

# Early Divergence in Lymphoid Tissue Apoptosis between Pathogenic and Nonpathogenic Simian Immunodeficiency Virus Infections of Nonhuman Primates

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2	Early divergence in lymphoid tissue apoptosis between pathogenic and non-
3	pathogenic SIV infections of non-human primates.
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27	
28	* This work is dedicated to Bruno Hurtrel
29	

#### 30 Abstract

31

The events that contribute to the progression to AIDS during the acute phase of a primate lentiviral infection are still poorly understood. In this study, we have used pathogenic and non-pathogenic simian models of SIV infection of rhesus macaque (RM) and African green monkeys (AGM), respectively, to investigate the relationship between apoptosis in lymph nodes and its relationship with the extent of viral replication, immune activation and disease outcome.

Here we show that, in SIVmac251-infected RMs, a marked increased in lymphocyte 38 39 apoptosis is evident during primary infection at the level of lymph nodes. Interestingly, the levels of apoptosis correlated with the extent of viral replication and the rate of disease 40 41 progression to AIDS, with higher apoptosis in RMs of Indian genetic background when compared to those of Chinese origin. In stark contrast, no changes in the levels of lymphocyte 42 apoptosis were observed during primary infection in the non-pathogenic model of SIVagm-43 sab infection of AGMs despite similarly high viral replication. A further and early divergence 44 45 between SIV-infected RMs and AGMs was observed in terms of dynamics of T and B cell 46 proliferation in lymph nodes, with RMs showing significantly higher levels of Ki67<sup>+</sup> cells in the T cell zones in association with relatively low levels of Ki67<sup>+</sup> in the B cell zones, whereas 47 48 AGMs displayed a low frequency of Ki67<sup>+</sup> in the T cell area but a high proportion of Ki67<sup>+</sup> 49 cells in the B cell area. As such, this study suggests that species-specific host factors 50 determine an early immune response to SIV that is predominantly involves either cellular or 51 humoral immunity in RMs and AGMs, respectively.

52 Taken together, these data are consistent with the hypotheses that: (i) high levels of T 53 cell activation and lymphocyte apoptosis are key pathogenic factor during pathogenic SIV 54 infection of RMs, and (ii) low T cell activation and apoptosis are determinants of the AIDS-55 resistance of SIVagm-infected AGMs despite high levels of SIVagm replication.

#### 57

#### 58 Introduction

59

While rhesus macaques (RMs) infected with simian immunodeficiency virus 60 61 (SIVmac) usually progress to AIDS in 1-2 years, African non-human primates (NHPs) 62 infected with their species-specific SIV rarely develop disease. Previous studies of natural, 63 non-pathogenic primate models of SIV infection, such as SIVagm infection of African green 64 monkeys (AGMs), SIVsmm or SIVmac infection of sooty mangabeys (SMs), and SIVmnd-1 65 and SIVmnd-2 infection of mandrills (MNDs) have consistently shown that, in these animals, the levels of plasma viral load are similar to those observed in human immunodeficiency virus 66 (HIV)-infected humans and SIVmac-infected rhesus macaques (RMs) (2, 6, 10, 16, 18, 19, 67 68 29, 32, 35, 39). However, only HIV-infections in humans and SIVmac infections in RMs lead to progressive CD4<sup>+</sup> T-cell depletion and AIDS. Understanding the basis of pathogenic and 69 70 nonpathogenic host-virus relationships is likely to provide important clues regarding AIDS 71 pathogenesis.

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73 The primary acute phase of HIV and SIV infections is characterized by an early burst 74 of viral replication, an exponential increase in viral load, the dissemination and seeding of the virus in all peripheral lymphoid organs, a severe depletion of memory CD4<sup>+</sup> T cells from the 75 76 mucosal-associated lymphoid tissue (MALT), and the induction of the host immune response 77 against the virus (21, 24, 27, 41, 45). Several observations indicate that the early induction of 78 an effective immune response against the virus plays a role in determining levels of viral load 79 at the end of the primary phase (i.e., set-point). The levels of viral load in peripheral blood is a 80 strong predictor of disease progression in pathogenic lentiviral infection (21, 31, 36, 37, 45). 81 Moreover, increased level of immune activation in pathogenic lentiviral infection is correlated 82 with disease progression towards AIDS (5, 27, 39). Importantly, the early stages of non-83 pathogenic SIV infection of AGMs and SMs are also characterized by a peak of virus 84 replication in peripheral blood accompanied by rapid dissemination of the virus and depletion of CD4<sup>+</sup> T-cells from the MALT (19, 32). However, in these non-progressing hosts, it has 85 86 been shown that the level of the immune activation remains relatively low when compared to 87 RMs (2, 8, 19, 32, 40).

