

Assessing the epidemiological effect of wolbachia for dengue control.

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1	Assessing the epidemiological impact of Wolbachia deployment for
2	dengue control
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37 Summary

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39 Dengue viruses cause more human morbidity and mortality than any other arthropod-borne 40 virus. Dengue prevention relies primarily on vector control but the failure of traditional 41 methods has promoted the development of novel entomological approaches. Although use of 42 the intracellular bacterium Wolbachia to control mosquito populations was proposed half a 43 century ago, it has only gained significant interest as a potential agent of dengue control in 44 the last decade. Here, we review the evidence that supports a practical approach for dengue 45 reduction through field release of Wolbachia-infected mosquitoes and discuss the additional 46 studies that must be conducted before the strategy can be validated and operationally 47 implemented. A critical next step is to assess the efficacy of Wolbachia deployment in 48 reducing dengue virus transmission. We argue that a cluster-randomized trial is currently 49 premature because Wolbachia strain choice for release as well as deployment strategies are 50 still being optimized. We therefore present a pragmatic approach to acquiring preliminary 51 evidence of efficacy via a suite of complementary methodologies: prospective cohort study, 52 geographical cluster investigation, virus phylogenetic analysis, virus surveillance in 53 mosquitoes, and vector competence assays. This multi-pronged approach could provide 54 valuable intermediate evidence of efficacy to justify a future cluster-randomized trial.

56 Dengue is a major public health problem in tropical and sub-tropical regions, where almost 400 million infections are estimated to occur each year.¹ The etiological agents are four 57 58 dengue virus serotypes (DENV-1 to -4) in the genus Flavivirus that are transmitted among 59 humans by mosquitoes. These viruses cause a systemic, debilitating though mostly selflimiting illness, which without careful management can lead to hypovolemic shock and 60 61 death.² In the absence of a licensed vaccine or therapeutic drug, dengue prevention efforts 62 are currently limited to the control of its main mosquito vector, Aedes aegypti. With a few 63 exceptions, the implementation of vector control methods has been largely unsuccessful due to the lack of sustained commitment of resources³ and inability to effectively scale-up and 64 65 successfully apply interventions over large geographic areas and modern mega-cities. Novel entomological approaches to dengue control have been developed⁴ and some are now 66 advancing to field testing.5 67

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70 Wolbachia-based strategies for dengue control

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72 One of the most promising entomological strategies being developed for dengue control relies on introduction of the intracellular bacterium Wolbachia into Ae. aegypti.⁶ Wolbachia 73 74 pipientis is an bacterial endosymbiont that was originally identified in ovaries of Culex 75 mosquitoes in the 1920s⁷ and is thought to infect two-thirds of all living insect species.⁸ The 76 extraordinary evolutionary success of Wolbachia is attributed to their ability to manipulate the 77 biology of their hosts in diverse ways.⁹ For example, Wolbachia can induce reproductive 78 abnormalities such as feminization and sperm-egg cytoplasmic incompatibility (CI). Because 79 Wolbachia is transmitted vertically via the egg, these female-biased reproductive 80 manipulations can drive Wolbachia infections to high frequencies in wild populations. CI, the 81 most common manipulation in insects, occurs when Wolbachia-infected males mating with 82 Wolbachia-free females lead to the production of non-viable offspring. Wolbachia-infected

females, in contrast, produce successful offspring regardless of the *Wolbachia* infection
 status of their mate.

85

86 The potential of Wolbachia to control pest insect populations was realized as early as half a 87 century ago (Figure 1). Wolbachia-induced CI was then proposed to eliminate Culex 88 mosquitoes¹⁰ or to introduce desirable genes into wild vector populations.¹¹ To date, 89 however, Wolbachia have never been operationally implemented as a vector control 90 measure. A significant hurdle was the fact that several major vectors of human pathogens 91 are not naturally infected by Wolbachia, including the main DENV vector Ae. aeqvpti. The 92 mosquito vectors (Anopheles spp.) of human malaria parasites were also thought to be 93 Wolbachia-free until a recent study reported evidence for Wolbachia in field populations of 94 An. gambiae.¹²

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96 A resurgence of interest for Wolbachia-based strategies to control vector-borne diseases 97 occurred about a decade ago with the advent of transinfection techniques (Figure 1). Stable 98 Wolbachia infections in naïve hosts can now be established by embryonic microinjections 99 into the developing embryo germline. In general, Wolbachia transinfection is more likely to be 100 successful between closely related donor and recipient hosts, and the expression of 101 Wolbachia-induced phenotypes is conserved across hosts. In 2005, a stable infection by a 102 Wolbachia strain from the mosquito Aedes albopictus was established in Ae. aegypti, which caused high rates of CI and rapidly spread to high frequencies in experimental populations.¹³ 103 104 This was guickly followed by double transinfections of Ae. aegypti with two different Wolbachia strains from Ae. albopictus.¹⁴ 105

