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# In vivo effector functions of high-affinity mouse IgG receptor FcyRI in disease and therapy models

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#### ABSTRACT

Two activating mouse IgG receptors (Fc $\gamma$ Rs) have the ability to bind monomeric IgG, the high-affinity mouse Fc $\gamma$ RI and Fc $\gamma$ RIV. Despite high circulating levels of IgG, reports using Fc $\gamma$ RI<sup>-/-</sup> or Fc $\gamma$ RIV<sup>-/-</sup> mice or Fc $\gamma$ RIV-blocking antibodies implicate these receptors in IgG-induced disease severity or therapeutic Ab efficacy. From these studies, however, one cannot conclude on the effector capabilities of a given receptor, because different activating Fc $\gamma$ Rs possess redundant properties *in vivo*, and cooperation between Fc $\gamma$ Rs may occur, or priming phenomena. To help resolve these uncertainties, we used mice expressing only Fc $\gamma$ RI to determine its intrinsic properties *in vivo*. Fc $\gamma$ RI<sup>only</sup> mice were sensitive to IgG-induced autoimmune thrombocytopenia and anti-CD20 and anti-tumour immunotherapy, but resistant to IgG-induced autoimmune arthritis, anaphylaxis and airway inflammation. Our results show that the *in vivo* roles of Fc $\gamma$ RI are more restricted than initially reported using Fc $\gamma$ RI<sup>-/-</sup> mice, but confirm effector capabilities for this high-affinity IgG receptor *in vivo*.

#### **1. INTRODUCTION**

3 IgG receptors (FcyR) in both humans and mice are divided into high-affinity IgG 4 receptors that are able to retain monomeric IgG, and low-affinity IgG receptors that do not. Both 5 high- and low-affinity FcyRs are, however, able to bind to IgG-immune complexes or IgG-6 opsonised cells and surfaces. In humans only hFcyRI is a high-affinity IgG receptor, for human IgG1, IgG3 and IgG4; and in mice both mFcyRI (for mouse IgG2a) and mFcyRIV (for mouse 7 8 IgG2a and IgG2b) are high-affinity receptors [1]. Although it was proposed that high-affinity 9 FcyRs are occupied by circulating IgG in vivo (discussed in [2]), multiple effector roles for 10 hFcyRI, mFcyRI and mFcyRIV have been reported using mouse models of disease and therapy 11 [3-6].

hFcyRI has been studied by exogenous expression in hFcyRI<sup>tg</sup> mice, demonstrating its 12 role on dendritic cells in the enhancement of antigen presentation and cross-presentation [7], and 13 14 on neutrophils and monocyte/macrophages in inflammation, autoimmunity and systemic 15 anaphylaxis [8]. These studies indicate that hFcyRI can, by itself, induce clinical signs of 16 autoimmune diseases, by triggering local inflammation (e.g. autoimmune rheumatoid arthritis) or 17 phagocytosis (e.g. autoimmune thrombocytopenia and anaemia [9]). Additionally, hFcyRI was 18 reported to induce allergic shock (anaphylaxis) triggered by IgG-immune complexes [8]. Finally, 19 hFcyRI may also be a therapeutic target as it can mediate antibody-based therapies such as anti-20 malaria [10], anti-metastatic melanoma [8] and angiogenesis prevention [6]. The mouse 21 counterpart of hFcyRI, mFcyRI, has been so far studied only by the effect of its absence. Compared to wild-type, mFcyRI<sup>-/-</sup> mice demonstrate reduced reaction severity in models of 22 23 autoimmune diseases such as experimental haemolytic anaemia and arthritis [11, 12]. In addition

mFcyRI<sup>-/-</sup> mice are less susceptible to IgG-mediated systemic anaphylaxis, Arthus reactions [13, 24 14], and show reduced efficacy of anti-melanoma [15-17], anti-lymphoma [18] and anti-25 angiogenic therapies [6]. mFcyRIV was also initially studied by its absence in mFcyRIV<sup>-/-</sup> mice 26 27 or by using blocking anti-mFcyRIV mAbs. These studies reported reduced IgG-mediated 28 autoimmune anaemia, thrombocytopenia, rheumatoid arthritis and experimental nephrotoxic 29 nephritis, but also reduced anaphylaxis and less efficient subcutaneous melanoma therapy in the absence or after blockade of mFcyRIV [19, 20]; some of the latter results should be taken with 30 31 caution since mFcyRIV-blocking antibody 9E9 may also block mFcyRIII in vivo [21]. Effector 32 functions could nevertheless be definitively attributed to mFcyRIV through the generation of mice expressing mFcyRIV without other FcyR. Indeed, using mFcyRIV<sup>only</sup> mice we could 33 34 demonstrate that mFcyRIV can individually induce autoimmune thrombocytopenia and 35 rheumatoid arthritis, as well as IgG-mediated airway inflammation and anaphylaxis, but not anti-36 metastatic melanoma therapy [8, 22-24]. Altogether these data propose multiple effector functions for high-affinity receptors hFcyRI, mFcyRI and mFcyRIV in autoimmune and 37 38 inflammatory disease models, and therapy, with direct evidence provided by studies using hFcyRI<sup>tg</sup> mice and mFcyRIV<sup>only</sup> mice, but only indirect evidence provided by mFcyRI<sup>-/-</sup> mice. 39

40 The *in vivo* effector functions proposed for mFcγRI in IgG-mediated autoimmune disease 41 and therapy models are surprising, considering its expression is restricted to monocytes, 42 monocyte-derived dendritic cells and some tissue-resident macrophages, and is absent on 43 neutrophils. As several reports suggest redundant functions among mFcγRs (reviewed in [1, 2]), 44 it is uncertain if mFcγRI can induce IgG-mediated autoimmune diseases and therapeutic efficacy 45 by itself, or if this receptor is indirectly involved: either for optimal activation via other mFcγRs 46 or priming of effector cells. Therefore we analysed the *in vivo* effector functions of mFcγRI in

- mFcγRI<sup>only</sup> mice, *i.e.* in the absence of mFcγRIIB, mFcγRIII and mFcγRIV, in comparison with
  mFcγR<sup>null</sup> mice that express no mFcγR. Our results identify the effector functions of mFcγRI as
  more restricted than initially reported, but confirm that mFcγRI does function independently *in vivo*, in particular for depletion of IgG-opsonised cells.