88

89 An increased lymphocyte susceptibility to apoptosis, that is in turn though to be 90 related to heightened levels of immune activation, has been proposed as one of the main

mechanisms responsible for the CD4<sup>+</sup> T-cell depletion *in vivo* during pathogenic HIV and SIV 91 92 infections (1, 12, 17). Studies performed in pathogenic and non-pathogenic primate models of 93 SIV-infection during the asymptomatic phase have further suggested the presence of a direct 94 correlation between progression to AIDS and the level of  $CD4^+$  T cell apoptosis *ex vivo* (8, 95 40, 44). We recently reported that primary SIVmac251 infection of RMs of Chinese origin, 96 that are known to progress to AIDS more slowly than Indian monkeys (22, 34), is also 97 associated with increased numbers of apoptotic cells in the lymph nodes (25, 43). The reasons 98 why the course of SIVmac infection is milder in Chinese RMs (as compared to Indian RMs) 99 are still poorly understood. Since SIVmac strains were propagated either in vivo or in vitro in 100 cells derived from Indian monkeys, this may have resulted in the selection of viral variants 101 that are adapted for more efficient replication and/or increased pathogenicity for these animals 102 (34).

103

Here we reports the results of a comparative study of the initial interactions between 104 105 SIV and the host immune system in two different species of non-human primates (NHP) that 106 exhibit a divergent outcome of the infection, i.e., non-natural RM hosts, where the infection 107 leads to CD4<sup>+</sup> T cell depletion and AIDS, and natural AGMs hosts, where the infection is 108 typically non-pathogenic. To investigate the potential immunopathogenic role of lymphocyte 109 apoptosis in lymph nodes occurring during the primary phase of infection, we performed a 110 longitudinal study of 20 experimentally SIV-infected NHPs (6 Indian RMs and 8 Chinese 111 RMs infected with SIVmac251; and 6 African Green Monkeys infected with SIVagm-sab). We examined the frequency of (i) SIV RNA<sup>+</sup> cells, (ii) apoptotic cells (TUNEL<sup>+</sup>), (iii) TiA-1-112 positive cells and (iv) cycling Ki67<sup>+</sup> cells in this diverse array of pathogenic and 113 114 nonpathogenic primate models that are associated with peculiar rates of progression to AIDS 115 (or lack thereof). Our data demonstrate that the early levels of apoptosis and immune 116 activation are key events associated with progression to AIDS.

#### 118 Animals and virus infection

119 The following animals were include in this study: (i) Fourteen RMs of either Indian (n=6) or 120 Chinese (n=8) origin were intravenously inoculated with ten 50% animal infectious doses 121 (AID<sub>50</sub>) of the pathogenic SIVmac251; and (ii) Six AGMs of sabaeus species were 122 experimentally infected with 300 TCID50 of SIVagm.sab92018 strain. As previously 123 described, this virus derives from a naturally infected AGM and has never been cultured in 124 vitro (6). The pathogenic SIVmac251 isolate was initially provided by R. Desrosiers and 125 titrated in Chinese rhesus macaques (Macaca mulatta) by intravenous inoculation. A 1-ml volume of stock virus contained 4 x  $10^4$  50% animal infectious doses (AID<sub>50</sub>) as previously 126 described (25). Animals were demonstrated as being seronegative for STLV-1 (Simian T 127 128 Leukemia Virus type-1), SRV-1 (type D retrovirus), herpes-B viruses, and SIVmac. Animals 129 were housed and cared for in compliance with existing French regulations 130 (http://www.pasteur.fr/recherche/unites/animalerie/fichiers/Decret2001-486.pdf).

131

# 132 Determination of viral load and quantitative assessment of productively infected cells

133 RNA was extracted from plasma of SIV-infected monkeys, using the TRI REAGENT BD Kit 134 (Molecular Research Center Inc., Cincinnati, Ohio). Real-time quantitative reverse 135 transcriptase-polymerase chain reaction (RT-PCR) was used to determine viral loads as 136 previously described (25). The inguinal and axillary lymph nodes (LNs) were sequentially 137 collected from each animal during the course of primary infection. The LNs were frozen in isopentane cooled in liquid nitrogen and then cryostat sectioned at 4 µm intervals. Viral 138 replication in LNs was assessed by *in situ* hybridization using a <sup>35</sup>S-labeled RNA probe as 139 140 previously described (25). Infected cells, detected as spots, were counted in the paracortical 141 zone on a minimum of three sections using a Nikon-FXA microscope. The number of positive cells (counted as spots) was then divided by the surface of the entire LN section, and results 142 were expressed as the number of positive cells per  $2mm^2$  section. The mean count obtained 143 144 for three slides of the same LNs, in a blinded fashion, by two investigators, was calculated.

