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Innovative *in cellulo* method as an alternative to *in vivo* neurovirulence test for the characterization and quality control of human live Yellow Fever virus vaccines: a pilot study

Anaelle da Costa^{a,b*}, Christophe Prehaud^{a*}, Cecile Khou^c, Nathalie Pardigon^c, Aure Saulnier^b, Nolwenn Nougarede^b, Monique Lafon^a

^aInstitut Pasteur, CNRS UMR 3569, Unité de Neuroimmunologie Virale, 25 rue du Dr Roux, 75724 Paris Cedex 15, France ; ^bSanofi Pasteur, Plateforme de caractérisation immunologique et microbiologique, Département analytique de la R&D, 1541 Avenue Marcel Mérieux, 69280 Marcy l'Etoile, France ; ^cInstitut Pasteur, Unité de recherche et d'expertise Environnement et risques infectieux, Groupe Arbovirus, 25 rue du Dr Roux, 75724 Paris Cedex 15, France

* Co-first authors

Corresponding author: Monique Lafon

Tel : +33 1 45 68 87 52 ; E-mail: monique.lafon@pasteur.fr Running title: Novel *in cellulo* test of live YFV vaccines

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Abstract

Live attenuated vaccines have proved to be mostly valuable in the prevention of infectious diseases in humans, especially in developing countries. The safety and potency of vaccine, and the consistency of vaccine batch-to-batch manufacturing, must be proven before being administrated to humans. For now, the tests used to control vaccine safety largely involve animal testing. For live viral vaccines, regulations require suppliers to demonstrate the absence of neurovirulence in animals, principally in non-human primates and mice. In a search to reduce the use of animals and embracing the 3Rs principles (Replacement, Reduction, Refinement in the use of laboratory animals), we developed a new Blood-Brain Barrier Minibrain (BBB-Minibrain) *in cellulo* device (i.e. a device used to conduct experiments with cells outside the organism (animal) and not in glassware) [1, 2] to evaluate the neuroinvasiveness/neurovirulence of live Yellow Fever virus (YFV) vaccines. A pilot study was performed using the features of two distinct YFV strains, with the ultimate goal of proposing a companion test to characterize YFV neurovirulence. Here, we demonstrate that the BBB-Minibrain model is a promising alternative to consider for future replacement of YFV vaccine *in vivo* neurovirulence testing (see graphical abstract).

Keywords: 3Rs (Replacement, Reduction, Refinement), vaccine, Yellow Fever virus, nonhuman primates, BBB-Minibrain, *in cellulo* model

1. Introduction

Vaccination is one of the most cost-effective health investments, with proven strategies to protect from infectious diseases and increase the live expectancy of humans. Vaccines are sometimes prepared using live non-virulent viruses [3]. Their efficacy and the need for limited injections compared to inactivated vaccines make them useful tools. Before becoming licensed for human immunization, the seeds of live viral vaccines, such as those of Yellow Fever virus (YFV), Measles or Poliovirus need to be tested for efficacy and safety, including monitoring for the absence of neurovirulence [4, 5]. Currently, safety testing is mainly performed in animal models[6, 7]. There are a number of reasons to seek alternatives to this, namely; the cost of animal studies, the difficulties associated with measuring pathology in animal brains, and the ethical questions regarding the use of animals in experimentation, particularly non-human primates. All these reasons are strong drivers for the development of alternative methods. The 3Rs approach (Replacement, Reduction, Refinement of the use of animals in scientific research) is clearly a priority for health authorities globally, and poses a challenge for scientists to develop alternative in cellulo tests that obviate the need for animal experimentation [8-12]. Cellular tests, which could amplify and detect the neurovirulence phenotypic traits of live vaccines, would be highly desirable. Virus-mediated neurological disease entails quite complex polygenic traits, requiring the acquisition of neuroinvasiveness (entry into the central nervous system [CNS]), by passage for instance through the bloodbrain barrier (BBB), neurotropism (the capacity to infect neural cells and propagate in the nervous system), and virus-induced neuronal homeostasis perturbation (neurovirulence) [13, 14]. The growth, within the CNS, of a pathogenic viral population could be the result of it acquiring neuropathogenic properties that originate from fixation of a few genomic mutations [15]. Moreover, neurological disorders (i.e. neuroinflammation, neurotoxicity, perturbation of neuronal homeostasis) result in the modulation of distinct clusters of cellular genes, activated by neuropathogenic viruses and not by their non-neurovirulent counterparts [16, 17].

Cerebral endothelial cells, forming tight and adherent junctions, constitute the main anatomical basis of the BBB. There is a dynamic interaction between the brain endothelium, other neighboring cells (such as astrocytes, pericytes, perivascular microglia) and neurons which are pivotal to maintaining the integrity of the BBB. The three major functions of the BBB are the creation and maintenance of ionic homeostasis for neural functions, the supply of the CNS with nutrients, and protection from exogenous toxic injuries including those inflicted by pathogens [18, 19]. Some neurotropic viruses (e.g. HTLV, AAV9, HIV, WNV) have acquired neuroinvasive capacity, that is to directly or indirectly cross the BBB [20, 21].

Our objective was to design an *in cellulo* test capable of monitoring the absence of neurovirulence of a live YFV vaccine batch. Such a test must be highly sensitive and capable of firstly, revealing rare viral neuroinvasive/neurovirulent emerging events that enable the virus to enter the brain through the BBB; secondly, amplify the mutant population in a model, mimicking brain parenchyma, after its passage through the BBB; and finally, be able to detect specific features of the neuroinvasive/neurovirulent mutants.

