

Modified Vaccinia Virus Ankara Vector Induces Specific Cellular and Humoral Responses in the Female Reproductive Tract, the Main HIV Portal of Entry

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Modified Vaccinia virus Ankara vector induces specific cellular and humoral responses in the female reproductive tract, the main HIV portal of entry Running Head

- 7 Mucosal vaccine responses in female genital tract
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Revised manuscript

33 Abstract

34 The female reproductive tract is one of the major mucosal invasion site of HIV-1. This site has 35 been neglected in previous HIV-1 vaccine studies. Immune responses in the female reproductive tract after systemic vaccination remain to be characterized. Using a modified 36 37 vaccinia virus Ankara (MVA) as a vaccine model, we characterized specific immune responses in all compartments of the female reproductive tract (FRT) of non-human primates after 38 systemic vaccination. Memory T cells were preferentially found in the lower tract (vagina and 39 40 cervix), whereas antigen-presenting cells and innate lymphoid cells were mainly located in the 41 upper tract (uterus and fallopian tubes). This compartmentalization of immune cells in the FRT was supported by transcriptomic analyses and correlation network. Polyfunctional MVA-42 specific CD8⁺ T cells were detected in the blood, lymph nodes, vagina, cervix, uterus and 43 fallopian tubes. Anti-MVA IgG and IgA were detected in cervicovaginal fluid after a second 44 45 vaccine dose. Systemic vaccination with an MVA vector thus elicits cellular and antibody 46 responses in the female reproductive tract.

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48 Introduction

Heterosexual intercourse is the major route of HIV-1 transmission(1), and viral entry occurs
mainly via the female reproductive tract (FRT) mucosae. One of the attempts to prevent this
transmission should focus on inducing mucosal immune responses.

52 The FRT contains two types of mucosae. The type I mucosal surface is found in the upper genital tract (endocervix, uterus and Fallopian tubes), and is covered by a monolaver of 53 columnar epithelial cells with tight junctions. The type II mucosal surface is found in the lower 54 55 genital tract (vagina and ectocervix), and is lined with a stratified squamous epithelium. The 56 boundary between the type I and II mucosae is called the cervical transformation zone. The 57 transformation zone is considered to be more vulnerable to HIV-1 infection(2, 3), owing to the abundance of immune target cells (CD4⁺ T cells, macrophages and dendritic cells), and the 58 transition of the epithelial phenotype. Macrophages and T cells from the vagina and cervix are 59 60 permissive to HIV-1 infection *in vitro*(4, 5). The uterus contains CD4⁺ T cells and macrophages 61 that express HIV-1 coreceptors. Uterine cells and uterine explants are also permissive to HIV-62 1 infection in vitro(6). Thus, as HIV-1 target cells are present throughout the FRT, an effective 63 vaccine should induce protective responses in all FRT compartments.

Recombinant poxviruses such as vaccinia virus and canarypox virus are strongly immunogenic and are often used as vaccine vectors. Partial but significant protection against HIV-1 was observed in the Thai phase III trial (RV144) of a canarypox vector expressing HIV-1 antigens plus a trimeric recombinant gp120 protein, used in a prime/boost strategy(7). The modified vaccinia virus Ankara (MVA), another recombinant poxvirus, is widely used in vaccines for infectious diseases(8). Mucosal immunity after MVA vaccination has been studied in the gastrointestinal tract but not in the FRT(9).

Most studies of vaccine responses in the FRT have been performed in mice(10). However, macaques, which exhibit marked immunological and anatomical similarities to humans in contrast to mice(11), is the reference model for HIV-1 research and vaccine studies.

Here, using an MVA vaccine as a model, we examined whether systemic MVA vaccination induced specific local responses in the macaque FRT. After detailed phenotypic characterization of immune cell subpopulations, we examined specific immune responses in the blood, lymph nodes (LNs), vagina, cervix (endo and ecto), uterus and fallopian tubes.

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79 *Materials and Methods*

80 Ethics statement

Six sexually mature adult female cynomolgus macaques (Macaca fascicularis) imported from 81 82 Mauritius were housed in the Infectious Disease Models and Innovative Therapies (IDMIT) facilities at Commissariat à l'Energie Atomique et aux Energies Alternatives (CEA, Fontenay-83 aux-Roses, France). Treatment of non-human primates (NHP) at CEA complies with French 84 national regulations (CEA authorization A 92-032-02), with the Standards for Human Care and 85 86 Use of Laboratory Animals (OLAW Assurance number #A5826-01), and with European Directive 2010/63 (recommendation #9). Experiments were supervised by veterinarians in 87 88 charge of the animal facility. This study was approved and accredited by the Comité d'Ethique 89 en Expérimentation Animale du CEA (A14-080) and by the French Research Ministry. Animals 90 were housed in pairs under controlled conditions of humidity, temperature and light (12-hour 91 light/dark cycles). Water was available *ad libitum*. The animals were monitored and fed once 92 or twice a day with commercial monkey chow and fruits, by trained personnel, and were 93 provided with environmental enrichment including toys, novel foodstuffs and music, under the 94 supervision of the CEA Animal Welfare Officer.

95

96 Experimental design

On day zero (D0) and D58, the macaques received two subcutaneous injections per time point in the right and left side of the upper back, delivering 2 x 1 ml of inoculum containing a total of 4 x 10⁸ plaque-forming units (PFU) of recombinant MVA-HIV-1 expressing the Gag, Pol, and Nef proteins from HIV-1 strain LAI (ANRS-MVA HIV-B, MVATG17401, Transgene Ltd, France). The animals were monitored daily for signs of disease, appetite loss and lethargy. A physical examination was performed at each blood sampling and each inoculation. All experimental procedures (handling, immunization, blood sampling) were conducted after 104 sedation with ketamine hydrochloride (Rhône-Mérieux, Lyon, France, 10 mg/kg). To 105 synchronize their hormonal cycle, an intramuscular injection of a synthetic variant of 106 progesterone (Depoprovera, 30mg, Pfeizer, France) was given 42 days after the first vaccine 107 injection. The animals were sedated 77 days after the first vaccine injection with ketamine 108 hydrochloride (10 mg/kg) then euthanized by intravenous injection of 180 mg/kg sodium 109 pentobarbital.

