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1 **"Understanding biofilm formation in intravascular device-related infections"** $\frac{2}{3}$ 3 Christophe Beloin^{1*}, Nuria Fernández-Hidalgo² and David Lebeaux^{3,4} 4 ¹: Institut Pasteur, Unité de Génétique des Biofilms, Département de Microbiologie, Paris, France ² 5 : Servei de Malalties Infeccioses. Hospital Universitari Vall d'Hebron. Universitat Autònoma de Barcelona. Barcelona, Spain. ³: Service de Microbiologie, Unité Mobile de Microbiologie Clinique, Assistance Publique-Hôpitaux de 8 Paris, Hôpital Européen Georges Pompidou, Paris, France. 9 ⁴: Université Paris Descartes, Paris, France. 10 $\begin{array}{c} 11 \\ 12 \end{array}$ 12 * corresponding author: Christophe Beloin, christophe.beloin@pasteur.fr 13 14

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17

16 **Introduction**

18 The use of indwelling devices is constantly increasing in modern medicine. Although they improve 19 patients' care, it is recognised that their use is associated with the risk of infection. Device-related 19 patients' care, it is recognised that their use is associated with the risk of infection. Device-related 20 infections represent a significant part of hospital-acquired infections and are due to device 20 infections represent a significant part of hospital-acquired infections and are due to device
21 contamination by microorganisms subsequently forming biofilms. These biofilms can be defined as 21 contamination by microorganisms subsequently forming biofilms. These biofilms can be defined as
22 sessile communities encased in a self-produced matrix that protects them from external insults 22 sessile communities encased in a self-produced matrix that protects them from external insults
23 including the host immune system and antimicrobial agents. Historically the first description of the 23 including the host immune system and antimicrobial agents. Historically the first description of the 24 involvement of biofilms in a device-related infection was provided in 1982 by the observation of a 24 involvement of biofilms in a device-related infection was provided in 1982 by the observation of a
25 pacemaker colonised by *Staphylococcus aureus* [1]. Since then, formation of biofilms has been 25 pacemaker colonised by *Staphylococcus aureus* [1]. Since then, formation of biofilms has been 26 described in all indwelling devices and is especially problematic in intensive care units (ICU) where 27 intravascular (including intracardiac) devices are extensively used. Among them, intravascular 27 intravascular (including intracardiac) devices are extensively used. Among them, intravascular
28 catheters are used for different purposes in ICU including infusion, haemodialysis, parenteral nutrition, 28 catheters are used for different purposes in ICU including infusion, haemodialysis, parenteral nutrition,
29 hemodynamic monitoring or support such as during extracorporeal membrane oxygenation (ECMO) or 29 hemodynamic monitoring or support such as during extracorporeal membrane oxygenation (ECMO) or 30 extracorporeal life support (ECLS). In part because of their repeated manipulation, the use of such 30 extracorporeal life support (ECLS). In part because of their repeated manipulation, the use of such 31 devices is associated with infection incidence densities ranging from 0.8 to 3.7 episodes per 1000 31 devices is associated with infection incidence densities ranging from 0.8 to 3.7 episodes per 1000 32 catheter-days, depending on ICU units [2]. Main microorganisms responsible for intravascular catheter
33 colonisation and subsequent infections depend on local epidemiology and type of ICU but mostly 33 colonisation and subsequent infections depend on local epidemiology and type of ICU but mostly
34 include Staphylococcus spp. Gram-negative rods and yeasts. Most of the time, clinical symptoms of 34 include *Staphylococcus* spp, Gram-negative rods and yeasts. Most of the time, clinical symptoms of intravascular catheter-related infections are unspecific, such as fever, chills or hypotension, and local 36 cutaneous signs such as redness or pain are seen in \sim 20% of the cases.
 37 Here we will describe the different steps of bacterial biofilm formation o

Here we will describe the different steps of bacterial biofilm formation on intravascular catheters and 38 strategies that can be developed to avoid or reduce their clinical consequences (Figure 1). Such a 39 physiopathologic scheme can also be applied to the formation of biofilm on other intravascular devices 40 such as cardiac prosthetic valves or pacemakers. such as cardiac prosthetic valves or pacemakers.

