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HIV Controllers maintain a population of highly efficient Th1 effector cells in contrast to patients treated in the long term

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46 ABSTRACT

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HIV Controllers are rare individuals who spontaneously control HIV replication in the absence of 48 49 antiretroviral therapy. To identify parameters of the CD4 response that may contribute to viral control, rather than merely reflect a persistently low viremia, we compared the T helper profile in 50 51 two groups of patients with more than 10 years of viral suppression: HIV Controllers from the ANRS CO18 cohort (n=26) and efficiently treated patients (n=16). Cells specific for 52 immunodominant Gag and CMV peptides were evaluated for the production of 10 cytokines and 53 cytotoxicity markers, and were also directly quantified ex vivo by MHC class II tetramer staining. 54 HIV Controller CD4+ T cells were characterized by a higher frequency of IFN-y production, 55 perforin+/CD107a+ expression, and polyfunctionality in response to Gag peptides. While IL-4, 56 IL-17, and IL-21 production did not differ between groups, treated patients cells produced more 57 IL-10 in response to Gag and CMV peptides, pointing to persistent negative immunoregulation 58 after long-term antiretroviral therapy. Gag293 tetramer-positive cells were detected at high 59 60 frequency (0.12%) and correlated positively with IFN-y producing CD4+ T cells in the Controller group (R=0.73; P=0.003). Tetramer-positive cells were fewer in the HAART group (0.04%) and 61 62 did not correlate with IFN-y production, supporting the notion of a persistent immune dysfunction in HIV-specific CD4+ T cells of treated patients. In conclusion, HIV Controllers maintained a 63 population of highly efficient Th1 effectors directed against Gag in spite of a persistently low 64 65 antigenemia, while patients treated in the long-term showed a loss of CD4 effector functions.

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68 INTRODUCTION

HIV Controllers are rare individuals who spontaneously control HIV replication in the absence of 69 antiretroviral therapy. They can maintain a viral load below the detection threshold of standard 70 assays (< 50 copies HIV RNA/ml plasma) for decades and show a very low risk of progression 71 to AIDS (4, 23). Converging evidence indicate that HIV Controllers have developed particularly 72 73 efficient cellular antiviral responses, that can maintain an active control of the infected target cell population in the long-term. HIV Controller CD8+ T cells have the capacity to efficiently suppress 74 HIV replication in autologous CD4+ T cells in vitro (48, 64) and are thought to play a key role in 75 HIV control (reviewed in (1, 3, 15, 28)). 76

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78 The contribution of CD4+ T cells to HIV control remains more debated (9, 34, 45, 56). HIV Controllers maintain a central memory CD4+ T cell population with a remarkable proliferative 79 capacity in response to HIV antigens, which is associated with an efficient secretion of IL-2 (19, 80 81 26, 58, 81) and with the inactivation of pro-apoptotic molecules (73). A high proliferative capacity 82 of HIV-specific CD4+ T cells can also persist in patients who were treated very early in the primary infection stage (62). However, most of the treated patients with good CD4 proliferation 83 and IL-2 secretion failed to control HIV replication upon therapy interruption (34, 40), indicating 84 that IL-2 responses alone were insufficient to mediate HIV control. Several studies have since 85 86 suggested that the CD4 response pattern in HIV Controllers is qualitatively distinct from that of efficiently treated patients, and is not just the consequence of a very low antigenemia. Controller 87 CD4+ T cells have proven to be more "polyfunctional" than those of treated patients, indicating a 88 greater capacity to produce the cytokines/chemokines IL-2, IFN- γ , TNF- α and MIP-1 β 89 simultaneously, in the circulation (16, 19, 36, 52, 58), as well as in mucosal tissues (20). The 90 expression of the negative costimulatory molecule CTLA-4 is lower on HIV-specific CD4+ T cells 91 92 of Controllers than on those of treated patients, suggesting that TCR-dependent activation may be more efficient (39). In addition, we recently reported the presence of a CD4+ T cell population 93

with a high avidity for immunodominant Gag peptides in HIV Controllers, indicating the capacity to respond to minimal amounts of virus (74). All these factors may promote efficient CD4 helper function, which could then sustain a high quality CD8 response. Mouse models of chronic viral infections indicate that CD4+ T cell help is needed for efficient antiviral CD8 responses, and that CD4+ T cell depletion causes virus-specific CD8+ T cells to adopt an exhausted phenotype similar to that seen in progressive HIV infection (78). A key issue remains to pinpoint, among the spectrum of possible CD4+ T cell functions, those that may contribute to HIV control.

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The T helper differentiation of HIV-specific CD4+ T cells, defined by the pattern of cytokines 102 103 produced upon antigenic stimulation, has been characterized in viremic and treated patients, but 104 has not been fully explored in HIV Controllers. The expanding diversity of T helper types, 105 including Th1, Th2, Th17, and Tfh follicular helpers, as well as the recent recognition of plasticity 106 in T helper differentiation (reviewed in (22, 82, 85)) warrant further investigation of this issue. Production of the Th1 cytokine IFN-γ has been consistently detected in specific CD4+ T cells 107 from all categories of HIV infected patients, but its contribution to the suppression of HIV 108 replication remains unclear, with high IFN-y responses found at both extremities of the 109 progression spectrum, in HIV Controllers as well as in highly viremic patients (16, 20, 55, 58). 110 HIV-specific CD4+ T cells producing IL-17 have been detected in acute HIV infection, but were 111 112 few or absent afterwards in viremic and treated patients (5, 84). There have been no reports so far of the presence of HIV-specific Th17 cells in HIV Controllers. However, HIV infection is 113 114 known to have a clear impact on the total Th17 population, which is preferentially depleted in the blood and intestinal mucosa of progressor patients (5, 42, 46, 49, 59), but not of HIV Controllers 115 116 (12, 67). Th17 depletion in progressor patients may play a role in the chronic immune activation characteristic of HIV disease, through a loss of cytokines that promote the regeneration of 117 epithelial barriers, leading to compromised mucosal integrity and allowing the crossing of 118 119 inflammatory bacterial components (16).

The cytokine IL-21, which is produced almost exclusively by CD4+ T cells, promotes B cell 120 memory, through the induction of B cell isotype class switching and survival (75). Models of 121 122 chronic viral infection in mice have revealed the importance of IL-21 in promoting the antiviral 123 functions of CD8+ T cells, in addition to its role in humoral responses (18, 79). Recent studies have highlighted the possible contribution of this cytokine to HIV control, with the findings that IL-124 125 21 in plasma correlates positively with CD4 counts (33) and that IL-21 secreted by HIV-specific CD4+ T cells could induce a cytotoxic differentiation program with perforin induction in cognate 126 CD8+ T cells (11). Whether the frequency of IL-21 producing specific CD4+ T cells differs in HIV 127 Controllers as compared to other patient groups remains debated, with one study showing an 128 129 increase in the Controller group (11), and two studies showing equivalent or lower levels (77, 130 83). However, in the population of patients with detectable viremia, the persistence of IL-21 producing CD4+ T cells is clearly associated with a beneficial outcome, as indicated by an 131 132 inverse correlation with viral load (11, 83), and a positive correlation with the frequency of IL-2+ 133 specific CD8+ T cells (77).

