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2 “I will survive”: a tale of bacteriophage-bacteria coevolution in the gut

3

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23 **ABSTRACT**

24 Viruses that infect bacteria, or bacteriophages, are among the most abundant entities in the gut  
25 microbiome. However, their role and the mechanisms by which they infect bacteria in the  
26 intestinal tract remain poorly understood. We recently reported that intestinal bacteria are an  
27 evolutionary force, driving the expansion of the bacteriophage host range by boosting the  
28 genetic variability of these viruses. Here, we expand these observations by studying  
29 antagonistic bacteriophage-bacteria coevolution dynamics and revealing that bacterial genetic  
30 variability is also increased under the pressure of bacteriophage predation. We propose a  
31 model showing how the expansion of bacteriophage-bacteria infection networks is relative to  
32 the opportunities for coevolution encountered in the intestinal tract. Our data suggest that  
33 predator-prey dynamics are perpetuated and differentiated in parallel, to generate and  
34 maintain intestinal microbial diversity and equilibrium.

35 **INTRODUCTION**

36 The homeostasis of the intestinal microbiome is crucial to health, as shown by the ever-  
37 growing list of chronic conditions linked to microbiota dysbiosis, including obesity, diabetes,  
38 asthma, inflammatory bowel disease (IBD) and central nervous system disorders<sup>1-4</sup>. The  
39 antagonistic coevolution between the two most abundant components of the microbiome,  
40 bacteria and their viruses, bacteriophages, is a key candidate player in the maintenance of this  
41 microbial equilibrium<sup>5</sup>.

42 The perpetuation of bacteriophages is intrinsically dependent on their ability to predate on the  
43 bacterial populations and experimental coevolution studies have characterised the dynamics  
44 of interactions between bacteria and bacteriophages<sup>6,7</sup>. The development of bacterial  
45 resistance, and the consequent bacteriophage adaptation towards such resistance, have been  
46 identified as major forces driving their antagonistic coevolution *in vitro* and in environmental  
47 samples. This arms race necessarily results in an increase in the genomic diversity of both

48 partners to ensure population survival<sup>8,9</sup>, as seen in aquatic ecosystems<sup>10,11</sup>. However, most  
49 studies of this type are limited to single pairs of bacteria and bacteriophages and are  
50 frequently performed in laboratory settings.

51 Metagenomic analyses of intestinal bacterial populations have revealed that these organisms  
52 are diverse and differently abundant in healthy humans and diseased patients. Fewer studies  
53 have focused on viral populations (virome), but those that have been performed have revealed  
54 an unprecedented complexity of relationships between bacteriophages, bacteria and the  
55 mammalian host<sup>12</sup>. A recent comparative study showed that healthy humans share a pool of  
56 conserved intestinal bacteriophages that differs significantly from the viruses found in patients  
57 with inflammatory bowel disease (IBD)<sup>13</sup>. Also, in these patients, lower bacterial diversity is  
58 associated with a significantly larger number and diversity of bacteriophages<sup>14</sup>. Similarly, a  
59 recent microbiome study conducted on malnourished pediatric patients hospitalized with  
60 acute diarrhea showed an increase in *Escherichia coli* bacteriophages compared to healthy  
61 individuals, that negatively correlated with the abundance of the bacterial host<sup>15</sup>. Other studies  
62 suggest that bacteriophages play a key role in regulating intestinal bacterial populations by  
63 showing that filtered (bacteria-free) faecal microbiota transplantation (FMT) yields curative  
64 results comparable to those obtained with traditional FMT, and that viral transfer correlates  
65 with the resolution of gut infections caused by *Clostridium difficile*<sup>16,17</sup>. Nonetheless,  
66 exploitation of this genomic information at the molecular level remains limited, because most  
67 of the sequences obtained do not match to a known function. Another major hurdle is the lack  
68 of association between bacteriophage sequences and those of their specific bacterial hosts.  
69 There is, therefore, a considerable gap between studies of interactions between bacteriophages  
70 and bacteria in laboratory conditions and the complexity of these interactions in the gut<sup>13,18</sup>.  
71 In the environment, bacteria and bacteriophages coexist in intricate, structured interaction  
72 networks<sup>19,20</sup>. Bacterial species are represented by distinct genetic lineages (strains) and

73 bacteriophages are mostly strain-specific: rare are bacteriophages that infect most strains  
74 within one given species and even fewer are those infecting distinct species. Thus, little is  
75 known about the role of bacteriophage-bacteria infection networks in driving the  
76 diversification of the gut microbial ecosystem in the context of health and disease.