41

42 **Targeting early steps of colonisation**

43 While initial contamination of intravascular catheters can occur through bacterial translocation from the 44 aut (typically in critically ill and oncology patients) or bloodstream infection (BSI) from another 44 gut (typically in critically ill and oncology patients) or bloodstream infection (BSI) from another infectious source (Figure 1A), the main route of contamination is the patient's skin bacterial flora or 46 healthcare workers' hand manipulating the catheter hub (Figure 1B and C, respectively). In this case,
47 contamination occurs mainly because of suboptimal aseptic measures and can lead to intra- or extra-47 contamination occurs mainly because of suboptimal aseptic measures and can lead to intra- or extra-48 luminal colonisation [3].
49 lnitial events of adhesic

49 Initial events of adhesion on abiotic surfaces such as intravascular catheters that will further lead to
50 colonisation are dependent on electrostatic interactions between bacteria and surfaces as well as 50 colonisation are dependent on electrostatic interactions between bacteria and surfaces as well as
51 more specific interactions between bacterial surface appendages and host proteins that can rapidly 51 more specific interactions between bacterial surface appendages and host proteins that can rapidly
52 cover the implanted material (Figure 1D). These interactions are mediated through different types of 52 cover the implanted material (Figure 1D). These interactions are mediated through different types of 53 adhesins both in Gram-positive and Gram-negative bacteria such as flagella, homo- or hetero-53 adhesins both in Gram-positive and Gram-negative bacteria such as flagella, homo- or hetero-
54 polymeric fimbriae and large adhesins [4]. In Gram-negative bacteria, many of these biofilm-promoting 54 polymeric fimbriae and large adhesins [4]. In Gram-negative bacteria, many of these biofilm-promoting 55 factors are activated by cyclic-di-GMP (c-di-GMP), an allosteric activator controlling free-swimming to sessile lifestyle transition [5].

57 The best prevention approach comes with hand hygiene and skin antisepsis for catheter insertion and 58 handling. Skin disinfection with chlorhexidine-alcohol has been proven to be the more active [6]. If
59 incidence of catheter-related infections is still high, other prophylactic strategies can be proposed 59 incidence of catheter-related infections is still high, other prophylactic strategies can be proposed
60 including chlorhexidine-impregnated sponges or microbiocides-impregnated catheters such as those 60 including chlorhexidine-impregnated sponges or microbiocides-impregnated catheters such as those
61 containing minocycline-rifampin [7]. While not in clinic vet. devices coated with natural or synthetic 61 containing minocycline-rifampin [7]. While not in clinic yet, devices coated with natural or synthetic
62 antimicrobial peptides might provide in the future novel preventive solutions [8]. In the setting of ICU. 62 antimicrobial peptides might provide in the future novel preventive solutions [8]. In the setting of ICU, 63 as catheters are continuously used, preventive lock therapies are of limited help. The principle of such 64 uses to inject a small volume of highly concentrated antibiotics in the catheter lumen in order to 64 locks is to inject a small volume of highly concentrated antibiotics in the catheter lumen in order to 65 prevent or eradicate biofilm. One trial demonstrated that a short-course ethanol lock did not prevent 66 dialysis catheter-related infections [9]. Furthermore, the use of such ethanol locks may be associated 67 with catheter clotting and should therefore be carefully used. An alternative to biocidal treatment might 68 be translated in the future into the clinic by the use of anti-adhesive molecules avoiding initial 69 attachment such as coating of catheters with polymers like poly-ethylene-glycols or polysaccharides 70 (methyl-cellulose [10], ulvans) [11], or by interfering with the transition from free-swimming to sessile 71 lifestyle using c-di-GMP inhibitors (for example [12]). lifestyle using c-di-GMP inhibitors (for example [12]).

72 73 **Targeting mature biofilms**

74 After initial attachment, maturation of biofilm essentially relies on communication between bacteria
75 through "guorum-sensing" (QS) molecules and the production of the biofilm matrix. The latter is 75 through "quorum-sensing" (QS) molecules and the production of the biofilm matrix. The latter is 76 composed essentially of water and is structured by various macromolecules such as extra-cellular 77 DNA, polysaccharides and proteins (Figure 1E). This matrix not only governs biofilm architecture but 78 also provides protection against both the immune system and antimicrobial agents by reducing their 78 also provides protection against both the immune system and antimicrobial agents by reducing their
79 diffusion. At that stage, pieces of biofilms can shed in the bloodstream, leading to sepsis, septic 79 diffusion. At that stage, pieces of biofilms can shed in the bloodstream, leading to sepsis, septic 80 thrombophlebitis, or distant infections such as infective endocarditis or bone and joint infections 81 (Figure 1F). Growth of biofilms in the lumen of the catheter can also be responsible for catheter 82 clotting limiting its use.

82 clotting limiting its use.
83 The presence of the m 83 The presence of the matrix within mature biofilms imposes gradients of nutrients, oxygen and waste
84 products leading to an important chemical heterogeneity [13] and thus promotes emergence, at a low 84 products leading to an important chemical heterogeneity [13] and thus promotes emergence, at a low
85 frequency, of phenotypic variants including the so-called persisters, Persisters, unlike most of the cells 85 frequency, of phenotypic variants including the so-called persisters. Persisters, unlike most of the cells
86 composing the biofilms, can survive to extremely high levels of antimicrobial agents, and are thus 86 composing the biofilms, can survive to extremely high levels of antimicrobial agents, and are thus 87 considered the main cause of biofilm antibiotic tolerance and of chronic and recurrent infections [14].