#### 2. MATERIALS & METHODS

53 **2.1 Mice** 

54 C57BL/6J mice (WT) were purchased from Charles River. VG1505 (Fc $\gamma$ RI<sup>only</sup>) mice were 55 reported previously [17] and generated by Regeneron Pharmaceuticals, Inc. Fc $\gamma$ R<sup>null</sup> mice were 56 generated by crossing Fc $\gamma$ RI<sup>only</sup> mice to Fc $\gamma$ RI<sup>-/-</sup> mice. Fc $\gamma$ RI<sup>only</sup> and Fc $\gamma$ R<sup>null</sup> mice were bred at 57 Institut Pasteur, used for experiments at 8-11 weeks of age and all protocols were approved by 58 the Animal Ethics committee CETEA (Institut Pasteur, Paris, France) registered under #C2EA-59 89.

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#### 61 2.2 K/BxN serum-induced passive arthritis

K/BxN serum was generated from a pooled collection of >40 animals. Arthritis was induced by
i.v. transfer of indicated volumes of K/BxN serum, and scored as described [25]. In some
experiments mice were sacrificed on day 8 for blinded histological assessment.

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#### 66 2.3 Airway Inflammation

As previously described [26], mice were injected with 50  $\mu$ L of rabbit anti-OVA serum i.n. and 500  $\mu$ g of OVA i.v. 16-18h later 4 bronchoalveolar lavages (BALs) were performed with cold PBS (1x 0.5ml, then 3x 1mL) under lethal anaesthetic. Cells were pooled and stained for flow cytometry after RBC lysis; and haemorrhage was determined by OD570nm in the supernatant. We confirmed that mFcγRI, like all mouse FcγR, can indeed bind rabbit IgG immune complexes (Supplementary Fig.2).

#### 74 **2.4 Passive Systemic Anaphylaxis (PSA)**

Mice were sensitised by i.v. injection of 500µg anti-DNP IgG2a (clone Hy1.2) and challenged 16h later with 200µg TNP(21-31)-BSA i.v. Alternatively, mice were injected with 1mg of heataggregated (1 hour at 63°C in BBS pH8) human IVIG; considering that mouse FcγRI cross-binds human IgG subclasses 1, 3 and 4 [27]. Central temperature was monitored using a digital thermometer with rectal probe (YSI).

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#### 81 2.5 Experimental Thrombocytopenia

Blood samples were taken in EDTA before and at indicated time points after i.v. injection of 3 or
10µg anti-platelet mAb 6A6. Some mice were treated 32h before 6A6 injection with 300µL PBSor clodronate- liposomes i.v. Platelet counts were determined using an ABC Vet automatic blood
analyser (Horiba ABX).

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#### 87 **2.6 Tumour Immunotherapy**

Mice were depilated and received 5x10<sup>5</sup> B16-Luc2+ cells s.c. on d0. Where indicated, mice were injected i.v. with 200µg mAb TA99 on d1, d2 and d3 (Figure 4A, closed symbols), and control groups were untreated (Figure 4A, open symbols). Bioluminescence was acquired from anaesthetised mice on d1, d7 and d13, 10 min after injection of 75µg luciferin s.c. (IVIS Spectrum CT, Caliper Life Sciences), and images were analysed with Living Image software.

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#### 94 **2.7 Anti-mouse CD20 treatment**

Mice received a single i.v. injection of 50µg anti-mouse CD20 (clone 5D2, IgG2a, Genentech) to
deplete endogenous B cells, or saline control, and CD19<sup>+</sup>B220<sup>+</sup> B cells in the blood, spleen and

97 inguinal lymph nodes were assessed 16 hours later by flow cytometry. Remaining B cells were98 calculated as a percentage of the average of vehicle-treated controls (Fig. 4B).

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#### 100 **2.7 Statistics**

Data was analysed using one-way ANOVA with Bonferroni post-test (Fig.2C) or a Tukey's multiple comparisons test (Fig.1 B) to compare individual timepoints (Fig.2 B, D & E, and Fig.3 A, B & E), or a Kruskal-Wallis test with Dunn's multiple comparisons (Fig. 2A & 2C, bottom panel); a Student's t-test (Fig.3 C&D, Fig.4B) or a Mann-Whitney test (Fig.4A). Statistical significance is indicated (ns: p>0.05; \*p<0.05; \*\*p<0.01, \*\*\* p<0.001, \*\*\*\* p<0.0001).</li>
Please refer to supplemental Methods for information on reagents, flow cytometry, histology,

108 surgical procedures and Active Systemic Anaphylaxis (ASA).