145

#### 146 **Quantitative assessment of apoptotic cells**

Apoptotic cells were identified using the TUNEL assay, which detects DNA fragmentation usually associated with apoptosis as previously described (25). Briefly, cryostat tissue sections were fixed in 2% paraformaldehyde for 10 min, and overlaid with TUNEL reaction mixture containing terminal deoxyribonucleotidyl transferase (Boerhinger Mannheim, Mannheim, Germany) and Dig-deoxyuridine triphosphate. The sections were incubated for 1

h at 37°C. The sections of LN were washed in phosphate-buffered saline and incubated with a sheep anti-Dig-alcaline phosphatase antibody for 40 min at 37°C and revealed with naphthol AS-MX, fast red, levamisol. LN sections were counterstained using Harris haematoxylin and mounted with gelatin. The number of positive cells in the T-cell areas was then divided by the surface of the entire LN section, and results were expressed as the number of positive cells per  $2mm^2$  section. The mean count obtained for three slides of the same LNs, in a blinded fashion, by two investigators, was calculated.

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#### 160 Quantitative assessment of cycling cells

161 Cycling cells were assessed using a monoclonal antibody to the Ki67 nuclear proliferation-162 associated antigen (MIB-1; Immunotech, Inc., Marseille, France) that is expressed at all 163 phases of the cell cycle except the G0 phase. Alcaline phosphatase was used as the chromogen, and the slides were slightly counterstained with haematoxylin as previously 164 165 described (25). The numbers of LN-positive cells counted in the T-cell areas were then divided by the surface of the entire LN section, and results were expressed as positive cell 166 167 numbers/2 mm<sup>2</sup> section. The proportion of cycling cells (%GCKi67%) in the B-cell areas 168 (Germinal Centers, GCs) was quantified and divided by the surface of the entire LN section. 169 The proportion obtained for three slides of the same LNs, in a blinded fashion, by two 170 investigators, was calculated.

171

### 172 Quantitative assessment of TiA1 cells

TiA1 cells were assessed using a monoclonal antibody (clone GMP-17, Beckman Coulter).
Alcaline phosphatase was used as the chromogen, and the slides were slightly counterstained
with haematoxylin. The proportion of TiA1-positive cells counted in the T-cell areas were
then divided by the surface of the entire LN section, and results were expressed as %. These
analyses were performed on four different sections, in a blind fashion, by two investigators.

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#### 179 Lymphocyte immunophenotyping by flow cytometry

T-cells were stained with the following fluorochrome-labeled monoclonal antibodies: antirhesus monkey CD3-conjugated fluorescein-isothiocyanate (FITC) (clone FN18, Biosource International), anti-human CD4 conjugated with phycoerythrin (PE) (clone M-T477, BD Biosciences), anti-human CD8-conjugated with peridinin-chlorophyll protein (PerCp) (BD Biosciences) and anti-human leukocyte antigen (HLA)-DR-conjugated with allophycocyanin (APC) (L243, BD Biosciences). Antibodies were added to 100  $\mu$ l of 2x10<sup>5</sup> LN cells. Cells

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# 190 Statistical analyses

191 The Student's t tests and Mann-Whitney U-test (Prism software) were used to determine 192 whether differences were significant if p<0.05. Mann-Whitney U-test was used to compare

193 results between monkey species. Spearman's rank correlation, implemented from Statistica

194 software, was use to evaluate correlations. Best-fit lines are shown.

#### 196 **Results**

197

198 1) Viral replication and disease outcomes

199 Rhesus macaques (RMs) of Indian genetic background progressed more rapidly 200 towards AIDS than Chinese RMs despite receiving similar viral stock of SIVmac251 strain 201 that was produced in PBMCs of Chinese monkeys. In this study, all Indians RMs were 202 sacrificed due to the onset of AIDS-related symptoms before one year of infection, while half 203 of the SIV-infected Chinese RMs was alive after 36 months of infection. As expected, all the 204 SIV-infected AGMs were alive at >48 months post infection (**Figure 1A**).

205

206 During acute SIV infection, the initial burst in viral replication causes the exponential 207 increase in plasma viral load that leads to the dissemination of the virus throughout the body 208 and the infection of the CD4<sup>+</sup> T cells in the MALT (42) as well as in peripheral lymphoid 209 organs, which are the main sites of both virus replication and immune response to the virus. 210 As shown in **Figure 1B**, we first assessed the dynamics of viral load in these different groups 211 of SIV-infected NHPs. In RMs, viremia peaked between days 11 and 14 with values ranging between  $7.5 \times 10^6$  and  $7 \times 10^7$  copies/ml in RMs of Indian origin and between  $6.7 \times 10^5$  and 212  $3.2 \times 10^7$  copies/ml in Chinese RMs. In AGMs, viremia peaked between days 8 and 14 and the 213 values ranged between  $7.9 \times 10^6$  and  $1.2 \times 10^8$  copies/ml. The set-point levels in AGMs ranged 214 between 1.3x10<sup>3</sup> and 2.5x10<sup>5</sup> copies/ml whereas in Indian RMs and Chinese RMs it ranged 215 between  $3x10^4$  and  $1.8x10^7$  copies/ml and  $3x10^2$  and  $5.7x10^5$  copies/ml, respectively. Thus, 216 these data are consistent with numerous previous observations (13, 19, 21, 25, 27, 32, 41, 45) 217 218 in indicating that both peak and set-point viremia are similar in pathogenic and non-219 pathogenic primate models.