- 87 considered the main cause of biofilm antibiotic tolerance and of chronic and recurrent infections [14].
88 The diagnosis of catheter-related BSI (C-RBSI) is established when the same microorganism grows 88 The diagnosis of catheter-related BSI (C-RBSI) is established when the same microorganism grows in
89 percutaneous blood cultures and on the catheter tip. It can also be made without removing the 89 percutaneous blood cultures and on the catheter tip. It can also be made without removing the 800 catheter by means of the differential time to positivity or quantitative blood cultures techniques [15]. In 90 catheter by means of the differential time to positivity or quantitative blood cultures techniques [15]. In
91 the last, blood samples from all catheters lumens must be cultured in order to increase sensitivity [16].
- 91 the last, blood samples from all catheters lumens must be cultured in order to increase sensitivity [16].
92 Catheter withdrawal and systemic antimicrobials are the cornerstones of C-RBSI treatment. Antibiotic 92 Catheter withdrawal and systemic antimicrobials are the cornerstones of C-RBSI treatment. Antibiotic 93 Iock therapy plus systemic antimicrobials is an effective and safe strategy to treat uncomplicated C-
- 93 lock therapy plus systemic antimicrobials is an effective and safe strategy to treat uncomplicated C-
94 RBSI without catheter removal but should be restricted to long-term central venous catheters in stable 94 RBSI without catheter removal but should be restricted to long-term central venous catheters in stable
95 patients. As a consequence, it cannot be recommended routinely in ICU patients [17]. 95 patients. As a consequence, it cannot be recommended routinely in ICU patients [17].
96 In the future, however, some promising approaches might translate into the clinic
- 96 In the future, however, some promising approaches might translate into the clinics. For example, 97 together with a systemic treatment, antibiotic adjuvants that destroy or destabilise the matrix and thus 97 together with a systemic treatment, antibiotic adjuvants that destroy or destabilise the matrix and thus 98 weaken the biofilms such as enzymes (DispersinB. DNasel) or cations chelators (EDTA [18]), or 98 weaken the biofilms such as enzymes (DispersinB, DNasel) or cations chelators (EDTA [18]), or
99 molecules that directly target persister cells (sugars, ADEP4, antimicrobial peptides, etc) [19], could be 99 molecules that directly target persister cells (sugars, ADEP4, antimicrobial peptides, etc) [19], could be
100 used as short-course catheter locks for catheter salvage. used as short-course catheter locks for catheter salvage.
- $\frac{101}{102}$

102 **Conclusion and future challenges.** 103 Intravascular catheter-related infections remain a major health issue especially in the ICU. Despite
104 Intravascular approaches developed in research laboratories, in part because of molecule development 104 promising approaches developed in research laboratories, in part because of molecule development
105 cost issues, limited relevance of some in vitro and in vivo animal models and the severity of patients, 105 cost issues, limited relevance of some *in vitro* and *in vivo* animal models and the severity of patients, the physicians in ICU still have very little options when facing such infections.

 $\frac{107}{108}$

108 When an ICU patient suffers from a C-RBSI, the safest option today remains removal of the colonised
109 catheter. Rapid improvements will probably come from preventive strategies firstly based on enhanced 109 catheter. Rapid improvements will probably come from preventive strategies firstly based on enhanced 110 hydrographic probably come for researchers will be to develop novel strategies to improve early 110 hygiene. The next challenges for researchers will be to develop novel strategies to improve early 111 detection of biofilm formation before any symptoms are visible and to increase knowledge and 111 detection of biofilm formation before any symptoms are visible and to increase knowledge and 112 mitioation of polymicrobial biofilm infections that are now often detected in intravascular catheters and. mitigation of polymicrobial biofilm infections that are now often detected in intravascular catheters and, 113 especially, intracardiac devices.

115
116

116 Figure 1. Schematic view of biofilm formation on the surface of a central venous catheter.
117 **a** Microbial contamination can come from a bloodstream infection (defining an he **a** Microbial contamination can come from a bloodstream infection (defining an hematogenous 118 contamination) but must most frequently originates from the patient's skin (because of a lack of skin 118 contamination) but must most frequently originates from the patient's skin (because of a lack of skin
119 antisepsis) (b) or from the catheter hub (c). These last two routes of contamination lead to antisepsis) (**b**) or from the catheter hub (**c**). These last two routes of contamination lead to 120 extraluminal and endoluminal colonisation, respectively. After contamination, reversible and irreversible adhesion allow the microorganism to stick to the surface of the catheter (d). irreversible adhesion allow the microorganism to stick to the surface of the catheter (**d**). Microorganisms multiply, form a microcolony and then evolve to mature biofilm (**e**) through matrix production and quorum-sensing signals. **f** Lastly, dispersal of biofilm pieces or individual cells is responsible for bloodstream infection and subsequent infection dissemination.

127 **Conflicts of interest.** The authors declare no conflicts of interest.

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130 130 **References**

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