We recently designed and developed a BBB-Minibrain culture device (da Costa *et al.*, in preparation), which appears potentially suitable for such a test. The device consists of a *in cellulo* model of BBB formed by endothelial cell (hCMEC/D3)-coated Transwells[®] porous filters and cell culture wells, containing a mixed culture of human brain cells (neurons, astrocytes and microglia) called Minibrain (Fig 1A). The Minibrain tri-culture system thus mimics a simplified cerebral parenchymal environment, with the exception of oligodendrocytes. We anticipate this device responding to all three requirements; selecting and amplifying rare variants exhibiting neuroinvasive/neuropathogenic properties, and

detecting homeostasis perturbations induced by the neuroinvasive/neurovirulent mutants in the sub-compartment containing the Minibrain.

We assessed the BBB-Minibrain culture device as an *in cellulo* test, to isolate and amplify rare neuroinvasive/neurovirulent variants potentially present in YFV strains. Two YF live vaccine strains were included in this study: 17D strain and the French Neurotropic Virus (FNV) strain. They were obtained by passaging with Asibi and French Viscerotropic Virus (FVV) strains, respectively, in the mid-1930s [22-24]. The 17D vaccine is a safe, and efficacious, live-attenuated vaccine still in use today [24]. FNV, although slightly more efficacious than 17D, was found to cause post-vaccination neuropathogenesis in children (0.3–0.4%), and thus was discontinued in 1980 [22, 23]. We chose this pair of YFV vaccine strains for our test : the FNV strain as a prototype of neuroinvasive/neurovirulent YF viruses, and 17D strain as a prototype of non-neuroinvasive/neurovirulent virus [25]. We compared the capacity of FNV and 17D viruses to cross the BBB, in the BBB-Minibrain model, we assessed their ability to infect the Minibrain, multiply in it, and perturbate its homeostasis. Our results demonstrate that it is feasible to detect neurovirulent variants, reliably and timely in cellulo with the BBB-minibrain. This system represents a promising development, and could ultimately enable the replacement of animals for neurovirulence testing of vaccine from which live virions can cross the BBB.

2. Material and methods

2.1. Cells

hCMEC/D3 cells are human cerebral microvessel endothelial cells [26]. The cells were purchased from Tebu-Bio (France). They were maintained at 37°C on rat collagen (0.1mg/ml in water, Cultrex, 3443-100-01, R&D Systems, U.K.) in EndoGro medium (Merck Millipore, SCME004, France) supplemented with 5% fetal bovine serum (FBS) and 1% penicillin-streptavidin (Thermofisher, 15140, France).

For experiments, 5.10⁴ or 2.10⁵ hCMEC/D3 cells were seeded onto collagen-coated cell culture Transwell[®] inserts, 12- or 6-well plate inserts, respectively (Corning, 3460; Corning, 3450, USA) and grown for 6 days. Under these conditions, hCMEC/D3 cells formed a confluent monolayer after 3 days and formed a barrier, with tight junctions, after 6 days [26].

NT2-N/A, a mixed culture of neurons and astrocytes [17, 27], was differentiated from Ntera-2clD/1 cells (ATCC CRL1973), as described elsewhere [28, 29]. A tri-culture was obtained by adding human CHME microglial cells [30] at a 1:10 ratio. Phase-contrast microscopy pictures of **Figure S1A** show Ntera-2clD/1 (upper panel), NT2-N/A, mixed culture of neurons and astrocytes (middle panel) and CHME, microglial cells (bottom panel). Of note, the CHME we used were phenotyped to prove that they were of human origin (*Homo sapiens* CCNT1 phenotyping) and not of rat (*Rattus norvegicus*) origin as claimed by Garcia-Mesa Y. *et al.* [31]. This tri-culture, called Minibrain, was maintained at 37°C in Dulbecco's Modified Eagle Medium/Nutrient Mixture F-12 (DMEM–F12) (Thermofisher, 31330, France) supplemented with 5% FBS (EuroBio, CVFSVF01-01, France), 1% L-glutamine (Thermofisher, 25030, France) and 1% penicillin-streptavidin (Thermofisher, 15140, France). African green monkey kidney cells Vero (ATCC CRL-1586, positive control cell line for Yellow Fever viruses) were maintained at 37°C in DMEM supplemented with 5% FBS and 1% penicillin-streptavidin.

2.2. hCMEC/D3-Minibrain co-culture

For the co-culture experiments between hCMEC/D3 on inserts, and Minibrain in the cell culture well, the hCMEC/D3 and Minibrain cells were seeded the same day, on inserts or wells respectively, in EndoGro medium supplemented with 5% FBS and 1% penicillin-streptavidin. Each day, during the 6 days of culture needed by the hCMEC/D3, inserts containing hCMEC/D3 cells were placed in wells containing Minibrain cells. On the sixth day, the paracellular permeability of hCMEC/D3 was tested for each condition by monitoring the permeability to lucifer yellow (LY).

2.3. Assessment of the restrictive paracellular permeability with LY

The restrictive paracellular permeability of hCMEC/D3 was assessed by their low permeability to the non-permanent fluorescent LY (Sigma Aldrich, L0259, USA).

Briefly, for 12-well inserts, hCMEC/D3 monolayers cultivated for 6 to 7 days on inserts, were transferred to 12-well plates containing 1.5 mL of transport medium [(HBSS, Thermofisher, 14025-100, France) supplemented by 10 mM of hepes (Thermofisher, 15630-080, France) and 1 mM of sodium pyruvate (Thermofisher, 11360)] per well (abluminal compartment). Transport medium (0.5 mL) containing 50 μ M of LY was then added to the luminal compartment. Incubations were performed at 37°C, 5% CO₂, 95% humidity. After 10, 25 and 45 min, the inserts were transferred into new wells, previously filled with 1.5 mL of transport medium. After 45 min, aliquots were taken for each time point, from both compartments and

the concentration of LY determined using a fluorescence spectrophotometer (Tecan Infinite F500, USA). For 6-well inserts, the same method was used with 1.5 mL in the luminal compartment and 2.5 mL in the abluminal compartment.