110

111 Sample collection and cell isolation

Blood, serum and vaginal fluid were collected before and after each vaccine inoculation and at the time of euthanasia. Lymph nodes (LNs) and tissues were collected at necropsy. Serum was isolated by centrifugation at 3000 rpm for 10 min and stored at -80°C. Cervicovaginal fluid was collected with a Weck-Cel spear (Medtronic, USA) placed in the vaginal vault for 2 minutes. Secretions were recovered from the spears by adding 600 μ l of extraction buffer (PBS, NaCl 0.25 M and protease inhibitor cocktail (Merck Millipore, Fontenay-sous-bois, France)) and then centrifuging at 13 000 g for 20 min. Filtered vaginal fluids were stored at -80°C.

119 PBMC were isolated in heparin CPT tubes (BD biosciences, Le Pont de Claix, France) after 120 centrifugation for 30 min at 3000 rpm. PBMC were collected from the top of the CPT gel 121 surface and washed twice. At euthanasia, LN and FRT tissues were collected. LN cells were 122 obtained by mechanical dissociation. FRT tissues (vagina, cervix, uterus and fallopian tubes) 123 were isolated and cut into small pieces. Each tissue was digested for 1 hour at 37°C with 124 agitation in digestion buffer, consisting of RMPI 1640 (Fisher Scientific, Illkirch, France), 125 collagenase IV (0.3 mg/ml, Sigma Aldrich, St Quantin Fallavier, France), fetal calf serum (5%, 126 Fisher Scientific), Hepes (0.025 M, Fisher Scientific), DNase (0.1 mg/ml, Roche, Mannheim, 127 Germany), and antibiotics (Fisher Scientific). Undigested pieces were subjected to up to 3 more 128 digestion steps. Cell suspensions from LNs and FRT tissues were filtered through 70-µm sterile 129 nylon cell strainers (BD biosciences). The median of cell numbers recovered for each FRT 130 compartment was 14.2×10^6 cells/g of tissue (ie 64×10^6 cells) in the vagina, 13.8×110^6 cells/g of 131 tissue (ie 54×10^6 cells) in the cervix, 15×10^6 cells/g of tissue (ie 62×10^6 cells) in the uterus and 132 27.7×10^6 cells/g of tissue (ie 18×10^6 cells) in the tubes.

133

134 Immune phenotyping

Whole blood, LN cells and cells from FRT compartments were analyzed by flow cytometry. The cells were incubated with the antibodies listed in Table S1, then washed and fixed with FACS lysing buffer or BD Cell Fix solution. A Fortessa 2-UV 6-Violet 2-Blue 5-Yelgr 3-Red laser configuration was used (BD biosciences), with Diva (BD) and FlowJo 9.8.3 (Tristar, USA) softwares. At least 500 events for rare cell populations (i.e. pDC) were recorded. The gating strategies are illustrated in supplemental figure S1a-d.

141

142 Cellular responses

143 Specific cellular immune responses were evaluated with in vitro stimulation assays. The cells 144 were incubated for 5 hours at 37°C with medium, with 0.3 PFU/cell of live wild type MVA, or 145 with PMA (5 ng/ml) and ionomycin (500 ng/ml) (Sigma Aldrich) in DMEM medium (Fisher Scientific) supplemented with 10% FCS and antibiotics. Brefeldin A was then added (5 µg/ml, 146 147 Sigma Aldrich) and the cells were incubated for a further 10 hours at 37°C. For HIV-1 148 stimulation, cells were incubated with 4 µg/ml overlapping GAG peptide pools in DMEM 149 medium supplemented with 10% FCS, antibiotics and costimulatory antibodies, for 1 hour at 150 37° C, then for an additional 4 hours with brefeldin A (5 µg/ml). The cells were stained with 151 blue dye (LIVE/DEAD® Fixable Blue Dead Cell Stain, Thermo Fisher) for viability then fixed 152 and permeabilized with BD Fix&Perm reagent (BD Bioscience). The antibodies listed in Table S2 were used for intracellular staining. At least 5,000 events in the CD8⁺ T cell gate were 153

recorded. The gating strategy was as described elsewhere (12). Briefly, expression of cytokines and activation markers was evaluated in CD4⁺ and CD8⁺ T cells, and Boolean gate analyses were performed with FlowJo software. The percentages of cells positive for cytokines and activation markers were then compared between unstimulated and MVA- or GAG peptide poolstimulated cells. Immune response was considering positive against the antigen when the percentage of cells positive for cytokines and activation markers were at least twice superior to the percentage under unstimulated condition.