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We set to characterize the T helper effector profile of HIV Controller CD4+ T cells, by measuring 135 the expression of 10 cytokines, chemokines, or cytotoxicity markers (perforin, CD107a) by 136 137 intracellular staining. In addition, we implemented the direct detection of HIV-specific CD4+ T 138 cells by MHC class II tetramer staining ex vivo, to obtain information on their frequency and phenotype without the perturbations associated with in vitro activation. While the use of MHC 139 140 class I tetramers to identify HIV-specific CD8+ T cells is widespread, few studies have made use of the MHC class II tetramer reagents, mostly due to the lower frequency of HIV-specific CD4+ T 141 142 cells and to the complexity in producing stable recombinant HLA-DR molecules. These hurdles are being overcome, through the use of magnetic concentration or high speed acquisition of 143 144 CD4+ T cells, as well as progress in HLA class II expression strategies (13, 43, 47). Two pioneer studies found that CD4+ T cells specific for Gag p24 epitopes could be detected by class II 145

146 tetramer staining in HIV infected patients under therapy, at low but detectable frequencies (between 10^{-4} to 10^{-5}), and that short-term therapy interruption led to a rebound in the frequency 147 148 of tetramer-positive (Tet+) CD4+ T cells (68, 69). In contrast, prolonged viremia ultimately led to 149 the loss of the Tet+ population, suggesting the physical depletion of Gag-specific CD4+ T cells in progressor patients (69). We measured the frequency of Tet+ CD4+ T cells in HIV Controllers, 150 to test the notion that these rare patients could maintain a large population of HIV-specific CD4+ 151 T cells in spite of low antigenemia. The Gag p24 protein has been shown to harbor 152 immunodominant peptides that can be recognized by up to half of HIV-infected patients, due in 153 part to the promiscuous binding of these peptides to diverse HLA-DR and DQ molecules (35, 38, 154 60). By amplifying p24 Gag-specific CD4+ T cells in primary cell lines, we showed previously 155 156 that the peptide spanning amino acids 293-312 (Gag293) induced a memory response in >90% of HIV Controllers and treated patients who expressed at least one of 7 common HLA-DR alleles 157 158 (74). This broad recognition gave us the opportunity to compare the frequency and phenotype of 159 Gag293-specific cells by MHC class II tetramer staining in a cohort of HIV Controllers and in 160 patients who had received efficient antiretroviral therapy in the long-term. Both groups were characterized by viral suppression (<50 copies of HIV-1 RNA/ml) for over 10 years, allowing a 161 comparative analysis of CD4 responses in two situations of persistently low antigenemia. 162

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164 Comparison of these two groups of patients showed that the HIV Controller status was associated with a higher frequency of Th1 effectors, but not of Th2, Th17, or IL-21+ effectors. 165 166 The only cytokine with a higher production in the treated patient group was IL-10, suggesting the presence of a negative immunoregulatory state in the CD4+ T cell compartment in spite of 167 168 pharmacological viral suppression. MHC class II tetramer analysis showed that Gag293-specific CD4+ T cells persisted at high frequency (10⁻³) in HIV Controllers, but also in a subset of treated 169 patients. The frequency of Tet+ cells correlated positively with IFN-y production in the Controller 170 group but not in the treated patient group, indicating high CD4+ T cell functionality in HIV 171

Controllers, and persistent immune dysfunction of the HIV-specific CD4+ T cell compartment in
 patients treated in the long-term.

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176 MATERIALS AND METHODS

177 **Patients**

HIV Controllers (HIC group; n=26) were recruited through the CO18 cohort set up by the Agence 178 Nationale de Recherche sur le SIDA et les hépatites virales (ANRS). In the present study, HIV 179 controllers were defined as HIV-1 infected patients who had been seropositive for >10 years, 180 had received no antiretroviral treatment, and for whom >90% of plasma viral load measurements 181 182 were undetectable by standard assays. All HIV controllers included in the present study had current viral loads <40 copies/mL. The group of efficiently treated patients (HAART group; n=16) 183 184 had received antiretroviral therapy for a minimum of 10 years and showed long term viral 185 suppression with viral loads <40 copies of HIV RNA/mL. Treated patients were recruited through 186 the ANRS SEROCO-HEMOCO cohort, the Raymond Poincaré Hospital (Garches, France), and the Bicêtre Hospital (Le Kremlin-Bicêtre, France). The healthy donor group (HD group; n=12) 187 consisted in anonymous healthy individuals who donated blood at the Etablissement Français 188 du Sang (EFS, Paris, France). The study was promoted by ANRS under number EP36 and 189 190 approved by the Comité de Protection des Personnes IDF VII under number 05-22. All participants gave written informed consent prior to blood sampling. 191

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193 Whole blood phenotyping

Expression of the activation markers HLA-DR and CD38 were evaluated in CD4+ T cell subsets by phenotyping in 100 µl whole blood. Red blood cells were lyzed with the Lyse/Fix buffer (BD Biosciences, San Jose, CA) and remaining leukocytes were labeled with the following antibodies: anti-CD4-PE-Texas Red (Beckman Coulter, Fullerton, CA), anti-CD3-APC-eFluor

780, anti-CD38-PerCP-eFluor710, anti-HLA-DR-PE-Cy7, (eBioscience, San Diego, CA), antiCD45RA-Horizon V450 and anti-CCR7-Alexa Fluor 647 (AF647, BD Biosciences). Fluorescence
was collected on a 9 color CyAn flow cytometer (Beckman Coulter) using the Summit version 4.3
software.

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203 Intracellular cytokine staining assay (ICS)

HIV-specific stimulation was performed by adding a 20-mer Gag peptide (Gag293-312, Gag263-204 282, or Gag161-180) at 5 μ g/ml to 2x10⁶ freshly isolated PBMC, in the presence of antibodies to 205 the costimulatory molecules CD28 (0.5 µg/mL) and CD49d (0.5 µg/ml). CMV-specific stimulation 206 was performed by adding an optimal pp65 20-mer peptide chosen in function of the HLA-DR 207 genotype of the patient, as described in (74). Negative controls consisted in 2x10⁶ unstimulated 208 PBMC. Positive controls were obtained by stimulating $2x10^6$ PBMC with 1 µg/mL of the 209 superantigen Staphylococcal Enterotoxin B (Toxin Technology, Sarasota, FL). Cells were 210 211 stimulated for 14 h in the presence of the secretion inhibitors monensin (2 μ M) and brefeldin A (3 ug/ml). Cells were harvested, split in three, and stained for surface antigens with the following 212 213 antibodies: anti-CD107a-FITC (BD Biosciences), anti-CD3-APC-eFluor780 (eBioscience), and anti-CD4-PE-Texas-Red (Beckman Coulter). The Aqua Live/dead viability dye (Invitrogen) was 214 added to exclude dead cells. Cells were fixed and permeabilized using a fix/perm kit 215 (eBioscience) according to the manufacturer's instructions. Intracellular cytokines staining was 216 detected with anti-IL21-PE, anti-IL4-PE, anti-MIP-1β-PE, anti-IFNγ-PE-Cy7, anti-IL2-APC (all 217 from BD Biosciences), anti-IL17-AF488, anti-IL10-eFluor450, anti-TNF α -eFluor450 (all from 218 eBioscience), and anti-Perforin-PerCP clone B-D48 (Gen-PROBE). Fluorescence was collected 219 220 on a CyAn flow cytometer, with a minimum of 250,000 events collected in the lymphocyte gate 221 for each sample.