The endothelial permeability coefficient (P_e) of LY was calculated in centimeters/min (cm/min), as described by Siflinger-Birnboim *et al.* [32]. To obtain a concentrationindependent transport parameter, the clearance principle was used. Briefly, the average volume cleared was plotted versus time, and the slope was estimated by linear regression. Both insert permeability (PS_f, for insert only coated with collagen) and insert plus endothelial cell permeability (PS_f, for insert with collagen and cells) were taken into consideration, according to the following formula: $1/PS_e = 1/PS_f - 1/PS_f$. The permeability value for the endothelial monolayer was then divided by the surface area of the porous membrane of the insert to obtain the endothelial permeability coefficient (P_e) of the molecule (in cm/min⁻¹). As a quality control of our experiments, we considered that a Pe higher than 1.2 would mean a defect in the BBB integrity [33, 34].

2.4. Virus stock production

YFV strain 17D (Gene Bank MG051217) and YFV strain FNV (From the collection of Institut Pasteur Dakar, Senegal, Gene Bank MG051218) were produced in Vero cells. To produce viral stocks, Vero cells grown in EndoGro medium supplemented with 2% FBS were infected with the viruses at a multiplicity of infection (MOI) of 0.1 and supernatants were harvested 4 days post-infection at the onset of cytopathic effect. Supernatants were then centrifuged for 5 min at 1200 g and stored at -80°C. Viral titers obtained for 17D and FNV were not significantly different (**Figure S1B**).

2.5. Virus titration by plaque assay

Vero cells were seeded in 24–well plates. Tenfold dilutions of virus samples were prepared in DMEM and 200 μ L of DMEM supplemented with 2% FBS and 200 μ L of each dilution were added to the cells. The plates were incubated for 90 min at 37°C. Unadsorbed viruses were removed, 400 μ L of DMEM supplemented with 2% FBS and 400 μ L of DMEM supplemented with 2% FBS and 400 μ L of DMEM supplemented with 2% FBS and 400 μ L of DMEM supplemented with 2% FBS and 400 μ L of DMEM supplemented with 1.6% carboxymethyl cellulose (CMC), 10 mM HEPES buffer, 72 mM sodium bicarbonate, and 2% FBS were added to each well, followed by incubation at 37°C for 5 days. The CMC overlay was eliminated, and the cells were washed with PBS, fixed and stained with 4% paraformaldehyde/5% crystal violet for 20 min and rinsed 3 times with water. The titers are expressed in plaque forming unit (pfu)/mL

2.6. Viral production kinetic on Minibrain cells

Minibrain cells grown in 24-well plates in EndoGro medium supplemented with 2% FBS were infected with YFV strains 17D or FNV at a MOI of 0.5. The plates were incubated for 2 h at 37°C. Unadsorbed virus particles were removed, and EndoGro medium supplemented with 2% FBS was added. Supernatant was harvested and frozen at different time points (0, 24, 48 and 72 h), and then titrated as described above.

2.7. Cellular marker expression quantification by qRT-PCR

Minibrain total RNAs were extracted and purified with QIAshredder column (Qiagen, 79656, Germany) and RNeasy minikit (Qiagen, 74104, Germany) following the manufacturer's instructions. RNA quantity and quality were monitored using spectrophotometry (NanoDrop, USA). Reverse transcription-PCR (RT-PCR) was performed using a PX2 thermal cycler.

cDNA synthesis was performed from 0.36 µg total RNA, using SuperScript II reverse transcriptase (Thermofisher, 18064, France) according to the manufacturer's instructions.

Quantitative real-time PCR (qPCR) was performed in triplicate using an ABI Prism 7500 fast sequence detector system with Go Taq master mix (Promega, A6001, France), with 30 cycles of amplification. After normalization to 18S RNA, the relative abundance of mRNA was obtained by calculation of the difference in threshold cycles of the test and control sample (Caco2 cells RNA), commonly known as the $\Delta\Delta$ CT method. CD200R (QT00037569) and TH (QT00067221) primers were purchased from Qiagen (Germany). Primers for 18S: 5'-CTTAGAGGGACAAGTGGCG-3' and 5'-ACGCTGAGCCAGTCAGTGTA-3'. Primers for aquaporin 4: 5'-GGTATAGTCAATTCTTATTTGAAT-3' and 5'-CTTGAATCTCAATAGGTGCCCTTA-3'. Primers for GFAP: 5'-CTGCTTCTTAACCCCAGTAAGCCA-3' and 5'-CAGCAGTGCCCTGAAGATTAGCAG-3'. 5'-TCCTGCTGTGTCCTGAGGTGCATT-3' 5'-Primers for PYGB: and GCCCAGATCCAGCATGCAAGGTGC-3'. Primers for **NEFH**. 5'-GATGAAGATTGAGCGGCCTGTAAC-3' and 5'-TCCTCCGCTTCCGCTGCACCTCTT-3'.

2.8. Immunostaining

2.8.1. Staining of hCMEC/D3 and Vero cells grown on coverslips:

After 24 h of infection at a MOI of 0.1, cells were fixed with 4% formaldehyde for 10 min followed by permeabilization with 0.2% Triton X-100 for 2 min. After permeabilization, the cells were washed with PBS, and incubated for 1 h at 37°C with PBS-milk 5%. The cells were incubated for 1 h at 37°C either with anti-Flavivirus E protein mouse antibody (4G2) (Biotem, HB112-4G2, 5µg/well, France) or with anti-YFV NS1 protein mouse antibody (EastCoastBio, HM489, 2µg/well, USA) in PBS-milk 2%, followed by incubation for 1 h at 37°C with Alexa Fluor 488-conjugated anti-mouse IgG antibody (Invitrogen, A11001, 1/2000, France) in PBSmilk 2%. The cells were then incubated for 30 min at 37°C with Cellmask (Molecular probes, C10045, USA) in PBS-milk 2%. The coverslips (Merck-Millipore, PEZGS0416, France) were mounted with ProLong gold antifade reagent with DAPI, a nucleus marker (Thermofisher, P36935, France). The slides were examined using a fluorescence microscope (Axio observer D1, Zeiss, Germany).