161

162 Antibody responses

163 Specific antibodies were measured by EIA in serum and vaginal fluid collected by Weck-cel spears. 96-well MaxiSorp microplates (Nunc, Thermo Fisher) were coated overnight with 10⁵ 164 PFU/well wtMVA (Transgene, Illkirch, France) in NaHCO₃/Na₂CO₃ buffer, or with 1 µg/ml 165 166 p24 antigen (kind gift from Bernard Verrier, LBTI UMR5305) in PBS. The plates were then 167 blocked for 1 h with PBS containing 3% (w/v) bovine serum albumin (BSA, Sigma Aldrich) or 168 with PBS containing 10% skimmed milk. The plates were washed 5 times with PBS containing 169 0.1% Tween 20 and 10 mM EDTA, then incubated with two-fold serial dilutions of macaque 170 fluids diluted in PBS containing 1% BSA for 1 h at RT (to detect anti-MVA IgG/IgA) or in 171 PBS containing 1% skimmed milk and 0.05% Tween 20 for 1 h at 37°C (to detect anti-HIV 172 IgG), starting at 1:50 for serum and 1:20 for vaginal fluid. The plates were then washed 5 times 173 and incubated for 1 h with a 1:20,000 dilution of horseradish peroxidase-conjugated goat anti-174 monkey H+L chain IgG (Bio-Rad, Marne-la-Coquette, France) or with a 1:5,000 dilution of 175 horseradish peroxidase-conjugated goat anti-monkey IgA (Alpha Diagnostic international, San 176 Antonio, TX). The plates were washed five times, then 100 µL of o-phenylenediamine 177 dihydrochloride (OPD) (Sigma Aldrich) was added and incubated for 30 mins at RT in the dark. 178 The reaction was stopped by adding 2N H₂SO₄. Absorbance was measured at 492 nm with 179 spectrophotometer (Tecan, Lyon, France), and data were analyzed with Magellan software 180 (Tecan). Antibody titers were calculated by extrapolation from the OD as a function of a serum 181 dilution curve and were defined as the dilution of the test serum reaching 2 OD of the 182 corresponding preimmune serum or vaginal fluid, tested at 1:50 and 1:30, respectively.

183

184 **RNA extraction and hybridization**

185 Tissue biopsies collected at euthanasia were immediately immersed in RLT-beta-186 mercaptoethanol 1/100 lysis buffer (Qiagen, Courtaboeuf, France), then disrupted and 187 homogenized with a TissueLyser LT (Qiagen). RNA was purified with Qiagen RNeasy 188 microkits. Contaminating DNA was removed by using the RNA Cleanup step of the RNeasy 189 microkit. Purified RNA was quantified with a ND-8000 spectrophotometer (NanoDrop 190 Technologies, Fisher Scientific, Illkirch, France) before being checked for integrity on a 2100 191 BioAnalyzer (Agilent Technologies, Massy, France). cDNA was synthesized and biotin-192 labelled using the Ambion Illumina TotaPrep RNA amplification kit (Applied 193 Biosystem/Ambion, Saint-Aubin, France). Labelled cRNA was hybridized on Illumina Human 194 HT-12V4 BeadChips, that target 47 323 probes corresponding to 34 694 genes. The 195 manufacturers' protocols were followed.

196

197 Transcriptome analysis

Microarray data were analyzed with R/Bioconductor software. Gene expression values were quantile normalized. Differentially expressed genes were identified with a paired nonparametric *t*-test (q-value<0.05), based on a fold-change cutoff of 1.2. Functional enrichment analysis used QIAGEN's Ingenuity Pathway Analysis (IPA, QIAGEN, Redwood City, ww.qiagen.com/ingenuity). Hierarchical clustering presented in the heatmaps were generated with the Euclidian metric and complete linkage methods. Microarray raw data are available

from the EBI-ArrayExpress database (www.ebi.ac.uk/arrayexpress) under accession number E-MTAB-5663. The transcriptomic and cellular co-expression network was generated by using the Spearman correlation coefficients, based on the abundance of cell populations and normalized gene expression values across the whole dataset. Significant correlations (R>0.70 and p-value<0.01) were restricted to correlations between cell populations, and between cell populations and gene expression levels.

210 Results

211

212 Characterisation of FRT leukocytes

To characterize the vaccine responses at the mucosal level, an extensive identification of the cell subpopulations present in the different compartments was first performed. The phenotypes of immune cells collected from FRT compartments and lymph nodes were analyzed by flow cytometry. The proportions of immune cell subsets were characterized in four sites of the FRT (vagina, cervix, uterus and tubes) by comparison with whole blood and both proximal and distal lymph nodes (iliac, axillary and inguinal). Leukocytes were present in all the FRT compartments (Figure 1a),

220 -Innate immune cells

221 Two subpopulations of innate lymphoid cells (ILC) were identified on the basis of 222 NKG2A (NK cells) and NKp44 (ILC-3) expression (Supplementary Figure S1b). NK cells 223 composed less than 4.0±2.0% (median±SD) of leukocytes in blood and LN. This percentage 224 was higher in the FRT compartments, especially in the uterus (13.9±5.2%) (Figure 1b). The NK 225 phenotype differed between the mucosae and blood, as mucosal NK cells did not express CD16 226 Fc-γ receptor but expressed CD69. In the LN and FRT, NKp44 was expressed by ILC-3 cells 227 but not by NK cells (Figure 1c and Supplementary Figure S1b). Although few in number, ILC-228 3 cells were found in all LN and FRT compartments, and preferentially in the tubes (0.5±0.4%) 229 (Figure 1d).

The distribution of three main APC populations (CD14⁺ APC, CD123⁺ plasmacytoid
dendritic cells (pDC) and CD11c⁺ myeloid dendritic cells (mDC)) is shown in Supplementary
Figure S1c accordingly to the gating strategy.

233 $CD14^+$ APC were the main APC subtype in all FRT compartments (Figure 1e) (from 1.5 \pm 1.0%

to 5.3±5.6% of total leukocytes) and were principally located in the uterus. The proportions of

these cells were similar in the lower FRT and blood, but only mucosal CD14⁺ cells expressed the activation marker CD69 and the Fc- γ receptor CD16, particularly in the vagina (Figure 1f). The distribution of mDC (CD11c⁺) was similar to that of CD14⁺ cells, and mDC were mainly found in the uterus (3.4±2.2% of total leukocytes) (Figure 1g). The proportion of pDC (CD123⁺) was similar in all the compartments, where they represented less than 1% of total leukocytes (Figure 1h).