220

221 To longitudinally assess the relationship between the level of the early viral 222 dissemination/seeding and the immune system dynamics (i.e., proliferation and cell death), 223 we sequentially collected the inguinal and axillary lymph nodes (LNs) from each animal 224 during the course of primary infection. In all examined tissues, SIV RNA<sup>+</sup> cells were promptly detected, in the pathogenic as well as in the non-pathogenic models (Figure 2A). 225 226 During the acute phase of SIVmac251 infection of RMs, the peak numbers of productively 227 infected cells per surface unit in the LN T-cell area was reached at days 11-14 post-infection, i.e., coincident with the peak of viral loads, and was then followed by a significant decrease 228 229 by day 60 (Figure 2B). Interestingly, the level of viral replication in LN was significantly

230 higher in RMs of Indian origin when compared to Chinese RMs, with peak numbers of productively infected cells of 204.8  $\pm$  125 SIV RNA<sup>+</sup> cells/2mm<sup>2</sup> and 30.8  $\pm$  19.7 SIV RNA<sup>+</sup> 231 cells/2mm<sup>2</sup>, respectively (P=0.007, using Mann-Whitney U-test) (Figure 2B). Similarly, the 232 233 frequency of CD4<sup>+</sup> T cells harboring proviral DNA at the peak values was higher in Indian 234 than in Chinese RMs (0.043  $\pm$  0.038 and 0.017  $\pm$  0.009, respectively, data not shown). This 235 difference persisted at day 60, with the numbers of SIV RNA<sup>+</sup> cells/2mm<sup>2</sup> higher in Indian than in Chinese RMs (14.2  $\pm$  11.2 and 7.9  $\pm$  8.8, respectively). Most importantly, we found 236 that the numbers of SIV RNA<sup>+</sup> cells/2mm<sup>2</sup> in AGMs at the peak of virus replication (21  $\pm$ 237 12.5) were relatively similar to those observed at the peak in SIVmac251-infected Chinese 238 RMs (Figure 2B). However, it should be noted that, at day 60, the number of SIV RNA<sup>+</sup> 239 cells/2mm<sup>2</sup> in LNs was extremely low in AGMs ( $0.53 \pm 0.22$ ), despite similar levels of viral 240 loads in these two species. 241

242

These findings suggest that the level of peak viral replication in the LNs is not discriminative of pathogenic and non-pathogenic primate models of SIV infection (with Indian RMs showing, nevertheless, higher frequencies of infected cells and faster disease progression than Chinese RMs). Interestingly, the level of viral replication in peripheral LNs during the set-point phase of SIV infection was higher in SIVmac251-infected RMs than in SIVagm-sab-infected AGMs, thus suggesting that other anatomic sites (i.e., MALT, spleen etc) are responsible for the high plasma viremia of AGMs.

250

# 251 2) Lymph node apoptosis is a typical feature of pathogenic SIV-infections

252 We then sought to determine, in the same groups of SIV-infected NHPs, the extent of 253 apoptotic cells in LNs. The numbers of apoptotic cells were quantified in the T-cell areas of 254 tissue sections from the same LN in which numbers of SIV-infected cells were previously 255 assessed (Figure 3A). We found that the number of apoptotic cells increased after infection and reached their highest levels at the time of peak viral replication in both Indian and 256 Chinese RMs (Day 14, 138  $\pm$  66 cells/2mm<sup>2</sup> and 77  $\pm$  16 cells/2mm<sup>2</sup>, respectively, P = 0.04 257 258 using Mann-Whitney U-test). The numbers of apoptotic cells remained higher at day 60 (Indian,  $58 \pm 59$  cells/2mm<sup>2</sup> versus Chinese,  $16 \pm 7$  cells/mm<sup>2</sup>; P = 0.04) than before infection 259 260  $(8 \pm 6 \text{ cells/mm}^2)$  (Figure 3B). Importantly, a significant direct correlation was found in 261 SIVmac251-infected RMs between the level of viral replication within LNs (as measured at the time of peak viremia) and the numbers of apoptotic cells (Figure 3C). In SIVagm-262 263 infected AGMs the level of T-cell apoptosis in the LNs remained similar to baseline

throughout the acute phase of infection (Day 13,  $25 \pm 28 \text{ cells/2mm}^2$  and day 60,  $27 \pm 9$ cells/2mm<sup>2</sup>). The observation that T cell apoptosis does not increase in AGMs during early SIV infection despite levels of viral replication that are similar to those observed in SIVmac251-infected Chinese RMs suggests that indirect (i.e., non virus-related) mechanisms rather than the direct effect of viral-replication are responsible for the increased rate of apoptotic cells in RMs.