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A second wave of breakthroughs occurred a few years later with the discovery of *Wolbachia*induced phenotypes in mosquitoes that had a direct effect on pathogen transmission (Figure
1). Until then *Wolbachia* was primarily considered a gene drive system, but the possibility to
transinfect *Wolbachia* strains from more distant hosts by cell culture adaptation prior to

microinjection,¹⁵ combined with the wide diversity of available *Wolbachia* strains and 111 112 properties, resulted in new mosquito-Wolbachia associations. Stable introduction of a life-113 shortening strain of Wolbachia from Drosophila into Ae. aegypti halved the adult mosquito 114 life-span under laboratory conditions, making mosquitoes unlikely to live long enough to transmit DENV.¹⁶ More importantly, this life-shortening *Wolbachia* strain directly inhibited the 115 116 ability of a range of pathogens, including DENV, to infect and replicate in Ae. aegypti.¹⁷ 117 Finally, semi-field and field trials in Australia demonstrated that Wolbachia can be persistently established in wild *Ae. aegypti* populations.^{18,19} Together, these properties form 118 119 the basis of a practical approach for suppression of DENV transmission through field release 120 of Wolbachia-infected mosquitoes.

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123 Current status of *Wolbachia* deployment for dengue control

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125 The critical next step is to assess the efficacy of medium-scale Wolbachia deployment in 126 reducing human DENV infection. The gold standard, a cluster-randomized trial (CRT) of Wolbachia, has been considered in detail previously.²⁰ CRT is a type of randomized 127 128 controlled trial in which groups of subjects, instead of individual subjects, are randomly 129 assigned to the alternative treatments under study. The CRT design is particularly useful 130 when the intervention cannot be directed toward selected individual subjects, such as the 131 release of Wolbachia-infected mosquitoes. In the classical two-armed CRT, clusters without 132 intervention provide contemporaneous controls. In a stepped wedge CRT, the intervention is 133 rolled-out sequentially to all the clusters so that the clusters are their own controls over time.

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We believe that at this time a CRT is premature for the *Wolbachia*-based approach for several reasons. First, there are multiple strains of *Wolbachia* available for deployment, each with its own characteristic effects on DENV blocking and mosquito fitness. A process of selection through field-testing is still required before one or more final strain(s) can be chosen

139 for a particular release area. In addition, while deployment in North Queensland has provided 140 a basic template for release, this environment differs substantially from the large urban 141 centers in Southeast Asia and Latin America where a CRT would likely be carried out. It is 142 crucial to retain the capacity to learn during deployment about the effectiveness of release 143 strategies and community engagement and to adjust practice accordingly. Past examples of 144 adaptive changes made during deployment include releasing larger numbers of mosquitoes, 145 changing the intensity of trap grids to monitor Wolbachia spread, supplementing releases 146 with different mosquito developmental stages, and altering locations of deployment based on community concerns.^{18,21} In contrast, the standard CRT approach would lock-in all aspects of 147 148 the release, preventing on the fly improvements in design. Finally, a classical two-armed 149 CRT would have to be large, with >40 clusters that each include approximately 100 study 150 subjects who are monitored for infection to detect a 50% reduction in dengue with 90% power.²⁰ Rough estimates of cost for such a design suggest it would exceed 5-10 million 151 152 USD.

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155 A pragmatic approach to optimize *Wolbachia* deployment

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Here, we argue that well-designed observational studies could provide a suite of valuable indirect evidence that supports *Wolbachia* as a dengue intervention and, hence, justifies continued development, ultimately leading to a definitive efficacy trial. Ideally, several observational studies would be conducted in different settings and their outcomes combined in a meta-analytic framework to assess the impact on disease and infection incidence. Below we describe five possible approaches that could be used separately or in combination for acquiring such evidence.

164

165 Pediatric cohort study. A prospective longitudinal cohort study that tracks seroconversion 166 rates in children could measure both the true incidence of DENV infections and the relative

risk of infection between Wolbachia-treated and untreated areas.²⁰ Because the overall 167 DENV seroconversion rate is generally 5-10% per annum in endemic countries,²² a cohort 168 169 would need to include at least several thousand individuals to be compatible with the 170 statistical requirements of a CRT with sufficient power to detect a moderate intervention 171 effect.²⁰ A smaller cohort of 1,000-1,500 children, although underpowered in the context of a 172 CRT, could be significantly enhanced by the concurrent approaches described below. Fine-173 scale entomological surveillance (e.g., a grid of traps) would allow monitoring the spatio-174 temporal dynamics of Wolbachia prevalence to distinguish, in real time, Wolbachia-free areas 175 from areas where Wolbachia had established. The raw entomological data could be 176 interpolated over time and space using standard methodology and serve as a covariate for 177 DENV seroconversion. As in other epidemiological investigations, participants residing in the 178 study area, but acquiring infections outside of the intervention area, represent a complication 179 to this approach.^{23,24} However, geographical cluster studies of dengue cases and fine-scale 180 spatiotemporal phylogenetic analyses of genomic DENV strain sequences (see below) would 181 help to address this concern.