Neutrophils were the main leukocyte subtype in blood ($43.8\pm14.1\%$ of CD45⁺ cells). In the FRT, neutrophils were mainly found in the cervix and upper compartments ($8.9\pm6.8\%$ in cervix, $3.4\pm1.8\%$ in uterus and $2.3\%\pm4.0$ in the fallopian tubes) (Figure 1i).

244

-Antigen-specific immune cells

245 T lymphocytes were the main leukocyte subpopulation in the LN and FRT 246 compartments. The percentage of CD8⁺ T cells in the FRT mucosae was higher than the 247 percentage of CD4⁺ T cells, contrasting with blood and LN (Figure 2a and 2d). CD4⁺ T cells 248 represented about 50% of total leukocytes in LN, nearly 20% in the lower FRT and 10% in the 249 upper FRT (Figure 2a). The T cell memory phenotype was defined by CD28, CD95 and 250 CD45RA expression (Supplementary Figure S1d). In contrast to blood and LN, the majority of 251 $CD4^+$ T cells in the FRT exhibited the central memory phenotype ($CD28^+/CD95^+$), while naïve cells (CD28⁺/CD95^{neg}) were rare (Figure 2b). In the FRT, up to 40% of leukocytes were CD8⁺ 252 253 T cells (Figure 2d). These cells expressed markers of central memory (CD28⁺/CD95⁺) and effector memory (CD28^{neg}/CD95⁺), contrary to blood and LN, where most CD8 T cells were 254 naïve (Figure 2e). Mucosal CD4⁺ and CD8⁺ T cells frequently expressed CD69 (Figures 2c and 255 256 2f).

Between 6.7% and 15.9% of blood and LN leukocytes were B cells (CD20⁺) (Supplementary Figure S1d), whereas B cells were infrequent in all the FRT compartments (from $0.1\pm0.1\%$ to $1.0\pm1.4\%$ of leukocytes) (Figure 2g).

- 260 Thus, cells involved in initiating immune responses, and effector cells, were present261 throughout the macaque FRT, with specific distribution according to the compartment.
- 262

263 <u>Vaccine-specific CD4⁺ T cells found mainly in lymph nodes draining the inoculation site</u>

264 The anti-MVA T cell response was monitored in blood by using an *in vitro* re-stimulation assay. Antigen-specific CD4⁺ T cells were identified as CD154⁺ cells. Their percentage increased in 265 266 blood two weeks after the first and second vaccine injections (respectively 0.84% and 0.85% 267 among total CD4⁺ T cells; mean) (Figure 3a). Three weeks after the second vaccine injection, 268 the anti-MVA response was analyzed in all compartments. Antigen-specific CD4⁺ T cells were 269 significantly detected in PBMC and LNs (Figure 3b). The largest percentage of MVA-specific 270 $CD4^+$ T cells was found in the axillary LNs (from 0.23% to 3.24% of total $CD4^+$ T cells). No 271 MVA-specific CD4⁺ T cell response was detected in FRT tissue, as the percentage of CD154⁺ 272 CD4⁺ T cells did not significantly change after MVA re-stimulation (Figure 3b). In all compartments, CD154⁺ cells represented a large percentage of CD4⁺ T cells after stimulation 273 274 with PMA and ionomycin (data not shown). The anti-HIV-1 response was also analyzed after 275 in vitro stimulation with gag peptide pools and co-stimulatory antibodies (anti-CD28 and anti-276 CD49d mAbs). The addition of co-stimulatory antibodies induced non-specific activation of T 277 cells and thus increased background CD154 expression, even in non-stimulated conditions. As 278 this could have masked weak responses, we measured the HIV-1-specific CD4⁺ T cell response 279 by analyzing the percentage of CD4⁺ T cells that expressed CD154 and produced IFN- γ (i.e. 280 only specific T cells). The Gag-specific CD4⁺ T cell response was very weak and only detected 281 in PBMC (Figure 3c).

Thus, MVA-specific CD4⁺ T cells were mainly found in blood and in lymph nodes draining the
vaccine inoculation site.

285 *Systemic and mucosal polyfunctional vaccine-specific CD8⁺ T cell responses*

Like the CD4⁺ T cell response, the vaccine response mediated by CD8⁺ T cells was monitored 286 287 by *in vitro* re-stimulation assays in blood over time, and in all compartments at euthanasia. The percentage of MVA-specific CD8⁺ T cells that produced IFN- γ increased in blood after the first 288 289 vaccine inoculation and rose strongly after the second injection (respectively 1.79% and 5.38% 290 of total CD8⁺ T cells; mean) (Figure 4a). A similar profile was observed for MIP-1β and TNF-291 α production by antigen-specific CD8⁺ T cells over time (data not shown). MVA-specific CD8⁺ 292 T cells were detected in PBMC and LNs of all animals three weeks after the second vaccine 293 injection. They represented from 0.15% to 7.16% of total CD8⁺ T cells, depending on the animal 294 (Figure 4b-c). Interestingly, MVA-specific CD8⁺ T cell responses were also detected in all FRT 295 compartments (Figure 4b and 4d) and especially in the vagina (from 0.04% to 1.08% of total 296 $CD8^+$ T cells for the IFN- γ response). The anti-MVA response mediated by $CD8^+$ T cells was 297 polyfunctional. Importantly, most of the CD8⁺ T cells which had only one function (produced 298 one cytokine/chemokine) secreted MIP-1 β , while those with two functions produced MIP-1 β 299 and IFN- γ , and those with three functions produced MIP-1 β , IFN- γ and TNF- α (Figure 4e). 300 MVA-specific CD8⁺ T cells from the FRT produced less TNF- α than their blood and LN 301 counterparts (Figure 4c-e).