#### **3. RESULTS & DISCUSSION**

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112 To evaluate the in vivo effector functions of mouse FcyRI, we investigated mice 113 expressing this receptor in the absence of other endogenous classical FcyR (FcyRIIB, FcyRIII and FcyRIV-deficient), termed FcyRI<sup>only</sup> mice [17] or VG1505 mice [28], in comparison with mice 114 115 deficient for all four endogenous classical FcyRs (FcyRI, FcyRIIB, FcyRIII and FcyRIVdeficient), termed FcyR<sup>null</sup> mice (Fig.1A). Both strains retain FcRn and non-classical IgG receptor 116 expression. FcyRI<sup>only</sup> and FcyR<sup>null</sup> mice display normal breeding patterns and development, and 117 118 no overt pathological signs up until 1 year of age. We assessed the circulating immune cell 119 populations in these novel strains, compared to WT mice, using an automated blood cell analyser (Fig.1B). Across a large sample size, WT,  $Fc\gamma RI^{only}$  and  $Fc\gamma R^{null}$  mice display comparable total 120 121 leukocyte counts in the blood, and similar frequencies of leukocytes, granulocytes and eosinophils (Fig.1B). Notably, FcyRI<sup>only</sup> and FcyR<sup>null</sup> mice have a slightly higher frequency of 122 circulating monocytes. The pattern of expression of FcyRI in FcyRI<sup>only</sup> mice was comparable to 123 wt mice: FcyRI was detected on circulating Ly6C<sup>hi</sup> and Ly6C<sup>low</sup> monocytes (Fig.1D), with greater 124 expression on the latter, and on CD11b<sup>+</sup>CD11c<sup>+</sup> spleen cells, liver kupffer cells and liver 125 126 macrophages, alveolar macrophages and bone marrow monocytes (not shown) and prominently on CD11b<sup>+</sup> skin resident cells (Fig.1E), but barely detected on F4/80<sup>+</sup> peritoneal macrophages, in 127 128 agreement with previous reports [24, 29, 30].

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130 An understanding of the participation of  $Fc\gamma RI$  in autoimmune and inflammatory 131 pathologies remains elusive [4].  $Fc\gamma RI^{-/-}$  mice seem to experience reduced inflammation 132 associated with immune complex tissue deposition [13] and severity of antigen-induced arthritis 133 [11, 12]. Yet redundancy between  $Fc\gamma RI$  and  $Fc\gamma RIII$  certainly exists [12] and many of these

134 earlier studies did not consider the potential contribution of FcyRIV [14, 24]. To address whether FcyRI can play an effector role in inflammatory autoimmunity, we subjected FcyRI<sup>only</sup> and 135 FcyR<sup>null</sup> mice to the K/BxN passive serum transfer model of autoimmune rheumatoid arthritis 136 (K/BxN PA) [31]. Transfer of K/BxN serum into wt mice induced inflammatory signs of arthritis, 137 138 and an arthritic score which peaked at day 4-6 and remained elevated until day 10 following serum transfer (Fig.2A&B), yet the same volume transferred into FcyRI<sup>only</sup> or FcyR<sup>null</sup> mice did 139 140 not induce arthritis. Histological assessment of ankle joints confirmed marked to severe arthritis in wt mice, and no microscopic signs of arthritis observed in either FcyRI<sup>only</sup> or FcyR<sup>null</sup> mice 141 (Supplementary Fig.1A-F). Moreover, K/BxN serum transfer at a dose higher that which was 142 sufficient to induce arthritis in FcyRIV<sup>only</sup> mice [24] did not result in arthritis induction in 143 FcyRI<sup>only</sup> mice (Supplementary Fig.1G), indicating that FcyRI alone cannot induce significant 144 cellular infiltration and inflammation associated with this K/BxN model of autoimmune 145 146 rheumatoid arthritis.

During experimental antigen-induced arthritis (EAIA), FcyRI<sup>-/-</sup> mice were reported to 147 148 experience comparable joint swelling but a reduction in severe cartilage destruction compared to 149 wt mice [11, 12]. Importantly, EAIA relies first on antigen uptake and presentation, a known 150 functionality of FcyRI [13] on monocyte-derived dendritic cells, leading to the activation of 151 antigen-specific T and B cells and to pathogenic antibody production, and thereafter to FcyR-152 mediated effector functions. Antigen uptake and presentation is not required in the K/BxN passive arthritis model, as pathogenic antibodies are directly injected into the recipient mouse. 153 Because the severity of K/BxN passive arthritis is not affected in FcyRI<sup>-/-</sup> mice [25], and because 154 FcyRI<sup>only</sup> mice are resistant to this arthritis model (this report), FcyRI evidently does not induce 155 156 the required effector functions to engender arthritic symptoms after K/BxN serum transfer. If this inability extends also to the EAIA model, one may propose that the reduced severity of EAIA 157

observed in FcγRI<sup>-/-</sup> mice is attributable rather to reduced antigen uptake and presentation. Furthermore, the cardinal marker of cartilage destruction used in EAIA studies relies on the release of matrix metalloproteinases (MMPs) and the creation of neo-epitopes [11, 32]. Therefore although FcγRI does not mediate cell recruitment and joint inflammation in either of these arthritis models (Fig.2A and [11, 12]), it may contribute to reaction severity via local events in the tissue, *i.e.* MMP release and cartilage destruction.