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Flow cytometry data analysis

Flow cytometry data was analyzed using the FlowJo software (version v8.8.7; Tree Star, Ashland, OR). Intracellular cytokine production was evaluated in the live (Aqua-) CD3+, CD4+ lymphocyte gate. The percentage of cytokine producing CD4+ T cells was determined after subtracting the percentage of cytokine-positive events in unstimulated controls. Responses below the level of 0.01% cytokine-producing cells after background subtraction were considered negative.

Polyfunctionality was assessed for a first panel of 5 functions that included the production 230 of IFN- γ , IL-2, MIP-1 β , TNF- α , and CD107a. The second panel included 4 functions, 231 232 corresponding to the production of IFN-y, IL-10, IL-17, and IL-21. For each panel, the 233 combinations of all boolean gates were generated in Flowjo, and the data was converted in Microsoft Excel to the matrix SPD format. The data was then analyzed and graphically 234 represented using the SPICE software version 5.21, downloaded from http://exon.niaid.nih.gov. 235 The threshold for cytokine positivity was 0.01%. Comparison of distributions was performed 236 237 using a Student's T test and a partial permutation test as described (61).

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239 MHC class II tetramer labeling

240 At initiation of the study, HIV Controllers and treated patients were genotyped for HLA-DRB1. 241 Patients were included in the tetramer study if their genotype matched at least one of the 6 HLA-DRB1 alleles available for MHC class II tetramer studies. This panel allowed the analysis of 242 243 \geq 70% of Caucasian patients (10). PE-labeled tetramers for the DRB1*0101, DRB1*0301, DRB1*1501 and DRB5*0101 alleles were obtained through the NIH Tetramer Facility at Emory 244 245 University. HLA-DRB1*0401, DRB1*0701, and DRB1*1101 biotinylated monomers were produced in insect cell cultures as previously described (44, 51). Monomers were loaded with 246 0.2 mg/ml peptide by incubation at 37 °C for 72h in the presence of 2.5 mg/ml n-octyl-b-D-247 glucopyranoside and 1mM Pefabloc SC (Sigma-Aldrich). Peptide-loaded monomers were 248

tetramerized using APC- or PE-conjugated streptavidin (eBioscience). To each tetramer loaded with an HIV-1 Gag or a CMV pp65 peptide corresponded a control tetramer loaded with the CLIP peptide.

252 CD4+ T cells specific for the Gag293 peptide FRDYVDRFYKTLRAEQASQE (spanning 253 amino acids 293-312 in HIV-1 Gag) were detected by ex vivo MHC class II tetramer labeling for 254 all the patients included in the tetramer study. CD4+ T cells specific for CMV pp65 were 255 detected with tetramers loaded with an optimal peptide chosen in function of the HLA-DR 256 genotype of the patient, as previously described (74). The CMV peptides used corresponded to 257 amino acids 41-55, 177-191, 281-295, 489-507, and 509-523 within the pp65 protein.

For each MHC class II tetramer labeling, 6 x 10⁶ PBMC were incubated with 4 µg/ml PE-258 259 conjugated tetramer for 90 min at +4°C in PBS with 1% BSA and 10 mM sodium azide. Surface marker antibodies CD3-AF780-APC and CD38-PerCP-eFluor710 (from eBioscience), CD45RA-260 V450 Horizon, CD8-FITC, CD14-FITC, CD19-FITC, and CCR7-AF647 (all from BD 261 262 Biosciences), CD4-PE-Texas Red (Beckman Coulter), and the Aqua Live/Dead viability dye 263 were added for the last 20 min of labeling. When APC-conjugated tetramers were used, CCR7-PE (BD Biosciences) was used instead of CCR7-AF647. All events were acquired on a CyAn 264 flow cytometer at an average speed of 1,000 events/s and were analyzed using the Flowjo 265 266 software. The percentage of tetramer-positive (Tet+) cells was measured in the live (Agua-). 267 CD3+, CD4+, CD8-, CD14-, CD19- gate. Negative controls consisted in identical samples labeled with HLA-DR matched tetramers loaded with the CLIP peptide. The percentage of 268 Gag293-specific Tet+ cells was computed by subtracting the percentage of CLIP Tet+ cells from 269 that of Gag293 Tet+ cells. We verified that CD4+ T cells from healthy donors matched for HLA-270 271 DRB1 gave minimal staining ($\leq 0.01\%$) with Gag293 tetramers. Due to limiting blood samples, not all patients could be tested by both tetramer and ICS assays. 272

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275 Statistical analysis

276 Statistical analyses were performed with the GraphPad Prism 5.0 software, using nonparametric 277 statistical tests in all cases. Differences in variables between groups were analyzed with the 278 Mann-Whitney U Test. Correlations were analyzed with Spearman's coefficient R. All significant 279 differences between groups (P<0.05) were reported on data plots.

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282 **RESULTS**

283 Immune activation of CD4+ T cells in HIV Controllers and treated patients

We set to compare CD4+ T cell phenotype and functions in two groups of HIV-1-infected 284 285 patients characterized by long-term control of HIV infection (Table 1). HIV Controllers (HIC group, n=26) had shown a spontaneous control of HIV replication for over 10 years, while 286 287 treated patients (HAART group, n=16) had received efficient antiviral therapy that led to pharmacological control of HIV for over 10 years. Patients in both groups had undetectable viral 288 289 load by standard assays (<40 copies HIV-1 RNA/ml plasma). Both groups were also comparable in terms of patient age (median: 52 years in the HIC group vs. 49 years in the HAART group), of 290 the estimated duration of HIV-1 infection (median of 18 years in both groups), of CD4+ T cell 291 counts (median: 754/mm3 in the HIC group vs. 765/mm3 in the HAART group), and of CD4+ T 292 293 cell percentages (median: 53.6% in the HIC group vs. 49.9% in the HAART group). The treated patients had a median CD4 nadir of 227 cells/mm3, which was slightly higher than that reported 294 for historical controls in observational cohorts (17, 41), and may have been a factor in the 295 efficient immune reconstitution in these patients (80). The similarly high CD4+ T cell counts in 296 297 the HIC and HAART groups at the time of study ensured that immune differences due to the homeostatic response to CD4 lymphopenia were minimal. 298

Immune activation of CD4+ T cells, as measured by the combined expression of HLA-DR
 and CD38, did not differ significantly between the HIC and HAART groups (Table 2). Analyses of

the percentage of HLA-DR+ CD38+ cells in the naive (Nv), central memory (CM), and effector 301 302 memory (EM) CD4+ T cell subsets defined by the CD45RA and CCR7 markers did not reveal significant differences either (Table 2). However, both HIC and HAART CD4+ T cells showed 303 304 signs of immune activation when compared to those of healthy blood donors (HD). In particular, expression of HLA-DR and CD38 was significantly increased in the CD4+ EM compartment of 305 306 both patients groups as compared to the HD group (P=0.01 for HIC vs. HD; P=0.0005 for HAART vs. HD; Table 2). Thus, there were signs of persisting immune activation in HIV 307 Controllers and treated patients, in spite of the long duration of viral suppression and the 308 relatively high CD4+ T cell counts in both groups. 309

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311 Th1 differentiation of Gag-specific CD4+ T cells in HIV Controllers