77

## 78 **MICROBIOTA-DRIVEN BACTERIOPHAGE ADAPTATION**

79 Reductionist approaches using *E. coli* and its bacteriophages have successfully deciphered  
80 major mechanisms of molecular biology<sup>21-23</sup>. By lifting the reductionist approach to the next  
81 level of complexity, namely the study of the intestinal microbiota, we recently described the  
82 coevolution of one bacteriophage with multiple host strains within the mouse gut<sup>24</sup>. We  
83 studied P10, a virulent bacteriophage from the Myoviridae family, infecting the *E. coli* strain  
84 LF82, and we assessed its ability to adapt to *E. coli* strain MG1655, to which it was initially  
85 unable to bind and therefore could not infect. Such host-range expansion was observed, but  
86 only occurred during coevolution in the gut of conventional mice hosting *E. coli* strains LF82  
87 and MG1655 within their microbiota. In planktonic *in vitro* cultures or in the gut of dioxenic  
88 mice colonized solely by the two *E. coli* strains, this event was never detected. Based on these  
89 findings, we hypothesized that the mouse microbiota played a crucial role in promoting  
90 adaptation. Indeed, we showed that this adaptation was initiated by the infection of an  
91 intermediate host, *E. coli* strain MEc1, which we isolated from the murine microbiota. Mixing  
92 bacteriophage P10 *in vitro* with the three *E. coli* strains also promoted viral host-range  
93 expansion. This adaptation was accompanied by genomic differentiation in the bacteriophage  
94 population: a single point mutation in a tail fibre-encoding gene was found to be sufficient to  
95 promote host adaptation, but additional mutations were required to optimise the infectious  
96 cycle.

97 The spatial and temporal dynamics of the acquisition of these mutations in the structured  
98 intestinal environment remain unclear. However, our data are consistent with the hypothesis  
99 that the genomic differentiation of bacteriophage subpopulations depends on the diversity of  
100 the bacteria encountered, making the microbiota an ideal site to generate viral diversity. In  
101 addition to bacterial diversity, the spatial distribution of bacterial populations along the gut  
102 may also influence the dynamics of bacteriophage evolution<sup>25,26</sup>. The colonisation of macro-  
103 environments, such as the small versus the large intestine and their compartments (luminal  
104 and mucosal), and the occupation of specific niches within these contexts (nutrient-niche  
105 hypothesis<sup>27</sup>), give rise to structured networks of single or mixed bacterial populations<sup>28</sup>  
106 likely to promote the diversification of bacteriophages into multiple subpopulations with  
107 diverging infectivity profiles.

108

## 109 **GENETIC BACTERIAL RESISTANCE IN THE GUT**

110 Here, we analyse a second source of genomic diversity, the emergence of bacterial resistance,  
111 one of the drivers of antagonistic evolution<sup>5,8,29</sup>. Faecal pellets of mice in which P10  
112 adaptation had occurred, yielded five MG1655 clones displaying different degrees of  
113 resistance to adapted P10 bacteriophages (Fig. 1A). The genomes of these five strains  
114 presented different mutations in the *waaZ* gene, which encodes a protein involved in the  
115 biosynthetic pathway for the core lipopolysaccharide (LPS) (Fig. 1B; Table S1). We  
116 identified four convergent paths of adaptation, characterised by gene disruption by insertion  
117 sequences (ISs), IS5 and IS2, at different gene positions. We hypothesise that independent  
118 convergent events leading to modifications of the LPS core biosynthesis pathway had served  
119 as the first step towards adaptation of the newly targeted strain MG1655, under the selective  
120 pressure of bacteriophage predation.

