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Nicotine reverses hypofrontality in animal models of

addiction and schizophrenia

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The prefrontal cortex (PFC) underlies higher cognitive processes¹ that are modulated by nicotinic acetylcholine receptor (nAChR) activation by cholinergic inputs². PFC spontaneous default activity³ is altered in neuropsychiatric disorders⁴, including schizophrenia⁵—a disorder that can be accompanied by heavy smoking⁶. Recently, genome-wide association studies (GWAS) identified single-nucleotide polymorphisms (SNPs) in the human CHRNA5 gene, encoding the a5 nAChR subunit, that increase the risks for both smoking and schizophrenia^{7,8}. Mice with altered nAChR gene function exhibit PFC-dependent behavioral deficits⁹⁻¹¹, but it is unknown how the corresponding human polymorphisms alter the cellular and circuit mechanisms underlying behavior. Here we show that mice expressing a human α 5SNP exhibit neurocognitive behavioral deficits in social interaction and sensorimotor gating tasks. Two-photon calcium imaging in awake mouse models showed that nicotine can differentially influence PFC pyramidal cell activity by nAChR modulation of layer II/III hierarchical inhibitory circuits. In a5-SNP-expressing and a5-knockout mice, lower activity of vasoactive intestinal polypeptide (VIP) interneurons resulted in an increased somatostatin (SOM) interneuron inhibitory drive over layer II/III pyramidal neurons. The decreased activity observed in a5-SNP-expressing mice resembles the hypofrontality observed in patients with psychiatric disorders, including schizophrenia and addiction^{5,12}. Chronic nicotine administration reversed this hypofrontality, suggesting that administration of nicotine may represent a therapeutic strategy for the treatment of schizophrenia, and a physiological basis for the tendency of patients with schizophrenia to self-medicate by smoking¹³.

Impairment of cognitive processes that are critically reliant on the PFC is a signature of schizophrenia⁵ and is associated with low activity of the default mode network³. However, the cellular and circuit deficits underlying 'hypofrontality' remain unexplored. Because acetylcholine (ACh) release results in strong modulation of cortical activity via nAChRs^{14–16}, it is not surprising that human nAChR gene variants are strongly linked to mental disorders with cognitive deficits¹⁷. Genetic variants within the *CHRNA3–CHRNA5–CHRNB4* nAChR gene cluster contribute to the risk of habitual smoking⁷. Among the series of polymorphisms composing this human haplotype, the rs16969968 SNP leads to the substitution of aspartic acid at residue 398 by asparagine (D398N) in the human α 5 subunit¹⁸. Both *in vitro* and *ex vivo* studies indicate that this SNP results in partial loss of function of α 5-containing nAChRs^{18,19}. In humans, this SNP is associated with reduced resting-state functional connectivity, and circuits involving the PFC are altered¹².

Recently, the same genetic locus was associated with schizophrenia in a major GWAS⁸, but it is not yet clear whether this SNP is responsible for the hypofrontality observed in individuals with schizophrenia, which would directly implicate altered nicotinic signaling in this pathophysiology of schizophrenia. One obstacle preventing further mechanistic dissection of schizophrenia is the absence of animal models. Because genetic deletion of the α 5 nicotinic subunit in mice leads to PFC-linked behavioral deficits, altered cholinergic excitation and aberrant neuronal morphology in the PFC²⁰, we developed a mouse line expressing the human α 5 variant to investigate the impact of this variant on behaviors associated with schizophrenia and to determine the neuronal and circuitry mechanisms underlying cognitive deficits.

Research on social cognition suggests that social interaction deficits, similar to emotional dysfunctions, represent characteristic markers of psychiatric disorders²¹. Because impaired social ability is a common feature of schizophrenia²¹, we used the three-chamber social test²² to determine whether α 5-SNP-expressing (α 5SNP) mice exhibit impairments in social

interaction. The results indicated that α 5SNP mice have deficits in sociability. Wild-type (WT) mice exhibited a clear preference for a novel social partner, spending 69.6 ± 2.9% of their time engaged in social interaction, while α 5SNP littermates showed no preference between the social partner and an inanimate object (44.1 ± 5.3%) (**Fig. 1a**). The differences in social interaction cannot be attributed to the effects of differing genotypes on activity or general exploratory behavior because the WT and α 5SNP mice did not differ in locomotor activity or combined time in the social and inanimate object interaction areas (**Fig. 1a** and **Supplementary Discussion**).

Deficiencies in the prepulse inhibition (PPI) task are a measure of impaired sensorimotor gating and are observed in several human neuropsychiatric disorders²³. The PPI task measures the ability of an animal to inhibit its startle in response to an acoustic tone (pulse) when the tone is preceded by a lower-intensity stimulus (prepulse). Examination of PPI has been particularly important in studying the neurobiology of schizophrenia because deficits in cognitive information gating are a clinically important feature of the disorder²³. There is a high degree of similarity between measures of PPI in rodent models and humans, which is in accordance with the use of PPI as a cross-species measure of sensorimotor gating²³. We found that α 5SNP mice exhibited decreased PPI across a range of prepulse intensities (4, 8, 12 and 20 dB above background noise) relative to their WT littermates (**Fig. 1b** and **Supplementary Discussion**), suggesting that sensorimotor gating is disrupted by the α 5 variant.

