

Candida albicans biofilms are generally devoid of persister cells

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20			

21 ABSTRACT

22 Candida albicans is known for its ability to form biofilms - communities of 23 microorganisms embedded in an extracellular matrix developing on different 24 surfaces. Biofilms are highly tolerant to antifungal therapy. This phenomenon has 25 been partially explained by the appearance of so-called persister cells, phenotypic variants of wild-type cells, capable of surviving very high concentrations of 26 antimicrobial agents. Persister cells in C. albicans were found exceptionally in 27 28 biofilms while none were detected in planktonic cultures of this fungus. Yet, this topic remains controversial as others could not observe persister cells in biofilms formed 29 30 by the *C. albicans* SC5314 laboratory strain. Due to ambiguous data in the literature, 31 this work aimed to reevaluate the presence of persister cells in *C. albicans* biofilms. We demonstrated that isolation of C. albicans "persister cells" as described 32 previously was likely to be the result of survival of biofilm cells that were not reached 33 34 by the antifungal. We tested biofilms of SC5314 and its derivatives, as well as 95 clinical isolates, using an improved protocol, demonstrating that persister cells are 35 not a characteristic trait of C. albicans biofilms. Although some clinical isolates are 36 37 able to yield survivors upon the antifungal treatment of biofilms, this phenomenon is rather stochastic and inconsistent. 38

39

41 **INTRODUCTION**

The yeast *Candida albicans* is a commensal of humans but also one of the most prevalent fungal pathogens, responsible for superficial infections as well as lifethreatening systemic infections (1). *C. albicans* is recognized for its ability to form biofilms that are most frequently associated with nosocomial infections, particularly in immunocompromised patients.

C. albicans biofilms are communities of microorganisms with a complex structure 47 48 composed of different cell types embedded in an extracellular matrix (2-4). They develop on different types of surfaces, either living or inert, and are characterized by 49 50 their high tolerance to antifungals. The latter can result from the properties of the 51 extracellular matrix that can serve as a trap for drug molecules (5–7). An additional source of antifungal tolerance has been proposed to result from the occurrence in 52 53 biofilms of so-called persister cells, a subpopulation of phenotypic variants of wild-54 type cells, capable of surviving concentrations of antimicrobial agents well above the 55 Minimal Inhibitory Concentration (MIC) (8). Persister cells are genetically identical to 56 other biofilm cells. Upon removal of the antimicrobial agent they give rise to a new population comprised of the majority of susceptible cells and a new small 57 subpopulation of persisters. Thus, persistence is a non-inherited trait (9–11). 58

In the clinical setting, persisters are usually associated with relapse of infections and with the development of chronic infections. For bacterial persisters, several mechanisms and pathways involved in their development have been described (12).

In 2006, LaFleur et al. have presented the first report of persister cells in biofilms of *C. albicans*, which could contribute to biofilm tolerance to antifungals (8). In their paper the authors have reported that *C. albicans* exhibit a biphasic killing curve, when exposed to the antifungals such as amphothericin B (AMB), chlorhexidine or

the combination of both. This phenomenon is explained by the presence of a 66 67 multidrug-tolerant subpopulation of persister cells within a biofilm. Notably, the experiments for this study were performed using in vitro biofilm model of *C. albicans*, 68 69 developed in polystyrene 96-well plates. Following this work and relying on the protocol for persister cells isolation described therein (8), persister cells in *C. albicans* 70 biofilms were described by a few other groups (13–15). However, later work by the 71 Douglas group showed that not all Candida species and strains were able to form 72 73 persister cells in laboratory-grown biofilms (16). This was in particular the case for C. albicans strain SC5314 (17), the parental strain of almost all C. albicans strains used 74 75 for functional genomics and molecular genetics studies. Unlike in the previously mentioned papers (8, 13–15), the protocol Al Dhaheri and Douglas (16) used for 76 77 persisters isolation involved growing biofilms on silicone discs followed by their 78 immersion into an antifungal solution. As the topic of *C. albicans* persister cells 79 remains controversial, the main objective of this work was to reevaluate their 80 occurrence in C. albicans biofilms.

81

82 **METHODS**

83 Strains and growth conditions

In this study we used 3 reference strains (listed in Table 1) and a set of 95 clinical
isolates (Table S1).

Yeast precultures were grown overnight in YPD (1% yeast extract, 2% peptone, 2%
glucose) with shaking at 30°C.

Biofilms were grown either in RPMI 1640 medium with L-glutamine (buffered with 50
mM HEPES), as described in (8) and (18), or in GHAUM medium (SD supplemented

with 2% glucose and 1 mg/mL histidine, 1 mg/mL arginine, 0.02 mg/mL uridine and 2
mg/mL methionine (19)).