121 Another gene, *waaY*, flanking *waaZ*, was also targeted by IS elements in three of the  
122 coevolved MG1655 clones. The occurrence of these mutations, coupled to the high degree of  
123 sequence identity between bacteriophage P10 and the LPS-binding WV8 and Felix-O1  
124 bacteriophages<sup>30,31</sup>, suggests a bacterial resistance strategy based on the masking of the  
125 bacteriophage receptor. Interestingly, natural populations of *Vibrio cholerae* isolated from  
126 patients with diarrhoea have also been shown to consist of heterogeneous mixtures of unique  
127 mutants resistant to bacteriophage predation<sup>32</sup>. However, these mutants were subject to  
128 fitness and virulence costs that might arguably affect their infection potential. Similarly,  
129 experimental phage therapy studies revealed that bacterial pathogens can develop  
130 bacteriophage resistance at the expenses of their major virulence factors, as shown in bovine  
131 enteropathogenic *E. coli*<sup>33</sup> or during experimental endocarditis due to *Pseudomonas*  
132 *aeruginosa*<sup>34</sup>.

133 Further genomic analysis of the MG1655 clones that had coevolved with P10 identified a  
134 second hotspot for mutations in the galactitol operon, which was previously shown to be  
135 pervasive in *E. coli* clones adapting to the gut environment<sup>35,36</sup>. In addition, two sugar  
136 metabolism pathways (maltose and galactonate) were targeted by IS insertions in genes  
137 encoding the DNA-binding transcriptional regulators (*malt*, *lgoR*), with probable positive or  
138 negative overall effects on pathway activation.

139 The contextual genomic variability of bacteriophages was also analysed by sequencing five  
140 adapted bacteriophages differing in their ability to infect the five MG1655 clones considered  
141 (Fig. 1C). The only bacteriophage able to infect all the bacterial clones had the largest number  
142 of mutations (12 mutations, versus 5 to 9 in the other bacteriophages isolated; Table S1),  
143 suggesting a possible faster pace of adaptation in response to bacterial resistance. The  
144 mutations were clustered into four genomic regions. The first corresponds to the *rIIA* (*gp37*)  
145 gene, the function of which is probably related to infection fitness, as this gene was also

146 highlighted in our population genomics study in *in vitro* conditions<sup>24</sup>. A second, larger region  
147 encompasses several structural genes, including the tail fibre genes. The *gp55* and *gp57*  
148 genes, which are predicted to encode two subunits of the class I ribonucleotide reductase,  
149 were also affected, together with *gp108*, the function of which is unknown.

150 However, the functions of the affected genes were not sufficient to associate genomic  
151 mutations with differences in bacteriophage infectivity, highlighting the versatility of  
152 bacteriophage infection. It remains to be determined which of these mutations accumulated  
153 before and after the development of bacterial resistance.

154 We investigated these dynamics further, by performing a time-shift interaction study. We  
155 isolated P10 clones ( $n=40$ ) from three time points during coevolution: one time-point before,  
156 and two after the adaptation of P10 to strain MG1655. We characterised the ability of these  
157 clones to infect MG1655 clones ( $n=40$ ) isolated at past, present and future time points in the  
158 same experiment. As expected, bacteriophages isolated before the adaptation event were  
159 unable to infect any of the contemporary MG1655 clones (present) (Fig. 1D). While adapted  
160 bacteriophages were always able to infect bacterial clones from the past time points, those  
161 isolated at the first time point after the adaptation event (day 1) showed reduced infectivity  
162 towards MG1655 bacterial clones isolated at the present and, particularly, future time points.  
163 However, all bacteriophages isolated subsequently (day 21) were able to infect past, present  
164 and future bacterial clones, overcoming the bacterial resistance that had developed and  
165 demonstrating the occurrence of continuous adaptive evolution in the mouse gut (Fig. 1D).

166 It could, therefore, be argued that bacteriophage adaptation in the gut led to a two-step  
167 coevolution pathway, in which the evolutionary arms race was initially characterised by the  
168 rapid development of bacterial resistance followed by a refining of bacteriophage adaptation.  
169 The two populations subsequently continued to coexist, with no evidence of renewed bacterial

170 resistance, suggesting that transient resistance occurred *in situ*, protecting the bacteria against  
171 bacteriophage predation, as discussed below.