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165 The K/BxN model of autoimmune rheumatoid arthritis relies on local generation of IgG-166 immune complexes on the cartilage surface, that trigger the activation of FcyR-expressing cells. 167 To determine if FcyRI can induce local inflammation in another context, we induced IgGimmune complexes at another anatomical site, the airways, and examined the resulting alveolitis. 168 169 The inflammatory response in wt mice is characterised in the bronchoalveolar lavage (BAL) by massive neutrophil infiltration, significant infiltration of  $Ly-6C^+$  monocytes, and damage to the 170 airways with haemorrhage into the BAL 16h after challenge (Fig.2C) [26]. Neither FcyR<sup>null</sup> mice 171 nor FcyRI<sup>only</sup> mice experienced significant local inflammation: only a mild neutrophil infiltration 172 173 was observed in the absence of inflammatory monocytes and haemorrhage. Together, our data 174 support the notion that FcyRI does not mediate significant immune cell recruitment and 175 inflammation associated with IgG-immune complex deposition, but may contribute to reaction severity via local events in the tissue, as suggested by studies using FcyRI<sup>-/-</sup> mice [13, 14]. 176

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Local inflammatory reactions, such as that which drive autoimmune arthritis, require the activation of several cell populations including monocytes/macrophages and neutrophils. Systemic inflammatory reactions like severe hypersensitivity reactions, or anaphylaxis, can also proceed through pathways dependent on IgG and IgG receptors, yet symptoms may arise

182 following the activation of only one cell population, among monocyte/macrophages, neutrophils 183 and basophils (reviewed in [5, 33]). FcyRI may participate in such reactions by its expression on monocyte/macrophages. Although FcyRI<sup>-/-</sup> mice were reported to experience mouse IgG2a-184 185 induced passive systemic anaphylaxis (PSA) with reduced severity [11], we could not reproduce these findings [34]. Here we demonstrate that FcyRI<sup>only</sup>, like FcyR<sup>null</sup> mice, were resistant to 186 187 mouse IgG2a-mediated anaphylaxis (Fig.2D), heat-aggregated human IVIG-mediated 188 anaphylaxis (Fig.2E) and even to an active model of anaphylaxis induced by BSA immunization 189 and challenge (Supplementary Fig.3). Two possibilities emerge from these findings: firstly, that 190 FcyRI alone is incapable of triggering sufficient inflammatory mediator release to cause systemic 191 symptoms; secondly, that FcyRI expression is insufficient when expressed only on 192 monocyte/macrophages to mediate anaphylaxis induction.

193

Since we found that FcyRI is not sufficient to mediate IgG-induced local or systemic 194 195 inflammation that require cell recruitment and activation, and release of mediators, we wondered 196 if FcyRI was able to induce IgG-mediated cell depletion through phagocytosis and/or ADCC 197 mechanisms that contribute to several autoimmune diseases (e.g. autoimmune thrombocytopenia and anaemia) and to immunotherapies. Earlier studies using FcyRI<sup>-/-</sup> mice indicated that FcyRI 198 199 contributes to experimental autoimmune haemolytic anaemia induced by RBC-targeting mIgG2a 200 antibodies [11], particularly to more severe manifestations at high Ab doses [35]. To determine if FcyRI has autoimmune destructive properties in FcyRI<sup>only</sup> mice, we examined another model of 201 202 autoimmunity characterised by circulating immune complexes, immune thrombocytopenic 203 purpura (ITP) induced by injecting anti-platelet antibodies intravenously. ITP could be induced in wt and FcyRI<sup>only</sup> mice, but not FcyR<sup>null</sup> mice (Fig.3A). Within 4 hours of mAb injection, 204 205 circulating platelet levels were reduced to <20% of their initial concentration in both wt and

Fc $\gamma$ RI<sup>only</sup> mice (Fig.3A&B), and platelet counts remained low even 24 hours later. Administration of platelet-targeting mAb at a threefold-reduced dose was also sufficient to induce platelet clearance in Fc $\gamma$ RI<sup>only</sup> mice, comparable to that of WT mice (Supplementary Fig.4A&B). Highdose toxic clodronate-containing liposomes, administered i.v. to deplete monocyte/macrophages mainly in the blood, spleen, and liver, protected against ITP induction in both WT and Fc $\gamma$ RI<sup>only</sup> mice (Fig.3C), indicating that monocytes and macrophages are responsible for platelet clearance.

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213 To investigate the organ-specific macrophage population responsible for FcyRI-dependent autoimmune platelet clearance, we subjected FcyRI<sup>only</sup> mice to either removal of the spleen 214 215 (splenectomy), or partial removal of the liver (hemi-hepatectomy), prior to ITP induction (Figure 3D&E). ITP induction in FcyRI<sup>only</sup> mice was mildly inhibited by splenectomy (Figure 3D): 216 217 comprising an average reduction in platelet clearance from 88% (±4.2%) to 77% (±9%) 3.5 hours after mAb injection. Splenectomised FcyRI<sup>only</sup> and WT mice had somewhat elevated platelet 218 219 counts at baseline, whether sampled 1 week or 3 weeks post-surgery, yet notably spleen removal 220 did not inhibit ITP induction in WT mice (Supplementary Fig 4C, and data not shown). These 221 findings suggest that splenic macrophages have a minor contribution to FcyRI-dependent ITP. 222 Conversely, partial removal of the liver, which amounted to about 50% reduction in liver mass [36], did not affect ITP induction in FcyRI<sup>only</sup> mice (Figure 3E and Supplementary Fig 4F), 223 224 suggesting that liver macrophages may not be mandatory for FcyRI-dependent ITP. We 225 performed ITP experiments starting 4-5 days after hepatectomies or sham surgeries, due to the 226 rapid regenerative potential of the liver. Importantly, despite the inflammatory effect of the 227 surgical procedures, we did not see differences in FcyRI expression on circulating cells between 228 sham operated and hemi-hepatectomised mice (data not shown). It is difficult to completely 229 exclude a role for the liver in this model, as the part of the organ remaining after hemihepatectomy may be sufficient to efficiently mediate ITP. Moreover, considerable platelet clearance was still observed in splenectomised mice, which implies the involvement of another physiological site; potentially blood monocytes [37]. Collectively, our data indicate a minor contribution of splenic macrophages to  $Fc\gamma RI$ -dependent ITP, but do not provide evidence of a role for liver macrophages.