To determine the T helper phenotype of Gag-specific CD4+ T cells, we analyzed their cytokine 312 313 production pattern by intracellular staining. Responses were measured to three peptides 314 (Gag293, Gag263, and Gag161) that induce immunodominant CD4 responses in a majority of 315 HIV-1 infected patients (35, 38, 60, 74). The panel of cytokines analyzed included those typical 316 of Th1 cells (IFN-γ, TNF-α, MIP-1β), Th2 cells (IL-4), and Th17 cells (IL-17). IL-21 was included 317 as a marker of Th17 and recirculating Tfh cells. IL-2 was included to detect cells with good proliferative capacity and IL-10 to detect cells with immunoregulatory function. The combination 318 of total perforin expression (clone D48) and of the degranulation marker CD107a was used to 319 320 detect CD4+ T cells with cytotoxic potential. Negative controls consisting in healthy donor cells 321 stimulated with Gag peptides are reported in supplementary figure S1. As seen in representative examples (Fig. 1A), CD4+ T cells that produced both IFN- γ and TNF- α , or IFN- γ and IL-2, could 322 323 be detected in HIV Controllers, while IFN-γ and IL-4, or IFN-γ and IL-17, stemmed from separate 324 producer cell populations. Examples of unstimulated controls are reported in supplementary figure S2. 325

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Quantitation of intracellular cytokine production in responses to the 3 immunodominant Gag 327 peptides showed that only IFN-y production and perforin/CD107a expression were significantly 328 increased in the HIC group as compared to the HAART group (P= 0.0012 and P=0.03, 329 respectively) (Fig. 1B). The trends for higher IL-2 and IL-17 production in the HIC group did not 330 331 reach statistical significance. It was however interesting that IL-17-secreting HIV-specific CD4+ T cells could be detected at high levels in a subset of HIV Controllers, given that these cells 332 appear exceedingly rare in progressor patients (5, 84). IL-4 remained undetectable in the 333 majority of patients of both groups, indicating that HIV-1 infection did not generally promote a 334 335 Th2 differentiation pattern. However, IL-4 could be detected at significant levels in a few patients 336 (Fig. 1A, upper right plot). IL-21 production could be high in a few patients but did not differ significantly between the two groups. Intriguingly, IL-10 was the only cytokine that showed a 337 higher expression in the HAART group than in the HIC group (P=0.027), suggesting a 338 dampening of effector responses by this immunoregulatory cytokine in treated patients. Taken 339 together, these findings indicated that HIV-specific CD4+ T cells from Controllers were 340 characterized by a clear Th1 differentiation profile, the presence of effector cells with cytotoxic 341 potential, and the occasional occurrence of Th17 differentiation. 342

343

344 Since certain MHC class I alleles are strongly associated with HIV control (1, 3, 15, 28), we 345 examined the possible influence of the HLA B genotype on the level of Gag CD4 responses. 346 HLA B typing was performed for all HIV Controllers and 10 of the treated patients (Table 1). The 347 percentages of patients carrying the protective HLA alleles B57 and B27 differed significantly 348 between the two groups (HIC: 65 % B57+ or B27+; HAART: 10% B57+ or B27+; P=0.0036), as expected. For further analysis, HIV Controllers were subdivided in two subgroups ("B57+ or 349 B27+" versus "B57- and B27-") that were compared for cytokine responses to Gag peptides. We 350 did not detect significant differences for the 8 cytokines analyzed nor for perforin/CD107a 351

expression, suggesting that the HLA B genotype was not a major determinant of the HIV-specificCD4 response.

354

Immunoregulatory profile of Gag-specific and CMV-specific CD4+ T cells in long-term treated patients

357 Analysis of CD4 responses at the single peptide level provided the opportunity to compare patient responses to the same epitope. The three immunodominant Gag peptides analyzed were 358 all able to induce diverse cytokine responses in HIV infected patients (Fig. 2A and 2C). Gag293 359 induced significantly more IFN- γ in the HIC than in the HAART group (P=0.02). Gag161 showed 360 a trend for increased IFN- γ and TNF- α induction (P=0.052 and P=0.061) and caused increased 361 perforin/CD107a expression in the HIC group (P=0.03). In contrast, the Gag263 peptide did not 362 363 appear associated with the induction of Th1 effector responses (IFN- γ or Perforin/CD107a), but rather showed non-significant trends for increased IL-17 induction in the HIC group and 364 increased IL-10 induction in the HAART group. These observations suggested that the T helper 365 366 profile of Gag-specific CD4+ T cells depended on the type of patient but also, possibly, on the nature of the recognized epitope. 367

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As controls, responses to optimal peptides derived from the CMV matrix phosphoprotein pp65 were tested with the same cytokine panel (Fig. 2B and 2D). The T helper pattern of pp65 responses was mostly Th1, with a predominant production of IFN- γ , TNF- α , and MIP-1 β in both the HIC and HAART groups, and equivalent cytokine production levels in both groups of patients. The one significant difference concerned IL-10 production, which again proved to be higher in the HAART group (P=0.003). Thus, patient treated in the long term showed signs of a dampened CD4 response to both HIV and CMV through the synthesis of IL-10. Treated patients

also showed a concomitant decrease of Th1 effectors directed against HIV but not CMV. In
 contrast, HIV Controllers maintained efficient Th1 effector responses to both viruses.

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379 Higher polyfunctionality of Gag-specific CD4+ Th1 cells in HIV Controllers

Polyfunctionality, i.e. the capacity to produce multiple cytokines simultaneously, was first 380 analyzed for a combination of 5 markers (CD107a, IFN- γ , IL-2, MIP-1 β , and TNF- α) expressed 381 predominantly in Th1 cells. This analysis was carried out for Gag293-specific cells, since these 382 could be detected in the majority of patients. Among Gag293-specific CD4+ T cells, 383 384 monofunctional cells were the most abundant, both in the HIC and HAART group (Fig. 3A). 385 However, the fraction of polyfunctional cells expressing 2 to 4 markers was higher in the HIC group than in the HAART group, the difference being significant for bifunctional cells (P<0.05). 386 Gag293-specific cells expressing all of the 5 markers together were not detected. Detailed 387 analysis of the different marker combinations (Fig. 3B) highlighted the presence of peak of tri-388 389 functional cells co-expressing IFN- γ , IL-2, and TNF- α that appeared characteristic of HIV Controllers. 390

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Polyfunctionality of Gag293-specific CD4+ T cells was analyzed for a second panel comprising 392 the 4 cytokines IFN-y, IL-10, IL-17, and IL-21 (Fig. 3C). The production of these 4 cytokines was 393 almost mutually exclusive, with a low frequency of bifunctional cells, and an absence of tri- and 394 quadri-functional cells. The slightly higher fraction of bi-functional cells in the HAART group 395 appeared due to the co-synthesis of IL-10 and IL-17 in a subpopulation of Gag293-specific cells 396 397 (Fig. 3D). Among monofunctional cells, the proportion of IFN-γ and IL-17 producing cells was higher in the HIC group, while that of IL-10 producing cells was higher in the HAART group. 398 399 Thus, analysis of the Gag293-specific response supported the notion of persistent CD4 effectors 400 in HIV Controllers, and of negative CD4 immunoregulation in treated patients. The fact that IFN- γ

and IL-17 were produced by distinct cells in HIV Controllers indicated that Gag293-specific cells
had either a Th1 or a Th17 differentiation, but were not of the mixed Th1/Th17 phenotype.
Similarly, few of the Th17 cells simultaneously produced IL-21, a cytokine that has been
associated with the reinforcement of Th17 differentiation (76). Thus, a significant fraction of Th1
cells from HIV Controllers were polyfunctional, but their Th17 cells appeared monofunctional.