92

93 Biofilm growth and persister cells isolation

To assess persister cell appearance in biofilms we used two protocols adapted either from (8) or (13). The first protocol uses 96-well plates and the biofilms are grown in RPMI. In the second protocol the biofilms are grown in 24-well plates but using GHAUM medium instead of YNB.

98 Biofilm growth

99 Overnight cultures were washed in sterile 1x PBS and diluted in the corresponding 100 medium to OD_{600} 0.3. Either 100 µL or 1 mL of cells in the 96-well plate or the 24-well 101 plate, respectively, were allowed to adhere for 1.5 h without agitation. The non-102 adhered cells were then washed with 1X PBS, the same volume of fresh medium 103 was added, plates were covered with a breathable seal and biofilms were allowed to 104 form for 24 h at 37°C with agitation (110 rpm). At this point the media were changed 105 and biofilms were allowed to grow for 24 more hours.

106 Antifungal treatment

Old media were carefully aspirated, without disrupting the biofilm structure. Biofilms were washed once with either 100 μ L or 1 mL of 1x PBS, respectively, and treated with a 100 μ g/mL AMB solution in either RPMI or GHAUM for 24 hours at 37°C, statically. AMB solutions were prepared from a 8 mg/mL stock in DMSO, so that the final concentration of DMSO in a working solution did not exceed 1.25%. For control biofilms, corresponding amount of DMSO was added to the medium instead of the antifungal solution. This step was either performed using the same volumes of antifungal solution as for biofilm growth as described in (8) and (13). or increasing the volume of antifungal to fill the well up to the top (350 μ L or 3 mL for 96- and 24-well plates, respectively).

117 Clinical isolates were first treated with 64 µg/mL AMB solution. Strains giving rise to

118 colonies were then tested 5 times with 100 μ g/mL AMB.

119 *Plating*

Upon 24 hours of antifungal treatment, AMB solution was aspirated and biofilms were washed twice with 1X PBS prior to plating on YPD-agar plates. Biofilms were resuspended in 1x PBS/0.05% Tween-20. For the AMB-treated samples, the whole biofilms were plated. For control biofilms, serial dilutions were performed to allow CFU counting. CFU were counted after incubating the plates at 30°C for 48 h.

125

126 **RESULTS AND DISCUSSION**

In this work, we aimed to study the occurrence of persister cells in *C. albicans* biofilms. We applied the protocol published by LaFleur and colleagues, growing the biofilms in RPMI and in a 96-well plate format (8). We set up the protocol with 3 *C. albicans* prototroph strains, namely SC5314, CEC369 and CEC4664 - prototroph derivatives of BWP17 and SN76, respectively. BWP17 (20) and SN76 (21) are independent auxotroph derivatives of SC5314 and have been rendered prototroph with sequential transformation events.

We encountered a technical problem at the biofilm recovery step, usually performed by scraping the cells in 1x PBS and vortexing prior to plating (8, 13, 15, 22). In our hands, the cells could not be properly resuspended and plated, as clumps of the biofilms would usually remain stranded inside the tips. Consequently, the CFU

numbers obtained were highly variable for all samples, making any further analysisand comparison impossible (data not shown).

140 We decided to test alternative approaches to circumvent the stickiness of biofilms. 141 Resuspending cells in 20% glycerol/1X PBS for plating helped reducing stickiness, 142 but did not improve consistency (data not shown). We hypothesized that EDTA might 143 reduce adherence of biofilms by binding bivalent cations that are required for the 144 activity of cell surface adhesins (23). Thus, we attempted applying 20% glycerol with 145 a range of EDTA concentrations (0, 50, 100 mM) for plating. 100 µL of EDTA solutions of different concentrations were added to biofilms and left for 10 minutes at 146 147 room temperature prior to biofilm disruption by scraping and vortexing. None of the applied EDTA solutions allowed abolishing stickiness. Additionally, colonies growing 148 149 on YPD-agar exhibited a wrinkled morphology, most probably linked to the toxicity of 150 ED TA (24). Finally, we tried adding Tween-20 (0.05%) to PBS. Tween-20 eradicated 151 the problems of stickiness and poor disruption and improved recovery of cells from 152 the biofilms (Fig. 1). The effect on cell viability was tested using a planktonic culture 153 of SC5314 that was washed and plated on YPD-agar using PBS and PBS-Tween-20 154 solutions. No impact on viability was observed (data not shown). Thus, in the 155 experiments described below, biofilms were resuspended in a 0.05% Tween-20/1X 156 PBS solution.