HIV-1 antigen-specific CD8⁺ T cells were also measured in the different compartments. The background signal was high in all conditions, as noted for CD4⁺ T cell responses. To detect HIV-1 antigen-specific CD8⁺ T cells, the analyses focused on CD8⁺ T cells which produced both MIP-1β and IFN- γ . Anti-gag CD8⁺ T cells were detected above background in one animal's PBMC (triangle), LNs and uterus (Fig. 4f).

Thus, MVA-specific CD8⁺ T cell responses were polyfunctional and found in all FRT
compartments, in addition to blood and LNs.

310 *Vaccine-specific IgG and IgA detected in vaginal fluid after the second vaccine inoculation.*

311 To analyze humoral responses, vaccine-specific Ig titers were serially determined in serum and 312 vaginal fluid by ELISA. MVA-specific IgG was detected in all the animals' sera two weeks 313 after the first and second vaccine inoculations (respective titers of 1,216±430 and 50,079±11 314 780; mean of 6 animals) (Figure 5a), and in vaginal fluid only after the second inoculation (titer of 634±386, mean of 6 animals) (Figure 5b). Similarly, MVA-specific IgA was detected in 315 316 serum after the two vaccine inoculations (respective titers of 282±250 and 1,960±1532, mean 317 of 6 animals) and in vaginal fluid only after the second inoculation (titer of 231±132, mean of 318 6 animals) (Figure 5c-d). The anti-HIV-1 humoral response was estimated by measuring Gag-319 specific IgG (Figure 5e-f). These antibodies were detected in the serum of 4 of 6 animals after 320 the first vaccine inoculation, and in all 6 animals' serum after the second inoculation. In contrast, 321 Gag-specific IgG was not detected in vaginal fluid (Figure 5f).

322 Thus, systemic MVA vaccination induced detectable vector-specific IgG and IgA in vaginal323 fluid after the second vaccine injection.

324

325 *Transcriptomic analyses highlight FRT compartmentalization of immune cells*

326 To better characterize the events at the molecular level, we compared the transcriptomic 327 profiles from vaginal, cervical and uterine tissue samples. The numbers of differentially 328 expressed genes (DEG) in each comparison are represented in Figure 6a. We found that 329 respectively 3,810 and 4,800 genes were differentially expressed in the cervix and uterus 330 compared to vagina. We identified 3,804 DEG in the uterus versus the cervix. The Venn 331 diagram in Figure 6b represents the common DEG between the comparisons, showing that 624 332 genes were shared between the three comparisons (i.e. cervix vs vagina, uterus vs vagina and 333 uterus vs cervix). The relative expression of genes found as DEG in at least one comparison is 334 represented by a heatmap in Figure 6c. Four main branches (gene clusters) were identified by 335 hierarchical clustering. The clustering branch #2 was mainly driven by DEGs over-expressed in the uterus. Similarly, branch #1 was driven by DEGs over-expressed in the vagina, while 336 337 branches #3 and #4 were driven by DEGs over-expressed in the cervix. Each gene set was analyzed using Ingenuity Pathway Analysis (IPA). Branches #3 and #4 were merged for the 338 339 enrichment analysis. Functional enrichment analysis of canonical pathways and upstream 340 regulators are represented on the side of each branch. Upstream regulator analyses highlighted that beta-estradiol (branch #1 p=9.69e⁻³⁰; branch #2 p=2.7e⁻²¹; branches #3-4 p=8.39e⁻⁸), 341 progesterone (branches #3-4 p=1.16e⁻¹⁰) and estrogen receptor (ESR1) (branch #2 p=8.77e⁻¹⁷; 342 branches #3-4 p=1.45e⁻¹⁰) constituted top upstream regulators in at least one of the four 343 344 branches, confirming that hormones are involved in central regulation pathways in the FRT.

345 Enrichment analyses were then filtered to reveal immune-related pathways. Significant 346 immune-related canonical pathways and associated p-values are represented in Figure 6d. The 347 results showed that numerous pathways are associated with the uterus (branch #2) and are linked to NK cells and antigen-presenting cells (natural killer cell signaling p=8.51e⁻⁴; IL-15 348 signaling $p=2.04e^{-3}$; Crosstalk between dendritic cells and natural killer cells $p=2.04e^{-3}$; 349 production of nitric oxide and reactive oxygen species by macrophages $p=9.77e^{-4}$). Similarly, 350 in the vagina (branch #1), immune canonical pathways are associated with 351 macrophages/monocytes and T cells (CTLA4 signaling in cytotoxic T cell $p=2e^{-4}$; TCR 352 signaling $p=4.47e^{-3}$; Fcy-R mediated phagocytosis in macrophages and monocytes $p=9.12e^{-4}$; 353 CCR5 signaling in macrophages $p=4.57e^{-3}$). No significant immune-related pathway was 354 355 identified for the cervix (clustering branches #3 and #4).

To integrate flow cytometry and transcriptomic data, we generated a co-expression network. We restricted the correlations to DEG associated to the pathways in bold on Figure 6D. Co-expression network revealed that frequent immune populations in the vagina (T and B cells) correlated positively with these branch #1 immune pathways and negatively with the

- 360 branch #2 immune pathways (Figure 6e). Conversely, frequent immune populations in the
- 361 uterus (APC and ILC) correlated positively with the branch #2 immune pathways and
- 362 negatively with the branch #1 immune pathways.
- 363 Thus, transcriptome and correlation analyses highlighted the specificity of each FRT
- 364 compartment and the compartmentalization of FRT immune cells.