2.8.2. NT2-N cell staining:

After 48 h of infection at a MOI of 1, cells were fixed with 4% paraformaldehyde for 20 min followed by permeabilization with 0.5% Triton X-100 for 10 min. The cells were then washed with PBS, and incubated overnight at 4°C with PBS with 50% goat serum. Then, the cells were incubated overnight at 4°C with anti-NF200 (neuronal neurofilament) rabbit antibody (Sigma, N4142, 1/1000, USA) and anti-Flavivirus E protein mouse antibody (4G2) (R&D biotech, 1/1000, USA) in PBS with 50% goat serum, followed by incubation for 1 h at 37°C with Alexa Fluor 488-conjugated anti-rabbit IgG (Invitrogen, A11034, 1/500, France) and Cy3-conjugated anti-mouse IgG antibody (Jackson, 715–165–150, 1/500, USA) and Hoescht (Sigma, 33263, 1/200, USA) in PBS with 50% goat serum. The coverslips were mounted with ProLong gold antifade reagent (Thermofisher, P36930, France). The slides were examined using a fluorescence microscope (LSM 700, Zeiss, Germany). The percentage of infected neurons was calculated by screening >50 fields in each condition.

2.9. YFV RNA quantitation by RT-ddPCR

Minibrain RNAs were extracted and purified with QIAshredder column (Qiagen, 79656, Germany) and RNeasy minikit (Qiagen, 74104, Germany) following the manufacturer's instructions. For the RT-droplet digital PCR (RT-ddPCR) assay, 5 μ L of tenfold dilutions of total RNA extract was combined with 20 μ L of master mix (Bio-Rad, 186–4021, USA),

primers (5'-GCACGGATGTAACAGACTGAAGA-3' and 5'-CCAGGCCGAACCTGTCAT-3') and probe (5'FAM-CGACTGTGTGGTGCGGCCCATC-3'BHQ1) and then placed in a well in the 8-well droplet generator cartridge (BioRad, Bio-Rad, 186–4108, USA) with 70 μL of droplet generator oil (BioRad, 186–4110, USA). The cartridge was placed in the droplet generator machine (BioRad, USA). The droplets were transferred to a PCR plate which was placed in a T100 PCR thermal cycler apparatus (BioRad, USA) with the following program: reverse transcription step at 50°C (60 min), followed by an initial PCR activation step at 95°C (15 min), 45 cycles of denaturation at 94°C (30 sec, ramping 2.5°C/sec), annealing and extension at 60°C (60 sec, ramping 2.5°C/sec) and a final step of enzyme heat kill at 98°C (10 min). Fluorescence in droplets was measured using the QX100 Droplet Reader (BioRad, USA). Droplets were considered positive when the specific fluorophore (FAM: 6carboxyfluorescein) was detected. The results were analyzed to determine the concentration of sample, expressed as genome equivalent (Geq) per μg of total RNA.

2.10. Evaluation of YFV neuroinvasive phenotype (capacity to pass though the BBB)

hCMEC/D3 cells were seeded in inserts in EndoGro medium supplemented by 5% FBS 6 days prior to the virus inoculation (H0). EndoGro medium supplemented by 2% FBS was substituted to the original medium 24 h before the onset of the experiment. At the onset of the experiment (H0), 50 μ L (corresponding to 3.5×10^3 pfu/insert) of the viruses produced in EndoGro medium supplemented with 2% FBS were added in the luminal compartment. 24 h later, the inserts containing hCMEC/D3 cells were removed and tight junction integrity was assessed by measuring of the endothelial permeability to LY. RNAs were extracted for transcriptomic studies. The abluminal compartment supernatant was used to quantify the viruses by titration.

2.11. Evaluation of YFV neuroinvasive and neurotropic phenotypes (capacity to pass through the BBB and multiply in the Minibrain, respectively)

hCMEC/D3 cells were seeded in inserts in EndoGro medium supplemented by 5% FBS 6 days prior to the virus inoculation (H0). Minibrain cells were seeded at the bottom of the wells in DMEM supplemented with 5% of FBS 4 days prior to the virus inoculation. hCMEC/D3 and Minibrain cells were co-cultured in EndoGro medium supplemented by 2% FBS 24 h before the onset of the experiment. At H0, 50 μ L of the viruses produced in EndoGro medium supplemented with 2% FBS were added in the upper compartment (corresponding to 3.5×10^3 pfu/insert). The inserts containing hCMEC/D3 cells were removed 24 h later and tight junction integrity was assessed by measuring the endothelial permeability to LY. The Minibrain supernatant was used to quantify the viruses in the lower abluminal compartment by titration and medium was renewed.

48 h later (H72), the Minibrain supernatant was used to quantify the viruses in the abluminal compartment by titration and the Minibrain RNAs were extracted to quantify the viral RNA in the Minibrain cells by ddPCR and/or for transcriptomic studies.

2.12. Transcriptomic studies

RNAs were extracted and purified with QIAshredder column (Qiagen, 79656, Germany) and RNeasy plus minikit (Qiagen, 74134, Germany) according to the manufacturer's instructions from 24 h-infected hCMEC/D3 or 72 h-infected Minibrain. Transcriptomic experiments were sub-contracted to CERBM (Plateform GenomEast of IGBMC, Strasbourg, France) and performed using Affymetrix GeneChip Human Genome U133 2.0 Plus. Briefly, biotinylated complimentary RNA (cRNA) targets were prepared using the "MessageAmpTM Premier RNA Amplification Kit" from Ambion (USA), according to the Instruction Manual (# 4386269 Revision B, 18 September 2007), starting from 300 ng of total RNA.