172

### 173 **TRANSIENT BACTERIAL RESISTANCE IN THE GUT**

174 Bacterial resistance to bacteriophages has long been studied and characterised *in vitro*<sup>37</sup>, and  
175 is known to involve several mechanisms. These include the prevention of adsorption,  
176 superinfection exclusion, restriction modifications, CRISPR-Cas systems, bacteriophage  
177 exclusion (BREX), and many new recently discovered systems revealing the extreme  
178 versatility of bacterial resources for defence<sup>38-40</sup>. Nonetheless, little is known about the  
179 mechanisms activated *in vivo*, and their relevance and impact in natural communities. In our  
180 study, the resistance of strain MG1655 to the newly adapted bacteriophage P10 seemed to  
181 depend on preventing adsorption by modifying the bacteriophage receptor. However, this may  
182 simply reflect part of the process of bacteriophage adaptation to a new bacterial host, as the  
183 bacteriophage could rapidly fine-tune its mechanism of infection to overcome this resistance.  
184 This hypothesis is supported by the lack of emergence of resistant clones of the original  
185 bacterial host, strain LF82, in mouse faeces (data not shown), despite the presence of large  
186 numbers of both the bacteriophage and the bacterium during the course of the experiment.  
187 We have already reported similar observations for a different *E. coli* strain, 55989, coevolving  
188 in mouse gut with either a cocktail of three virulent bacteriophages or with each  
189 bacteriophage separately. No resistance was ever detected when 20 bacterial isolates were  
190 tested against the individual bacteriophages<sup>41,42</sup>. However, two to six hours of co-incubation  
191 with the same bacteriophages *in vitro* was sufficient to trigger the development of bacterial  
192 resistance<sup>43</sup>. We also previously tested the ability of each bacteriophage to replicate in the  
193 intestinal environment *ex vivo*, both in homogenates of the small and the large intestines and  
194 in the faeces of mice colonised with *E. coli* strain LF82 or strain 55989<sup>41,44</sup>. We found that

195 all bacteriophages were infectious in the ileal sections, but that replication in colonic or faecal  
196 samples was significantly impaired for some of them <sup>41, 44</sup>.

197 These results support the hypothesis that the metabolic state of bacteria, which is not uniform  
198 throughout the gut <sup>45</sup>, is the principal barrier to bacteriophage infection. Indeed, several  
199 factors, such as the availability of carbon sources, oxygen, and stress responses, can have a  
200 marked effect on cell surface structures, some of which are required for bacteriophage  
201 infection. This physiological and structural versatility provides bacteria with opportunities for  
202 transient resistance to bacteriophages without paying the cost or irreversible mutations, but  
203 remaining susceptible when the physiological conditions change, such as during growth in the  
204 laboratory environment. Conversely, bacteriophages can escape such resistance strategies by  
205 entering into a state of pseudolysogeny or hibernation, in which the infectious cycle is halted  
206 until better conditions for progeny production occur <sup>46, 47</sup>.

207

## 208 **MODEL OF BACTERIOPHAGE – BACTERIA COEVOLUTION IN THE GUT**

209 This dynamic picture of the coevolution of bacteria and bacteriophages serves as the basis of a  
210 theoretical model describing how microbiome diversity is generated and expanded via these  
211 interactions (Fig. 2). Mutations in the bacteriophage genome accumulate when they confer a  
212 fitness advantage and favour perpetuation of the infection cycle. This corresponds to  
213 adaptation to new host strains, and/or host strains that have acquired resistance. However, it  
214 remains unclear whether bacteriophage evolution discriminates between these two bacterial  
215 situations, since each adaptation event would involve specific mechanisms to overcome the  
216 obstacles to predation.

217 The evolution of the microbiome results in a growing number of bacteriophage populations  
218 infecting new bacterial hosts with which perpetuating the process of antagonistic coevolution.

219 This is likely to occur at the expense of the most abundant and available bacterial populations,  
220 providing a major contribution to microbiome homeostasis and to bacterial differentiation.  
221 Bacterial hosts also have opportunities to escape bacteriophage predation, resulting in  
222 genomic differentiation between microbial populations. In addition, some of these populations  
223 are likely to be protected against bacteriophage predation due to their physical inaccessibility  
224 in the environment, their limited density and/or the development of transient resistance due to  
225 their metabolic and phenotypic states. An example of such viral diversification in the human  
226 gut can be found with the expanding population of Crassphage<sup>48</sup>.