270

#### 271 3) Dynamics of cycling cells in the T cell area

272 To investigate the relationship between the extent of LN apoptosis and the level of 273 immune activation (that results in frequent events of activation-induced cell death (17)), we 274 first assessed the level of activated (Ki67<sup>+</sup>) lymphocytes in our group of animals. We found 275 that, in both Indian and Chinese SIVmac251-infected RMs, the paracortical areas (T-cell 276 zone) contained more activated cells than those of uninfected RMs (Figure 4). The number of cycling cells peaked at days 11-14 post-infection (Day 14,  $2537 \pm 1154$  cells/2mm<sup>2</sup> and 2430 277  $\pm$  1130 cells/2mm<sup>2</sup> in Indian and Chinese RMs, respectively) and remained much higher than 278 baseline at day 60 (1655  $\pm$  1099 cells/2mm<sup>2</sup> and 1626  $\pm$  502 cells/2mm<sup>2</sup>, in Indian and 279 Chinese RMs, respectively, versus Day 0,  $45 \pm 25$  cells/2mm<sup>2</sup>) (Figure 4B). Of note, the 280 differences in Ki67<sup>+</sup> cells between Indian and Chinese RMs were not statistically significant, 281 282 thus suggesting that the higher level of apoptotic cells observed during primary SIVmac251 283 infection of Indian RMs is not simply a consequence of greater numbers of cycling T cells.

284

We next measured the numbers of cycling lymphocytes in SIVagm-infected AGMs, 285 and found that cycling cells peaked at day 8 (739  $\pm$  173 cells/2mm<sup>2</sup> versus day 0, 133  $\pm$  103 286 cells/2mm<sup>2</sup>, P = 0.002) with a slight decrease thereafter (Day 60, 596  $\pm$  110 cells/2mm<sup>2</sup>) 287 288 which was coincident with the decline of viral replication in LNs (Figure 4B). While SIV-289 infected AGMs show a clear increase in the numbers of cycling T cells when compared to 290 baseline (i.e., prior to infection), the absolute levels of T cell activation in the LNs of these 291 animals are approximately one third lower of those observed in SIVmac251-infected RMs 292 (Figure 4B). Therefore, this result is consistent with previous reports indicating that nonpathogenic SIV infection of natural hosts is associated with lower levels of immune activation 293 294 (8, 40).

295

To further characterize the dynamics of T cell activation in our group of NHPs, we next assessed by flow cytometry the changes in the levels of CD3<sup>+</sup>CD4<sup>+</sup> and CD3<sup>+</sup>CD8<sup>+</sup> T

298 cells expressing HLA-DR in peripheral blood. Interestingly, SIVmac251-infected Indian RMs 299 revealed a profound decrease in the percentage of CD4<sup>+</sup>DR<sup>+</sup> T cells, while only a transient 300 decline of CD4<sup>+</sup>DR<sup>+</sup> T cells was observed at the peak of virus replication in Chinese monkeys 301 (Figure 5). Moreover, we found an early increase in the fraction of CD8<sup>+</sup>DR<sup>+</sup> T cells in 302 Chinese RMs that still persists at the time of set-point viremia (Figure 5). In SIV-infected 303 AGMs, we found a transitory increase in the activation status of CD8<sup>+</sup> T cells (but not CD4<sup>+</sup> 304 T cells), with levels of CD8<sup>+</sup>DR<sup>+</sup> T cells peaking at day 14 but soon returning to levels similar 305 to those observed prior to infection. This pattern of T cell activation in SIVagm-infected 306 AGMs is very similar to that previously reported by our and other laboratories (19, 32).

307

While our data failed to identify any significant correlation between the extent of T cell activation and the rate of apoptosis in pathogenic SIVmac251 infection of RMs, it was clearly apparent that, in the non-pathogenic SIVagm infection of AGMs, an attenuated level of T cell activation was associated with lower levels of apoptosis.

312

313 4) Dynamics of cycling cells in the B cell area

314 We next assessed the level of activated (Ki67<sup>+</sup>) cells in the B-cell zone by staining the 315 germinal centers (GC). In contrast to the large increase of cycling cells in the T cell area 316 observed in SIVmac251-infected RMs, no changes in the level of Ki67<sup>+</sup>GC<sup>+</sup> (% Ki67<sup>+</sup>GC<sup>+</sup>) 317 was found in the same animals at the peak of viral replication. Interestingly, the level of B-318 cell activation within the GC was three- to five-fold higher in SIV-infected AGMs than in 319 RMs at day 60, with 29.3%  $\pm$  9.7 of Ki67<sup>+</sup>GC<sup>+</sup> in AGMs, 11.2%  $\pm$  8.1 in Chinese RMs, 6.2%  $\pm$  3.1 in Indian RMs (P = 0.008), and 0.155 %  $\pm$  0.25 in uninfected animals (Figure 4C). We 320 321 also found that the percentage of CD20<sup>+</sup>CD3<sup>-</sup> cells (B cells), as analyzed by flow cytometry at 322 day 60 p.i., was increased in LNs of SIV-infected AGMs ( $28.3\% \pm 3.5$ ) when compared to 323 preinfection values (15.2%  $\pm$  1.7). These dynamics are consistent with the time of detection of 324 SIV-specific antibodies in the plasma that appear between days 30 and 60 (data not shown), 325 and suggest that immune responses to SIV involve predominantly the humoral immunity in 326 AGMs.