To determine the circuit mechanisms underlying the cognitive deficits attributed to dysfunction of α 5-containing nAChRs and the human SNP, we assessed resting-state network activity using *in vivo* two-photon calcium imaging in the prelimbic cortex (PrLC) of the PFC in awake mice either lacking the α 5 subunit (α 5KO mice) or with knock-in of the α 5 SNP (α 5SNP mice) in comparison to WT mice. Neurons were transduced with an adeno-associated viral vector (AAV) expressing the genetically encoded calcium indicator GCaMP6f in order

to monitor Ca²⁺ transients as a proxy for action potential firing (**Fig. 1c** and **Supplementary Fig. 1**). Because we estimate that 80% of the labeled neurons in layers II/III are pyramidal neurons (**Supplementary Fig. 2**), we consider that such experiments primarily indicate the activity of the pyramidal neuron population. Interestingly, we found that the median frequency and duration of Ca²⁺ transients were lower in both strains of transgenic mice than in WT mice (**Supplementary Fig. 3**). Spontaneous Ca²⁺ transients were deconvolved with a putative unitary (action potential–evoked) fluorescence response in order to estimate neuronal firing rates (**Supplementary Figs. 4–6** and **Supplementary Table 1**). We found significantly lower layer II/III neuronal activity in the PrLC of α 5KO (8.2 ± 0.5 spikes/min) and α 5SNP (20.3 ± 0.6 spikes/min) mice when compared to WT mice (27.5 ± 0.9 spikes/min; **Fig. 1d–f**). Thus, loss of function of α 5-containing nAChRs causes a decrease in layer II/III microcircuit activity, which parallels the decrease in hypofrontality that is a defining feature of schizophrenia in humans⁵.

The α 5 subunit is present only in VIP interneurons in layers II/III²⁴, which exert inhibitory control of SOM and parvalbumin (PV) interneurons in the PFC²⁵. Since layer II/III pyramidal neurons do not express nAChRs, we hypothesized that loss of function of the α 5 subunit would result in disinhibition of PV and SOM interneurons and, thus, reduced pyramidal cell activity (**Fig. 1g**). Because dendritic-targeting SOM interneurons express different nicotinic receptor subtypes, namely α 7- and β 2-containing nicotinic receptors^{15,26}, from perisomatic-targeting PV cells^{15,27}, which exclusively express α 7-containing nAChRs, we compared PFC activity across transgenic mice with specific deletions of nAChR subunits differentially expressed in PV and SOM interneurons. We observed a strong increase in the median spike frequency of GCaMP6f-expressing pyramidal neurons in both KO lines, with the β 2KO line exhibiting the highest frequencies (85 ± 1.1 spikes/min) in comparison to the α 7KO line (45.9 ± 1.8 spikes/min) and WT mice (27.5 ± 0.9 spikes/min; **Fig. 1h–j**). Thus, the absence of either

 α 7 or β 2 subunits in layers II/III increases pyramidal neuron activity; this is most likely because of a decrease in the cholinergic drive of interneurons directly inhibiting pyramidal neurons.

Because α 5 subunits are expressed in cortical layers that were outside of our imaging field in layers II/III¹⁵, we selectively re-expressed the WT α 5 subunit in the PFC layer II/III interneurons of α 5KO-GADCre mice by using a conditional lentiviral vector (**Fig. 2a,b**). We observed full restoration of pyramidal neuron activity to control levels after re-expression of the WT α 5 subunit, whereas, when the α 5 subunit encoding the human SNP was re-expressed in α 5KO-GADCre mice, pyramidal cell activity was only partially restored, to levels between those of control mice and α 5KO-GADCre mice (**Fig. 2c**). Since PV interneurons do not express the requisite β 2 subunit to form a functional α 5-containing nAChR, these results may be due to the expression of the α 5 subunit in either VIP or SOM interneurons. Because the activity in pyramidal neurons is restored, re-expression of α 5 in VIP interneurons likely represents the dominant effect on the circuit behavior. These data indicate that the hypofrontality in α 5KO and α 5SNP mice can be attributed exclusively to an effect on layer II/III GABAergic interneurons.

In addition, because $\beta 2$ subunits are also expressed in other cortical layers¹⁵, we monitored pyramidal cell activity in $\beta 2$ KO mice after re-expression of the $\beta 2$ subunit. WT $\beta 2$ subunit was re-expressed along with tdTomato as a reporter by targeted injection of a $\beta 2$ -IRES2-tdTomato bicistronic lentiviral vector into layers II/III of the PrLC of $\beta 2$ KO mice (**Fig. 2d** and **Supplementary Fig. 7**). In the PrLC, only the interneurons in layers II/III express nAChR α subunits, and therefore the lentivirus-mediated expression resulted in functional nAChRs containing $\beta 2$ subunits exclusively in interneurons²⁸. We found that the neuronal activity of $\beta 2$ KO mice was elevated when compared with that in WT mice, and the re-expression of WT $\beta 2$ led to a normalization of activity (**Fig. 2e,f** and **Supplementary Fig. 8**).

These results are consistent with layer II/III re-expression of $\beta 2$ in SOM and VIP interneurons. When considered together, these manipulations demonstrate the critical role of layer II/III interneurons in controlling pyramidal neuron activity within the same layer.

Our results thus far suggest that the decrease in VIP activity in α 5KO and α 5SNP mice could result from a dishinhibition of pyramidal cells. To directly examine the influence of nicotinic signaling in each interneuron type, we examined the spiking activity of VIP, PV and SOM interneurons under conditions of a5 knockdown. We injected VIP-Cre, PV-Cre, or SOM-Cre with a Cre-dependent AAV vector to direct expression of GCaMP6f to each of these layer II/III interneuron populations. To specifically reduce expression of the α 5 subunit *in vivo*, we used CRISPR-associated Cas9 endonuclease technology²⁹. We injected the mice described above with a lentiviral vector expressing Cas9 and guide RNA (gRNA) targeting the Chrna5 gene (LV-CRISPR-α5) in layers II/III of the PFC (Fig. 3a,b). Choice of the gRNA sequence and analysis of potential off-target activity by next-generation sequencing (NGS) are described in the Supplementary Methods (Off target analysis using next generation sequencing). The rate of insertions/deletions was quantified to be 15.5% (Supplementary Fig. 9 and Supplementary Tables 2 and 3). Using the lentiviral vector in vivo, we found a strong reduction in the median spike frequency of VIP interneurons in VIP-Cre mice injected with LV-CRISPR- α 5 lentivirus (3.49 ± 0.66 spikes/min) when compared to control mice injected with a control non-targeting CRISPR lentiviral vector (21.5 ± 2.17 spikes/min; Fig. **3c–f** and **Supplementary Fig. 10**). We then examined how reduction of the α 5 subunit in VIP interneurons could affect the spontaneous activity of PV and SOM interneurons, using PV-Cre or SOM-Cre mice together with AAV vectors for Cre-dependent expression of GCaMP6f. We did not observe any significant difference in PV-Cre mice injected with the LV-CRISPR- α 5 lentivirus (6.07 ± 0.60 spikes/min) when compared to control mice (5.62 ± 0.45 spikes/min; Fig. 3g-j), which is consistent with weak innervation of PV interneurons by

VIP interneurons^{25,30}. In contrast, targeted injection of α 5-targeting lentivirus into layers II/III resulted in an increase in SOM interneuron spontaneous activity (21.63 ± 0.67 spikes/min) when compared to control mice (5.57 ± 1.31 spikes/min; **Fig. 3k–n**), whereas pyramidal cell activity was reduced (**Fig. 3o–r**). Our data show that there is a central role for the α 5 subunit in controlling VIP-mediated disinhibition via SOM interneuron inhibition of pyramidal neurons in layers II/III (**Fig. 3s**).