## 227 **CONCLUDING REMARKS**

228 The timing, frequency and conditions required for bacteriophage adaptation and bacterial  
229 resistance during coevolution in the intestinal microbiota remain largely unpredictable.  
230 However, we propose that, in healthy conditions, bacteriophage communities play a crucial  
231 role in controlling bacterial populations, both by promoting heterogeneous microbial  
232 differentiation and by adapting in a flexible manner to new patterns of abundance and  
233 diversity in susceptible bacteria. If this fails to occur, dysbiotic conditions may arise, leading  
234 to extinction or abnormal proliferation of the viral and bacterial partners, with consequences  
235 for human health.

236

## 237 **Disclosure of potential conflicts of interest**

238 The authors declare no potential conflicts of interest.

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246

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367 Legends

368 Figure 1: Bacteriophages and bacteria coevolve in the mouse gut.

369 A) Adapted (ad\_) P10 bacteriophages show differential infectivity towards coevolved (ev\_)  
370 clones of *E. coli* strain MG1655 (MG) isolated at the same time point and that have developed  
371 bacteriophage resistance. Infectivity of five P10 bacteriophages (1-3,5-6) was tested against  
372 five MG1655 clones (a-e) by double spot technique<sup>49</sup> with two amounts of bacteriophages  
373 ( $10^6$  and  $10^4$  pfu) in three replicates. Positive results of infection were determined by  
374 recording bacterial lysis and are shown as black dots. B) Bacterial genomic mutations under  
375 bacteriophage selective pressure in the mouse gut: ev\_MG clones a-to-e were sequenced by  
376 Illumina technology and mutations were called using the Breseq variant report software v0.26  
377<sup>50</sup>. Mutations (orange, red and blue triangle - IS1, IS2 and IS5 respectively, black triangle  
378 pointing down - 1-5bp insertion, black triangle pointing up - 1-5bp deletion, vertical black  
379 rectangle – SNP and black horizontal rectangle - >1kb deletion) are reported relative to their  
380 positions in the genome. For mutation hotspots, the relative targeted genes are reported as  
381 purple arrows. For a complete list of bacterial genomic mutations see Table S1. The  
382 corresponding sequences are deposited at ENA under project PRJEB24878. C) Bacteriophage  
383 genomic mutations accumulated during coevolution with strain MG1655 in the mouse gut.  
384 Sequences of five adapted P10 bacteriophages (ad\_P10\_1-3,5-6) were analysed as described  
385 for bacterial clones. Mutations are relative to their positions in the bacteriophage genome  
386 (ORFs are shown as purple arrows) and mutation hotspots are indicated (same legend as for  
387 panel B). For a complete list of viral genomic mutations, see Table S2. The corresponding  
388 sequences are deposited at ENA under project PRJEB18073. D) Bacteriophages overcome  
389 genetic bacterial resistance. A time-shift experiment shows the percentage infectivity of  
390 fourty P10 bacteriophages from different time points tested towards fourty MG1655 clones

391 isolate from past, present and future time-points during coevolution in the mouse gut.

392 Bacterial lysis was tested by double-spot assay<sup>49</sup>.

393

394 Figure 2: Model of bacteriophage-bacteria coevolution and differentiation in the gut.

395 From the bottom, three bacterial populations (blue, green and orange) are differentially

396 susceptible to one bacteriophage (yellow). Under bacteriophage predation, sub-populations of

397 resistant bacteria can emerge (lighter colours). These either can become dominant, leading to

398 extinction of other subpopulations, or be maintained in equilibrium. Contextually,

399 bacteriophage sub-populations diverge (represented by different colours) by adapting to

400 changes in the coevolving bacteria or to new hosts (host-jump, black arrows). The

401 consequence (top) is the progressive differentiation of both antagonistic populations.