327

328 4) Dynamics of TiA-1+ cells

To further characterize the early activation of CD8<sup>+</sup> T cells observed during SIV infection of RMs and AGMs, we examined the expression of cytolytic granules by staining for TiA-1, i.e., a polyadenylate binding protein localized to the granules of cytolytic T cells

that is functionally related to perforin. In these experiments we assessed TiA-1 expression *in situ* to exclude the possibility that isolated lymphocytes might release their granule contents during isolation procedure (**Figure 6**). We found that, in the LNs of SIVagm-infected AGMs, the fraction of TiA-1 expressing cells showed an early moderate increase (Day 8,  $0.5\% \pm 0.3$ *versus* Day 0,  $0.13\% \pm 0.1$ ; P = 0.018) followed by a slight decline by the time of set-point viremia (Day 60,  $0.25\% \pm 0.2$ ) (**Figure 6B**). As such, the dynamics of TiA-1 expression in AGMs followed the dynamics observed for the proportion of activated CD8<sup>+</sup> T-cells.

339

In SIVmac251-infected RMs, the frequency of TiA-1 expressing T-cells increased earlier in Indian animals (as compared to Chinese). However, at day 60 post-infection the level of TiA-1 reactivity in SIVmac251-infected Chinese RMs was greater than before infection ( $6.5\% \pm 1.5$  versus  $1.9\% \pm 0.39$ ; P = 0.006) and greater than in Indian RMs ( $3.9\% \pm$ 1.6; P=0.02) (**Figure 6B**). This dynamics of TiA-1 expression in LNs during SIVmac251 infection of RMs was consistent with the observed pattern of CD8<sup>+</sup>DR<sup>+</sup> T cell dynamics.

346

# 347 **Discussion**

348 In this study, we report the results of a detailed longitudinal study of a large number 349 (n=20) of non-human primates experimentally infected with SIV, including 6 Indian and 8 350 Chinese RMs infected with SIVmac251, and 6 AGMs infected with SIVagm-sab. The key 351 goal of this study was to assess, in the different non-human primate models of infection, the 352 relationship between virus replication, immune activation, lymphocyte apoptosis, and disease 353 progression. A key premise of this study is the observation that, in striking contrast to HIV-354 infected humans and SIVmac-infected RMs, natural SIV hosts, such as AGMs, SMs, and 355 mandrills, remain typically asymptomatic despite similarly high levels of virus replication (2, 356 6, 8, 14, 15, 19, 29, 32, 40). It is now widely accepted that understanding the mechanisms 357 underlying the different infection outcomes in natural versus non-natural SIV hosts will 358 provide important clues as to the mechanisms of AIDS pathogenesis.

359

360 SIVmac infection of Chinese RMs is less pathogenic than that of Indian RMs and thus 361 more closely mimics the course of HIV infection in humans (5, 26, 27). As some of this 362 earlier experiments were conducted using virus preparations obtained through repeated serial 363 passage in cells from Indian RMs (or in Indian RMs *in vivo*), it was proposed that increased 364 viral fitness from Indian RM cells may explain the higher replicative capacity and faster 365 disease progression in these animals. However, in our experiments, we used a SIVmac251

strain that has been *in vitro* passaged in PBMC derived from Chinese RMs. We still observe
an accelerated course of disease in Indian RMs, thus suggesting that host determinants, rather
than intrinsic properties of SIV are key determinants of the divergent infection outcomes in
RMs.

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371 Our study also confirmed and extended previous evaluations of viral dynamics in 372 peripheral blood demonstrating that levels of viral replication in RMs and AGMs are quite 373 similar. Importantly, by the end of the acute phase of infection, a clear difference emerged 374 between AGMs and RMs in term of viral replication in LNs, with numbers of SIV RNA<sup>+</sup> cells 375 that were significantly lower in SIV-infected AGMs compared with those observed in RMs 376 (Indian RMs being higher than Chinese RMs). These data indicate that the level of virus 377 replication in the LNs at the end of the acute phase may be a good predictor of disease 378 progression in RMs (25-27), and that, in AGMs, the level of viral particles in the blood likely 379 reflects virus replication occurring in sites other than peripheral LNs, such as the MALT or 380 spleen.