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236 Antibody-mediated therapies are now a frontline treatment for many malignancies, including a number of autoimmune diseases. Reports using  $Fc\gamma RI^{-/-}$  mice suggest that  $Fc\gamma RI$ 237 238 contributes to IgG-induced tumour cell depletion in the lung [15] and liver [16] but not the skin 239 [20]. As the latter finding may be due to redundant functions among mouse FcyRs in the skin, we 240 followed TYRP-1<sup>+</sup> Luc2<sup>+</sup> B16 melanoma cells tumour growth by bioluminescent imaging *in vivo* [17] in mice treated or not with anti-TYRP-1 mouse IgG2a TA99 mAb. Identical growth kinetics 241 were detected in FcyRI<sup>only</sup> and FcyR<sup>null</sup> mice (Fig.2F; WT mice in Supplementary Fig.5A), and 242 repeated TA99 injections dramatically reduced tumour load in FcyRI<sup>only</sup> mice, but not in FcyR<sup>null</sup> 243 mice, to that of background levels (Fig.4A and Supplementary Fig.5). Thus FcyRI<sup>only</sup> mice reveal 244 FcyRI-mediated functions that can remain masked in FcyRI<sup>-/-</sup> mice. Furthermore, these data 245 reinforce the previously reported anti-tumour effector function of FcyRI in lung and liver tissues 246 247 [15-18] and extends it to the skin tissue.

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Anti-CD20 therapy to deplete B cells has been highly successful in the treatment of B cell malignancies and autoimmune disorders. B cell depletion is known to depend on FcR-dependent mechanisms [38], primarily phagocytosis by Kupffer cells in the liver [36]. A role for FcγRI in the clearance of both malignant and endogenous B cells, in cooperation with FcγRIII and FcγRIV, has been suggested by several studies [18, 39], but has not been formally demonstrated.

254 Since FcyRI was sufficient to mediate destructive platelet clearance (Fig.3), and is expressed on 255 liver Kupffer cells, we tested the capacity of FcyRI to deplete endogenous B cells in a model of 256 anti-CD20 therapy. Administration of mouse CD20-targeting mAb 5D2 (IgG2a) induced B cell depletion in the blood, spleen and lymph nodes of  $Fc\gamma R^{Ionly}$ , but not  $Fc\gamma R^{null}$  mice. 16 hours after 257 258 treatment 85% of B cells were cleared from the blood and 25-30% of B cells from the secondary lymphoid organs of anti-CD20 treated FcyRI<sup>only</sup> mice. These data demonstrate that FcyRI, in the 259 260 absence of FcyRIII and FcyRIV, is sufficient to mediate endogenous B cell clearance, and 261 support a contribution for FcyRI to the efficacy of anti-CD20 therapy in models of lymphoma 262 and autoimmunity.

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In conclusion, genetically modified FcyRI<sup>only</sup> mice enabled us to demonstrate that the 264 265 mouse high-affinity IgG receptor FcyRI is sufficient to mediate IgG-induced autoimmune 266 thrombocytopenia and IgG-based immunotherapy targeting either B cells (anti-CD20) or 267 subcutaneous melanoma, in the absence of FcyRIIB, FcyRIII and FcyRIV. FcyRI alone is, 268 however, insufficient to induce IgG-induced autoimmune rheumatoid arthritis, airway 269 inflammation and systemic anaphylaxis, probably due to its inability to efficiently mediate Ab-270 induced cell recruitment or release of inflammatory mediators. Rather we identify that FcyRI 271 mediates Ab-induced cell depletion/destruction, in both pathogenic autoimmune and therapeutic 272 anti-tumour contexts; which agrees with the important contributions of this receptor to pathogen 273 elimination [11, 40, 41] and antigen uptake and presentation [13]. Furthermore, our data attribute 274 FcyRI-dependent phagocytic function to macrophages in the skin and the spleen. Finally, the 275 effector capabilities of mouse FcyRI appear aligned with its restricted expression profile: low to 276 moderate expression on monocytes, tissue macrophages and monocyte-derived DCs, who are indeed responsible for clearance of foreign bodies and antigen uptake, whereas that of its human homolog hFc $\gamma$ RI extend to pro-inflammatory and pro-anaphylactic functions attributable to more promiscuous expression, particularly high on circulating monocytes and neutrophils. In conclusion, mice models expressing only one particular IgG receptor, *e.g.* Fc $\gamma$ RI<sup>only</sup> or Fc $\gamma$ RIV<sup>only</sup> mice [17, 22-24], are particularly useful to ascribe independent functions to Fc $\gamma$ Rs, as distinct from potential cooperative roles with other Fc $\gamma$ R, the latter of which may be implied from studies using specific Fc $\gamma$ R<sup>-/-</sup> mice.

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#### **5. CONFLICT OF INTEREST STATEMENT**

L.E.M and A.M. are employees of Regeneron Pharmaceuticals, Inc. and hold stock in the company. C.G., H.B., F.J., L.F., S.C., D.A.M., P.P.Z., P.Bousso and P.Bruhns declare no competing financial interests.