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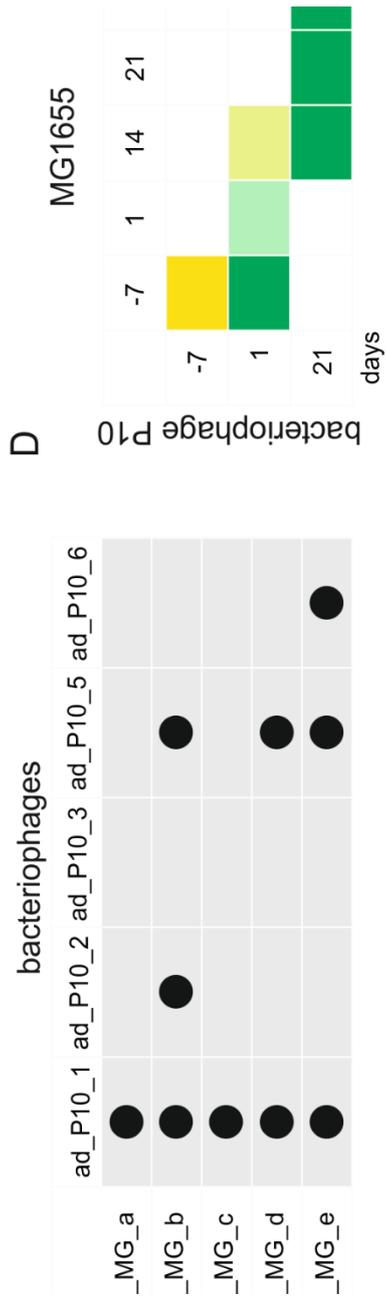
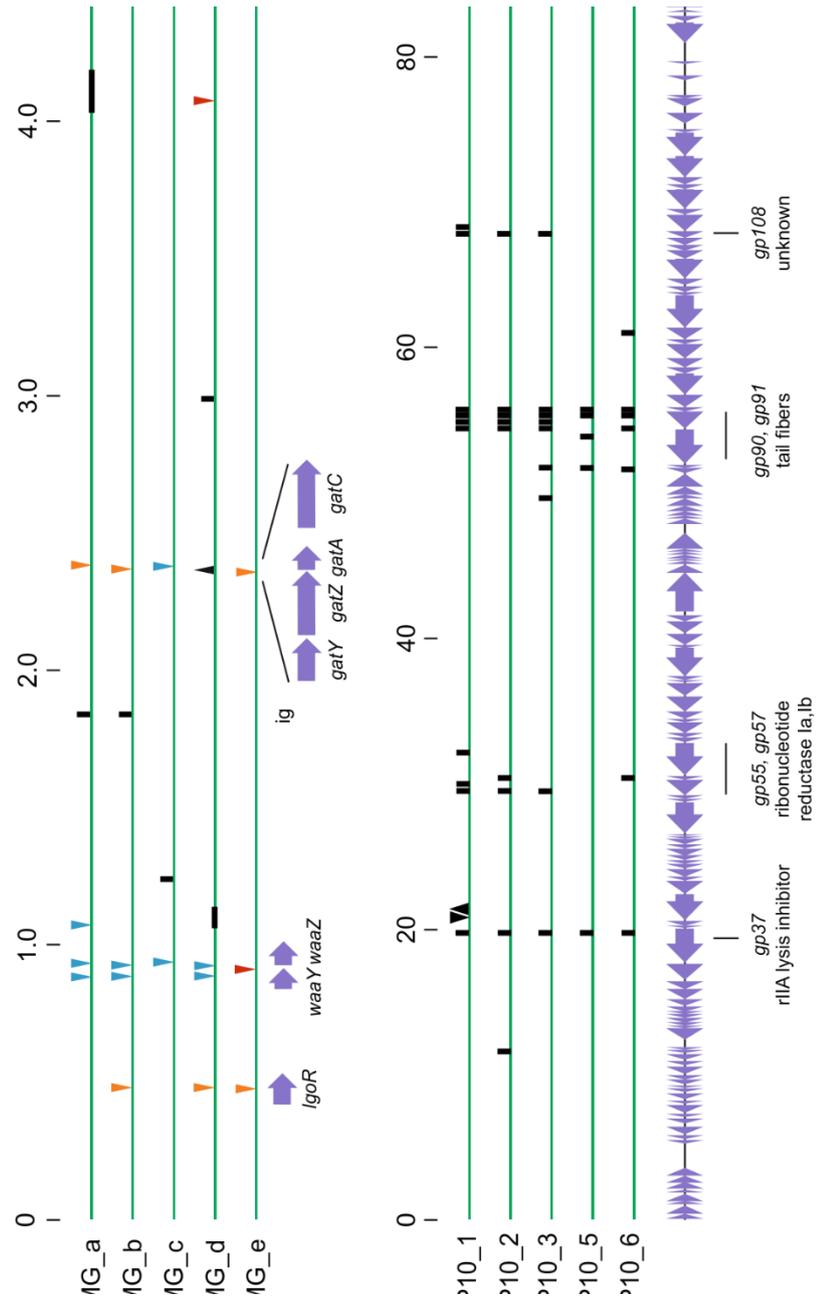
