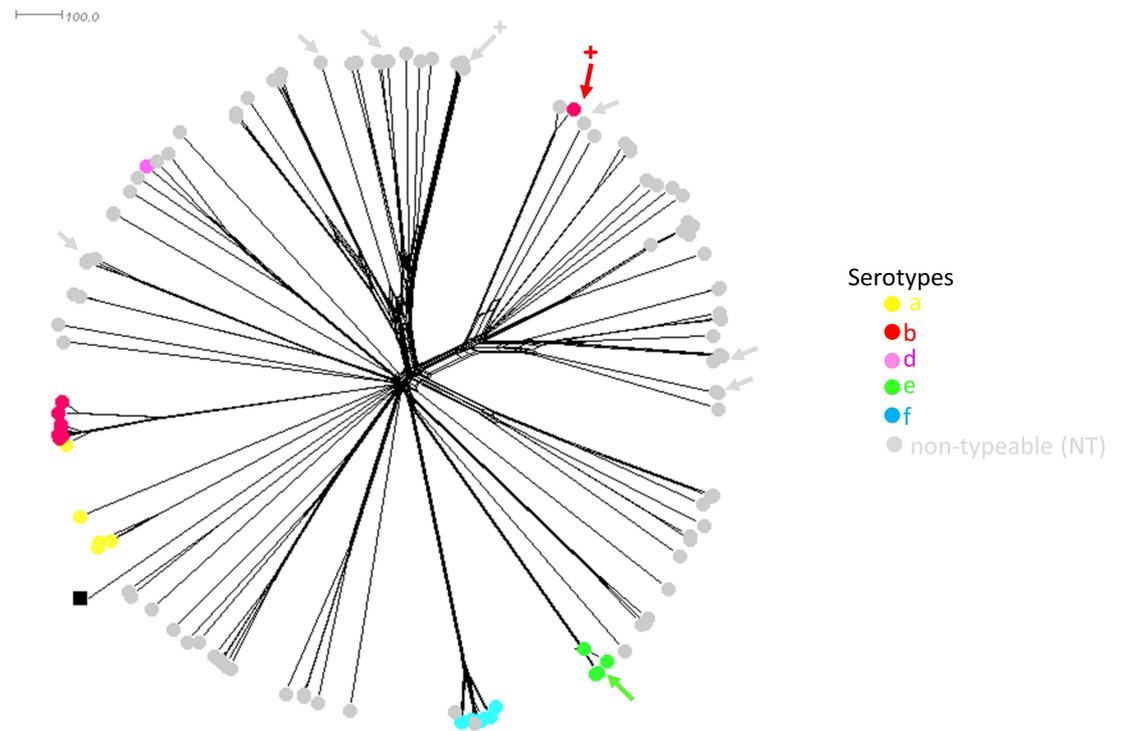


Figure 3.

A neighbour-network based on allelic profiles all the 130 invasive isolates compared to the annotated loci of the reference strain Rd KW20 (black square). Individual isolate is represented by circles and the colour of the circle indicates the serotype of the corresponding isolate. The arrows indicate cefotaxim resistant isolates (colours of the arrows are according to serotypes) that all belonged to group 4 *ftsI* (see Table 2). (+) indicate that the corresponding cefotaxim resistant isolate also produces a beta-lactamase.

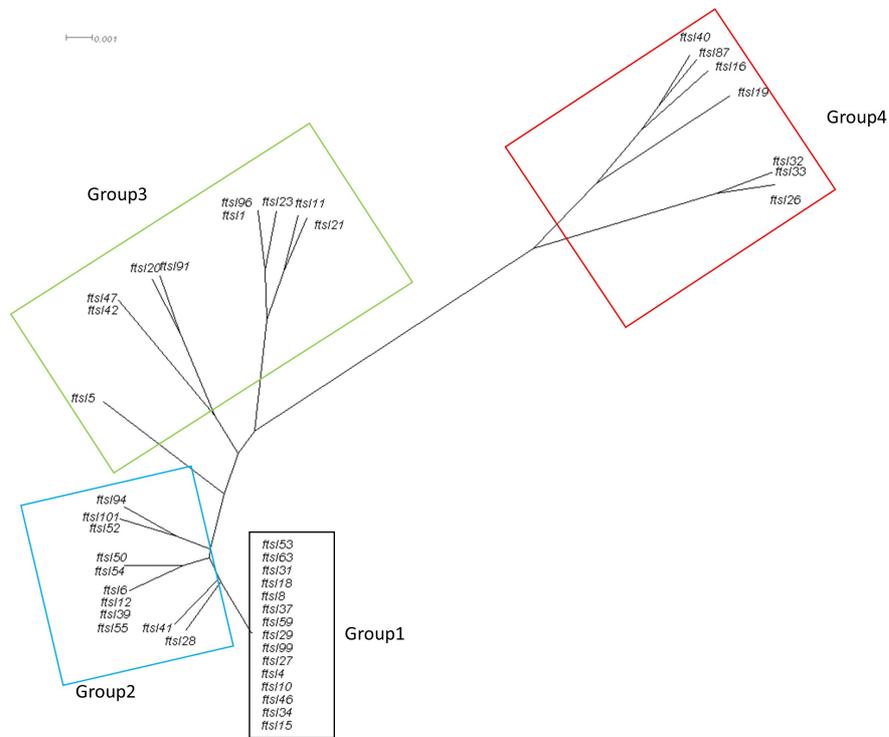


Figure 4.

Phylogenetic Tree of the *ftsI* based on the sequence CLUSTALW multiple alignment of amino-acid sequences deduced of the DNA sequences of all *ftsI* alleles defined among the invasive isolates of this study. The tree was visualized by SplitsTree4 as described in the Methods section. The 4 *ftsI* groups described in this study are shown in rectangles (colours are according to Table 2).