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- 430

#### FIGURE LEGENDS

Figure 1: FcyRI only mice have normal blood leukocyte composition and show comparable 435 FcyRI expression to that of WT mice. (A) Schematic representation of WT, FcyRI<sup>only</sup> and 436 FcyR<sup>null</sup> mice. (B) Leukocyte counts and relative percentages of immune cell populations in the 437 blood of WT (n=20), FcyRI<sup>only</sup> (n=35) and FcyR<sup>null</sup> (n=24) mice were enumerated using an 438 439 automatic blood cell analyser. (C) Representative flow cytometry profiles of FcyRI expression on indicated cell populations from the blood and organs of WT,  $Fc\gamma RI^{only}$  and  $Fc\gamma R^{null}$  mice (M $\Phi$ ; 440 macrophages). Shaded histograms indicate background staining of a mIgG1 isotype control. 441 442 Staining is representative of at least 2 independent experiments,  $n \ge 2$ .

443

444 Figure 2: FcyRI alone is insufficient to mediate IgG-induced arthritis, airway inflammation 445 or systemic anaphylaxis, (A-B) Arthritis was evaluated by clinical score (A) and ankle thickness 446 (B) measured following transfer of K/BxN serum (5µL/g body weight) into WT (triangles), FcyRI<sup>only</sup> (circles) or FcyR<sup>null</sup> (squares) mice. (A) \*p<0.05 on d3-6, WT compared to FcyR<sup>null</sup> 447 mice; (B) \*p<0.05 on d2, \*\*p<0.01 on d3, \*\*\*p<0.001 on d4-6, WT compared to FcyR<sup>null</sup> and 448 Fc $\gamma$ RI<sup>only</sup> mice. Data is representative of >2 independent experiments,  $n \ge 3$  per group. (C) 449 450 Bronchoalveolar lavage (BAL) was performed on naive (open symbols, n=3 per group) WT (triangles), FcyRI<sup>only</sup> (circles) and FcyR<sup>null</sup> (squares) mice, or mice 16-18h after challenge with 451 antiserum i.n. and OVA antigen i.v. (closed symbols). Neutrophils and Ly6C<sup>+</sup> macrophages 452 453  $(M\Phi)$  in the BAL were determined by flow cytometry. Haemorrhage was determined by measuring haemoglobin concentration in the BAL supernatant. \*\*p<0.01, \*\*\* p<0.001, \*\*\*\* 454 455 p<0.0001; challenge data is pooled from 2 independent experiments, n=8-10 mice per group. (D-E) Temperature monitoring during passive systemic anaphylaxis (PSA) in WT,  $Fc\gamma R^{null}$  or 456

457 Fc $\gamma$ RI<sup>only</sup> mice, induced by (D) mIgG2a anti-TNP sensitization and TNP-BSA i.v. challenge or 458 (E) i.v. injection of aggregated human IVIG. *n*=3-5 per group; data is representative of 2 459 independent experiments. \*p<0.05, \*\*p<0.01, \*\*\*\* p<0.0001 at all time points from 30min; WT 460 compared to Fc $\gamma$ R<sup>null</sup> and Fc $\gamma$ RI<sup>only</sup> mice.

461

462 Figure 3: FcyRI-mediated thrombocytopenia is dependent on monocyte/macrophages, and 463 partially inhibited by splenectomy. (A-B) Circulating platelets in the blood of WT (n=3),  $Fc\gamma RI^{only}$  (n=7) and  $Fc\gamma R^{null}$  (n=7) mice were quantified at baseline and following i.v. injection of 464 465 10µg anti-platelet mAb (clone 6A6) and are represented as (A) percentage over time and (B) number of platelets 4 hours after mAb injection; \*\*\*\* p<0.0001 at 4h, 9h and 24h, WT vs 466  $Fc\gamma R^{null}$  and  $Fc\gamma RI^{only}$  vs  $Fc\gamma R^{null}$ . (C) Platelet counts at baseline and 4 hours after mAb injection 467 in mice pre-treated with PBS- (open symbols) or toxic- (closed symbols) liposomes; n=4-5 per 468 group; \* p<0.05, \*\*\*\* p<0.0001, significance values indicated for each group at 4 hours 469 470 compared to baseline. (D-E) ITP induction and percentage of circulating platelets in (D) FcyRI<sup>only</sup> 471 mice following splenectomy (closed symbols, n=11) compared to controls (open symbols, n=10); and (E)  $Fc\gamma R^{null}$  mice (n=4) or  $Fc\gamma RI^{only}$  mice following hemi-hepatectomy (n=5), compared to 472 sham operated (n=4), or controls (n=3); \*\* p<0.01, splenectomised mice compared to controls; 473 \*\*\*\* p<0.0001 at 3.5h, 8h and 24h, all groups compared to FcyR<sup>null</sup>; ns not significant. Data in 474 475 (D&E) is pooled from two independent experiments.

476

477 Figure 4: Fc $\gamma$ RI is sufficient for anti-melanoma and B cell depletion therapies. 478 (A) Fc $\gamma$ RI<sup>only</sup> (circles) or Fc $\gamma$ R<sup>null</sup> (squares) mice were injected with B16-Luc2+ cells s.c. on d0 479 and received no treatment (open symbols) or mAb TA99 i.v. on day 1, 2 and 3 (closed symbols); 480 tumour growth was monitored by bioluminescent signal after s.c. injection of luciferin.

481	(B) $Fc\gamma RI^{only}$ (circles) or $Fc\gamma R^{null}$ (squares) mice were injected with anti-CD20 mAb 5D2 (closed
482	symbols) or vehicle (open symbols). The percentage of remaining CD19 <sup>+</sup> B220 <sup>+</sup> B cells
483	(compared to the average of vehicle-treated controls) was determined in the blood, spleen and
484	inguinal lymph nodes after 16h later. Data in (A) is representative of 2 independent experiments,
485	n=4-5 per group. Data in (B) is pooled from 2 independent experiments, $n=3-5$ per group.
486	* $p$ <0.05 on day 7 and day 13, *** $p$ <0.001, **** $p$ <0.0001, Fc $\gamma$ RI <sup>only</sup> controls compared to mAb-
487	treated; ns not significant, FcyR <sup>null</sup> controls compared to mAb-treated.