Following fragmentation, 10 µg of cRNAs were hybridized for 16 h at 45°C, 60 rpm on Human GeneChip[®] HG-U133 plus 2.0 arrays (Affymetrix, USA). The chips were washed and stained in the GeneChip[®] Fluidics Station 450 (Affymetrix, USA) using the FS450_0004 script and scanned with the GeneChip[®] Scanner 3000 7G (Affymetrix, USA) at a resolution of 1.56 µm. Raw data (CEL Intensity files) were extracted from the scanned images using the Affymetrix GeneChip[®] Command Console (AGCC) version 4.1.2 (Affymetrix, USA).

CEL files were further processed with Affymetrix Expression Console software version 1.4.1 to calculate probeset signal intensities, using Robust Multi-array Average (RMA) algorithms with default settings. A cut off of 2.1 fold change at p<0.05 (multiple Student's t test) was used to cluster the genes. Genes with significant fold change were compiled and data mined by using the ingenuity pathway analysis software and data banks (<u>www.ingenuity.com</u>, a Qiagen Company, USA). Networks analysis identifies key regulatory networks and pathways that are perturbed and then determines which plausible upstream regulator could cause the resulting changes in gene expression. The major biological functions, the transcription regulators and signaling pathways affecting these genes were determined (Fisher's Exact Test p-value <0.05–0.01).

2.13. Statistical analyses

Statistical analyses were performed using GraphPad Prism 7.03. Unpaired Student's t test two tailed were used as statistical tests.

3. Results

3.1. The BBB-Minibrain, an *in cellulo* model to study the neurovirulence of YF vaccine strains

The Minibrain, a tri-culture of co-differentiated neurons and astrocytes (NT2-N/A) [17, 27] and microglial cells (CHME) [30], was developed as a model of brain parenchyma, to evaluate the virus neurovirulence. To mimic both the BBB and the brain parenchyma, a compartmentalized culture system was used, with Transwell[®] insert, which enabled the separation of the luminal ("blood") compartment on the upper side of the insert, and the abluminal ("brain") compartment in the well under the insert (**Figure 1A, left**).

In this system, the hCMEC/D3 endothelial barrier permeability to LY (Pe_{LY}) neither increased nor decreased in the presence of the Minibrain, whatever the co-culture time point (Days 1–6, **Figure 1A, right**). This result clearly demonstrates that the co-culture system did not induce changes in hCMEC/D3 paracellular junctions, and the hCMEC/D3 barrier physical integrity.

The hCMEC/D3-BBB cell permissivity to 17D and FNV (i.e. the ability to infect, to replicate and to produce virus progeny) was monitored by immunofluorescence (**Figure 1B**). The two viruses (same batch and same amount of viral inoculum) can infect Vero cells (i.e. susceptible cell line for Yellow Fever viruses) as shown by the accumulation of viral antigens (E protein detection, **Figure 1B**, **a & b**). Conversely, the accumulation of viral antigens (E protein detection) was not detected in the hCMEC/D3 endothelial cells (**Figure 1B**, **c & d**) 24 h after addition of the virus particles. In order to improve the sensitivity of the detection of the replicative virus in BBB, the infected cells were stained by an anti-NS1 antibody [35]. Nevertheless, the result was identical (**Figure 1B**, **e & f** versus **g & h**). This confirms that hCMEC/D3 cells, as a physiological barrier, are not permissive to 17D and FNV. The cells in the abluminal Minibrain compartment were phenotyped by qRT-PCR (**Figure 1C**). The presence of neuronal (NEFH, TH), astrocytic (AQ4, PYGB, GFAP) and microglial (CD200R) markers was established by gene expression analysis (**Figure 1C, right**).

Then, the permissivity of the Minibrain-Neurons to the two virus strains was evaluated (**Figure 1C, left**). NT2-N neurons can be infected by both YFV stains (**Figure 1D, a & c** for 17D; **b & d** for FNV) as demonstrated by the co-staining of the neuronal cells and the virus. The E detection pattern (red dot) is in agreement with the accumulation of E protein in the ER-Golgi network in neurons [36, 37]. To note, the dot staining was not observed in non-infected NT2-N cells (**Figure S1C**). These results show that FNV was able to infect 50% of the neurons whereas 17D infected only 18% (**Figure 1D, right, upper graph**), showing that FNV is more neuronotropic (ability to infect neurons) than 17D. Surprisingly, the production of infectious virions in the Minibrain cells was similar over time for both virus strains (**Figure 1D, right lower graph**), suggesting either that neurons may release more 17D virions or that cell types other than neurons may be infected by 17D viral strain.

3.2 FNV is more neuroinvasive than 17D

To monitor the neuroinvasive capacity of 17D and FNV, we firstly used the *in cellulo* BBB model alone, without Minibrain in the abluminal compartment. For this purpose, once the barrier was formed by the hCMEC/D3 cells [i.e. 6 days of culture on insert with BBB integrity checked by endothelial permeability to LY as described in **Figure 1A**)], the same MOI of each virus strain was added in the luminal compartment (**Figure 2A**). After removing the inserts 24 h later, BBB integrity was controlled (17D Pe_{LY}=0.95+/-0.09, FNV Pe_{LY}=0.87+/-0.07, N.I. (i.e. Mock infected) Pe_{LY}=0.88+/-0.09) and the infectious viral particles present in the abluminal compartment were titrated by plaque assay (**Figure 2A**).

A higher quantity of infectious viral particles was detected with FNV as compared to 17D (**Figure 2B**, Mean 17D=1, Mean FNV=5.5). Since there was no infection of confluent hCMEC/D3 by 24 h (**Figure 1B**), the difference of infectious virions in the lower compartment reflects a differential BBB passage of 17D and FNV. This shows that FNV is more neuroinvasive than 17D in this system. This is in agreement with the higher rate of neurotropic, post-vaccinal complications seen in children with the FNV vaccine strain [24, 38, 39], indicating that the endothelial cells in the BBB-Minibrain device are able to differentiate YFV strains on the basis of their neuroinvasive.