On the basis of evidence that the nicotine levels obtained from smoking can improve cognitive abilities³¹ and can mitigate certain schizophrenic symptoms^{6,32}, we administered nicotine to WT and a5SNP mice by mini-pump infusion and examined alterations in layer II/III neuronal firing before and after infusion (Fig. 4a). The dose of nicotine was selected to maintain a plasma concentration analogous to that observed in smokers³³. Pyramidal neuron activity significantly increased after 2 d of nicotine administration in WT mice and remained elevated after 2 weeks (Fig. 4b-e and Supplementary Fig. 11). Also, we found that nicotine treatment 1–2 weeks in duration increased pyramidal neuron activity in α 5SNP mice to the levels of WT baseline activity (Fig. 4b-e). An explanation for these data is that chronic nicotine exposure desensitizes the β 2-containing nAChRs expressed by interneurons. β 2dependent, but not a7-dependent, nAChR currents in the interneurons of PFC layers II/III were completely desensitized after exposure to the concentrations of nicotine obtained from smoking in slice preparations¹⁵. To test this *in vivo*, we administered the same dose of nicotine in SOM-Cre mice injected with AAV encoding Cre-dependent GCaMP6f expression and observed a significant reduction in SOM interneuron activity when compared to that in control mice (Fig. 4f-h). These results suggest that the normalization of neuronal firing in α 5deficient mice by nicotine administration is mediated by dominant nicotine-evoked desensitization of the β 2-containing receptors on SOM interneurons. As a result, SOM inhibition of pyramidal cells is reduced. Together these data support the conclusion that layer

II/III PFC activity is controlled by different nAChR subunits expressed specifically on different classes of interneurons. These experimental results argue strongly that nicotine, or nicotinic agonists, could normalize PFC activity and thus reduce some of the cognitive deficits found in humans with neuropsychiatric disorders.

In summary, we show that endogenous ACh distinctly recruits specific interneuron types within an interneuron hierarchy that controls pyramidal neurons in layers II/III of the PrLC, through differential expression of nAChR subunits. We also provide the first experimental evidence, to our knowledge, that the α 5 human polymorphism, which predisposes to nicotine addiction, provokes a decrease in neuronal activity in rodents that mirrors the hypofrontality observed in patients with psychiatric disorders, including schizophrenia and addiction⁵. Further, we demonstrate that chronic nicotine treatment of mice carrying the α 5 SNP restores their neuronal activity deficits, and these findings together provide the basis for examining new pharmacotherapeutic strategies. Positive allosteric modulators of defined nAChR subunits, like the polymorphic α 5 subunit, are strong candidates³⁴. Finally, our results support the hypothesis that individuals with polymorphic *CHRNA5* smoke to self-medicate in order to ameliorate at least some symptoms of cortical dysfunction.

METHODS

Subjects. Male $\alpha 7^{-/-} (\alpha 7 \text{KO})^{35}$, $\beta 2^{-/-} (\beta 2 \text{KO})^{36}$, $\alpha 5^{-/-} (\alpha 5 \text{KO})^{37}$ and WT C57BL/6J mice used in this study were maintained at Charles River Laboratories, and experiments were performed at 3 months of age. The experiments described in the present work were conducted in accordance with the guidelines on the ethical use of animals from the European Community Council Directive of 24 November 1986 (86/609/EEC) and in accordance with institutional animal welfare guidelines and were approved by Animalerie Centrale, Médecine du Travail and the Ethics Committee CETEA of Institut Pasteur, protocol numbers 2013-0056, 2013-0104 and 2015-0007.

Mice engineered to harbor the α 5 D398N variant (α 5SNP mice) were obtained via homologous recombination. They were generated by the Ingenious Targeting Laboratory using C57BL/6 embryonic stem cells and genomic constructs derived from a C57BL/6 BAC library. The targeting construct was mutated to convert an aspartic acid codon (GAT) to an asparagine codon (AAT) at amino acid position 397 of the mouse α 5 subunit gene (Chrna5). The amino acid position 397 in mice is equivalent to position 398 in human CHRNA5. The neomycin selection cassette of the targeting construct was removed by breeding α 5SNP mice to a C57BL/6J strain expressing a Zp3-cre transgene (C57BL/6-TgN(ZP3-Cre)93Knw, Jackson Laboratories). Removal of the neomycin cassette was verified by PCR.

GAD67-Cre mice were published previously^{38,39}. To generate GAD67-Cre/tdTomato mice, GAD67-Cre mice were crossed with a ChAT-Cre/tdTomato line⁴⁰. GAD67-Cre mice were bred onto a homozygous α 5KO background to obtain α 5KO-GADCre mice.

To generate VIP-Cre/tdTomato mice, homozygous VIP-IRES-Cre (VIPtm1(cre)Zjh, Jackson Laboratory) mice were crossed with homozygous Ai9 mice (B6.Cg-Gt(ROSA)26Sortm9(CAG-tdTomato)Hze/J, Jackson Laboratory) as previously described⁴¹. PV-Cre (008069, Jackson Laboratory) and SOM-Cre (013044, Jackson Laboratory) mice were bred onto a C57BL/6J background.

All experiments were performed using male mice.