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365 Discussion

366

367 As male-to-female transmission via the FRT mucosae is the main route of HIV-1 transmission, 368 it is essential to study vaccine responses in the FRT. We conducted a detailed characterization 369 of the immune cells involved in MVA-HIV-1 vaccine responses in the cynomolgus macaque, 370 and the vaccine responses themselves in all female reproductive tract compartments during the 371 luteal phase, by comparison with blood and draining lymph nodes. Previous studies of mucosal 372 responses to MVA vaccination have been limited to the gastrointestinal tract(9), whereas the 373 FRT is the main portal of entry for sexually transmitted pathogens. To our knowledge, we show 374 for the first time that subcutaneous MVA injections induce specific immunoglobulin (IgG and 375 IgA) and polyfunctional CD8⁺ T cells in the FRT of female macaques. This study reveals that 376 each FRT compartment has its own characteristics, as shown by immune cell phenotyping and 377 transcriptomic analyses.

378

379 The first part of this study clearly shows that immune cells are compartmentalized. Two 380 subpopulations of ILC were identified according to their phenotypes. Thus, NK cells, defined 381 by NKG2A expression, were mainly found in the upper FRT (uterus and tubes) and expressed 382 low levels of CD16 Fc-y receptor, as previously described (13), and no NKp44, in contrast to 383 gut mucosae(14) (personal communication by Mariangela Cavarelli). Localization of NK cell 384 activity within the uterus was confirmed by transcriptomic analyses, as NK cell-related 385 pathways were associated with the uterus (branch #2) and correlated with uterine immune cell 386 populations (co-expression network, Figure 6e). A second subtype of ILC, called ILC-3, which 387 expressed NKp44 but not NKG2A(14), were also mainly found in the upper FRT.

388 We detected three main populations of professional APC, according to their phenotypic 389 markers: i) CD14⁺ APCs, ii) mDC that expressed CD11c⁺, and iii) plasmacytoid DC (pDC) that expressed CD123⁺. These APCs were distributed throughout the FRT, but differences in their distribution and phenotype were noted. The largest percentage of mDC CD11c⁺ cells and CD14⁺ cells was found in the uterus. Moreover, phenotypic analyses of APC subtypes confirmed that CD14⁺ APCs from the vagina expressed CD16 Fc- γ receptor, contrary to intestinal CD14⁺ APCs(15). This characteristic of vaginal CD14 APCs was supported by transcriptomic analysis, as the "Fc- γ receptor-mediated phagocytosis in macrophages" pathway was enriched in the vagina.

397 B lymphocytes were the main leukocyte subtype in LNs, while they were detected at a very low 398 percentage throughout the FRT, mainly in the vagina. This low frequency of B cells in the FRT 399 was not due to the enzymatic digestion procedure, as very few B cells were detected by 400 immunostaining of tissue biopsies (data not shown). Here, we detected B cells by their CD20 401 expression rather than the CD19 marker usually used in humans, as the available antibodies do 402 not cross-react in cynomolgus macaques. As CD20 is not expressed by all B cell subsets(16), 403 this could explain the low percentage of B cells found in our samples. The distribution of CD20^{neg} B cells such as plasma cells and antibody-secreting cells in FRT compartments will 404 405 require further studies with specific markers.

406 T lymphocytes were the main immune cell populations in all the FRT compartments. CD8⁺ T cells were more abundant than CD4⁺ T cells within the mucosae, in contrast to blood and LNs. 407 408 In particular, the vagina exhibited the largest percentage of CD8⁺ T cells, as well as a specific 409 transcriptomic signature related to T cell pathways. Co-expression networking showed that T 410 cell abundance correlated positively with these T cell pathways (branch #1) (Figure 6e). We confirm that both $CD4^+$ and $CD8^+$ T cells express memory markers in the FRT(17), whereas 411 412 naïve cells were mainly found in blood and LNs. Among the memory T cells, resident memory 413 lymphocytes have been described in the tissues such as the vagina(18). Our analyses performed 414 in one vaccinated animal showed that CD8⁺ resident memory T cells were mainly present in the vagina (39.8% among the effector memory T cells) and in the cervix (22.2% among the
effector memory T cells) and were less frequent in the uterus (2.5% among the effector memory
T cells) (data not shown). Together, these data show that immune cells exhibit tissue specificity
in the macaque FRT.

419 Our results match some published data on the human FRT, including the observations that i) 420 mucosal NK cells exhibit a unique phenotype and are mainly found in the uterus(19); ii) ILC-421 3 are detected in the upper FRT(20); iii) APCs are distributed throughout the FRT, particularly 422 in the upper tract(21) and ectocervix(22); iv) $CD20^+$ B cells are rare throughout the FRT(23); v) memory CD8⁺ T cells represent a large proportion of immune cells in all compartments of 423 424 the human FRT. Thus, the localization and phenotype of immune cell subtypes are similar in 425 the macaque and human FRT, validating the cynomolgus macaque as a model for human 426 reproductive biology and genital immunity, including FRT mucosal immune responses to 427 vaccination.

We therefore vaccinated female cynomolgus macaques subcutaneously with MVA-HIV-1,selected as a vaccine model, and analyzed specific responses in the FRT, LNs and blood.