182

183 Geographical cluster investigation. DENV infections are acute, often mild, inapparent or 184 with non-specific signs and symptoms, and thus are difficult to detect across populations in 185 real time. Active surveillance of human infections can be efficiently achieved using geographical cluster sampling around dengue index cases.^{25,26} Here, 'index case' refers to 186 187 the laboratory-diagnosed clinical dengue case that initiates a cluster investigation within a 188 geographically restricted area around the home of a person with a documented DENV 189 infection. Geographical cluster investigations could be used to compare the fine-scale spatial 190 signature of DENV transmission in areas with and without Wolbachia (Figure 2). This 191 methodology would test the hypothesis that concurrent and/or subsequent infections around 192 an index case are reduced in areas where Wolbachia-infected mosquitoes are established. 193 Inward migration of dengue infections acquired outside the treatment area would also be a confounding factor,^{23,24} although again potentially resolvable through detailed phylogenetic 194

195 analysis of virus sequences and/or monitoring movement patterns of study participants. 196 Nonetheless, if a *Wolbachia* intervention reduces local transmission at a micro-scale, it 197 should be detectable by a cluster investigation methodology. An efficacious intervention 198 would result in a lower overall number of index cases in the *Wolbachia*-treated areas and/or 199 reduction in concurrent infections measured by a lower frequency of cases that are 190 spatiotemporally linked to the index case.

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202 Virus sequence analysis. Increasing access to viral genome sequence data has promoted 203 the development of new methodologies to infer dengue epidemiological dynamics based on 204 analyses of changing patterns in viral genetic diversity in time and space.^{27,28} Assuming that 205 multiple lineages of various DENV serotypes co-circulate prior to an intervention, a reduction 206 in local DENV transmission is expected to result in a decrease in viral genetic diversity 207 across serotypes in the intervention area due to a major viral demographic bottleneck, and in 208 an increase in the average dispersion distances travelled by DENV into the intervention area 209 (Figure 3). Phylogenetic analysis provides a simple means to identify importation of 'foreign' 210 viral lineages into the study area, provided that genetic diversity accumulates at a sufficiently 211 high rate. Previous studies on DENV microevolution in Southeast Asia suggested that spatial 212 patterns of genetic diversity are shaped by frequent virus immigration and highly focal transmission.²⁸⁻³⁰ Although the level of phylogenetic resolution to be obtained is uncertain, 213 214 deep sequencing methods have recently undergone dramatic improvement, increasing the 215 power of this approach. We expect that if local DENV transmission is reduced in Wolbachia-216 treated areas, some viruses will continue to be imported by human-mediated dispersal but 217 will not persist locally, reducing the strong spatial clustering that is typically observed in 218 DENV phylogenies.

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Virus detection in mosquitoes. The release of *Wolbachia*-infected mosquitoes will require monitoring of the local *Ae. aegypti* population for changes in *Wolbachia* frequency and possibly in mosquito density. Recently, several sampling methods that effectively capture

female *Ae. aegypti* have been developed.³¹⁻³⁴ Virus detection could be combined with routine 223 224 molecular tests for Wolbachia presence. Detecting DENV-infected Ae. aegypti mosquitoes is 225 challenging because of the low infection rates (typically ~0.1%) in the adult females across 226 the population, although infection rates can be higher in locations of geographical cluster 227 investigations.²⁵ Because mosquitoes that test positive for virus are not necessarily 228 infectious, the proportion of DENV-infected mosquitoes does not directly translate into an 229 estimate of virus transmission unless virus disseminated from the mosquito midgut or in 230 saliva is also assayed, and even this approach is limited by the sensitivity of assays and 231 variation of *in vitro* saliva collections. Nonetheless, a successful intervention is expected to 232 reduce the incidence of viremic and infectious humans and, therefore, similarly reduce the 233 incidence of DENV infection in mosquitoes in areas where Wolbachia infection predominates.

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235 Vector competence assays. Following the release of Wolbachia-infected mosquitoes, it will 236 be necessary to verify that the phenotype of reduced vector competence is maintained over time in field-collected mosquitoes.³⁵ Vector competence assays consist of experimentally 237 238 exposing laboratory-reared mosquitoes to either an artificial infectious blood meal or the blood of a viremic person.³⁶ The proportion of infectious mosquitoes (i.e., with virus detected 239 240 in saliva) is then measured over time. Wolbachia-infected mosquitoes have a strongly 241 reduced ability to deliver DENV in their saliva compared to Wolbachia-free mosquitoes.¹⁹ 242 Ideally, vector competence experiments would be extended to human-to-mosquito-to-human transmission experiments in a human challenge model.³⁷ Vector competence assays will 243 244 provide additional indirect evidence on the impact of the intervention, especially if the virus 245 interference effect is strong.