Social interaction tests. Eight- to 12-week-old male WT and a5SNP littermates were tested for social behavior using a three-chamber social approach paradigm⁴². Experimental mice were habituated for 1 h in separate, clean holding cages and then were introduced into a threechamber arena with only empty object-containment cages (circular metallic cages, Stoelting Neuroscience) for a 10-min acclimation phase in two 5-min sessions in a 3- to 4-h period (habituation periods I and II). The following day, the mice were placed in the center chamber (without access to the left and right social test areas) and were allowed to explore the center area for 5 min (open field period). After this exploration period, the barriers to the adjacent chambers were removed, allowing mice to explore the left and right arenas, which contained a social partner (i.e., unfamiliar C57BL/6J male mouse) in one chamber and an inanimate object (plastic toy) in the other chamber. Experimental mice were given 10 min to explore both chambers and were measured for approach behavior as interaction time (i.e., sniffing, approach) with targets in each chamber (within 2 cm, excluding non-nose contact or exploration). Sessions were video recorded, and object exploration time and total distance moved were analyzed using the Noldus tracking system. A social preference index was calculated as the percentage of time spent investigating the social target (unfamiliar C57BL/6J male mouse) out of the total exploration time for both objects. The analysis was conducted with investigators blinded to the genotypes of subjects. Arenas and their contents were thoroughly cleaned between testing sessions. Multiple mice from different home cages were used as social targets to prevent potential odorant confounds from target home cages.

Prepulse inhibition of the startle reflex. Male WT and α 5SNP littermates ranging in age from 60–75 d were used for the study. The PPI apparatus (SR-Lab, San Diego Instruments) consists of a sound-attenuating chamber and a vented Plexiglas animal enclosure (4 cm in diameter) that rests on a 20 x 13 cm Plexiglas frame. Background (70 dB), prepulse (74, 78,

82 and 90 dB) and pulse (120 dB) bursts were generated through a computer using SR-Lab software (San Diego Instruments) and presented through a speaker mounted (13 cm) above the animal enclosure. The magnitude of the startle response was measured by a piezoelectric accelerometer mounted below the Plexiglas animal enclosure.

Each session was initiated with a 5-min acclimation period followed by initiation of the test session with five pulse-only trials (white noise, 120 dB/40 ms); each session also concluded with five identical pulse-only trials. Both the pre- and post-pulse trials were excluded from analysis. The remaining trials consisted of pseudorandomized presentation within ten trial blocks of a blank (no-stimulus) trial, a pulse-only trial and four different types of prepulse + pulse trials (20 ms at 74, 78, 82 or 90 dB followed by a pulse (120 dB) 100 ms after the prepulse). PPI was calculated using the means of ten trials for each type of stimulus: percent PPI = (pulse-only score – (prepulse + pulse score))/pulse-only score x 100.

Stereotaxic injections and chronic cranial windows. Mice were anesthetized with ketamine (10% in PBS; Imalgen 1000, Rhone Mérieux) and xylazine (2% in PBS; Rompun, Bayer), 10 ml/kg intraperitoneally (i.p.), and placed into a stereotaxic frame. Mice were kept on a thermal blanket, and their eyes were protected with artificial tear ointment. The scalp was washed with three alternating swabs of 70% ethanol and betadine. Surgical tools were sterilized using a hot glass-bead sterilizer. The skull was exposed, and 1% xylocaine was applied to the periosteum. A chronic cranial window was prepared as previously described⁴³ (Supplementary Fig. 1). Briefly, the skull was gently thinned using a dental drill around the region of interest, and the thinned bone was removed using forceps, leaving the dura intact. For recordings of pyramidal neurons, 200 nl of AAV virus (2.2 x 10^{13} genome copies (GC)/ml; AAV1.syn.GCaMP6f.WPRE.SV40, University of Pennsylvania Vector Core) was injected bilaterally at the following coordinates—PrL: AP, +2.8 mm from the bregma; L, ±0.5 mm; DV, -0.3 to -0.1 mm from the skull—using a Nanoject II (Drummond Scientific) at the

slow infusion setting. For recordings of interneurons in the Cre lines, 200 nl of AAV virus $(2.1 \times 10^{13} \text{ GC/ml}; \text{ AAV1.Syn.Flex.GCaMP6f.WPRE.SV40}, \text{ University of Pennsylvania}$ Vector Core) was injected bilaterally at the same coordinates as described above. The glass pipette was left in situ for an additional 5 min, before being slowly withdrawn from the brain. The optical window was covered with a circular coverglass (5 mm in diameter) and was sealed to the skull with dental cement (Coffret SUPERBOND complet, Phymep). A sterile small stainless steel bar with a screw hole was embedded into the acrylic over the cerebellum to head-fix the mouse for imaging sessions, and all exposed skull was covered with dental cement.

Lentiviral expression vectors and stereotaxic procedure. The lentiviral expression vectors were derived from the pHR' expression vectors first described by Naldini et al.⁴⁴ with several subsequent modifications. In the lentivirus used in this study, expression of mCherry cDNA is under the control of the mouse phosphoglycerate kinase (PGK) promoter. Further details can be found in ref. 45. Briefly, to create the pLV-PGK-mCherry-WPRE vector, a two-step strategy was used. First, mCherry cDNA was amplified from the pRSET plasmid (a kind gift of the laboratory of S. Tajbakhsh, Stem Cells and Development lab, Institut Pasteur, Paris, 5'-France), using the following primers-forward, GTACTCGAGCCACCATGGTGAGCAAGGGC-3'; 5'reverse, GCTGACGCGGCCGCTTACTTGTACAGCTCGTCCATG-3'-and the T/A cloning strategy was used to introduce mCherry cDNA into the pGEM-T Easy vector (Promega). Next, we took advantage of the XhoI site in the multiple-cloning region of the vector to replace the fragment encoding eGFP in the preexisting pTRIP-PGK-eGFP lentiviral vector⁴⁵ with mCherry cDNA between the XhoI and BsrGI sites. This viral vector was used as a control injection for the $\beta 2$ rescue experiments.