#### **Supplemental Materials and Methods**

#### Reagents

Human IVIG (Gamunex®) was from Grifols, containing 63% hIgG1, 29% hIgG2, 5% hIgG3 and 3% hIgG4. B16-Luc2+ cells were from Caliper-Life Sciences. IgG were purified by Protein G-affinity purification from supernatants of hybridomas producing anti-gp75 mAb (TA99) from American Type Culture Collection, mIgG2a anti-platelet mAb (clone 6A6) provided by Dr R. Good (USFCM, Tampa, FL, USA), and mIgG2a anti-TNP mAb (Hy1.2) provided by Shozo Izui (University of Geneva, Geneva, Switzerland). Luciferin was from Invitrogen, rabbit anti-OVA antiserum, OVA, BSA, and Freund's adjuvant (CFA/IFA) were from Sigma-Aldrich, TNP<sub>(21-31)</sub>-BSA was from Santa Cruz and PBS- and clodronate-liposomes were prepared as previously described [1]. Vivotag-680 was from Perkin Elmer and OVA-vivotag-680 was prepared as recommended by the manufacturer.

#### Tissue processing and flow cytometry

Spleens were dissociated through a 70µm cell strainer into MACS buffer (PBS /0.5%BSA /2mM EDTA) and RBC lysis was performed using an ammonium chloride-based buffer. For isolation of skin cells, ears were split into dorsal and ventral halves and roughly chopped before digestion with 0.25mg/mL Liberase TL ResearchGrade (Roche) + 0.1mg/mL DNase (Sigma) for 1h at 37°C (800rpm; Eppendorf Thermomixer), washed with 10x volume of PBS/ 10%FBS /2mM EDTA and processed through a 100µm cell strainer. Livers were perfused with cold PBS before dissection, and liver leukocytes were isolated using the Liver Dissociation Kit and gentleMACS Octo Dissociator from Miltenyi, according to the manufacturer's

instructions. Cells were isolated from the peritoneum by lavage with 6mL cold PBS; BALs were performed 3x with 1mL PBS. For blood leukocyte analysis, heparinised blood was subjected to RBC lysis with either Red Blood Cell Lysis Solution (Miltenyi) or BD Pharm Lyse Lysing Buffer (BD Biosciences) and washed with MACS buffer. Single cell suspensions were washed with MACS buffer, incubated with 2.4G2 (Fab')<sub>2</sub> fragments (anti-CD16/32, 40µg/mL; 15min on ice) and stained with fluorochrome-conjugated antibodies in MACS buffer for 30min on ice. Data was collected on a MACSQuant flow cytometer (Miltenyi), and analysed using FlowJo Software (TreeStar, Inc.).

Cell populations were defined by FSC/SSC properties and surface markers as indicated (Fig.1B), or in the BAL (Fig.2B): alveolar macrophages (CD11c<sup>+/</sup> SiglecF<sup>+</sup>), eosinophils (CD11c<sup>neg/</sup> SiglecF<sup>+</sup>), neutrophils (CD11c<sup>neg/</sup> SiglecF<sup>neg/</sup> CD11b<sup>+/</sup> Ly- $6G^{+}$ ), Ly- $6C^{+}$  macrophages (CD11c<sup>neg/</sup> SiglecF<sup>neg/</sup> CD11b<sup>+/</sup> Ly- $6G^{-neg/}$  Ly- $6C^{+}$ ).

#### Active Systemic Anaphylaxis (ASA)

Mice were immunised i.p. on d0 with 200µg BSA in Complete Freund's Adjuvant, and boosted on d14 with 200µg BSA in Incomplete Freund's Adjuvant. BSA-specific IgG1, IgG2a/b/c and IgE serum antibodies were titered by ELISA on d21 as described [2]. Mice with comparable antibody titers were challenged 13-14 days after the last immunisation i.v. with 500µg BSA. Central temperature and mortality was monitored.

#### Partial hepatectomy and splenectomy

Partial hepatectomy was perfomed as described[3]. Mice were anaesthetised and a transverse abdominal incision was made. The superior lobes of the liver were laid on the diaphragm and the ligaments of the caudate lobe dissected. The caudate lobe was

then pulled in front of the stomach and resected after in-bloc ligature of its hilum (6/0 silk). The lateral left lobe was resected using the same technique. The abdomen was closed using 4/0 silk running sutures. The procedure removed approximately half of the initial liver mass. Mice were rested for 2 days before experimental procedure. For splenectomy, a small vertical incision was made on the left flank, the spleen was gently pulled outside the abdomen, and the splenic ligaments and vessels were cut. The abdomen was closed with a 4/0 silk suture, and the skin with a surgical staple. Mice were rested for 1-3 weeks before experimental procedure.

#### Histology

Ankle joints and surrounding tissues (from the extremity of the femur/tibia to the digits) were sampled on day 8 after K/BxN serum transfer (batch #1, 5mL/kg), then fixed and simultaneously decalcified using Formical-4<sup>®</sup> (StatLab Medical Products) for 2 weeks. Samples were routinely embedded in paraffin, and 4 mm sections were stained with haematoxylin and eosin (H&E). Sections were evaluated microscopically and histological changes (*i.e.* inflammation, pannus formation, bone erosion and cartilage damage) were scored from 0 (no change) to 5 (severe).