3.3 The neuroinvasive FNV virions are highly neurotropic

We used the BBB-Minibrain compartmentalized culture model to study whether the neuroinvasive viral particles after "filtration" through the BBB could be amplified. Each virus was added at the same MOI in the luminal compartment, 24 h later the insert was removed, BBB integrity was assessed (17D $Pe_{LY}=0.88+/-0.04$, FNV $Pe_{LY}=0.95+/-0.06$, N.I. $Pe_{LY}=0.82+/-0.06$) and the viruses present in the abluminal compartment were titrated (**Figure 2C**). The infectious virus titers measured in the abluminal compartment, which originate from the Minibrain cells infected by the viral particles which have passed through the BBB, were higher with FNV than with 17D (**Figure 2D**, Mean 17D=1.1, Mean FNV=13.4). After the first 24 h, the Minibrain cells were kept for an extra 48 h (**Figure 2C**). The viral progeny were then measured at 72 h by titration, by plaque assay (**Figure 2E**, Mean 17D=45.6, Mean FNV=1933.3) and RT-ddPCR (**Figure 2F**, Mean 17D=2.7e+04, Mean FNV=2.6e+06). With both methods, FNV titers were higher than 17D titers. The infectious viral titers were also higher at 72 h compared to 24 h for both YF strains, demonstrating the viral amplification of the neuroinvasive population in the cerebral cells. The overall titer difference between 17D and FNV was the same at 24 h and 72 h, which is consistent with the

identical viral production in Minibrain cells, as described earlier (**Figure 1D, right lower graph**) for both YF strains. These results indicate that the BBB-Minibrain can differentiate YF strains on the basis of their neurotropism.

3.4 YFV neuroinvasiveness is correlated to a specific molecular signature

In order to understand the mechanisms used by both YFVs to cross the BBB, we undertook a transcriptomic analysis of the endothelial cells 24 h after virus (or mock) passage (Figure 2A). Total RNA from the hCMEC/D3 cells were purified and used to identify the transcriptome on gene chips arrays, covering the entire human genome (Affymetrix, U133 plus 2). The data are presented in Figure 3. Despite the fact that both YF strains cannot infect the endothelial cells (Figure 1B), it can be seen from Figure 3A that the transcriptome of FNV and 17D treated cells were not neutral as compared to the mock infected cells. 17D triggered the up-regulation of 37 genes and FNV up-regulated 57 genes. Eighteen genes were stimulated by both 17D and FNV (Figure 3A and 3B). Interestingly, among this last cluster, were genes such as ALOX5, C1R, C1S, CEBPD, CHI3L1, FGG, HNRNPA1, HSD11B1, MAP3K8, SERPINF1 and SOCS3 (Figure 3B), these are well known to influence the differentiation and proliferation of cells and connective tissues. In order to determine which biological functions could be altered, we submitted this list of genes to a bioinformatic Intuitive Pathway Analysis (IPA, Qiagen, USA). 17D was found to slightly affect functions linked to cellular movement and development, as well as connective tissue disorders (Figure **3C**, upper). This could explain why some 17D virions could cross the endothelial cells even though they are not able to infect and disrupt this barrier. Identically, FNV disabled the same cell functions but may have a stronger effect on endothelial cell biology as it impacts the expression of genes involved in cellular assembly and organization, as well as genes controlling cellular functions and their maintenance (Figure 3C, lower). Indeed, FNV can trigger the up-regulation of genes such as MTUS1, SMAD4 or XPO1, which are involved in cytoskeleton remodeling, as well as NAMPT, NBR1, SMAD4 and XPO1 which have been involved in autophagy (**Figure 3B**); a protective mechanism to avoid BBB breakage during stress [40, 41]. This transcriptomic analysis suggests FNV is more efficient in modifying the endothelial cell barrier, leading to an increase in neuroinvasiveness. We are currently investigating how this gene regulation can be triggered, whether this can be linked to the existence of sub-viral species (i.e. quasispecies) of neuroinvasive variants, and what are the cellular paths exploited by these virions to cross the endothelial cells barrier.

3.5 Towards a companion test based on transcriptomic analysis

We showed that YF virions can cross the hCMEC/D3 barrier but their neuroinvasiveness depends on the origin of the vaccine strain. This led us to explore whether these viruses, which replicate with similar efficiency in the Minibrain, can trigger a specific molecular signature in the Minibrain. Total RNA of the Minibrain cells were purified at 72 h after virus (or mock) passage and used to identify the transcriptome, as described earlier. The data are presented in **Figure 4**. We found that both 17D and FNV vaccine strains stimulated the expression of 4243 and 4159 genes, respectively (at p<0.05). No down-regulated genes were identified. This is in agreement with the data already obtained on human cohorts of patients vaccinated with YFV-17D vaccine, which exhibited only a strong up-regulation of gene expression of 30 different genes, contrary to FNV that stimulated the expression of 58 genes (**Figure 4A**). Interestingly, none of these genes were common between 17D and FNV. The list of genes is presented in **Figure 4B**. 17D stimulates a narrow cluster of genes which expression differs between 2.1 and 2.23 fold change); FNV stimulates a larger cluster (58 genes) but with a smaller variation in gene expression (2.1 up to 2.21 fold change, **Figure 4B**,

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pie diagrams) and with more genes grouped in the low fold change range (i.e. 2.1). It is interesting to note that both strains stimulated cerebral cell genes, which are known to play a role in hepatotoxicity (**Figure 4B**, **shown in red**). In order to identify the biological functions which can be altered by the neuroinvasive virions, we again submitted these two lists of genes to IPA (**Figure 4C**). We found that 17D virus strain affects the way the cells sense their local environment. This included cell movement and development, and also their interaction with other cells or the signaling pathways they sustained, whereas FNV virus strain altered the physiology of the cells by modifying their metabolism, or the transport and biochemistry of the molecules.