To create the pLV-dsmPGK-β2-IRES-tdTomato-WPRE vector, we used a previously

described PTP- β 2-IRES-GFP-WPRE vector⁴⁵ and replaced the full IRES-GFP sequence with IRES-tdTomato available from Clotech (pLVX-IRES-tdTomato) by using the Gateway system. Lentivectors were diluted in PBS before stereotaxic injection to achieve a dose corresponding to 250 ng of p24 protein in 2 μ l.

Production of the conditional lentivectors was performed as previously described³⁴. Briefly, viral particles were generated by cotransfection of HEK-293T cells with the vector plasmid, a packaging plasmid and an envelope plasmid using the calcium phosphate protocol. At 2 d after transfection, viral particles were collected in the supernatant, treated with DNase I and MgCl₂, passed through a 0.45-µm filter, concentrated by ultracentrifugation and resuspended in a small volume of PBS. Viral stocks were stored in small aliquots at -80 °C before use. Viral titers were estimated by quantification of the p24 capsid protein using the HIV-1 p24 antigen immunoassay (ZeptoMetrix) according to the manufacturer's instructions. Lentivectors were diluted in PBS before stereotaxic injection to achieve a dose corresponding to 150 ng of p24 protein in 2 µl for re-expression of the α 5 subunit. As controls, we used vectors carrying only eGFP under the same promoter and we injected the same volumes. The lentiviral vectors were injected bilaterally into the PrLC at the following coordinates—PrL: AP, +2.8 mm from the bregma; L, ±0.5 mm; DV, -0.25 to -0.1 mm from the skull—using a Nanoject II (Drummond Scientific) at the slow infusion setting.

CRISPR/Cas9 nuclease RNA-guided genome editing. We used CRISPR-associated Cas9 endonuclease technology for inactivation of the α 5 subunit (Sigma-Aldrich). Three different gRNAs were designed by Sigma-Aldrich using an algorithm based on Bowtie sequence analysis software. gRNAs targeting a site in the first two-thirds of the coding sequence with at least 3 bp of mismatch with any other location in the host genome were proposed for testing by genomic cleavage detection assay (CEL-1 endonuclease). Assessment of the CRISPR/Cas9 on-target and off-target effects is described in the **Supplementary Methods**

(Off target analysis using next generation sequencing) and Supplementary Tables 2 and 3. After screening of three target sites in the *Chrna5* gene, the following target site was chosen: TGTCACTCAGGTGTTCCACAGG. The lentiviral vector uses a one-plasmid system consisting of an EF1-a-driven Cas9 gene and a U6-driven gRNA, with both puromycin and GFP coexpressed with Cas9. 2.5 µg of U6-gRNA was nucleofected with 5 µg of Cas9 plasmid. In vitro validation was performed in mouse N2a cells (Sigma-Aldrich), where the targeting efficiency was established to be 13.2% by enzymatic assay. N2a cells tested negative for mycoplasma. Insertions and deletions were detected at the gRNA target via mismatch assay (CEL-1 endonuclease) using the following primers: forward, 5'-GATTATTGATAACATTGAGTTTC-3'; reverse, 5'-CTCTAGTGGCTAACTCACAC-3'. As a control, we used a lentiviral plasmid vector that includes a gRNA sequence that does not target known human, mouse or rat genes (CRISPR12-1EA, Sigma-Aldrich). Viral particles were generated by cotransfection of HEK-293T cells with the vector plasmid, as described above. For the α 5-Cas9 lentiviral vector, 49 ng of p24 protein in 1 µl was locally injected in layers II/III of the PFC, whereas the control virus was diluted in PBS before stereotaxic injection to achieve a dose corresponding to 50 ng of p24 protein in 1 µl. In addition, 100 nl of AAV1.CAG.tdTomato.WPRE.SV40 (1.52 x 10¹³ GC/ml; University of Pennsylvania Vector Core) was added to the solution to allow localization of the cells transduced with LV-CRISPR virus.

Mouse handling for awake imaging. Mice were habituated to the imaging environment by handling and training for 4 d, as described previously^{46,47}. Briefly, the animals were habituated to the following conditions sequentially: (1) gentle handling, (2) free exploration of a 50-ml open-ended support tube (for the mouse to rest in during imaging), (3) incremental periods of mild head fixation in which the mouse's head was briefly secured to the head post (5 s to 15 s) and (4) extended periods of head fixation on the mouse stage while the mouse

rested in the support tube (5 min to 60 min).

Immunofluorescence. At the end of the final two-photon imaging session, immunofluorescence was performed in order to verify the injection site. Fixation was carried out by transcardiac perfusion of anesthetized mice using 4% paraformaldehyde (PFA), and the brains were removed and post-fixed by immersion in PFA for 2 d at 4 °C. The brains were then immersed in 30% sucrose in PBS overnight for cryoprotection at 4 °C. Serial 40-µm coronal sections were cut with a sliding microtome (Leica Microsystems), transferred to PBS, mounted on slides and coverslipped with ProLong Gold antifade reagent with DAPI (Invitrogen). Microscopy was carried out with a Zeiss epifluorescent microscope.

To determine expression patterns in β2KO-GADCre mice injected with β2-IRES2-tdTomato and in α5KO-GADCre mice injected with PDGF-floxed-mCherry-α5 WT/SNP-IRES2eGFPP-WPRE, we anesthetized and perfused the mice as described above. Serial 40-µm coronal sections were cut with a sliding microtome, collected and washed in PBS treated with 0.2% Tween-20 (PBS-T), immersed in 10% normal horse serum blocking solution, and incubated overnight with primary antibodies against GFP (1:2,000; Abcam, ab38689) and RFP (1:2,000; Molecular Probes, R10367) followed by incubation with secondary antibodies conjugated to Alexa Fluor 488 (green; 1:500; Jackson ImmunoResearch Laboratories, 715-545-150) and Alexa Fluor 568 (red; 1:500; Abcam, ab175470). The sections were mounted on slides and coverslipped with ProLong Gold antifade reagent with DAPI. Microscopy was carried out with a Zeiss LSM-700 confocal microscope (**Supplementary Fig. 7**). For all antibodies, validation is provided at the manufacturer's website.