406

407 Higher frequency of Gag293-specific cells In HIV Controllers as determined by MHC class 408 II tetramer staining

We characterized Gag293-specific CD4+ T cells by MHC class II tetramer staining, in order to 409 directly measure their frequency ex vivo. We focused the analysis on the Gag293 peptide, which 410 411 could be loaded onto 6 different tetramers (HLA DRB1*0101, DRB1*0401, DRB1*0701, DRB1*1101, DRB1*1501, and DRB5*0101) due to its promiscuous binding to HLA-DR 412 molecules (38). A minimum of 6x10⁶ PBMC was used per tetramer labeling, in order to ensure 413 414 the detection of low frequency specific CD4+ T cells with a sensitivity threshold of 0.001%. The 415 percentage of Gag293 tetramer positive (Tet+) cells was minimal in CD4+ T cells of healthy blood donors (≤0.01%), but could reach up to 0.5-1% in both treated patients and HIV 416 Controllers, as shown on representative examples (Fig. 4B). Thus, CD4 responses to this single 417 418 immunodominant Gag peptide could reach high frequencies, even though the amount of Gag 419 antigen present in patients was minimal (VL<40 copies in both groups).

420

421 Comparison of both patient groups (Fig. 5A) showed a higher frequency of Gag293 Tet+ cells in 422 HIV Controllers, with a median value of 0.12% of CD4+ T cells in the HIC group versus 0.04% in 423 the HAART group (P<0.05). However, we noted a wide variation in Tet+ frequencies in the 424 HAART group, with some of the treated patients showing as many Gag293 specific cells as the 425 best responders in the Controller group. A similar phenomenon was observed when testing

tetramers loaded with optimal CMV pp65 peptides, with a trend towards lower responses in the
HAART group (P=0.1), but with a high individual variability (Fig. 5B).

428

429 The distribution of CD4+ T cell subsets based on the CD45RA and CCR7 markers was analyzed in the Tet+ populations (Fig. 5C and D). The Gag293 Tet+ population had a mixed phenotype, 430 with a predominant central memory subset (CM, CD45RA- CCR7+) in the HIC as well as in the 431 HAART groups, and a lower but detectable effector memory subset (EM, CD45RA- CCR7-). 432 Highly differentiated effectors (CD45RA+ CCR7-) were too few to be quantified, while a fraction 433 of Tet+ cells appeared phenotypically naive (CD4R5A+ CCR7+) (not shown). These cells also 434 predominantly expressed CD27, raising the possibility of a reversion of Gag-specific CD4+ T 435 cells to a fully naive phenotype. The phenotype of the CMV Tet+ population was also mixed, 436 with a trend toward more CM and less EM in the HAART group as compared to that of the HIC 437 group, though it did not reach statistical significance (P=0.13 and P=0.07, respectively). 438 Expression of the costimulatory marker CD27 was high in the CM Tet+ population specific for 439 Gag293, both in the HIC and the HAART group (median of 82.2% and 71.4%, respectively). 440 CD27 expression was lower in the EM Tet+ population (median of 57.4% and of 49.2%), as 441 442 expected, but still relatively high, indicating that approximately half of the EM Tet+ cells 443 corresponded to a transitional memory population (Fig. 5E). Expression of CD27 in the CMV Tet+ population was comparable to that seen in the Gag293-specific population (Fig. 5F). Thus, 444 445 CD27 expression was maintained in specific CD4+ T cells of both HIV Controllers and patients treated in the long-term, indicating of preserved costimulatory capacity and lack of immune 446 exhaustion. 447

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The activation status of the Tet+ populations could not be evaluated with the HLA-DR marker, because anti-HLA-DR antibodies cross-reacted with some of the tetramer reagents. Interestingly, the CD38 activation marker showed a trend for higher expression in Gag293 Tet+

452 cells of HIV Controllers as compared to those of treated patients, with a P value close to 453 significance in the CM Tet+ subset (P= 0.057; Fig. 5G). CD38 expression did not differ 454 significantly in the CMV Tet+ populations between the two groups, though again high CD38 455 expression was noted for a few HIV Controllers (Fig. 5H). These findings suggested a possible 456 increase of specific CD4+ T cell activation for a subset of HIV Controllers. However, there were 457 no signs of massive activation nor of immune exhaustion in the Tet+ populations.

458

459 Functional impairment of Gag293-specific cells in treated patients

We then compared the frequencies of Gag293-specific CD4+ T cells detected physically (by 460 class II tetramer binding) and functionally (by intracellular cytokine staining). Interestingly, the 461 percentage of Tet+ cells and that of IFN- γ + cells correlated positively in the HIC group (R=0.73, 462 P=0.003) but not in the HAART group (R=0.33, P≥0.05) (Fig. 6A and 6B). The median ratio 463 (IQR) of IFN-y producing cells to Tet+ cells was of 0.28 (0.21 - 0.63) in the HIC group and 0.06 464 (0.00 - 0.43) in the HAART group, indicating that the functional response of Tet+ cells from 465 HAART patients was minimal. Similar analyses for other cytokines did not yield significant 466 correlations, with the exception of a positive association between Gag293 Tet+ and IL-17-467 producing cells observed in the HIC group (R=0.72, P=0.02) but not in the HAART group (Fig. 468 6C and 6D). Taken together, these findings suggest that the vast majority Gag293-specific cells 469 present in long-term treated patients fail to produce IFN-y upon antigenic restimulation, pointing 470 471 to an impaired Th1 response. In contrast Gag293-specific cells from HIV Controllers maintain an efficient Th1 effector function in spite of the very low antigenemia present in these individuals. 472

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475 **DISCUSSION**

476 HIV Controller responses to immunodominant Gag peptides were characterized by the 477 persistence of highly differentiated Th1 cells with effector functions, as indicated by a higher

frequency of IFN- γ secreting cells and of CD4+ T cells with degranulation capacity. The 478 479 correlation between Gag293-specific cells detected by MHC class II tetramer staining and IFN-y 480 secretion indicated that about one fourth of Gag-specific CD4+ T cells maintained effector function, even though the amount of Gag antigen driving effector responses was minimal in vivo. 481 In contrast, patients treated in the long term, who had equally low antigenemia, showed a 482 downregulation of Gag-specific CD4 effector responses, as indicated by a lower frequency of 483 cells with IFN-y secretion or degranulation capacity, and a lower frequency of Gag293-specific 484 485 cells detected by class II tetramer staining. In support of this notion, Gag-specific CD4+ T cells 486 that persisted in treated patients showed signs of functional downregulation, as indicated by increased IL-10 secretion and the failure of Gag293-specific cells to produce IFN-y upon 487 488 restimulation. Thus, the Gag-specific response was maintained in a persistent effector state in 489 HIV Controllers, while it appeared subjected to negative immunoregulation in treated patients.