However, even after this modification, the ratio of cells that survived AMB treatment was still inconsistent between repeats. According to Lafleur and colleagues the ratios of *C. albicans* persister cells in biofilms vary from 0.1% to 2% for different strains, notably from 0.05 to 0.1% for strain CAI4 – a derivative of *C. albicans* SC5314 (8). Our values hardly ever exceeded 0.01% persisters per biofilm, even after improving the recovery protocol, thus bordering with statistical error. We reasoned that

increasing the surface of a biofilm and changing the growth media could improve
 persister yields and decided to test the protocol described in (13), applying the
 modifications that were mentioned previously. However, the problem of inconsistency
 and low ratios of persisters remained (Fig. 2).

In all protocols described previously, the volumes of the media and solutions used for 167 168 biofilm growth, washing, and AMB treatment were identical. Upon a careful 169 observation, we noticed that C. albicans cells form a dense rim at the border of the 170 air and liquid phases, as a result of agitation during growth. Treating a biofilm with the 171 exact same volume of antifungal and growth medium in static conditions thus could 172 result in cells from the rim escaping treatment. We decided to increase the volume of the applied antifungal solution (filling wells to the top) and, to our surprise, this 173 174 change in the protocol led to a complete eradication of persisters for the laboratory 175 strain SC5314 and its derivatives. Reproducibly, we did not get any persisters after 176 applying this change for all strains for both RPMI- and GHAUM-grown biofilms. Thus, 177 the volume of the antifungal applied in the original protocols for persister isolation 178 was skewing the results. Increasing the volume of antifungal eliminated this bias, 179 resulting in a complete eradication of any survivors after the antifungal treatment.

In our work we used a modified protocol for persister cells isolation with a starting cell suspension of OD_{600} 0.3 used for biofilm growth instead of 0.1 as described in the original protocols (8, 13). To assess the impact of the initial cell number used for seeding biofilms on persister cells' appearance, we tested our protocol for SC5314 using cell suspensions of OD_{600} 0.1, 0.3 and 0.5 for seeding. Regardless of the initial biomass, persister cells did not form in SC5314 biofilms grown either in RPMI or GHAUM (data not shown).

187 These results made us question the very existence of persister cells in C. albicans 188 biofilms. Previously, Al-Dhaheri and Douglas showed that not all strains of 189 *C. albicans* can form persister cells (16). Particularly, in their hands, SC5314 biofilms 190 lost all viability after exposure to 30 µg/mL AMB. However, biofilms of another clinical 191 isolate, GDH2346, appeared to contain a small proportion (0.01%) of cells that 192 survived 100 µg/mL AMB treatment. These authors used a different in vitro model for assessing persistence, as they grew biofilms on silicone disks that were transferred 193 194 to a new well filled with an antifungal solution. This prevented an escape of any cells 195 from the antifungal treatment. Thus, our modified protocol for treatment of biofilms 196 formed in 96-well or 24-well plates corroborated the results obtained by the Douglas group for *C. albicans* strain SC5314 (16). 197

198 Since the clinical isolate GDH2346 could give rise to survivors (16), we could not 199 exclude that persisters could emerge in biofilms of different *C. albicans* isolates. 200 Additionally in 2010, LaFleur and colleagues isolated and described C. albicans 201 strains from patients with long-term oral infection, that gave yield to increased levels 202 of persisters (up to 8.9%) (23). These were called *hip*-mutants, by analogy with the high persister strains previously described for bacteria (26, 27). Although hip-mutants 203 204 were identified using a protocol that showed limitations in our hands, we 205 hypothesized that some C. albicans clinical isolates could generally be more prone to 206 form persisters than others (namely SC5314). To test this assumption, we tested 96 207 clinical isolates (Table S1) for their ability to form biofilms and the occurrence of 208 persister cells following AMB treatment. In a first round of experiments, biofilms were 209 treated with a 64 µg/mL AMB solution. Only 38 isolates (39.6%) displayed survivors 210 (notably, never exceeding a rate of 0.02%). According to the generally accepted 211 concept of persistence (9), the frequency of persisters' appearance is independent of

212 the increase in antibiotic concentration. Thus in a second round of experiments, 213 biofilms were developed for these 38 isolates and treated with a 100 µg/mL AMB solution. Notably, only 7 isolates out of these 38 displayed survivors when grown with 214 215 100 µg/mL AMB (CEC3668, CEC4514, CEC4525, CEC3554, CEC3634, CEC3669, 216 CEC4521). These 7 strains, together with 4 other isolates randomly picked in the 217 remaining 31 strains (CEC4512, CEC3706, CEC712, CEC3708), were tested five more times with 100 µg/mL of AMB. In most cases these strains did not yield 218 219 persister cells (Fig. 3); however, 6 strains gave rise to survivors in one (CEC4525, CEC3634, CEC3669) or two (CEC5414, CEC3554, CEC4521) of the experiments 220 221 (Fig. 3), which could be explained either by the stochastic nature of persistence as a phenomenon or by technical errors during the experiment. 222

223

224 CONCLUSION

225 Since 1944, when Bigger first described persister cells in Staphylococcus (28), many 226 advances have been made in exploring this phenomenon, especially in bacteria. It is 227 known that microbial cultures growing in vivo can sometimes be very difficult to eradicate completely by an antibiotic treatment, causing relapses or development of 228 229 chronic infections in patients. A small pool of cells with the same genotype as the rest 230 of the population but differing in their ability to tolerate stress - including drug 231 treatment – provides a form of insurance to the population from an evolutionary point 232 of view.