Surgical implantation of mini-pumps. Mice were anesthetized with a xylazine and ketamine combination (15% xylazine/2.5% ketamine/82.5% PBS). Osmotic mini-pumps (model 2004, ALZET DURECT) were implanted subcutaneously at the nape of the neck as previously described⁴⁸. Nicotine or saline was delivered at a constant rate (2.4 mg per kg body weight per

day for nicotine).

In vivo two-photon imaging. *In vivo* imaging was performed with an Ultima IV two-photon laser-scanning microscope system (Bruker), using a 16x, 0.8 N.A. water immersion objective (Nikon) with the femtosecond laser (MaiTai DeepSee, Spectra Physics) tuned to 950 nm for imaging of cells expressing GCaMP6f and to 1020 nm for imaging of cells expressing tdTomato. Time-series movies of neuronal populations expressing GCaMP6f were acquired at the frame rate of 6.926 Hz (182 x 182 μ m field of view; 0.71 μ m/pixel). The duration of each focal plane movie was 216.564 s (1,500 frames) to track spontaneous neuronal activity. For interneuron recordings, time-series movies of interneuronal populations expressing GCaMP6f were acquired at the frame rate of 30.33 Hz (405 x 405 μ m field of view; 0.79 μ m/pixel). The duration of each focal plane movie was 164.897 s (5,000 frames).

Randomization and blinding. The mice from all experimental groups, both baseline and nicotine treated, were imaged in a random order. Experiments were performed with blinding for the β 2 re-expressing mice and for recordings of the VIP-Cre and PV-Cre baseline and SOM-Cre nicotine-treated mice but not for the rest of the experiments. Analysis was performed with blinding for the social interaction test data, the activities of the α 5KO, α 5SNP and β 2 re-expressing mice, and recordings of the baseline PV-Cre and SOM-Cre mice and SOM-Cre nicotine-treated mice, but not for the rest of the experiments.

Two-photon data analysis. Image analysis was performed offline with ImageJ software. The time series were corrected using Image Stabilizer (http://www.cs.cmu.edu/~kangli/code/Image_Stabilizer.html). Regions of interest (ROIs) were manually selected in FIJI, and detection of Ca²⁺ transients of individual neurons was performed automatically by using a custom-written toolbox in MATLAB (Mathworks). For details on the analysis method, see the **Supplementary Methods** (Two-photon data analysis).

Statistical analysis. Two-photon data. Kruskal-Wallis one-way analysis of variance combined with multiple-comparison testing was applied on the aggregated activity (transients/min and spikes/min) of the neurons in all mouse groups in order to study the statistical similarities between them. For each mouse category, we used interpolation in order to account for the different number of neurons recorded in the different animals. In this way, our statistical analysis assigned the same weight to each neuronal population recorded in the different mice of a given category. Levene's test was used to compare the variances of the neural distributions that were similar between groups that were statistically compared. We used Welch's F-test ANOVA as a complementary test for heteroscedasticity. This test gave similar results as the Kruskal-Wallis test with the same level of significance. We used the multiple-comparisons Tukey-Kramer test in order to determine which pairs of mean ranks were significantly different. Animals were not excluded from the analysis. The number of mice needed for our study was determined by preliminary experiments in anesthetized mice and pilot experiments in awake mice. Box plots, histograms and empirical cumulative distribution functions (Kaplan-Meier estimate) were used to compare the different distributions of neural activity between the mouse types. For each box, the central mark is the median, the edges of the box are the 25th and 75th percentiles, and the whiskers extend to the most extreme data points not considered outliers. The ends of the whiskers represent the lowest data value still within 1.5 times the IQR (interquartile range) of the lower quartile and the highest data value still within 1.5 times the IQR of the upper quartile. On the empirical cumulative distribution plots, 95% lower and upper confidence bounds were computed with Greenwood's formula.

Behavioral data. Statistical analysis was performed using Prism (GraphPad) software. Social interaction task differences were assessed using unpaired Student's t test. Because the variance between genotypes for the social index and interaction time measures were not

equal, unpaired Student's *t* test with Welch's correction was used. Two-way repeatedmeasures ANOVA with Sidak *post hoc* test was used for the PPI data analysis. All data other than the aforementioned social interaction tests met the assumptions of the chosen statistical test: normality and equal variances.

Data availability. The custom-written toolbox in MATLAB (Mathworks, 2014b) is available upon request. All data and materials used in this study are available upon request.

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METHODS

Methods and any associated references are available in the online version of the paper.

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Author Contributions F.K. and U.M. conceived and designed research. F.K. performed experiments. F.K., K.A.S. and D.A.D. established the imaging technique. F.K., M.R., D.T. and D.A.D. designed the analysis code. F.K. and M.R. analyzed data. H.O.N., J.L. and C.H. performed behavioral experiments. M.W. contributed the VIP-Cre/tdTomato mice. J.A.S. developed the α 5 SNP mouse line. M.N., JP.C. and B.S.G. provided advice. F.K. wrote the

original manuscript, it was reviewed and edited by D.A.D. and U.M., and all other authors edited it. U.M. supervised the research.

Figure Legends

Figure 1 α 5SNP mice exhibit reduced pyramidal cell activity, whereas α 7KO and β 2KO mice show increased activity, in layers II/III of the prelimbic cortex. (a) Quantifications of social and locomotor behavior in WT (n = 10) and α 5SNP (n = 9) mice. Locomotor activity (left), social index (center) and total interaction time (right) are shown. ***P < 0.005, unpaired Student's t test with Welch's correction for unequal variance (t = 4.239, d.f. = 11.32); the mean \pm s.e.m. for each group is shown. (b) Quantification of PPI behavior in WT and α 5SNP mice (n = 13 mice per group) in response to prepulses of 4, 8, 12 and 20 dB. Two-way repeated-measures ANOVA showed the main effects of genotype (F1,24 = 10.83, P = 0.0031) and decibels (F3,72 = 8.96, P = 4.04, 10-5) but no significant interaction between the two (F3,72 = 1.01, P = 0.39). *P < 0.05, **P < 0.005, Sidak post hoc test used for genotype comparisons for a particular prepulse. The mean \pm s.e.m. for each group is shown. (c) A representative in vivo two-photon image of GCaMP6f-expressing neurons in layers II/III of mouse PrLC. Scale bar in d_{h} , 20 µm. (d) Representative spontaneous Ca²⁺ transients recorded in WT, a5KO and a5SNP mice. The raster plots presented below each trace (black ticks) represent the estimated spikes for each of the automatically detected Ca²⁺ transients (highlighted in red in the trace above). Scale bars, 50% $\Delta F/F$, 30 s. $\Delta F/F = (Fi - F0)/F0$, where *Fi* is the fluorescence value for the *i*th frame of the measurement and *F*0 is the mean of the baseline fluorescence. (e) Population-averaged cumulative distribution functions (CDFs) of spontaneous firing rates (spikes/min) in WT (n = 11 mice, 2,205 cells), α 5KO (n = 7 mice, 1,223 cells) and α 5SNP (n = 11 mice, 1,417 cells) mice. The shaded regions in the CDFs