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491 The differentiation of Gag-specific CD4+ T cells from HIV Controllers proved to be predominantly 492 Th1, rather than Th2, Th17, or Tfh. A bias towards a Th1 effector response fits with the expected 493 pattern for an efficient response against an intracellular pathogen such as HIV (85), but it should be noted that Th1 differentiation alone cannot account for viral control, since IFN-y+ CD107a+ 494 495 Th1 effectors are also abundant in viremic patients (16, 25, 55). Rather, it is the capacity to maintain a functional Th1 effector population in a situation of very low antigenemia that appears 496 497 characteristic of HIV Controllers. The comparison with a group of patients treated efficiently in the very long term (more than 10 years) was instrumental in highlighting this difference, since 498 other studies indicate that patients treated for a shorter period of time maintain a degree of Th1 499 effector function, as indicated by detectable IFN-y+ specific CD4+ T cells with degranulation 500 capacity (2, 50). The long duration of treatment in the present study may also account for a 501 degree of recovery of IL-2 responses in the HAART group, and the lack of a significant 502

difference in IL-2 production with the Controller group. Consistent with this notion, a recent 503 comparison of patients treated efficiently for less than 3 years and more than 10 years showed 504 that prolonged therapy further improved CD4 proliferative responses, while IFN-y production by 505 CD4+ T cells remained minimal (24). Thus, patient treated in the very long term show the 506 507 expected signature of a resolved viral infection with antigen clearance, with a reappearance of IL-2 secreting memory CD4+ T cells with proliferative capacity and a loss of effector functions 508 (25). HIV Controllers provide an exception to this pattern, as they appear to maintain both IL-2 509 secretion and effector functions in the long-term in spite of minimal antigenemia. Early studies 510 511 have provided evidence for a preserved central memory CD4+ T cell compartment with 512 remarkable proliferative and IL-2 secretion capacities in HIV Controllers (19, 26, 58, 81). The notion of advanced effector differentiation has emerged in studies of CD8+ T cell responses in 513 HIV Controllers, with the realization that these rare patients harbored HIV-specific CD8+ T cell 514 with highly efficient antiviral functions, associated with an increased loading of perforin and 515 granzyme B within cytotoxic granules (27, 29, 48, 64). The present study suggests that 516 advanced effector differentiation also persists in the CD4+ T cell compartment of HIV 517 Controllers. The presence of a CD107+ perforin+ CD4+ T cell population raises the possibility 518 519 that Gag-specific CD4+ T cells may have direct cytotoxic potential and may contribute to the elimination of infected cells, a property that has been documented in certain chronic viral 520 infections such as CMV (2, 8) and that characterizes CD4+ T cell clones from rhesus macaques 521 able to control SIV infection (63). A recent study suggests that cytolytic CD4+ T cells may well 522 play a role in the early control of HIV replication, with the finding that expansion of HIV-specific 523 CD107a+ CD4+ T cells in the acute stage is associated with a lower viral setpoint (70). 524

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It was interesting that IL-17 production could be induced by Gag peptides in about half of the
HIV Controllers, even though the difference with the HAART group did not reach significance.
Previous studies have shown that HIV-specific Th17 cells are exceedingly rare in viremic and

treated patients, except during the acute infection stage (5, 84). The detection of Gag-specific 529 Th17 cells in HIV Controllers is consistent with the preservation of the total Th17 population in 530 the blood and the intestinal mucosa of these patients (12, 67), while Th17 cells are preferentially 531 532 depleted in other patient groups (5, 42, 46, 49, 59). The presence of Gag-specific Th17 effectors may have beneficial effects, by contributing either directly or indirectly to HIV control and by 533 534 helping maintain the integrity of mucosal surfaces. On the other hand, the activation of Th17 effectors may also foster the low-grade inflammatory condition that persists in HIV Controllers (6, 535 30, 58), with possible deleterious consequences such as increased susceptibility to 536 cardiovascular disease in the long term (14). Analysis of polyfunctionality showed that most of 537 IL-17+ CD4+ T cells in HIV Controllers did not produce IFN-y, indicating the presence of typical 538 Th17 cells, rather cells of the mixed Th1Th17 phenotype. We cannot rule out that Th17 cells 539 produced other cytokines that were not tested in the same panel as IL-17, such as IL-2 or TNF-540 α , but we noted that these cells did not produce IL-21, suggesting low polyfunctionality. The γc 541 family cytokine IL-21, when produced by Th17 cells, acts in an autocrine fashion to amplify and 542 stabilize IL-17 production, via the activation of the transcription factor STAT3 (76). The lack of 543 544 bifunctional IL-17+ IL-21+ cells suggests that Th17 differentiation may be only transient, reinforcing the notion that the predominant helper differentiation pattern is rather Th1 in HIV 545 546 Controllers.

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The MHC class II tetramer analysis showed that the population of HIV-specific CD4+ T cells persisted at relatively high levels in peripheral blood in the HIV Controller group, with a median of 0.12% CD4+ T cells directed against the single Gag293 peptide. Of note, this number is a minimal estimate, given that Gag293 can be presented by other HLA-DR alleles than those studied, and also by HLA-DQ molecules (35, 38). The finding of a high frequency of Tet+ cells in HIV Controllers supports the notions that HIV-specific CD4+ T cells are spared from depletion in situations of controlled viremia, and that a persistently active cellular response sustains the pool

of specific cells. The frequency of Gag293-specific cells was significantly lower in treated 555 patients, though it was intriguing that it could remain as high as in Controllers for a few 556 individuals. Two studies have shown that the frequency of Tet+ Gag-specific CD4+ T cells are 557 558 generally low in treated patients and can rebound upon short-term therapy interruption, with a shift towards a more effector differentiation pattern accompanying the resumption of viral 559 replication (68, 69). We cannot rule out that some of the treated patients may have undergone 560 blips in viral replication that would have escaped detection but would have been sufficient to 561 stimulate the expansion of specific cells. However, we did not detect a higher proportion of Tet+ 562 cells with an effector memory phenotype in patients with a higher frequency of Tet+ cells (not 563 shown). Rather, central memory cells predominated in the Tet+ populations, both in the HAART 564 565 and HIC group, consistent with a low antigenemia. A possibility is that HIV-specific central 566 memory cells may expand in some of the patients treated in the long-term, which would be consistent with a partial recovery of proliferative responses (24, 71). Importantly, increased Tet+ 567 frequency did not correlate with increased IFN-γ secretion frequency in the HAART group, while 568 it did so in the HIC group. Thus, Gag293-specific cells appeared to differ functionally, if not 569 phenotypically, between the two groups, with a persistence of Th1 effector function in HIV 570 571 Controllers. A caveat of this interpretation is that we did not directly detect cytokine production within the Tet+ population, due to a reduced sensitivity of Tet+ cell detection after intracellular 572 cytokine staining. However, since the frequency of IFN-y producer cells was minimal in treated 573 patients, it is reasonable to assume that in these patients the vast majority of Tet+ cells would 574 fail to produce IFN- γ upon restimulation with the Gag293 peptide. 575

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577 Several reasons may account for the persistence of an effector function in HIV Controllers but 578 not in patients treated in the long term. A straightforward explanation could be the capacity of 579 HIV Controller memory CD4+ T cells to reactivate upon encounter with very low amounts of viral 580 antigens. Indeed, we recently reported that a subset of CD4+ T cells with a high avidity for the