The phenomenon of persistence has been described not only for bacteria, but other types of pathogens, and it has been proposed that persister cells significantly contributed to the recalcitrance of *C. albicans* biofilms to antifungal treatments (29– 31).

237 C. albicans persister cells were first described in 2006 (8), and since then just a 238 handful of reports, sometimes contradictory, have been presented. In our study, we explored standard protocols to obtain persisters, and showed that their proportion in 239 240 biofilms formed by different C. albicans strains has been overestimated. Our results show that the detected "persister cells" were likely the result of survival of cells that 241 242 were not reached by the antifungal. Notably, Al-Dhaheri and Douglas (16) were able 243 to detect some persisters in biofilms of a clinical isolate, but the ratio they obtained 244 was much lower (0.01%) than the numbers published by other authors (8, 13).

Although some of the tested clinical isolates of our study were occasionally able to yield survivors after the treatment of biofilms with AMB, this phenomenon was rather inconsistent, pointing either to the stochastic nature of persistence itself, or another skew in the protocol while carrying out particular experiments.

At that point we cannot completely exclude the possibility of persistence in all existing *C. albicans* strains, though with our protocol we managed to disprove their presence for 91 analysed strains out of 98.

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348	FIGURE LEGENDS
349	
350	Fig. 1 Effect of Tween 20 on the recovery of CFUs from C. albicans SC5314
351	biofilms. C. albicans SC5314 was allowed to form biofilms in 100 μ L RPMI in a 96-
352	well plate according to the protocol adapted from (8). Error bars: standard deviation
353	(SD) of 6 biological replicates generated from 2 independent experiments.

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Fig. 2. Schemes of the protocols (A) and levels of persisters (B) obtained from biofilms grown using modified protocol from (13). Biofilms were grown in 1 mL of GHAUM medium in 24-well plates before application of either 1 mL of AMB solution (on the left) or 3 mL of AMB solution (on the right). Ratios of surviving cells

359	are as follow: SC5314 - 5.6*10 ⁻⁴ %, CEC369 - 2.6*10 ⁻⁵ %, CEC4664 - 9.4*10 ⁻⁵ %.
360	Error bars: SD of 6 biological replicates generated from 2 independent experiments.
361	
362 363	Fig. 3. Analysis of persister cell formation in 11 clinical isolates. Biofilms were
364	grown in 1 mL of GHAUM medium in 24-well plates, and treated with 1 mL of AMB
365	solution (modified protocol from (13)). The values obtained from 5 biofilms were used

to draw the graph.

368 **TABLE 1.** *C. albicans* strains used in this study

STRAIN	GENOTYPE	REFERENCE
SC5314		(17)
CEC369	ura3::λimm434/ura3::λimm434 ARG4/arg4::hisG HIS1/his1∆::hisG RPS1/RPS1::Clp10	(18)
CEC4664	ura3Δ::λimm434/ura3Δ::λimm434 iro1Δ::λimm434/iro1Δ::λimm434 ADH1/adh1::P _{TDH3} - carTA::SAT1 arg4Δ/ARG4 his1Δ::hisG/HIS1 RPS1/RPS1::Clp10	Lab's collection



Fig. 1 Effect of Tween 20 on the recovery of CFUs from *C. albicans* SC5314 biofilms. *C. albicans* SC5314 was allowed to form biofilms in 100 μ L RPMI in a 96-well plate according to the protocol adapted from (8). Error bars: standard deviation (SD) of 6 biological replicates generated from 2 independent experiments.



Fig. 2. Schemes of the protocols (A) and levels of persisters (B) obtained from biofilms grown using modified protocol from (13). Biofilms were grown in 1 mL of GHAUM medium in 24-well plates before application of either 1 mL of AMB solution (on the left) or 3 mL of AMB solution (on the right). Ratios of surviving cells are as follow: SC5314 – $5.6*10^{-4}$ %, CEC369 – $2.6*10^{-5}$ %, CEC4664 – $9.4*10^{-5}$ %. Error bars: SD of 6 biological replicates generated from 2 independent experiments.



Fig. 3. Analysis of persister cell formation in 11 clinical isolates. Biofilms were grown in 1 mL of GHAUM medium in 24-well plates, and treated with 1 mL of AMB solution (modified protocol from (13)). The values obtained from 5 biofilms were used to draw the graph.