381

The data included in the current study strongly suggests that the extent of apoptotic 382 383 cell death occurring in the T-cell areas of the peripheral lymph nodes represents an early 384 pathogenic event that seems to determine the subsequent course of disease progression. The 385 fact that SIVagm-infected AGMs do not display any major increase in T-cell apoptosis in the 386 context of significant levels of viral replication suggests that the direct effects of SIV 387 replication alone are unlikely to account for the high levels of T cell apoptosis observed in 388 RMs at the time of peak viremia. Instead, our observation is consistent with a model whereby 389 large numbers of T cells undergo apoptosis as a consequence of a massive event of immune 390 activation. Interestingly, in RMs, we found a positive correlation between the level of viral 391 replication in the LNs and the extent of T cell apoptosis, suggesting either a role, at least 392 partial, for viral replication-mediated cell death in these animals or, alternatively, a role for 393 SIV antigens in determining-through the generation of virus-specific immune responses-394 the prevailing levels of immune activation and apoptosis. In other studies we have shown that 395 the extent of apoptosis in peripheral LNs was greater in Chinese RMs infected with 396 pathogenic SIVmac than in those infected with a *nef*-deleted SIVmac strain and predicted, in 397 these animals, the risk of progression to AIDS (25, 43). Altogether, these results suggest that 398 in SIV-infected RMs, increased levels of apoptosis represent a key determinant of the 399 progressive immunodeficiency, thus consistent with previous reports performed during the chronic phase of HIV and SIV infection (8, 9, 12, 17, 26, 27). In this context, it is tempting to
speculate that an additional deleterious effect of the increased T-cell death observed in
SIVmac251-infected RMs is the inability of the immune system to mount a sustained immune
response to the virus (43).

404

405 Altogether, these findings suggest that the level of lymphocyte apoptosis in the early 406 stages of SIV infection is a species-specific surrogate marker of AIDS pathogenesis. In this 407 study it was clearly apparent that, in the non-pathogenic SIVagm infection of AGMs, an 408 attenuated T cell activation was associated with lower levels of apoptosis during the acute 409 phase. This new finding is consistent with earlier reports indicating during the chronic phase 410 of SIV infection a relationship between the extent of immune activation, T cell apoptosis and 411 pathogenesis (8, 40). This absence of immune activation in SIV-infected AGMs might be related to an early anti-inflammatory profile characterized by the induction of Treg cells (19). 412 413 Interestingly, SIV-infected AGMs showed LNs of lower size than RMs (data not shown), thus further suggesting that the "total body" level of immune activation is significantly lower 414 415 during non-pathogenic infections of natural SIV hosts.

416

417 The increase of TiA1-expressing T cells in LNs concomitant with the observation of a 418 transient increase of activated CD8<sup>+</sup> T cells (DR<sup>+</sup>) during the acute viral replication period in 419 AGMs is consistent with recent reports (13, 32). This observation supports the hypothesis that 420 the post-peak decline in virus replication observed in the LNs of SIVagm-infected AGMs 421 might be, at least in part, related to the emergence of cellular immune responses to the virus. 422 On the other hand, however, it should be noted that, to this date, there is no experimental 423 evidence indicating that in SIV-infected AGMs (or any other natural SIV hosts), the cellular 424 immune responses to the virus are broader or more potent/effective that those described in 425 pathogenic HIV-1 and SIV infections (7). Alternatively, the observed low levels of activated CD4<sup>+</sup> T cells (DR<sup>+</sup>) in SIV-infected AGMs may suggest that the post-peak decrease of viral 426 427 load in the LNs of SIVagm-infected AGMs is related to the rapid exhaustion of target cells 428 due to the attenuated levels of local T cell activation.

429

Interestingly, we found a clear difference in the dynamics of T and B cells between
AGMs and RMs. SIVmac251-infected RMs showed more extensive and persistent
proliferation of T cells than SIVagm-infected AGMs. On the contrary, SIVagm-infected
AGMs showed a more prominent B cell activation than SIVmac251-infected RMs, as

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434 manifested by the level of Ki67<sup>+</sup> cells in the GCs at the set point than RMs. This observation 435 is also consistent with two recent reports indicating that SIV infection of AGMs is associated 436 with high levels of Ki67+ cells in the GCs and rapid expansion of B cells (13, 32). While 437 these results seem at odd with the observation that the titer of SIV-specific antibodies are 438 lower in AGMs than RMs (28), it should be noted that high fractions of  $Ki67^+$  GC cells do not 439 necessarily translate in high titers of SIV-specific antibody. In any event, our intriguing 440 observation of an early and selective B cell response during non-pathogenic SIVagm infection 441 of AGMs suggest that the role of SIV-specific humoral responses in primate lentiviral 442 infections should be further explored in future studies, particularly with respect to the impact 443 of SIV-specific antibodies in the control and/or compartmentalization of viral replication at 444 the end of the acute phase.