Gag293 peptide could be detected exclusively in primary CD4+ T cell lines derived from HIV 581 Controllers and not in those from treated patients (74). The presence of a pool of high avidity 582 cells could explain the persistence of Th1 effectors that would remain activated in the presence 583 584 of very low viremia. Such cells may play a key role in viral control, by sensing local "blips" in viral replication and by triggering a rapid antiviral recall response that would ensure a return to 585 baseline viremia. The secretion of cytokines by high avidity cells may also help explain the low 586 grade chronic immune activation that persists in HIV Controllers (6, 30, 58), a phenomenon that 587 could lead to deleterious consequences in the very long term (14). Future studies should help 588 determine whether high avidity CD4+ T cells can be detected directly in the peripheral blood of 589 HIV Controllers, and whether such cells have a phenotype indicative of chronic reactivation. 590 591 Another possible explanation for the persistence of Th1 responses in the Controller group may be the presence of slightly higher levels of residual viral replication in HIV Controllers than in 592 patients treated in the long term. However, analyses based on high sensitivity viral load assays 593 594 have not revealed so far a clearly higher viral burden in the HIV Controller population. 595 Circulating HIV-1 RNA can be detected in the plasma of most HIV Controllers, but median viral RNA levels appear extremely low (2 copies/mL) (54). Patients treated in the long term harbor a 596 residual viremia of the same order of magnitude (1.5 copies/mL) (53). On the other hand, 597 correlations between viral load and both humoral and cellular responses have been reported in 598 599 HIV Controllers (31, 54), suggesting that the availability of viral antigens may influence the level of recall responses. Thus, it will be important in future studies to determine the respective roles 600 of antigen levels and antigen sensitivity of T cells in the persistence of Th1 responses in HIV 601 Controllers. 602

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Negative immunoregulation may also contribute to the lack of Th1 cytokine production by CD4+ T cells from treated patients. Prior to therapy initiation, these patients experienced years of active HIV replication, which inflicted multiple insults to the immune system, including the

deletion of key populations of CD4+ T cells but also the overstimulation and the exhaustion of 607 the remaining pool of HIV-specific cells. The expression of inhibitory receptors such as PD-1, 608 609 CTLA-4, and TIM-3 is markedly increased on HIV-specific CD4+ T cells of viremic patients, and 610 is not entirely normalized after therapy, which may limit the capacity of specific CD4+ T cells to reactivate upon stimulation with HIV antigens (37, 39, 57). It is also noteworthy that IL-10 was 611 the only cytokine that was more produced by CD4+ T cells in the HAART group than in the HIC 612 group. The difference was significant for Gag peptides but also, intriguingly, for responses to 613 CMV peptides. IL-10 production can be viewed as a physiological response contributing to the 614 615 containment of excessive Th1 responses. For instance, Th1 cells directly convert to IL-10 616 producing regulatory T cells in models of tolerance induction (21). In viremic HIV infection, IL-10 617 is abundantly produced by multiple cell types including monocytes, B cells, and T cells, with monocytes being major contributors (7, 65). IL-10 causes a reversible impairment of CD4+ T cell 618 619 functions such as proliferation and secretion of other cytokines (7, 65, 72). Our findings suggest 620 that IL-10 production still contributes to the dysfunction of HIV-specific cells in treated HIV 621 infection, and that negative immunoregulation by IL-10 may also extend to responses against other pathogens such as CMV. In contrast, HIV Controllers may harbor persistently high levels 622 of T cell effectors directed against other intracellular pathogens, as suggested by studies 623 624 documenting higher CMV-specific CD4 responses (32) and a possibly higher clearance rate of 625 HCV infection (66) in HIV controllers as compared to uninfected individuals. The persistence of Th1 effectors directed against HIV may prime the immune system of Controllers for a more 626 627 efficient response to other viruses, for instance through a chronic release of IFN-y that would facilitate the differentiation of Th1 cells of other specificities though bystander effect. 628 Alternatively, HIV Controllers may harbor genetic traits that promote Th1 differentiation, which 629 may be explored in large scale genetic studies. 630

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In conclusion, spontaneous HIV control is associated with the persistence of highly functional Th1 effectors, while pharmacological HIV control leads to a dampening of CD4 effector functions. The fact that CD4 responses are not entirely lost, but rather dysfunctional, in treated patients raises the possibility of reawakening these responses through immunotherapeutic intervention.

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- 641

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961 Figure 1: Intracellular cytokine production in patients CD4+ T cells stimulated with Gag 962 peptides

963 Intracellular cytokine staining (ICS) was done on PBMC stimulated overnight with the immunodominant peptides Gag161, Gag263, and Gag293. Cytokine production was evaluated 964 in the live CD3+ CD4+ population. (A) Examples of ICS in CD4+ T cells from patients stimulated 965 with Gag293, Gag263, or Gag161. The first 7 plots show the production of TNF- α , MIP-1 β , IL-2, 966 IL-4, IL-17, IL-21, and IL-10 combined with that of IFN-y. The last plot on the right, second row, 967 968 shows the combined expression of total intracellular perforin and of the degranulation marker CD107a, which was used to determine the cytotoxic potential of CD4+ T cells. The patient ID 969 970 and the peptide used for stimulation are indicated above each plot. (B) Quantitation of the 971 percentage cytokine producing CD4+ T cells detected in HIV Controllers (HIC) and treated patients (HAART). The summed percentage of cytokine positive cells in response to Gag161, 972 Gag263, and Gag293 is reported. Horizontal bars indicate median values. Significant P values 973 974 (<0.05) obtained with the non parametric Mann-Whitney U test are reported.

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976 Figure 2: Analysis of intracellular cytokine production to individual Gag and CMV 977 peptides.

(A en C) The median percentage of cytokine producing cells induced by each of the three
immunodominant Gag peptides is represented in stacked columns (Gag293: grey; Gag263:
black; Gag161: white). (B and D) Cytokine production induced by optimal CMV peptides chosen
in function of patients' HLA DR alleles are represented by hatched bars. Median cytokine
responses that did not reach the detection threshold are represented by the midpoint between
the threshold value of 0.01% and zero (0.005%). Significant differences obtained in the MannWhitney U test are indicated by stars: * P<0.05; ** P<0.01..

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986 Figure 3: Polyfunctionality of Gag293-specific CD4+ T cells

(A and B) Polyfunctionality analysis for the CD107a / IFN- γ / IL-2 / MIP-1 β / TNF- α panel. Colors 987 in pie charts represent the proportion of monofunctional cells (dark blue), bifunctional cells (light 988 989 blue), trifunctional cells (green), quadrifunctional cells (yellow), and pentafunctional cells (orange) within the population of Gag293-specific CD4+ T cells, as determined by ICS. The bar 990 chart shows the frequency of Gag293-specific cells in each functional category, with red bars 991 corresponding to HIV Controllers (HIC) and dark blue bars corresponding to treated patients 992 993 (HAART). *: significant difference in bifunctional cells between the HIC and HAART groups (P<0.05). 994

995 (C and D) Polyfunctionality analysis for the IFN-γ / IL-10 / IL-17/ IL-21 panel. Colors in pie charts
996 represent the proportion of monofunctional cells (dark blue), bifunctional cells (light blue),
997 trifunctional cells (green), and quadrifunctional cells (yellow) within the population of Gag293998 specific CD4+ T cells. The bar chart shows the frequency of Gag293-specific cells in each
999 functional category. These analyses were carried out with the SPICE 5.21 program.

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1001 Figure 4: Detection of Gag293-specific CD4+ T cells by MHC class II tetramer staining.