4 Discussion

Huge YF epidemics worldwide have been avoided, thanks to swift access of local health authorities to the manufactured live attenuated 17D YF vaccine, which is considered safe and efficient [23, 24, 44].

17D live vaccines are derived from the Asibi virulent strain [45]. Empirical passages of Asibi virus strain for 76 passages in chick embryo tissues did not abolish the neurovirulent phenotype of the virus. A further 100 passages in cultures where the neurological tissues had been removed (i.e. minced chick embryo cells), created an attenuated YFV-176 strain [3]. The YF vaccine, which is derived from YF-204, is now manufactured in embryonic chicken eggs. 17D harbors 67 nucleotide differences, with Asibi encoding for 31 amino acids substitutions, and presents a very low quasispecies diversity [46]. Between 1938 and 1941, 17D vaccine batches were produced without strict control of passage levels. It was observed that some batches were over-attenuated and poorly immunogenic, whereas others were associated with post-vaccinal encephalitis. In 1941, it was decided to establish the "seed-lot" system, where

passage level is highly controlled and primary seed and manufacturers working seed are prepared and characterized in non-human primates, for safety and immunogenicity [45]. The seed lot process adopted to manufacture the vaccine has proved to be reliable and safe [3]. The history of clinical records showing adverse events in humans, including neurovirulence, was made mandatory as a biological standard to enable careful evaluation of the safety of vaccine seeds, as stated by World health Organization (WHO) [6, 7, 47]. The current test for each virus master and working seed is undertaken in non-human primates (*Macaca mulatta* or *Macaca fascicularis*) to evaluate neurotropism, viscerotropism and immunogenicity [48, 49].

Ethical considerations questioning the use, and suffering, of animals in scientific experimentation, the cost of animal testing and the long-term difficulty of maintaining expertise for the interpretation of neurovirulence cerebral histopathology in animal models all support the need to find alternative methods [50, 51]. In agreement with the 3R principles, we describe here a new *in cellulo* test, the BBB-Minibrain device, developed to limit animal testing in the field of viral live vaccine manufacturing. The system is based on a compartmentalized Transwell[®] system with the upper part mimicking the BBB; with a layer of human endothelial cells and the lower part mimicking the brain with a cell monolayer of a triculture of human neuron, astrocyte and microglia. This system is biologically relevant and easy to set up and handle for professionals highly trained in cell culture [17, 27, 30, 52].

We used the BBB-Minibrain device to characterize the neuroinvasiness/neurovirulence of two prototype YFV strains (17D and FNV). We showed that 17D and FNV were unable to infect hCMEC/D3 when they constitute a biological barrier. However, both viruses were able to cross this barrier, FNV more efficiently than 17D. As FNV has previously been shown to be responsible for some vaccine neuropathogenesis [22], we hypothesize that this might be the result of FNV crossing the BBB more efficiently than 17D. Conversely, 17D, has been shown, although in rare circumstances, capable of crossing the BBB and causing encephalitis

[53]. Our system has shown 17D has a very low crossing efficiency, this correlates strongly with experimental and clinical data [45]. Thus, the BBB-Minibrain device is able to discriminate a neuropathic vaccine strain (FNV) from a safe vaccine strain (17D). The transcriptomic analysis undertaken on the hCMEC/D3 barrier indicated that the "transit" of the YF 17D and FNV virions across the barrier had an impact on the endothelial cells. The fact that the alteration of BBB cell functions triggered by neuroinvasive FNV are different to those triggered by 17D is currently under investigation in our laboratory, in order to characterize the molecular mechanisms used by each virus to cross the BBB.

We also demonstrated that 17D and FNV were able to infect, replicate and multiply in the Minibrain device. It has previously been described that FNV has an inherently less stable genome than 17D, which could account for the neurovirulent post-vaccine adverse events reported in vaccinees [22]. This leads us to hypothesize that the FNV viruses crossing the BBB in our system could be genetically different from the overall original population [15, 46]. The transcriptomic studies undertaken showed that the 17D and FNV virions crossing the BBB induced specific molecular signatures, and alter the neuronal cell biology differently. These results pave the way for the development of a companion test, and also offer the possibility to study very neatly the quasispecies which can be responsible for these phenotypes.

The final goal of this work is to develop a scientifically relevant test to substitute the "release neurovirulence test" performed in animal (non-human primates and mice) on master seed lot for YFV vaccine. Here we have achieved a pilot study and several steps are still needed before substituting the animal test with this one; in particular, by comparing results obtained in the *in vivo* and *in cellulo* assays. Presently, the biological relevance of the biomarkers identified by transcriptome assays is undertaken through *in vivo* studies.

The final set up of the *in cellulo* neurovirulence test will combine viral infection measurement and sensitive neurovirulence marker detection assays. Next steps development will be to extend the validation of this system to other viruses that can cross the BBB, for example, Japanese Encephalitis virus.

In summary, this pilot study has demonstrated that the BBB-Minibrain is an *in cellulo* test capable of discriminating neuroinvasiveness/neurovirulence of two YF vaccines, in addition it was able to identify the molecular signature of the two YF strains according to their neurovirulence. We consequently propose that the human BBB-Minibrain device could be a predictive *in cellulo* test for the safety evaluation of live YFV vaccines, for the assessment of neuroinvasiveness and neurotropism. It represents an elegant and promising alternative test to the animal neurovirulent tests in current use [54, 55]. From a regulatory point of view, significant work has yet to be completed before this system can replace the *in vivo* monkey test [56]. Nevertheless, this work should be viewed as a positive step in the search for appropriate alternatives to animal testing, in accordance with the principles of the 3Rs and highlights the power of coordinated regulatory research for the sake of biomedical research in the vaccine field [57].

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Declaration of Interests

Anaelle Da Costa, Nolwenn Nougarède and Aure Saulnier are employees of Sanofi Pasteur. Cécile Khou, Nathalie Pardigon, Christophe Prehaud and Monique Lafon are employees of Institut Pasteur (Paris).