430 Analyze of humoral responses confirmed that MVA vaccination induces strong anti-MVA IgG 431 responses in serum, whereas anti-HIV IgG was not detected in all animals. In contrast to other 432 mucosal fluids, cervicovaginal fluid contained more IgG than IgA. MVA-specific IgG and IgA 433 were detected in vaginal fluid after the second vaccine injection. Local anti-MVA IgG titers 434 were lower than in serum, as the vaccine was administered subcutaneously. Cervicovaginal IgG 435 has been shown to come mainly from the systemic compartment(24). Anti-HIV IgG titers in 436 serum were much lower than anti-MVA IgG titers, which could explain why anti-HIV IgG was not detected in vaginal fluid, given their systemic origin. 437

438 Anti-MVA responses mediated by $CD4^+$ T cells were weaker than those mediated by $CD8^+$ T

439 cells in blood and LNs, and were not detectable in the FRT mucosae. Since the peak of CD4⁺

T cell responses precede the one of CD8⁺ T cell responses(25), immune responses analysed 77
days after the prime may not be optimal to detect a strong CD4⁺ T cell response. The largest
anti-MVA CD4⁺ T cell responses were measured in axillary LNs, i.e. those draining the vaccine
injection site (upper back). However, MVA-HIV vaccination may induce non-specific CD4⁺ T
cell activation, as CD4⁺ T cells exhibited a high CD154 expression in some animals in
unstimulated conditions.

Our study clearly shows that MVA vaccination induces strong specific CD8⁺ T cell responses 446 447 in blood, LNs and all FRT compartments. In the FRT, they were mainly localized in the vagina, 448 but specific responses were also detected in the cervix, uterus and tubes. Responses mediated by CD8⁺ T cells were polyfunctional, as specific CD8⁺ T cells positive for two or more 449 450 cytokines were detected. Boolean gating analyses were used to sort specific CD8⁺ T cells 451 according to the number of functions they displayed (single, double, triple or quadruple 452 cytokine producers). The majority of single producer cells were MIP-1 β^+ , double producers were MIP-1 β^+ and IFN- γ^+ , triple producers were MIP-1 β^+ , IFN- γ^+ and TNF- α^+ . These findings 453 454 correspond to reports of blood CD8⁺ T cell responses(26). TNF- α and IL-2 are thus produced 455 only by highly polyfunctional cells. We noted that percentage of triple producer cells was lower 456 in the FRT than in blood and LNs. Together, these results demonstrate that TNF- α^+ specific CD8⁺ T cells are less abundant in the FRT than in blood and LNs. 457

Previous study has demonstrated that the MVA-HIV-1 vaccine induced T cell responses mainly against Gag and Pol genes(27). Due to limited amount of mucosal cells recovered to perform the different experiments and antigen stimulations, cellular and humoral immune responses induced against the HIV-1 insert in our present study were focused on anti-Gag responses. HIV-1 (Gag)-specific responses were mediated by CD4⁺ T cells and were detected mainly in blood. These responses were weaker than MVA-specific CD8⁺ T cell responses and were not detectable in the FRT, apart from the uterus of one animal. The MVA-HIV-1 vaccine was used in this study as a model, and animals were vaccinated subcutaneously with two injections of
the same vaccine construct. Therefore, specific responses mainly targeted the immunogenic
vector. To enhance insert-specific responses, it will be essential for the boost or the prime to
use another type of vaccine construct, such as a DNA vaccine, in addition to MVA(27).

469 The local environment of the FRT mucosae is under the influence of several factors, including 470 hormones during the menstrual cycle, semen during intercourse, and sexually transmitted 471 pathogens(28–30). As these factors impact mucosal immune cells and their environment, it will 472 be crucial to study their possible influence on mucosal vaccine responses.

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578 Footnotes

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594 Figure legends

- 595
- 596 Figure 1. Innate cell distribution in blood, LNs and FRT of vaccinated animals
- 597 (a) Distribution of leukocytes among living cells. Percentage of NK cells (b), ILC-3 (d), CD14⁺
- 598 cells (e), CD11c⁺ mDC (g), CD123⁺ pDC (h) and neutrophils (i) among leukocytes in the
- 599 different compartments. Each symbol represents one animal and the bar represents the median.
- 600 Phenotypic marker expression by NK cells (c) and $CD14^+$ cells (f) in the different compartments
- are represented as a heat map. Each horizontal coloured line indicates one animal (n=6).
- 602

603 Figure 2. Adaptive immune cell distribution and phenotype

Percentage of $CD4^+$ T cells (a), $CD8^+$ T cells (d) and $CD20^+$ B cells (g) among $CD45^+$ cells in the different compartments. Each symbol represents one animal and the bar represents the median. Distribution of naïve ($CD28^+CD95^-$) and memory subsets ($CM \ CD28^+CD95^+$, EM $CD28^-CD95^+$, EMRA $CD28^-CD95^+CD45RA^+$) among $CD4^+$ (b) and $CD8^+$ (e) T cells (mean of n=6). Histograms represent CD69 expression by $CD4^+$ (c) and $CD8^+$ (f) T cells in the different tissues. (Mean +/- SEM of n=6)

610

611 Figure 3. Specific immune responses mediated by CD4⁺ T cells

(a) Percentage of CD154⁺ CD4⁺ T cells over time in PBMC after *in vitro* stimulation with medium (dotted lines) or wt MVA (full lines). Purple bold line indicates the mean of n=6 and purple arrows indicate vaccine injections. (b) Percentage of CD154⁺ CD4⁺ T cells after *in vitro* stimulation with medium (grey) or wt MVA (red) in the different compartments. (c) Percentage of CD154⁺/IFN- γ^+ CD4⁺ T cells after *in vitro* stimulation with medium (grey) or gag peptide pools (red) (GAG1 and GAG2) in blood and LNs (top panel c) and FRT mucosae (bottom panel

618 c). Each symbol represents one animal.