reflect confidence intervals. (f) The median firing rates of WT, α 5KO and α 5SNP mice. For each box, the central mark shows the median, the edges of the box represent the 25th and 75th percentiles, and the whiskers extend to the most extreme data points that are not considered outliers. The ends of the whiskers represent the lowest data value still within 1.5 times the IQR (interquartile range) of the lower quartile and the highest data value still within 1.5 times the IQR of the upper quartile. ****P* < 0.001, Kruskal–Wallis test. (g) Diagram of an inhibitory circuit. SOM interneurons express β 2 and α 7 nAChRs, whereas PV interneurons contain α 7 nAChRs. The nicotinic excitation of VIP interneurons is mediated by α 5containing nicotinic receptors. Adapted from ref. 25 with permission of Nature Publishing Group. (h) Representative recordings of spontaneous Ca²⁺ transients in α 7KO and β 2KO mice and corresponding raster plots. (i) Population-averaged cumulative histograms of the distributions of spontaneous firing rates (spikes/min) in WT (*n* = 11 mice, 2,205 cells), α 7KO (*n* = 4 mice, 1,171 cells) and β 2KO (*n* = 7 mice, 3,199 cells) mice. (j) Median firing rates for WT, α 7KO and β 2KO mouse lines. ****P* < 0.001, Kruskal-Wallis test.

Figure 2 Targeted nicotinic acetylcholine receptor re-expression in layers II/III of the prelimbic cortex. (a) Schematic of conditional ('floxed') lentiviral vectors inducing the re-expression of WT (LV- α 5WT) or SNP-containing (LV- α 5SNP) α 5 in interneurons in layers II/III of the PrLC. (b) Confocal images of coronal sections with mCherry (red) and GCaMP6f (green) expression in layers II/III of the PFC, and the merged image (right), after the LV- α 5WT vector was specifically injected into layers II/III of the PFC of α 5KO-GADCre mice. Scale bar, 50 µm. (c) Median frequency of spontaneous spikes from the pyramidal neurons of WT-GADCre (29.4 ± 2.7 spikes/min; *n* = 4 mice, 188 cells), α 5KO-GADCre (14.3 ± 1.9 spikes/min; *n* = 3 mice, 258 cells), α 5KO-GADCre + α 5SNP (19.3 ± 5.6 spikes/min; *n* = 3 mice, 134 cells)

mice. *P < 0.05, ***P < 0.001, Kruskal–Wallis test. (d) Schematic of the lentiviral vector used for targeted rescue of functional $\beta 2$ nAChRs specifically in layers II/III of the PrLC. (e) Confocal images of coronal sections with tdTomato (red) and GCaMP6f (green) expression in layers II/III of the PFC; the merged image is shown to the right. Scale bar, 50 µm. (f) The median frequency of spontaneous spikes found in WT (control injection of LV-PGKmCherry-WPRE; 16.95 ± 0.92 spikes/min; n = 4 mice, 1,408 cells), β 2KO (LV-PGKmCherry-WPRE; 60.45 ± 3.55 spikes/min; n = 4 mice, 260 cells) and β 2KO + β 2WT (15.01 ± 2.23 spikes/min; n = 4 mice, 366 cells) mice. No significant difference was detected between WT and β 2KO + β 2WT mice (P = 0.87). ***P < 0.001, Kruskal-Wallis test.