Author Contributions

All authors were involved in the concept and design of the study, contributed to the interpretation of the data, participated in the preparation of this manuscript, and approved the final manuscript for submission. All authors had access to the study data and are responsible for the veracity and completeness of the data reported.

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Figure legends

Figure 1. Amplification of YF virus in the BBB-Minibrain device

A. Schematic diagram: The BBB-Minibrain device is composed of two compartments: the luminal compartment with human endothelial cells (hCMEC/D3) mimicking the "blood" area, and the abluminal compartment with human neuron-astrocyte (NT2-N/A) and microglial (CHME) cells mimicking the "brain" area of a human BBB. **Right graph:** The permeability of hCMEC/D3 cells, measured by the crossing of Lucifer yellow (Pe_{Ly}) after 6 days of culture is not altered in the presence of Minibrain, regardless of the time point. 1d = cells put in co-culture at day 1 post-seeding.

B. Schematic diagram: Culture of hCMEC/D3 on insert. **Right panel:** Vero cells are infected by the YF viruses (17D and FNV, a–b anti-Flavivirus E protein antibody, e–f anti-YFV NS1 protein), hCMEC/D3 infected by the YF viruses (17D and FNV, c–d anti-Flavivirus E protein antibody, g–h anti-YFV NS1 protein). Cells are also stained with HCS CellMask Deep Red Stain to visualize the plasma membranes. Nuclei are in blue, E-protein is in green (a-d), NS1 protein is in green (e-h). Scale bar 20µm.

C. Schematic diagram: Culture of Minibrain cells in the abluminal "brain" compartment. **Right graph**: Minibrain cells express specific markers of neuronal cells: Neurons (NEFH: neurofilament protein H, TH: tyrosine hydroxylase), Astrocytes (AQP4: aquaporin 4, PYGB: glycogen phosphorylase B, GFAP: glial fibrillary astrocytic protein), Microglial cells (CD200R: CD200 receptor) as determined by RT–PCR. Caco2 cells were used as negative control.

D. Left panel: Immunofluorescence images of an infected neuron by 17D (a) or FNV (b) 48h post-infection (NEFH in green, nucleus in blue, virus in red), scale bar 10 μ m, the viral antigens are accumulated as a big spot (white square in a & b, enlarged in c & d). Both YF

strains can infect the neurons in the BBB-Minibrain device but FNV has a stronger fitness. **Right panel, upper panel:** Percentage of infected neurons in the culture. Statistical test: Student's t test two-tailed. Cells n>370. **Right panel, lower graph:** Equivalent virus production of 17D and FNV on the cells constituting the abluminal "brain" compartment. Titers are expressed as plaque forming unit per milliliter (pfu/ml).

Figure 2. The BBB-Minibrain device can distinguish the neuroinvasive and neurotropic phenotypes of the two YF strains

A: Schematic diagram of *in cellulo* testing for neuroinvasiveness. The viruses are added in the luminal compartment and 24 h later the virions which have crossed the hCMEC/D3 barrier are titrated from the abluminal compartment. **B**: FNV is more neuroinvasive than the 17D vaccine strain, Students's t test two-tailed. **C**: Schematic diagram for testing for neuroinvasiveness and neurotropic phenotypes. The viruses are added in the luminal compartment and either 24 or 72 h later the virions, which have crossed the hCMEC/D3 barrier, are titrated from the abluminal compartment. At the time point (72 h), the viral genome is quantified by digital droplet PCR. **D**: At 24 h, FNV is clearly more neuroinvasive than 17D in the BBB-Minibrain device, Students's t test two-tailed; and **E**: both YF-17D and YF-FNV virions, which have crossed the hCMEC/D3 physiological barrier, are amplified in the Minibrain cells at the 72 h time point, Students's t test two-tailed. **F**: Multiplication of the YF-17D and YF-FNV virions are confirmed by the titer in genome equivalent (72 h), Students's t test two-tailed.

Figure 3. 17D and FNV differently modify the endothelial cell transcriptome

Transciptome analysis was carried out on 17D and FNV infected hCMEC/D3 endothelial cells 24 h post infection (**Figures 2A-B**). The transcriptomic analyses were undertaken on Affymetrix Gene Chips covering the entire human genome (U133 2.0 Plus).

A: Venn diagram of the genes with modified expression in response to 17D (yellow) or FNV (blue), compared with the sham control. 17D up-regulates 37 genes and FNV 57. 18 genes are common between 17D and FNV, and both strains do not down regulate any gene expression.
B: Gene identity and fold expression change. 17D and FNV have specific molecular signatures with some genes in common. C: Intuitive Pathway Analysis analysis of the genes up-regulated by 17D and FNV.

Figure 4. 17D and FNV have distinct molecular signatures in the BBB-Minibrain device

A transcriptome analysis was carried out on the infected cerebral cellular extracts presented Fig 2C-F at the time point 72h. The transcriptomic analyses were undertaken on Affymetrix Gene Chip covering the entire human genome (U133 2.0 Plus).

A: Venn diagram of the genes which expression is modified by 17D (yellow) or FNV (blue) compared to the sham control. 17D up-regulate 30 genes and FNV 58. No genes are in common between 17D and FNV and both strains never down regulate any gene expression. **B**: Gene identity and fold expression change. The genes in red are genes which have been involved in hepatotoxicity in a liver context. 17D and FNV have each a specific molecular signature. The whole in part diagram showed the overall distribution of the genes for 17D (yellow colors) and FNV (blue colors) based on their fold change. **C**: Intuitive Pathway Analysis of the genes up-regulated by 17D and FNV infections. In the Minibrain cells, 17D infection is mainly affecting cell organization (movement, development, signaling and cell-

cell interaction). On the contrary, FNV infection affects cellular biochemistry and metabolism.