1002 (A) Gating strategy to detect Gag293-specific cells in CD4+ T cells. Tetramer staining was 1003 analyzed in viable CD3+ CD8- CD14- CD19- CD4+ lymphocytes, starting from a minimum of 6 x 10⁶ PBMC. (B) Examples of detection of Gag293-specific cells with 4 different tetramers based 1004 1005 on the DRB1*0101, DRB1*0701, DRB1*1101 and DRB5*0101 molecules. For each tetramer, the percentage of tetramer-positive (Tet+) cells in CD4+ T cells is indicated for one healthy 1006 1007 donor (HD), one treated patient (HAART), and one HIV Controller (HIC). A negative control consisting in CD4+ T cells labeled with a CLIP-loaded tetramer is shown for each of the HIV 1008 Controllers tested (HIC-ctrl, right column). 1009

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1011 Figure 5: Frequency and phenotype of Gag293-specific tetramer+ CD4+ T cells in HIV 1012 Controllers (HIC) and treated patients (HAART).

1013 (A) The percentage of tetramer-positive (Tet+) CD4+ T cells specific for Gag293 was compared 1014 in the HIC and HAART groups. (B) The percentage of Tet+ cells specific for optimal CMV pp65 peptides was compared in the HIC and HAART groups. (C and D) The percentage of central 1015 1016 memory (CM: CD45RA- CCR7+) and effector memory (EM: CD45RA- CCR7-) CD4+ T cells was analyzed in the Gag293 Tet+ population (C) and the CMV Tet+ population (D). (E and F) The 1017 percentage of CD27+ cells was analyzed in the CM and EM Gag293 Tet+ populations (E) and in 1018 1019 the CM and EM CMV Tet+ populations (F). (G and H) The percentage of CD38+ cells was 1020 analyzed in the CM and EM Gag293 Tet+ populations (G) and in the CM and EM CMV Tet+ 1021 populations (H). Horizontal bars indicate median values. Significant P values (<0.05) obtained 1022 with the non parametric Mann-Whitney U test are reported.

1023

1024 Figure 6: Correlations between the percentages of Gag293-specific cells detected by 1025 tetramer staining and by intracellular cytokine staining (ICS).

(A) Correlation between the percentage of Gag293 tetramer-positiveCD4+ T cells (%Gag293 1026 1027 Tet+, x axis) and the percentage of IFN- γ secreting CD4+ T cells detected by ICS after Gag293 1028 stimulation (%IFN-y+, y axis) in the HIV Controller (HIC) group. (B) Correlation between the percentages of Gag293 Tet+ cells and Gag293-specific IFN-y secreting CD4+ T cells in the 1029 HAART group. (C) Correlation between the percentages of Gag293 Tet+ cells and Gag293-1030 1031 specific IL-17-y secreting CD4+ T cells in the HIC group. (D) Correlation between the percentages of Gag293 Tet+ cells and Gag293-specific IL-17-y secreting CD4+ T cells in the 1032 1033 HAART group. The non parametric Spearman correlation coefficient R and the P value are 1034 reported for each correlation.

Table 1. Epidemiologic and virologic characteristics of patients

	HIV controllers (HIC, n=26)	Treated patients (HAART, n=16)	P value
Age, years	52 [37-69]	49 [35 - 60]	N.S.
Duration of HIV-1 infection, years	18 [10 - 28]	18 [10 - 22]	N.S.
Duration of antiretroviral treatment, years	N/A	10 [10 - 14]	-
Virus load, HIV-1 RNA copies/ml plasma	< 40	< 40	N.S.
CD4+ T cells / mm3	754 [315 - 1976]	765 [422 - 1534]	N.S.
% CD4+ T cells in CD3+ T cells	53.6 [31.4 - 72.1]	49.9 [15.4 - 79.1]	N.S.
Nadir of CD4+ T cells /mm3	N/A	227 [6 - 589]	-
% with HLA B57 or B27 [positive / tested]	65.4 [17 / 26]	10.0 [1 / 10]	0.0036

Median values and range are reported. P values were estimated with the non parametric Mann-Whitney U test.

N/A: not applicable; N.S.: not significant (P≥0.05).

Table 2. Immune activation in CD4+ T cells

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%	% HLA-DR+ CD38+:	HIV controllers (HIC, n=16)	Treated patients (HAART, n=16)	Healthy donors (HD, n=12)	P value HIC vs HAART	P value HIC vs HD	P value HAART vs HD
	in total T CD4+	2.5 [1.3-11.9]	3.5 [0.5-18.2]	1.5 [0.9-2.2]	N.S.	0.01	0.002
	in naive CD45RA+ CCR7+ T CD4+	1.2 [0.6-11.0]	1.9 [0.0-6.3]	1.1 [0.3-3.1]	N.S.	N.S.	N.S.
	in CM CD45RA- CCR7+ T CD4+	1.9 [0.8-5.9]	2.2 [0.4-20.7]	1.4 [0.7-2.9]	N.S.	N.S.	0.03
	in EM CD45RA- CCR7- T CD4+	3.8 [1.1-16.4]	5.5 [0.5-11.9]	1.9 [0.7-2.5]	N.S.	0.01	0.0005

Median values and range are reported. P values were estimated with the non parametric Mann-Whitney U test.

CM: central memory; EM: effector memory. N.S.: not significant.



Figure 1



Figure 2







Gag293 Tetramer

CLIP Tetramer

Figure 4



Figure 5



Figure 6



Supplementary Figure S1: Control intracellular cytokine staining (ICS) in CD4+ T cells from a healthy blood donor

PBMC from a healthy blood donor were either left unstimulated (left) or were stimulated with the Gag293 peptide at 5 μ g/mL (middle) or with the superantigen SEB at 1 μ g/mL (right). CD4+T cells were analyzed for the production of IFN- γ combined with MIP-1 β (first row), IL-17 (second row), IL-10 (third row), and IL-4 (fouth row). The expression of total perforin and of the degranulation marker CD107a /LAMP1 was also evaluated (bottom row). Specific staining remained undetectable in Gag293 stimulated cells, while significant responses were detected after superantigen stimulation. In this example, healthy donor CD4+T cells stimulated with SEB could produce IFN- γ and MIP-1 β simulatenously, while IFN- γ and IL-17 stemmed from different producer cells. Even after potent antigenic stimulation (SEB), healthy donor cells failed to produce IL-10 or IL-4 and showed only minimal expression of CD107a.



Supplementary Figure S2: Controls for IL-17 and IL-10 intracellular cytokine staining

Examples of IL-17 and IL-10 responses are shown for one HIV Controller (A) and one treated patient (B). Negative controls consisting in unstimulated PBMC are reported in the left column. Stimulated PBMC were treated overnight with the Gag293 peptide (middle column) or the Gag263 peptide (right column) at a 5 μ g/mL concentration. Cytokine responses measured in the live (Aqua-) CD3+ CD4+ population are reported.



Supplementary Figure S1: Control intracellular cytokine staining (ICS) in CD4+ T cells from a healthy blood donor

PBMC from a healthy blood donor were either left unstimulated (left) or were stimulated with the Gag293 peptide at 5 μ g/mL (middle) or with the superantigen SEB at 1 μ g/mL (right). CD4+T cells were analyzed for the production of IFN- γ combined with MIP-1 β (first row), IL-17 (second row), IL-10 (third row), and IL-4 (fouth row). The expression of total perforin and of the degranulation marker CD107a /LAMP1 was also evaluated (bottom row). Specific staining remained undetectable in Gag293 stimulated cells, while significant responses were detected after superantigen stimulation. In this example, healthy donor CD4+T cells stimulated with SEB could produce IFN- γ and MIP-1 β simulatenously, while IFN- γ and IL-17 stemmed from different producer cells. Even after potent antigenic stimulation (SEB), healthy donor cells failed to produce IL-10 or IL-4 and showed only minimal expression of CD107a.



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