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619

620	Figure 4. Vaccine-specific CD8 ⁺ T cell responses in the blood, LNs and FRT
621	(a) Percentage of IFN- γ^+ CD8 ⁺ T cells over time in PBMC after <i>in vitro</i> stimulation with
622	medium (dotted lines) or wt MVA (full lines). Purple bold line indicates the mean of n=6 and
623	purple arrows indicate vaccine injections. (b) Dot plots of one representative animal (∇) for
624	IFN- γ staining after wt MVA stimulation in the different compartments. Percentages of IFN- γ^+
625	cells are indicated. (c-d) Percentage of IFN- γ^+ , MIP-1 β^+ , TNF- α^+ and IL-2 ⁺ cells among CD8 ⁺
626	T cells after <i>in vitro</i> stimulation with medium (grey) or wt MVA (red) in blood and LNs (c) and
627	FRT tissues (d). (e) CD8 ⁺ T cell polyfunction analysed by Boolean gating is represented as a
628	heat map. Each horizontal coloured line indicates one animal (n=6). (f) Percentage of MIP-1 β^+ /
629	IFN- γ^+ CD8 ⁺ T cells after <i>in vitro</i> stimulation with medium (grey) or gag peptide pools (red)
630	(GAG1 and GAG2) in the different compartments. Each symbol represents one animal.
631	
632	Figure 5. Specific humoral responses in serum and vaginal fluid of vaccinated animals
633	Titers of MVA (a-d)- and HIV-1 gag (e,f)-specific IgG and IgA over time in serum (a, c, e) and
634	vaginal fluid (b, d, f). Each symbol represents one animal, the bold line indicates the mean titer
635	of the six animals, and the dotted line indicates the detection limit. The purple arrows indicate
636	vaccine injections.
637	
638	Figure 6. Transcriptomic profiling of vaginal, cervical and uterine tissues of vaccinated animals
639	(a) Bar charts showing the numbers of down-regulated (green) and up-regulated (red) genes in
640	comparison between vaginal, cervical and uterine tissues. (b) Venn diagram showing overlaps
641	between the set of differentially expressed genes found in the three comparisons. (c) Heatmap
642	showing the expression of the genes found to be differentially expressed in at least one

643 condition. Hierarchical clustering was performed at the gene level to identify 4 main sets

644 (clustering branches) of genes having similar expression profiles. Canonical pathways and 645 upstream regulators found to be statistically over-represented in each clustering branch are 646 indicated. (d) Immune-related canonical pathways and p-values associated with clustering 647 branches #1 and #2. (e) Transcriptomic and cellular co-expression network. Each node of the 648 graph corresponds to a biological variable and links between the nodes correspond to significant 649 correlations (Spearman correlation coefficient). Genes are represented by circles and cell 650 populations by squares. Gene circles are coloured based on their clustering branch associations 651 (represented in (c) and (d)). Positive correlations are presented by red links and negative 652 correlations by green links.



Blood

IL LN

Ax LN

Ing LN

Vagina

Cervix

Uterus

Tubes



Figure 2

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Figure 3

% CD154+/IFNy+ in CD4+ T cells



Figure 5



Tubes

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0

4 6

60

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0.1

0.22

% MIP1β+/IFNγ+ in CD8+ T cells



2.34

CCR5 Signaling in Macrophages

Figure 6



Supplementary Figure 1. Gating strategy used to analyze immune cells.

(a) Gating strategy used to analyze CD45+ leukocytes. The same strategy was applied to all the tissues and a representative illustration for the uterus is indicated. (b) ILC subsets were characterized after exclusion of T cells, B cells and APC (HLA-DR+ cells). NK cells are defined as NKG2A+ cells and ILC-3 as NKp44+ / NKG2Aneg cells. (c) APC subsets were defined as lineage negative and HLA-DR+ after exclusion of neutrophils, T cells, B cells and NK cells. (d) T cells are defined as CD3+ lymphocytes and B cells as CD20+ / HLA-DR+ lymphocytes. Memory subsets of CD4+ and CD8+ T cells were characterized by expression of CD28, CD95 and CD45RA markers.

Antibody	Fluorochrome	Clone	Supplier
CD45	PerCp	D058-1283	BD pharmingen
CD3	V500	SP34-2	BD Horizon
CD4	V450	L200	BD Horizon
CD8	BV650	RPA-T8	BD Horizon
CD20	PE-CF594	2H7	BD horizon
CD27	РЕ	MT-271	BD pharmingen
CD45RA	PC7	L48	BD
HLA-DR	APC-H7	G46-6	BD pharmingen
CD69	Alexa-700	FN50	BD pharmingen
CD21	BV711	B-Ly4	BD Horizon
CD28	FITC	CD28,2	BD pharmingen
CD95	APC	DX2	BD pharmingen
CD20	BV711	2H7	BD horizon
CD16	PE-CF594	3G8	BD Horizon
NKG2A	PE	Z199	Beckman Coulter
CD11c	APC	S-HCL-3	BD
CD14	Alexa-700	M5E2	BD pharmingen
CD69	V450	FN50	BD horizon
CD66	FITC	TET2	Miltenyi
CD123	PC7	7G3	BD pharmingen
NKp44	Pure	2.29	Miltenyi

Table SI. Antibodies used in immune phenotyping experiments.

Antibody	Fluorochrome	Clone	Supplier
CD3	APC-Cy7	SP34-2	BD pharmingen
CD45	PerCP	D058-1283	BD pharmingen
CD8	V500	RPA-T8	BD pharmingen
CD154	FITC	TRAP1	BD pharmingen
IL2	APC	MQ1-17H12	BD pharmingen
IFN-γ	V450	B27	BD horizon
ΤΝΓ-α	A700	BMAb11	BD pharmingen
ΜΙΡ-1β	PE	D21-1351	BD pharmingen
CD4	PC7	L200	BD pharmingen

Table SII. Antibodies used in ICS experiments.