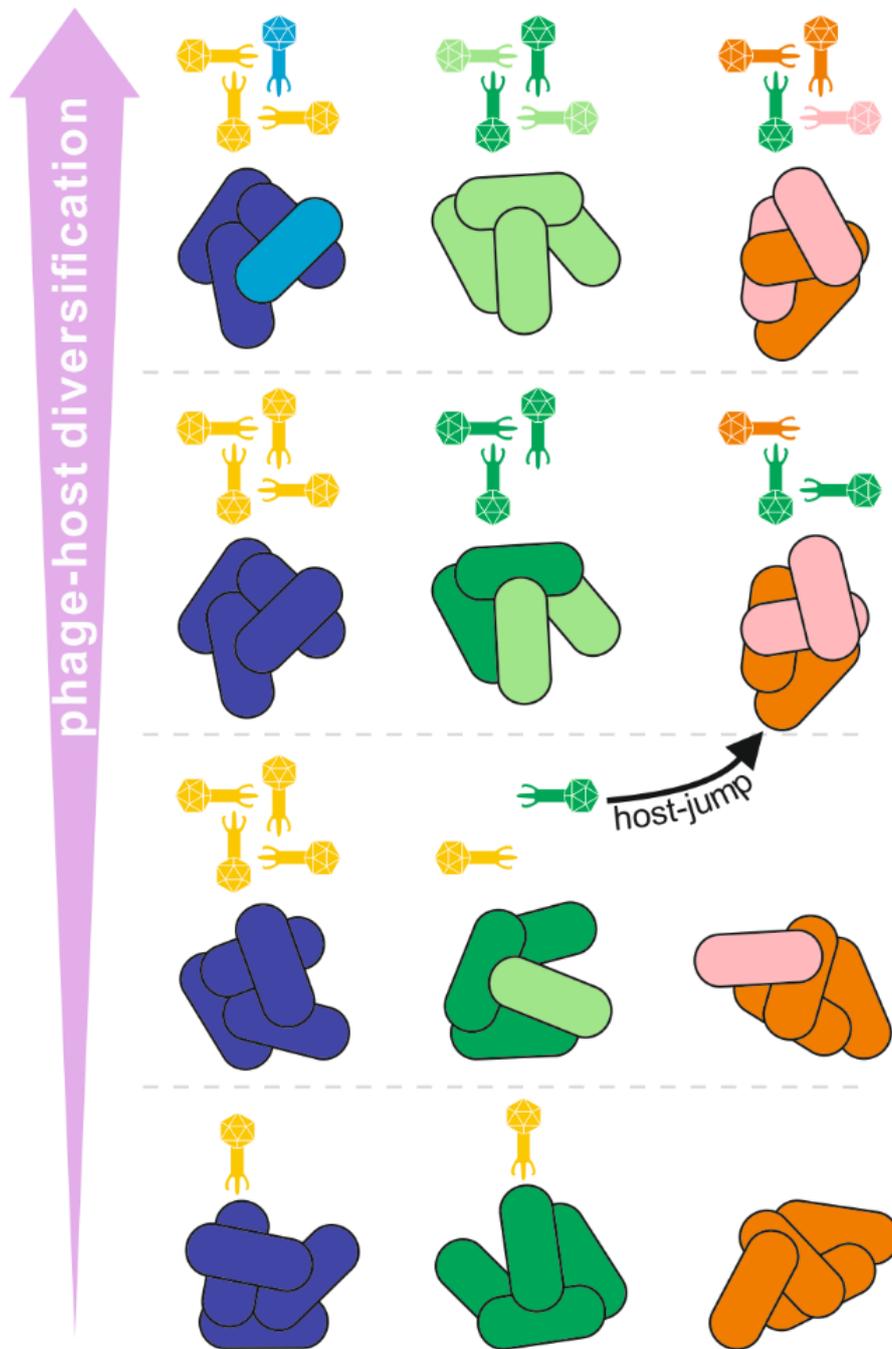


Figure 1





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Figure 2