#### **Supplemental references**

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Supplementary Figure 1: mFcvRl alone is insufficient to induce arthritis, even with increased dose of administration, or a different batch of K/BxN serum. (A-F) H&E stained paraffinembedded sections of decalcified ankle joints on day 8 after K/BxN serum transfer (batch #1, 5mL/kg). Histological signs of marked to severe arthritis were noted in WT mice (A, D), and were characterised by neutrophil and mononuclear cell infiltrates (arrow), pannus formation (asterisk), cartilage damage and bone resorption (arrowhead). No microscopic signs of arthritis were observed in FcγR<sup>null</sup> (B, E) or FcγRl<sup>only</sup> (C, F) mice. (b: bone; c: synovial cavity, s: synovial membrane). Scale bar: (A, B, C) 500  $\mu$ m, (D, E, F) 100  $\mu$ m (G) Arthritic score and ankle thickness following transfer of high-dose K/BxN serum (batch #1, 10mL/kg) into WT (triangles), FcγR<sup>null</sup> (squares) or FcγRl<sup>only</sup> (circles) mice, or (H) arthritic score following transfer of K/BxN serum (batch #2, indicated volumes) into WT or FcγRl<sup>only</sup> mice.



Rabbit IgG ICs

**Supplemental Figure 2: FcγRI binds OVA immune complexes.** CHO cells stably transfected to express FLAG-tagged mFcγRI, mFcγRIIB, mFcγRIII, or mFcγRIV, as indicated, or control cells (-) were stained with an anti-FLAG antibody (upper panel) to confirm receptor expression; shaded histograms represent isotype control staining. CHO transfectants were incubated with immune complexes (ICs) formed by rabbit anti-OVA serum and fluorescently (vivotag680)-tagged OVA (lower panel). Shaded histograms represent background fluorescence (OVA-vivotag680 alone); open histograms IC binding.

		Fcγ	R <sup>null</sup>	FcyRl <sup>only</sup>			
	Maximum temp. loss	IVIG PSA	BSA <b>ASA</b>	IVIG PSA	BSA <b>ASA</b>		
	<1°C	56 (100%)	14 (100%)	67 (82,7%)	36 (83,7%)		
	1-2°C	0	0	6 (7,4%)	5 (11,6%)		
	>2°C	0	0	8 (9,9%)	2 (4,7%)		
emperature (°C) • +	FcγR <sup>null</sup>						
-0- ⊑ ⊲ -8-	BSA-A	<b>SA</b> 60 80	-0- ⊂ ⊲ -0- 	<b>BSA-A</b>	<b>SA</b> 60 80		
	Time (r	ninutes)		Time (minutes)			

**Supplementary Figure 3:** Fc $\gamma$ RI<sup>only</sup> mice are resistant to BSA-ASA and IVIG-PSA. (A) Fc $\gamma$ R<sup>null</sup>(left) and Fc $\gamma$ RI<sup>only</sup> (right) mice were injected with 1mg heat-aggregated IVIG (IVIG-PSA) or immunized and challenged with BSA antigen (BSA-ASA) and central temperatures were monitored. Only a small percentage of Fc $\gamma$ RI<sup>only</sup> animals across all experiments demonstrated a mild hypothermia. Table summarises individual values and graphs represent mean ± SEM. Data is pooled from >4 individual experiments.

<sup>only</sup> mice are resistant to BSA-ASA and IVIG-PSA, at a low-dose of platelet-targeting Ab. (A)
 <sup>only</sup> (right) mice were injected with 1mg heat-aggregated and challenged with BSA antigen (BSA-ASA) and monitored. Bottom: Table summarises individual values
 and graphs represent mean ± SEM. Data is pooled from >4 individual experiments.
 (B) Platelet counts in FcγR<sup>null</sup> and Fcnd <sup>only</sup> mice 4h after injection of 3µg anti-platelet mAb 6A6.!



Supplementary Figure 4:  $Fc\gamma RI^{only}$  and WT mice are susceptible to thrombocytopenia at a low-dose of platelet-targeting Ab; platelet clearance is partially inhibited by splenectomy but not affected by hemihepatectomy. Circulating platelets were quanitifed in the blood of (A-B) WT,  $Fc\gamma RI^{only}$  and  $Fc\gamma R^{null}$  mice at baseline and after injection of 3µg anti-platelet mAb 6A6, and are represented as (A) percentage over time and (B) number of platelets 4 hours after mAb injection. n=5-6 per group; \*\*\*\* p<0.0001 at 4, 12 and 24 hours. (C-D) Number of platelets in the blood of WT or  $Fc\gamma RI^{only}$  controls, sham operated, or mice with splenectomy (C) or hemihepatectomy (D), at baseline (1 week after splenectomy or 2 days after hemihepatectomy or sham) and 3,5 hours after 10µg mAb 6A6. (C) n=4-5 per group, data shown from one of two experiments; \*\* p<0.01. (D) n=3-5 per group, data pooled from two independent experiments; \*\*\*\* p<0.0001.



**Supplemental Figure 5: mFcγRI alone is sufficient to mediate mAb therapy of subcutaneous melanoma.** Mice were injected subcutaneously with B16-Luc2+ melanomas cells with or without therapeutic mAb TA99 treatment and (A,C) tumour growth was monitored by bioluminescent signal 10min after s.c. luciferin injection at indicated timepoints or (B) tumour size measured on day 12. (A)Tumour growth is comparable between untreated WT (triangles, n=5) and FcγRI<sup>only</sup> (circles, n=5 until d7, n=3 at d12) mice. (B-D) FcγRI<sup>only</sup> mice eliminate tumours with TA99 treatment, and FcγR<sup>null</sup> mice do not. (D) Representative photographs taken at day 16. (B-D) correspond to the experiment shown in Figure 2F and are representative of 2 individual experiments.