Figure 3 Nicotinic acetylcholine receptors modulate prefrontal cortex activity through inhibitory neurons. (**a**) Schematic of the α5-Cas9 lentiviral vector for delivery of Cas9 and gRNA targeting the *Chrna5* gene (LV-CRISPR-α5) in layers II/III of the PFC. The arrow indicates the target sequence of the *Chrna5* gene. (**b**) Representative *in vivo* two-photon images of GCaMP6f-expressing VIP interneurons in layers II/III of the PFC of VIP-Cre/tdTomato mice, with tdTomato shown in red and GCaMP6f shown in green. Scale bar, 50 µm. (**c**) Diagram of the inhibitory circuit showing that LV-CRISPR-α5 targets only the α5 nAChRs expressed by VIP interneurons in layers II/III of the PFC. Adapted from ref. 25 with permission of Nature Publishing Group. (**d**) Representative spontaneous Ca²⁺ transients (highlighted in red in the trace) of VIP interneurons (VIP-Cre/tdTomato control mice injected with a CRISPR nontargeting control lentiviral vector) and VIP + LV-CRISPR-α5 interneurons (VIP-Cre/tdTomato mice injected with the LV-CRISPR-α5 lentiviral vector) and corresponding raster plots (black ticks represent estimated spikes for each automatically detected Ca²⁺ transient). Scale bars, 50% Δ*F*/*F*, 30 s. (**e**) Population-averaged CDFs of spontaneous firing rates (spikes/min) in VIP (*n* = 4 mice, 177 cells) and VIP + LV-CRISPR- $\alpha 5$ (*n* = 4 mice, 268 cells) mice. The shaded regions in the CDFs reflect confidence intervals. (f) Median firing rates for VIP and VIP + LV-CRISPR- α 5 mice. ***P < 0.001, Kruskal-Wallis test n = 4 mice for each group. (g) Representative in vivo two-photon image of GCaMP6f-expressing PV interneurons in layers II/III of the PFC of PV-Cre mice. Scale bar, 50 µm. (h) Representative spontaneous Ca^{2+} transients of PV interneurons and PV + LV-CRISPR- α 5 interneurons and their corresponding raster plots. Scale bars, 50% $\Delta F/F$, 30 s. (i) Population-averaged CDFs of spontaneous firing rates (spikes/min) in PV (n = 4 mice, 328 cells) and PV + LV-CRISPR- α 5 (*n* = 4 mice, 280 cells) mice. (i) Median firing rates for PV and PV + LV-CRISPR- α 5 mice. No significant difference was found between the groups (P =0.55, Kruskal–Wallis test) n = 4 mice for each group. (k) Representative *in vivo* two-photon image of GCaMP6f-expressing SOM interneurons in layers II/III of the PFC of SOM-Cre mice. Scale bar, 50 μ m. (I) Representative spontaneous Ca²⁺ transients of SOM interneurons and SOM + LV-CRISPR- α 5 interneurons and their corresponding raster plots. Scale bars, 50% $\Delta F/F$, 30 s. (m) Population-averaged CDFs of spontaneous firing rates (spikes/min) in SOM (n = 4 mice, 406 cells) and SOM + LV-CRISPR- α 5 (n = 4 mice, 466 cells) mice. (**n**) Median firing rates for SOM and SOM + LV-CRISPR- α 5 mice. ***P < 0.001, Kruskal-Wallis test n = 4 mice for each group. (o) Representative in vivo two-photon image of GCaMP6f-expressing pyramidal neurons in layers II/III of the PFC of WT mice. 100 nl of AAV1.CAG.tdTomato was co-injected to localize cells transduced by the CRISPR lentivirus (Online Methods). Scale bar, 50 μ m. (**p**) Representative spontaneous Ca²⁺ transients of WT and WT + LV-CRISPR- α 5 pyramidal neurons and corresponding raster plots. Scale bars, 50% $\Delta F/F$, 20 s. (q) Population-averaged CDFs of spontaneous firing rates (spikes/min) in WT and WT + LV-CRISPR- α 5 mice. (r) Median firing rates for WT (17.17 ± 11.18 spikes/min; n = 4 mice, 1,026 cells) and WT + LV-CRISPR- α 5 (7.26 ± 0.62 spikes/min; n = 4 mice, 487 cells) mice. ***P < 0.001, Kruskal–Wallis test. (s) Diagram showing decrease in pyramidal

neuronal activity due to decreased VIP interneuron inhibition of SOM interneurons in layers II/III. Adapted from ref. 25 with permission of Nature Publishing Group. All control mice were injected with a CRISPR nontargeting control lentiviral vector (Online Methods).

Figure 4 Effect of chronic nicotine on the prelimbic cortex activity of WT and α 5SNP mice and desensitization of $\beta 2$ nicotinic acetylcholine receptor subunits. (a) Timeline of the experiment. The arrows indicate the beginning of the imaging sessions. (b) Ca^{2+} transients (highlighted in red in the trace) of neurons in WT and α 5SNP mice after vehicle (saline) and nicotine administration and corresponding raster plots (black ticks represent estimated spikes for each automatically detected Ca²⁺ transient). Scale bars, 50% $\Delta F/F$, 30 s. (c) Comparison of the median activity (spikes/min; median \pm s.e.m.) of WT and α 5SNP mice with vehicle (*n* = 3 mice) or nicotine (n = 4 mice) infusion at 2 d after mini-pump implantation (WT vehicle, 203 cells; WT nicotine, 511 cells; a5SNP vehicle, 401 cells; a5SNP nicotine, 246 cells). Activities normalized with respect to values obtained in WT mice with vehicle (defined as 100%). (d) Comparison of the median activity of WT and α 5SNP mice with either vehicle (*n* = 3 mice) or nicotine (n = 4 mice) infusion at 7 d after mini-pump implantation (WT vehicle, 300 cells; WT nicotine, 584 cells; α5SNP vehicle, 311 cells; α5SNP nicotine, 361 cells). Activities normalized as in c. (e) Comparison of the median activity of WT and α 5SNP mice with vehicle (n = 3 mice) or nicotine (n = 4 mice) infusion at 14 d after mini-pump implantation (WT vehicle, 369 cells; WT nicotine, 440 cells; a5SNP vehicle, 289 cells; α 5SNP nicotine, 182 cells). Activities were normalized as in **c**. ****P* < 0.001, Kruskal–Wallis test. (f) Diagram of the inhibitory circuit showing that nicotine acts in SOM interneurons. Adapted from ref. 25 with permission of Nature Publishing Group. (g) Ca^{2+} transients (highlighted in red in the trace) of SOM interneurons after vehicle and nicotine administration and corresponding raster plots (black ticks represent estimated spikes for each automatically detected Ca²⁺ transient). Scale bars, 50% $\Delta F/F$, 20 s. (**h**) Comparison of the median activity (spikes/min; median ± s.e.m.) of SOM interneurons after vehicle (n = 4 mice, 203 cells) or nicotine (n = 4 mice, 137 cells) infusion, 7 d after mini-pump implantation. Activities were normalized with respect to values obtained in SOM mice with vehicle (defined as 100%). In box-and-whisker plots in **c**–**e**,**h**, for each box, the central mark shows the median, the edges of the box represent the 25th and 75th percentiles, and the whiskers extend to the most extreme data points that are not considered outliers. The ends of the whiskers represent the lowest data value still within 1.5 times the IQR (interquartile range) of the lower quartile and the highest data value still within 1.5 times the IQR of the upper quartile. ***P < 0.001, Kruskal-Wallis test.



Figure 1 α5SNP mice exhibit reduced pyramidal cell activity, whereas α7 and β2KO show increased activity in layer II/III of PrLC.



Figure 2 Targeted nicotinic re-expression in layer II/III of PrLC



Figure 3 nAChRs modulate PFC activity through inhibitory neurons



Figure 4 Effect of chronic nicotine on PrLC activity of WT and α 5SNP mice

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