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248 **Conclusions and perspectives**

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250 The current challenge is to convert a promising strategy into a validated public health 251 intervention through rigorous assessment of its epidemiological impact. The suite of 252 approaches described above is not a substitute for a CRT. Nonetheless, this strategy has at 253 least two major strengths that can lay the foundations for a future CRT. First, the proposed 254 investigations are not dependent on the uniform application of the intervention, which by 255 nature will vary through time and space. Instead, an association between Wolbachia 256 presence and proxies of DENV transmission (e.g., DENV seroconversion or occurrence of 257 secondary cases around index cases) can be inferred dynamically from the spatiotemporal 258 correlation between these factors. Second, comprehensive observation and detection of 259 correlations between multiple environmental and biological factors will likely improve 260 fundamental understanding of dengue epidemiology that will inform and underpin future trial 261 designs. A multi-pronged approach would also help to evaluate potential impacts on other 262 Ae. aegypti-borne arboviruses (e.g., chikungunya virus), and the likelihood of unexpected 263 outcomes such as viral evolution to escape the inhibitory effects of Wolbachia, or other 264 unanticipated, adverse events.

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266 Measuring the epidemiological impact of a Wolbachia deployment to reduce DENV 267 transmission is challenging. The intervention is not based on individuals, as a vaccine trial 268 would be, but on populations defined by spatial areas. The fundamental test of the impact of 269 the intervention is a comparison between areas where Wolbachia-infected mosquitoes are 270 present versus areas where they are not (Figures 2, 3). Although limited dispersal of Ae. aegypti³⁸ and, therefore, spread of Wolbachia, is expected to maintain spatial delineation of 271 272 the intervention, a buffer zone will be necessary to avoid unanticipated overlap between 273 treatment and control areas. The intervention needs to be deployed over a large enough 274 geographic area to ensure that a sufficient number of dengue cases (or absence of cases if 275 the intervention is effective) is captured. Prior knowledge of the study area will help to assign 276 intervention and control areas with similar baseline transmission trends. Virus importation into the intervention area (through human-mediated dispersal^{23,24}), which is likely to occur 277

and may reduce the signal-to-noise ratio, can be explored with geographic cluster studiesand by accounting for movement of study subjects.

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281 One advantage of our proposed approach is that interpretation of seroconversion data from a 282 small-scale pediatric cohort can be enhanced by data from geographical cluster 283 investigations, viral sequencing and virus detection in mosquitoes, collectively resulting in a 284 body of evidence that could support continued development of Wolbachia as public health 285 tool. In any case, virus importation by study participants exposed to infected mosquitoes 286 outside of the treatment area would result in false positive cases in the Wolbachia-treated 287 area and conservatively lead to an underestimation of efficacy. A true placebo treatment (i.e., 288 release of Wolbachia-free mosquitoes) is not ethically possible. The human and mosquito 289 samples can, however, be blinded prior to laboratory testing.

290

291 We have described a pragmatic approach for evaluation of novel entomological interventions 292 for dengue control through a coordinated, cross-disciplinary, ecological study that combines 293 several proxies of efficacy at the epidemiological, entomological and virological levels. It 294 relies on a combination of methodologies that have been successfully used to monitor 295 dengue epidemiological dynamics, as well as novel methodologies. Although this approach 296 has no precedent for dengue, it has the potential to provide valuable intermediate evidence 297 of efficacy that supports the Wolbachia methodology and justifies funding for a CRT or 298 deployment.

299

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310	LL wrote the first draft of the manuscript. All other authors contributed equally to edit the
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314	Conflicts of interest
315	
316	We declare that we have no conflict of interest

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417

419 Figure Legends

420

Figure 1. Key dates in the development of *Wolbachia*-based dengue control strategies.
The timeline shows major achievements over the last century that have supported the
development of *Wolbachia* as a dengue control tool.

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Figure 2. Geographical cluster methodology. The central dot represents the home of a confirmed dengue case (orange: area with *Wolbachia*; green: area without *Wolbachia*).
People living within a 100-m radius (black dots) are screened for concomitant and/or secondary DENV infection (crosses denote homes of additional DENV-infected individuals).

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Figure 3. Schematic representation of how *Wolbachia* intervention might change patterns of virus genetic diversity. Assuming that multiple lineages of various DENV serotypes (colored dots) co-circulate prior to the intervention, a reduction in local DENV transmission is expected to result in a decrease in viral genetic diversity in the intervention area and a relative increase in the average dispersion distances.

437