445

A question that remains to be answered is how host genetic factors may influence the 446 strikingly different level of lymphocyte apoptosis in LNs that we observed during early SIV 447 448 infection in the various NHP models studied. A first possibility is that individual differences 449 in the signaling pathways that participate in immune activation and apoptosis regulation may 450 lead to different sensitivity to death signal transduction induced either by viral proteins or by 451 death ligands and receptors (such as CD95 ligand and CD95) (9, 11). It has been recently 452 proposed a role for CD95/CD95L in the depletion of uninfected CD4<sup>+</sup> T cells occurring during primary infection in the lamina propria of SIV-infected RMs (20). As the extent of T-453 454 cell activation is typically lower in AGMs than in RMs, species-specific differences in the 455 immunomodulatory activity of Treg cells might be beneficial in controlling T-cell activation 456 and activation-induced cell death (AICD) (19). A second possibility is that differences in the 457 induction of apoptosis are related to quantitative differences in the initial levels of co-458 receptors expression. Several studies have suggested that co-receptor expression affect disease 459 progression in HIV-infected individuals (4, 23, 38) as the proportion of CD4<sup>+</sup>CCR5<sup>+</sup> and/or 460 the density of cell-surface CCR5 correlate with disease progression (3, 30). Moreover, it has 461 been recently reported a difference in the level of CCR5 between pathogenic and non-462 pathogenic primate models of AIDS (33). Finally, we recently demonstrated that in addition 463 to CCR5, ligation of GPR15 (BOB), an additional co-receptor utilized by the SIVmac251 464 strain, mediates CD4<sup>+</sup> T cell death (43). However, dynamics of CD4<sup>+</sup> T cells expressing this 465 latter co-receptor are still unknown.

In conclusion, the current set of data is clearly consistent with the hypothesis that the prevailing levels of immune activation and T cell apoptosis are key marker of disease progression during pathogenic primate lentiviral infections. Similarly, this work supports the concept that limited immune activation and low levels of T cell apoptosis are instrumental to avoid progression to AIDS in AGMs and other natural SIV hosts as well.

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#### 662 Legends:

Figure 1: (A) Month survival. The percentage of survival in three different groups of SIVinfected monkeys (Indian rhesus macaques (RMs) and Chinese RMs infected with SIVmac251; AGMs infected with SIVagm.sab92018) during the follow-up period of this study is shown. Clinical progression towards disease was continuously evaluated. The animals were killed for a wasting syndrome with cachexia and opportunistic infections. (B) Viremia. Kinetic analysis of viremia in SIV-infected Indian and Chinese RMs, and AGMs.

669

670 Figure 2: Productive SIV infection in LNs from Indian and Chinese SIVmac251-infected

671 RMs, and SIVagm-infected AGMs at different time point post-infection. (A) SIV RNA<sup>+</sup> cells

672 were detected in LNs of SIV-infected monkeys (magnification, x100). (B) Quantitative 673 assessment of SIV RNA<sup>+</sup> cells. Statistical significance was assessed using paired Student t

- 674 test.
- 675

Figure 3: Immunohistochemical analysis of apoptotic cells in LNs from Indian and Chinese SIVmac251-infected RMs, and SIVagm-infected AGM at different time points after infection. (A) Apoptotic cells measured by Tunel method (magnification, x100). (B) Quantitative assessment of apoptotic cells. Statistical significance was assessed using paired Student t test. (C) Correlation between the extent of viral replication (LogSIV RNA<sup>+</sup>) and the extent of apoptosis at the peak. Each symbol represents one individual RMs either from Indian ( $\mathbf{O}$ ) or Chinese ( $\bullet$ ) RMs.

683

Figure 4: Immunohistochemical analyses of cycling cells in LNs from Indian and Chinese
SIVmac251-infected RMs, and SIV-infected AGMs at different time points post-infection.
(A) Ki67 staining was performed in LNs of SIV-infected monkeys (magnification, x100). (B)
Quantitative assessment of Ki67 in T-cell area, and (C) B-cell area (%GCKi67<sup>+</sup>). Statistical
significance was assessed using paired Student t test.

689

Figure 5: Dynamics of HLA-DR on CD4<sup>+</sup> and CD8<sup>+</sup> T cells in LNs. Flow cytometry was used to quantify the percentage of T cells expressing HLA-DR gating on CD3<sup>+</sup> cells of Indian and Chinese SIVmac251-infected RMs, and SIV-infected AGMs at different time points postinfection. Data shown were calculated as follow:  $DR^+CD4/CD8^+$  /( $DR^+CD4/CD8^+$  +  $DR^-$ CD4/CD8<sup>+</sup>) x 100. Statistical significance was assessed using paired Student t test.

Figure 6: Immunohistochemical analyses of TiA-1 from Indian and Chinese SIVmac251infected RMs, and SIV-infected AGMs at different time point post-infection. (A) TiA-1
staining was performed in LNs of SIV-infected monkeys (magnification, x100). (B)
Quantitative assessment of TiA-1 cells. Statistical significance was assessed using paired
Student t test.

















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